

**Name of Regulated Entity:** *Montague DeRose and Associates, LLC*

**Report Period:** *Second Quarter of 2020*

**I. CONTRIBUTIONS made to officials of a municipal entity (list by state)**

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)
		If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

*None*

**II. PAYMENTS made to political parties of states or political subdivisions (list by state)**

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
-------	---	---------------------------------------

*None*

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
-------	--	--

*None*

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**

**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>None</i>		

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
WA	<i>State of Washington – Office of the State Treasurer, Olympia, Thurston</i>	<i>Advice</i>
CA	<i>Los Angeles County Metropolitan Transportation Authority, Los Angeles, Los Angeles</i>	<i>Advice</i>
CA	<i>Compton Community College District, Compton, Los Angeles</i>	<i>Advice</i>
CA	<i>Placer County Water Agency, Auburn, Placer</i>	<i>Advice</i>
CA	<i>Port of Oakland, Oakland, Oakland</i>	<i>Advice</i>
CA	<i>Los Angeles County Office of Education, Los Angeles, Los Angeles</i>	<i>Advice</i>
CA	<i>State of California – Department of Water Resources, Sacramento, Sacramento</i>	<i>Advice</i>
CA	<i>Citrus Community College District, Glendora, Los Angeles</i>	<i>Advice</i>
CA	<i>Glendale Community College District, Glendale, Los Angeles</i>	<i>Advice</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
AZ	<i>Angeles City of Phoenix, Arizona, Phoenix, Maricopa</i>	<i>Advice</i>
CA	<i>City of Sacramento, Sacramento, Sacramento</i>	<i>Advice</i>
CA	<i>State of California – State Treasurer’s Office, Sacramento, Sacramento</i>	<i>Advice</i>
CA	<i>Hermosa Beach City School District, Hermosa Beach, Los Angeles</i>	<i>Advice</i>
CA	<i>Sacramento Area Flood Control Agency, Sacramento, Sacramento</i>	<i>Advice</i>
CA	<i>State of California – Department of Water Resources (CERS), Sacramento, Sacramento</i>	<i>Advice</i>
CA	<i>San Bernardino County Transportation Authority, San Bernardino, San Bernardino</i>	<i>Advice</i>
CA	<i>City of San Diego, San Diego, San Diego</i>	<i>Advice</i>
CA	<i>San Francisco Public Utilities Commission – Water, San Francisco, San Francisco</i>	<i>Advice</i>
CA	<i>San Francisco Public Utilities Commission – Wastewater, San Francisco, San Francisco</i>	<i>Advice</i>
CA	<i>MSR EA, Modesto, Stanislaus</i>	<i>Advice</i>
CA	<i>Lennox School District, Lennox, Los Angeles</i>	<i>Advice</i>
CA	<i>MSR PPA, Modesto, Stanislaus</i>	<i>Advice</i>
CA	<i>Manhattan Beach Unified School District, Manhattan Beach, Los Angeles</i>	<i>Advice</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
CA	<i>Walnut Valley Unified School District, Walnut, Los Angeles</i>	<i>Advice</i>
CA	<i>Contra Costa County Dept. of Conservation &amp; Development, Martinez, Contra Costa</i>	<i>Advice</i>
CA	<i>Sanitation Districts of Los Angeles County, Whittier, Los Angeles</i>	<i>Advice</i>
CA	<i>Cold Spring School District, Montecito, Santa Barbara</i>	<i>Advice</i>
CA	<i>Contra Costa County, Martinez, Contra Costa</i>	<i>Advice</i>
CA	<i>Baldwin Park Unified School District, Baldwin Park, Los Angeles</i>	<i>Advice</i>
CA	<i>Alhambra Unified School District, Alhambra, Los Angeles</i>	<i>Advice</i>
CA	<i>Sites Project Authority, Maxwell, Colusa</i>	<i>Advice</i>
CA	<i>State of California – State Controller’s Office, Sacramento, Sacramento</i>	<i>Advice</i>
CA	<i>Sacramento Regional Sanitation District, Sacramento, Sacramento</i>	<i>Advice</i>
CA	<i>Metropolitan Transportation Commission, San Francisco, San Francisco</i>	<i>Advice</i>
CA	<i>Fresno County Transportation Authority, Fresno, Fresno</i>	<i>Advice</i>
CA	<i>San Francisco Public Utilities Commission, San Francisco, San Francisco</i>	<i>Advice</i>
CA	<i>San Diego County Water Authority, San Diego, San Diego</i>	<i>Advice</i>
CA	<i>Alameda Municipal Power,</i>	<i>Advice</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
CA	<i>Alameda, Alameda Redondo Beach Unified School District, Redondo Beach, Los Angeles</i>	<i>Advice</i>
WA	<i>City of Tacoma Water, Tacoma, Pierce</i>	<i>Advice</i>
CA	<i>San Francisco Public Utilities Commission – Power Enterprise, San Francisco, San Francisco</i>	<i>Advice</i>
CA	<i>Los Angeles County – Treasurer and Tax Collector, Los Angeles, Los Angeles</i>	<i>Advice</i>
CA	<i>Los Angeles County – Internal Services Department, Los Angeles, Los Angeles</i>	<i>Advice</i>
CA	<i>State of California – California State Teachers' Retirement System, Sacramento, Sacramento</i>	<i>Advice</i>
WA	<i>City of Tacoma Power, Tacoma, Pierce</i>	<i>Advice</i>
CA	<i>East Bay Municipal Utility District, Oakland, Alameda</i>	<i>Advice</i>
CA	<i>State of California – Department of Finance, Sacramento, Sacramento</i>	<i>Advice</i>
NV	<i>Las Vegas Convention and Visitors Authority, Las Vegas, Clark</i>	<i>Advice</i>
CA	<i>El Camino Community College District, Torrance, Los Angeles</i>	<i>Advice</i>
CA	<i>City of Turlock, Turlock, Stanislaus</i>	<i>Advice</i>
CA	<i>City and County of San Francisco, San Francisco, San Francisco</i>	<i>Advice</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
CA	<i>State of California – California School Finance Authority, Sacramento, Sacramento</i>	<i>Advice</i>
CA	<i>Port of Los Angeles, San Pedro, Los Angeles</i>	<i>Advice</i>
CA	<i>Hacienda-La Puente Unified School District, City of Industry, Los Angeles</i>	<i>Advice</i>
CA	<i>Imperial Beach Redevelopment Agency Successor Agency, Imperial Beach, San Diego</i>	<i>Advice</i>
CA	<i>City of Los Angeles, Los Angeles, Los Angeles</i>	<i>Advice</i>
CA	<i>Acton-Agua Dulce Unified School District, Acton, Los Angeles</i>	<i>Advice</i>
CA	<i>Middle Fork Project Finance Authority, Auburn, Placer</i>	<i>Advice</i>

**C. Ballot-Approved Offerings**

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>None</i>		

## FORM G-37



**Signature:** electronic

**Date:** *Jul 31, 2020*

**Name (must be officer of regulated entity):** *Kimberly Mcmanus*

**Address:** *2801 Townsgate Road, Westlake Village, CA 91361*

**Phone:** *973-618-9508*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)