

**Name of Regulated Entity:** *Cumberland Partners LLC*

**Report Period:** *Second Quarter of 2020*

**I. CONTRIBUTIONS made to officials of a municipal entity (list by state)**

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)
		If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

*None*

**II. PAYMENTS made to political parties of states or political subdivisions (list by state)**

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category

*None*

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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*None*

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**

**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>None</i>		

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
<i>OR</i>	<i>R.V. Kuhns &amp; Associates, Inc., Portland</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
<i>MA</i>	<i>Cambridge Associates, LLC, Boston</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
<i>IL</i>	<i>Northern Trust Global Advisors, Inc., Chicago</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
<i>WA</i>	<i>Verus Advisory, Inc., Seattle</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
<i>VA</i>	<i>Strategic Investment Management, LLC, Arlington</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
<i>CA</i>	<i>Bel Air Investment Advisors LLC, Los Angeles</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
<i>IL</i>	<i>Mercer, LLC, Chicago</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
<i>CA</i>	<i>Canterbury Consulting Incorporated, Newport Beach</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
<i>NY</i>	<i>Colonial Consulting, LLC, New York</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
<i>NJ</i>	<i>The Concord Advisory Group Ltd, Princeton</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
<i>KS</i>	<i>DeMarche Associates Inc., Overland Park</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
<i>MA</i>	<i>Meketa Investment Group Inc., Westwood</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
<i>VA</i>	<i>Virginia Commonwealth University, Richmond</i>	<i>Solicitation; Invenire Partners, LP; Investment advisory services</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
NC	University of North Carolina, Chapel Hill	Solicitation; Harvey Partners, LLC; Investment advisory services
DC	The Rock Creek Group, LP	Solicitation; Harvey Partners, LLC; Investment advisory services
DC	University System of Maryland Foundation	Solicitation; Harvey Partners, LLC; Investment advisory services
FL	AndCo Consulting, LLC, Orlando	Solicitation; Harvey Partners, LLC; Investment advisory services
OR	Arnerich Massena, Inc., Portland	Solicitation; Harvey Partners, LLC; Investment advisory services
MO	Asset Consulting Group, LLC, St. Louis	Solicitation; Harvey Partners, LLC; Investment advisory services
CA	Bivium Capital Partners, LLC, San Francisco	Solicitation; Harvey Partners, LLC; Investment advisory services
CA	Beacon Pointe Advisors, LLC, Newport Beach	Solicitation; Harvey Partners, LLC; Investment advisory services
VA	College of William and Mary, Williamsburg	Solicitation; Invenire Partners, LP; Investment advisory services
NY	Colonial Consulting, LLC, New York	Solicitation; Invenire Partners, LP; Investment advisory services
VA	Fairfax County Police Officers Retirement System, Fairfax	Solicitation; Harvey Partners, LLC; Investment advisory services
WA	Russell Investments, Inc., Seattle	Solicitation; Harvey Partners, LLC; Investment advisory services
NJ	UBS Financial Services, Inc., Weehawken	Solicitation; Harvey Partners, LLC; Investment advisory services
CA	Wilshire Associates Inc, Santa Monica	Solicitation; Harvey Partners, LLC; Investment advisory services
IL	Ellwood Associates, Chicago	Solicitation; Harvey Partners, LLC; Investment advisory services
IL	Aon Hewitt Investment Consulting, Inc., Chicago	Solicitation; Harvey Partners, LLC; Investment advisory services
CA	Callan Associates, Inc., San Francisco	Solicitation; Harvey Partners, LLC; Investment advisory services
GA	LCG Associates, Inc., Atlanta	Solicitation; Harvey Partners, LLC; Investment advisory services

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CA	<i>Leading Edge Investment Advisors, LLC, San Francisco</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
MA	<i>NEPC, LLC, Boston</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
OH	<i>Fund Evaluation Group, LLC, Cincinnati</i>	<i>Solicitation; Invenire Partners, LP; Investment advisory services</i>
IL	<i>Brownson, Rehmus &amp; Foxworth, Inc., Chicago</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
TN	<i>CBIZ Investment Advisory Services, LLC, Memphis</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
VA	<i>College of William and Mary, Williamsburg</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
IL	<i>DiMeo Schneider &amp; Associates, LLC, Chicago</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
OH	<i>Fund Evaluation Group, LLC, Cincinnati</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
TN	<i>Gerber/Taylor Capital Advisors, Inc., Memphis</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
CO	<i>Monticello Associates, Inc., Denver</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
MO	<i>Summit Strategies, Inc., St. Louis</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
CO	<i>Perella Weinberg Partners LP, Denver</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
NH	<i>Prime Buchholz &amp; Associates Inc, Portsmouth</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
CT	<i>Rocaton Investment Advisors, LLC, Norwalk</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
NY	<i>Segal Advisors, Inc., New York</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
IL	<i>Taiber, Kosmala &amp; Associates, LLC, Chicago</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
IL	<i>The University of Chicago, Chicago</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
MI	<i>University of Michigan, Ann Arbor</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>
VA	<i>University of Virginia,</i>	<i>Solicitation; Harvey Partners, LLC;</i>

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VA	<i>Charlottesville Virginia Commonwealth University, Richmond</i>	<i>Investment advisory services Solicitation; Harvey Partners, LLC; Investment advisory services</i>
CA	<i>Callan Associates, Inc., San Francisco</i>	<i>Solicitation; Firth Investment Management Pte. Ltd.; Investment advisory services</i>
CA	<i>Angeles Investment Advisors, LLC, Santa Monica</i>	<i>Solicitation; Harvey Partners, LLC; Investment advisory services</i>

**C. Ballot-Approved Offerings**

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>None</i>		

## FORM G-37



**Signature:** electronic

**Date:** *Jul 31, 2020*

**Name (must be officer of regulated entity):** *Susan Bryant*

**Address:** *6750 Poplar Avenue, Memphis, TN 38138*

**Phone:** *405-606-4728*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)