

# FORM G-37



**Name of Regulated Entity:** *Stephens Inc.*

**Report Period:** *Second Quarter of 2020*

## I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)  If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
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*None*

## II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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*None*

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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*None*

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**

**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
AR	<i>City of Waldron, Waldron, Scott</i>	<i>Negotiated underwriting</i>
AR	<i>North Little Rock, Arkansas Electric, North Little Rock, Pulaski</i>	<i>Private placement</i>
AR	<i>West Stone County Water Association Public Water Auth., Fox, Stone</i>	<i>Negotiated underwriting</i>
MS	<i>Mississippi Development Bank (Tupelo, Mississippi Project), Jackson, Hinds</i>	<i>Negotiated underwriting</i>
SC	<i>City of Spartanburg, Spartanburg, Spartanburg</i>	<i>Negotiated underwriting</i>
SC	<i>Spartanburg Sanitary Sewer District, Spartanburg, Spartanburg</i>	<i>Negotiated underwriting</i>
TX	<i>Houston Community College District, Houston, Harris</i>	<i>Negotiated underwriting</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
AR	<i>Magnet Cove School District No. 8 of Hot Spring County, Malvern, Hot Spring</i>	<i>Advice</i>
AR	<i>West Memphis School District No. 4 of Crittenden County, West Memphis, Crittenden</i>	<i>Advice</i>
AR	<i>Calico Rock School District No. 50 of Izaard County, Calico Rock, Izaard</i>	<i>Advice</i>

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AR	<i>Mansfield School District No. 76 of Sebastian County, Mansfield, Sebastian</i>	<i>Advice</i>
AR	<i>Mountain View School District of Stone County, Mountain View, Stone</i>	<i>Advice</i>
TN	<i>Mallory Valley Utility District</i>	<i>Advice</i>
AR	<i>Hope school District No. 1-A of Hemstead County, Hope, Hempstead</i>	<i>Advice</i>
AR	<i>Maynard School District No. 15 of Randolph County, Maynard, Randolph</i>	<i>Advice</i>
NC	<i>Rutherford County, Rutherford</i>	<i>Advice</i>
AR	<i>Quitman School District of Cleburne County, Quitman, Cleburne</i>	<i>Advice</i>
TN	<i>Jackson Energy Authority, Jackson, Madison</i>	<i>Advice</i>
AR	<i>Nettleton School District of Craighead County, Jonesboro, Craighead</i>	<i>Advice</i>
AR	<i>Sloan-Hendrix School District of Lawrence County, Sloan-Hendrix, Lawrence</i>	<i>Advice</i>
AR	<i>Malvern School District of Hot Spring County, Malvern, Hot Spring</i>	<i>Advice</i>
AR	<i>Melbourne School District No. 20 of IZard County, Melbourne, IZard</i>	<i>Advice</i>
AR	<i>West Fork School District No. 141 of Washington County, West Fork, Washington</i>	<i>Advice</i>
AR	<i>Benton School District No. 8 of Saline County, Benton, Saline</i>	<i>Advice</i>

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AR	<i>South Pike School District of Pike County, Murfreesboro, Pike</i>	<i>Advice</i>
AR	<i>Perryville School District No. 7 of Perry County, Perryville, Perry</i>	<i>Advice</i>
AR	<i>Riverside School District No. 15 of Craighead County, Lake City, Craighead</i>	<i>Advice</i>
AR	<i>Mulberry/Pleasant View Bi-County School District No. 101 of Crawford County, Mullberry/Pleasant View, Crawford</i>	<i>Advice</i>
TN	<i>Claiborne County, Tazewell, Caiborne</i>	<i>Advice</i>
TN	<i>Williams County, Franklin, Williamson</i>	<i>Advice</i>
AR	<i>Lincoln Consolidated School District No. 48 of Washington County, Lincoln, Washington</i>	<i>Advice</i>
AR	<i>Bismarck School District No. 25 of Hot Spring County, Bismarck, Hot Spring</i>	<i>Advice</i>
AR	<i>Drew Central School District No. 5 of Drew County, Monticello, Drew</i>	<i>Advice</i>
AR	<i>West Side School District No. 4 of Cleburne County, Greers Ferry, Cleburne</i>	<i>Advice</i>
AR	<i>El Dorado School District of Union County, El Dorado, Union</i>	<i>Advice</i>
AR	<i>Greene County Tech School District No. T-1 of Greene County, Paragould, Greene</i>	<i>Advice</i>
TN	<i>Pulaski Board of Public Utilities, Pulaski, Giles</i>	<i>Advice</i>

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AR	<i>Warren School District of Bradley County, Warren, Bradley</i>	<i>Advice</i>
AR	<i>Russellville School District No. 14 of Pope County, Russellville, Pope</i>	<i>Advice</i>
AR	<i>City of Bentonville, Bentonville, Benton</i>	<i>Advice</i>
AR	<i>Lamar School District No. 39 of Johnston County, Lamar, Johnston</i>	<i>Advice</i>
AR	<i>Brookland School District of Craighead County, Brookland, Craighead</i>	<i>Advice</i>
AR	<i>Pulaski County Special School District, Little Rock, Pulaski</i>	<i>Advice</i>
AR	<i>Fort Smith School District No. 100 of Sebastian County, Fort Smith, Sebastian</i>	<i>Advice</i>
AR	<i>Guy-Perkins School District No. 34 of Faulkner County, Guy, Faulkner</i>	<i>Advice</i>
AR	<i>Little Rock School District of Pulaski County, Little Rock, Pulaski</i>	<i>Advice</i>
AR	<i>Fayetteville School District No. 1 of Washington County, Fayetteville, Washington</i>	<i>Advice</i>
AR	<i>Greenbrier School District No. 47 of Faulkner County, Greenbrier, Faulkner</i>	<i>Advice</i>
AR	<i>Alma School District No. 30 of Crawford County, Alma, Crawford</i>	<i>Advice</i>
AR	<i>Gentry School District No. 19 of Benton County, Gentry, Benton</i>	<i>Advice</i>
AR	<i>Helena-West Helena School</i>	<i>Advice</i>

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AR	<i>District No. 2 of Phillips County, Helena-West Helena, Phillips Gosnell School District No. 6 of Mississippi County, Gosnell, Mississippi</i>	<i>Advice</i>
AR	<i>Hazen School District of Prairie County, Hazen, Prarie</i>	<i>Advice</i>
TN	<i>Town of Greeneville, Greeneville, Greene</i>	<i>Advice</i>
SC	<i>Francis Marion University, Florence, Florence</i>	<i>Advice</i>
AR	<i>Woodlawn School District of Cleveland County, Woodlawn, Cleveland</i>	<i>Advice</i>
AR	<i>Northwest Arkansas Regional Airport Authority, Bentonville, Benton</i>	<i>Advice</i>
AR	<i>Flippin School District No. 26 of Marion County, Flippin, Marion</i>	<i>Advice</i>
AR	<i>Arkadelphia School District No. 1 of Clark County, Arkadelphia, Clark</i>	<i>Advice</i>
TN	<i>Sullivan County, Bluntville, Sullivan</i>	<i>Advice</i>
AR	<i>Van Buren School District No. 42 of Crawford County, Van Buren, Crawford</i>	<i>Advice</i>
AR	<i>Cleveland County School District of Cleveland County, Cleveland, Cleveland</i>	<i>Advice</i>
AR	<i>Nevada School District of Nevada County, Rosston, Nevada</i>	<i>Advice</i>
AR	<i>Mountain Home School District No. 9 of Baxter County, Mountain Home,</i>	<i>Advice</i>

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AR	<i>Baxter Cotter School District No. 60 of Baxter County, Cotter, Baxter</i>	<i>Advice</i>
SC	<i>McCormick Commission of Public Works</i>	<i>Advice</i>
SC	<i>Hilton Head Public Service District No. 1</i>	<i>Advice</i>
AR	<i>Hackett School District No. 17 of Sebastian County, Hackett, Sebastian</i>	<i>Advice</i>
AR	<i>Pocahontas School District No. 19 of Randolph County, Pocahontas, Randolph</i>	<i>Advice</i>
AR	<i>Hector School District No. 59 of Pope County, Hector, Pope</i>	<i>Advice</i>
AR	<i>Carlisle School District, Carlisle, Lonoke</i>	<i>Advice</i>
AR	<i>Sheridan School District No. 37 of Grant County, Sheridan, Grant</i>	<i>Advice</i>
AR	<i>Lonoke School District No. 1 of Lonoke County, Lonoke, Lonoke</i>	<i>Advice</i>
AR	<i>Pangburn Special School District of White County, Pangburn, White</i>	<i>Advice</i>
TN	<i>Memphis, Tennessee (MGLW Division) - Water Bonds, Memphis, Shelby</i>	<i>Advice</i>
AR	<i>Star City School District No. 11 of Lincoln County, Star City, Lincoln</i>	<i>Advice</i>
MS	<i>Winona-Montgomery Consolidated School District, Winona, Carroll</i>	<i>Advice</i>
AR	<i>Jonesboro School District No. 1 of Craighead County,</i>	<i>Advice</i>



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TN	<i>Jonesboro, Craighead Marion County, Jasper, Marion</i>	<i>Advice</i>
AR	<i>Lawrence County School District of Lawrence County, Walnut Ridge, Lawrence</i>	<i>Advice</i>
AR	<i>Bauxite School District of Saline County, Bauxite, Salien</i>	<i>Advice</i>
AR	<i>Hamburg School District No. 51 of Ashley County, Hamburg, Ashley</i>	<i>Advice</i>
AR	<i>Vilonia School District No. 17 of Faulkner County, Vilonia, Faulkner</i>	<i>Advice</i>
AR	<i>City of North Little Rock, No. Little Rock, Pulaski</i>	<i>Advice</i>
AR	<i>Dumas School District No. 6 of Desha County, Dumas, Desha</i>	<i>Advice</i>
AR	<i>Centerpoint School District No. 43 of Pike County, Amity, Pike</i>	<i>Advice</i>
TN	<i>Memphis, Tennessee (MGLW Division) - Gas Bonds, Memphis, Shelby</i>	<i>Advice</i>
AR	<i>Mt. Vernon-Enola School District No. 41 of Faulkner County, Mt. Vernon, Faulkner</i>	<i>Advice</i>
AR	<i>McGehee School District of Desha County, McGehee, Desha</i>	<i>Advice</i>
AR	<i>Cross County School District No. 7 of Cross County, Cherry Valley, Cross</i>	<i>Advice</i>
AR	<i>Lakeside School District No. 9 of Garland County, Hot Springs, Garland</i>	<i>Advice</i>
AR	<i>Blevins School District No. 2</i>	<i>Advice</i>

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AR	<i>of Hempstead County, Blevins, Hempstead Watson Chapel School Dsitric No. 24 of Jefferson County, Pine Bluff, Jefferson</i>	<i>Advice</i>
TN	<i>Springfield, City of, Springfield, Robertson</i>	<i>Advice</i>
AR	<i>Yellville-Summit School District of Marion County, Yellville-Summit, Marion</i>	<i>Advice</i>
AR	<i>Brinkley School District No. 8 of Monroe County, Brinkley, Monroe</i>	<i>Advice</i>
MD	<i>CleanBay Renewables, LLC, Princess Anne</i>	<i>Advice</i>
AR	<i>Spring Hill School District of Hempstead County, Spring Hill, Hempstead</i>	<i>Advice</i>
TN	<i>Wilson County, Lebanon, Wilson</i>	<i>Advice</i>
AR	<i>Dardanelle School District No. 15 of Yell County, Dardanelle, Yell</i>	<i>Advice</i>
TN	<i>Memphis, Tennessee (MGLW Division) - Electric Ref Bonds, Memphis, Shelby</i>	<i>Advice</i>
NC	<i>City of Salisbury, Salisbury, Rowan</i>	<i>Advice</i>
SC	<i>Calhoun County, St. Matthews, Calhoun</i>	<i>Advice</i>
AR	<i>Cabot School District, Cabot, Lonoke</i>	<i>Advice</i>
AR	<i>Forrest City School District No. 7 of St. Francis County, Forrest City, St. Francis</i>	<i>Advice</i>
AR	<i>Greenwood School District No. 25 of Sebastian County, Greenwood, Sebastian</i>	<i>Advice</i>

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AR	<i>Barton-Lexa School District No. 4 of Phillips County, Lexa, Phillips</i>	<i>Advice</i>
AR	<i>Gurdon School District No. 60 of Clark County, Gurdon, Clark</i>	<i>Advice</i>
AR	<i>Southside School District of Independence County, Seridan, Grant</i>	<i>Advice</i>
AR	<i>Central Arkansas Water, Little Rock, Pulaski</i>	<i>Advice</i>
AR	<i>Cedarville School District No. 44 of Crawford County, Cedarville, Crawford</i>	<i>Advice</i>
AR	<i>Lake Hamilton School District of Garland County, Percy, Garland</i>	<i>Advice</i>
AR	<i>Norfolk School District No. 61 of Baxter County, Norfolk, Baxter</i>	<i>Advice</i>
AR	<i>Farmington School District No. 6 of Washington County, Farmington, Washington</i>	<i>Advice</i>
AR	<i>Pottsville School District No. 61 of Pope County, Pottsville, Pope</i>	<i>Advice</i>
AR	<i>Rivercrest School District No. 57 of Mississippi County, Wilson, Mississippi</i>	<i>Advice</i>
SC	<i>Columbia College, Columbia, Richland</i>	<i>Advice</i>
AR	<i>Glen Rose School District No. 3 of Hot Spring County, Malvern, Hot Spring</i>	<i>Advice</i>
AR	<i>Green Forest School District No. 32 of Carroll County, Green Forest, Carroll</i>	<i>Advice</i>
AR	<i>Paragould School District No.</i>	<i>Advice</i>

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TN	<i>1 of Greene County, Paragould, Greene City of Tullahoma, Tennessee, Tullahoma, Coffee/Franklin</i>	Advice
TN	<i>Brownsville Energy Authority, Brownsville, Haywood</i>	Advice
AR	<i>Newport Special School District of Jackson County, Newport, Jackson</i>	Advice
AR	<i>Izard County Consolidated School District, Brockwell, Izard</i>	Advice
AR	<i>Camden-Fairview School District No. 16 of Ouachita County, Camden, Ouachita</i>	Advice
AR	<i>Rose Bud School District No. 35 of White County, Rose Bud, White</i>	Advice
SC	<i>Saluda County Water &amp; Sewer Authority, Saluda, Saluda</i>	Advice
AR	<i>Marion School District No. 3 of Crittenden County, Marion, Crittenden</i>	Advice
AR	<i>Wonderview School District No. 2 of Conway County, Hattieville, Conway</i>	Advice
AR	<i>Beebe Special School District of White County, Beebe, White</i>	Advice
AR	<i>Westside Consolidated School District of Craighead County, Westside, Craighead</i>	Advice
SC	<i>LowCountry Regional Water System, Hampton, Hampton</i>	Advice
AR	<i>Cedar Ridge School District of Independence County, Newark, Independence</i>	Advice
AR	<i>Marvell-Elaine School</i>	Advice

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	<i>District No. 22 of Phillips County, Marvell-Elaine, Phillips</i>	

**C. Ballot-Approved Offerings**

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>Wonderview School District No. 2 of Conway County</i>	<i>Refunding &amp; Construction Bonds</i>	<i>12/19/2019</i>

## FORM G-37



**Signature:** electronic

**Date:** *Jul 31, 2020*

**Name (must be officer of regulated entity):** *Nancy Brown*

**Address:** *111 Center Street, Little Rock, AR 72203*

**Phone:** *501-377-2221*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)