

Name of Regulated Entity: *Oppenheimer & Co. Inc.*

Report Period: *Second Quarter of 2020*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer) If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
<i>MT</i>	<i>Whitney Williams (Contribution is being returned), Governor of MT</i>	<i>\$25.00 contribution by Non-MFP executive officer</i>
<i>MO</i>	<i>Nicole Galloway, Governor of MO</i>	<i>\$10.00 contribution by Non-MFP executive officer -- automatic exemption on 06/13/2020</i>
<i>KS</i>	<i>Barbara Bollier, Senate of KS</i>	<i>\$25.00 contribution by Non-MFP executive officer</i>
<i>ME</i>	<i>Sara Gideon, Senate of ME</i>	<i>\$25.00 contribution by Non-MFP executive officer</i>
<i>CA</i>	<i>Christy Smith, US House of CA</i>	<i>\$50.00 contribution by Non-MFP executive officer</i>

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
<i>None</i>		

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
-------	--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	------------------------------------------------------------------------------------------------------

None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
CT	<i>Hamden Hall County Day School, Hamden, New Haven</i>	<i>Negotiated underwriting</i>
CT	<i>State of Connecticut, Hartford, Hartford</i>	<i>Negotiated underwriting</i>
IL	<i>School District Number 78, Rosemont, Cook</i>	<i>Negotiated underwriting</i>
IL	<i>Public Building Commission of St. Clair County, St. Clair, St. Clair</i>	<i>Negotiated underwriting</i>
MO	<i>Jordan Valley Ice, Springfield, Greene</i>	<i>Remarketing agent</i>
NJ	<i>Hudson County Improvement Authority in Hudson COUNTY POOLED NOTES 2020B LOCAL UNIT LOAN PROGRAM, Hudson</i>	<i>Negotiated underwriting</i>
NJ	<i>Hudson County Improvement Authority in Hudson COUNTY POOLED NOTES 2020B LOCAL UNIT LOAN PROGRAM, Hudson</i>	<i>Negotiated underwriting</i>
NJ	<i>Lyndhurst Township in Bergen County, Bergen</i>	<i>Negotiated underwriting</i>
NY	<i>New York City Transitional Finance Authority, New York, New York</i>	<i>Negotiated underwriting</i>
NY	<i>New York City Housing Development Corporation, New York, New York</i>	<i>Negotiated underwriting</i>
NY	<i>New York State Urban Development Corporation, Buffalo, Erie</i>	<i>Negotiated underwriting</i>
PA	<i>Pennsylvania Housing Finance Agency, Harrisburg, Dauphin</i>	<i>Negotiated underwriting</i>
RI	<i>Rhode Island Commerce Corporation, Providence, Providence</i>	<i>Negotiated underwriting</i>

A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
RI	<i>Rhode Island Housing and Mortgage Finance Corporation, Providence, Providence</i>	<i>Negotiated underwriting</i>
SC	<i>Saxe Gotha Public Facilities Corporation Lexington County ICE House REDEVELOPMENT PROJECT AREA, Lexington</i>	<i>Negotiated underwriting</i>
TX	<i>Mesquite ISD, Mesquite, Dallas</i>	<i>Negotiated underwriting</i>
TX	<i>San Diego ISD NM, San Diego, Duvall & Jim Wells</i>	<i>Negotiated underwriting</i>
TX	<i>Sabine Pass ISD, Sabine Pass, Jefferson</i>	<i>Negotiated underwriting</i>
TX	<i>Loveland ISD, Lovelady, Houston</i>	<i>Negotiated underwriting</i>
TX	<i>Pearsall ISD, Pearsall, Frio</i>	<i>Negotiated underwriting</i>
TX	<i>Taft ISD, Taft, Frio & LaSalle</i>	<i>Negotiated underwriting</i>
TX	<i>San Diego ISD RFDG, San Diego, Duvall & Jim Wells</i>	<i>Negotiated underwriting</i>
TX	<i>Nixon-Smiley CISD, Nixon, Gonzales, Guadalupe, Wilson & Caldwell</i>	<i>Negotiated underwriting</i>
TX	<i>San Marcos CISD, San Marcos, Travis</i>	<i>Negotiated underwriting</i>
TX	<i>Pottsboro HEFC (Inspire Academies) BraiNation, San Antonio, Bexar</i>	<i>Negotiated underwriting</i>
TX	<i>Water Valley ISD, Water Valley, Tom Green & Coke</i>	<i>Negotiated underwriting</i>

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
-------	---------------------------------------------------	------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

None

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
-------------------------------	-------------------	------------------------------

None

FORM G-37



Signature: electronic

Date: *Jul 31, 2020*

Name (must be officer of regulated entity): *PATRICIA KUMP*

Address: *85 Broad Street, New York, NY 10004*

Phone: *816-932-7089*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)