

Name of Regulated Entity: *AEM Financial Solutions, LLC*

Report Period: *First Quarter of 2020*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer) If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
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None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>None</i>		

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
<i>MN</i>	<i>City of Marshall, Marshall, Lyon</i>	<i>Advice</i>
<i>MN</i>	<i>City of Monticello, Monticello, Wright</i>	<i>Advice</i>
<i>MN</i>	<i>City of Mapleton, Mapleton, Blue Earth</i>	<i>Advice</i>
<i>MN</i>	<i>City of La Crescent, La Crescent, Houston</i>	<i>Advice</i>
<i>MN</i>	<i>Steele County, Owatonna, Steele</i>	<i>Advice</i>
<i>MN</i>	<i>Washington County Community Development Agency, Woodbury, Washington</i>	<i>Advice</i>
<i>MN</i>	<i>City of Lindstrom, Lindstrom, Chisago</i>	<i>Advice</i>
<i>MN</i>	<i>City of Dundas, Dundas, Rice</i>	<i>Advice</i>
<i>MN</i>	<i>City of Byron, Byron, Olmsted</i>	<i>Advice</i>
<i>MN</i>	<i>City of Belle Plaine, Belle Plaine, Scott</i>	<i>Advice</i>
<i>MN</i>	<i>City of Hastings, Hastings, Dakota</i>	<i>Advice</i>
<i>MN</i>	<i>City of Tracy, Tracy, Lyon</i>	<i>Advice</i>
<i>MN</i>	<i>City of St. Anthony Village, St. Anthony Village, Hennepin</i>	<i>Advice</i>

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
MN	Douglas County, Alexandria, Douglas	Advice
MN	Minnehaha Creek Watershed District, Minnetonka, Hennepin	Advice
MN	City of Lauderdale, Lauderdale, Ramsey	Advice
MN	City of New Ulm, New Ulm, Brown	Advice
MN	City of Albertville, Albertville, Wright	Advice
MN	City of Arlington, Arlington, Sibley	Advice
MN	City of Buffalo Lake, Buffalo Lake, Renville	Advice
MN	City of Clearwater, Clearwater, Stearns	Advice
MN	City of Crystal, Crystal, Hennepin	Advice
MN	City of Dayton, Dayton, Hennepin	Advice
MN	City of Gaylord, Gaylord, Sibley	Advice
MN	City of Green Isle, Green Isle, Sibley	Advice
MN	City of Ham Lake, Ham Lake, Anoka	Advice
MN	City of Hilltop, Hilltop, Anoka	Advice
MN	City of Independence, Independence, Hennepin	Advice
MN	City of Janesville, Janesville, Waseca	Advice
MN	City of Maple Plain, Maple Plain, Hennepin	Advice
MN	City of Mayer, Mayer, Carver	Advice
MN	City of New Hope, New Hope, Hennepin	Advice

B. Municipal Advisory Business

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MN	<i>City of Norwood Young America, Norwood Young America, Carver</i>	<i>Advice</i>
MN	<i>City of Oak Grove, Oak Grove, Anoka</i>	<i>Advice</i>
MN	<i>City of Olivia, Olivia, Renville</i>	<i>Advice</i>
MN	<i>City of Wayzata, Wayzata, Hennepin</i>	<i>Advice</i>
MN	<i>City of Wyoming, Wyoming, Chisago</i>	<i>Advice</i>
MN	<i>City of Carver, Carver, Carver</i>	<i>Advice</i>
MN	<i>City of Inver Grove Heights, Inver Grove Heights, Dakota</i>	<i>Advice</i>
MN	<i>City of Long Lake, Long Lake, Hennepin</i>	<i>Advice</i>
MN	<i>City of Cannon Falls, Cannon Falls, Goodhue</i>	<i>Advice</i>
MN	<i>City of Amboy, Amboy, Blue Earth</i>	<i>Advice</i>
MN	<i>City of Hector, Hector, Renville</i>	<i>Advice</i>
MN	<i>City of Lamberton, Lamberton, Redwood</i>	<i>Advice</i>
MN	<i>City of New Brighton, New Brighton, Ramsey</i>	<i>Advice</i>
MN	<i>City of Plymouth, Plymouth, Hennepin</i>	<i>Advice</i>
MN	<i>City of Sleepy Eye, Sleepy Eye, Brown</i>	<i>Advice</i>
MN	<i>City of Watertown, Watertown, Carver</i>	<i>Advice</i>
MN	<i>City of Winnebago, Winnebago, Faribault</i>	<i>Advice</i>

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>None</i>		

FORM G-37



Signature: electronic

Date: *Apr 7, 2020*

Name (must be officer of regulated entity): *BRENDA CROSBY*

Address: *5201 Eden Avenue, Edina, MN 55436*

Phone: *952-715-3056*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)