

FORM G-37



Name of Regulated Entity: *Stern Brothers & Co.*

Report Period: *Fourth Quarter of 2023*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer) If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
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None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)
A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
NY	New York City Municipal Water Finance Authority, NY	Negotiated underwriting
MO	Missouri Housing Development Commission	Negotiated underwriting
CA	San Diego County Regional Airport Authority, SAN DIEGO	Negotiated underwriting
NY	New York City Transitional Finance Authority, NY	Negotiated underwriting
CT	Connecticut Housing Finance Authority	Negotiated underwriting
CA	Department of Water and Power of the City of Los Angeles, LOS ANGELES	Negotiated underwriting
NY	New York Transportation Development Corporation	Negotiated underwriting
CT	University of Connecticut	Negotiated underwriting
NJ	New Jersey Transportation Trust Fund Authority	Negotiated underwriting
OH	State of Ohio	Negotiated underwriting
CA	Municipal Improvement Corporation of Los Angeles, LOS ANGELES	Negotiated underwriting
NY	New York State Housing Finance Agency	Negotiated underwriting
MO	Missouri Housing Development Commission	Negotiated underwriting
NY	Triborough Bridge and Tunnel Authority	Negotiated underwriting
FL	Miami-Dade County, Florida, MIAMI-DADE	Negotiated underwriting
DC	District of Columbia Housing Finance Agency	Private placement
TX	Capital Area Housing Finance Corporation	Private placement
TX	Austin Affordable PFC, Inc.	Private placement
TX	El Paso Housing Finance	Private placement

A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>DC</i>	<i>Corporation, EL PASO District of Columbia Housing Finance Agency</i>	<i>Private placement</i>
<i>TX</i>	<i>Capital Area Housing Finance Corporation</i>	<i>Private placement</i>
<i>CA</i>	<i>California Municipal Finance Authority</i>	<i>Private placement</i>
<i>DC</i>	<i>District of Columbia Housing Finance Agency</i>	<i>Private placement</i>
<i>TX</i>	<i>Capital Area Housing Finance Corporation</i>	<i>Private placement</i>
<i>CT</i>	<i>City of New Haven, Connecticut, NEW HAVEN</i>	<i>Negotiated underwriting</i>

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
<i>None</i>		

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>None</i>		

FORM G-37



Signature: electronic

Date: *Feb 1, 2024*

Name (must be officer of regulated entity): *GLENN ESSERT*

Address: *8000 Maryland Ave., St. Louis, MO 63105*

Phone: *314-743-4026*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)