

# FORM G-37



**Name of Regulated Entity:** *Stern Brothers & Co.*

**Report Period:** *Third Quarter of 2024*

## I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)  If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
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*None*

## II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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*None*

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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*None*

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**

**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
DC	District of Columbia Housing Finance Agency	Private placement
CA	City of Burbank, California, Burbank	Private placement
NY	Metropolitan Transportation Authority	Negotiated underwriting
NY	New York City Transitional Finance Authority, New York	Negotiated underwriting
DC	District of Columbia Water and Sewer Authority	Negotiated underwriting
TX	Capital Area Housing Finance Corporation	Private placement
TX	City of San Antonio Texas Muni Facilities Corporation, San Antonio	Negotiated underwriting
CA	Public Facilities Finance Authority of the City of San Diego, San Diego	Negotiated underwriting
WA	Port of Seattle	Negotiated underwriting
NY	The City of New York, New York	Negotiated underwriting
MO	Missouri Housing Development Commission	Negotiated underwriting
NY	MTA Triborough Bridge and Tunnel Authority	Negotiated underwriting
CA	Los Angeles County Facilities 2 Inc., Los Angeles	Negotiated underwriting
TX	Capital Area Housing Finance Corporation	Private placement
LA	State of Louisiana	Negotiated underwriting
NY	The City of New York, New York	Negotiated underwriting
NY	New York State Environmental Facilities Corporation	Negotiated underwriting
CT	Connecticut Housing Finance Authority	Negotiated underwriting

**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
GA	<i>City of Atlanta and Fulton County Recreation Authority, Atlanta, Fulton</i>	<i>Negotiated underwriting</i>
TX	<i>Austin Affordable PFC, Inc.</i>	<i>Private placement</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
<i>None</i>		

**C. Ballot-Approved Offerings**

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>None</i>		

# FORM G-37



**Signature:** electronic

**Date:** *Oct 30, 2024*

**Name (must be officer of regulated entity):** *GLENN ESSERT*

**Address:** *8000 Maryland Ave., St. Louis, MO 63105*

**Phone:** *314-743-4026*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)