

Name of Regulated Entity: Northland Securities, Inc.

Report Period: First Quarter of 2024

#### I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State Complete name, title

(including any

city/county/state or other political subdivision) of municipal entity official Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)

If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date

of such automatic exemption.

None

#### II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State Complete name (including

any city/county/state or other political subdivision) of

political party

None

Payments by each contributor category



### III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

#### A. Contributions

State Official name of bond ballot

campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities Contributions, including the specific date the contributions were made, by each contributor category

None

#### **B.** Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None



# ${\bf IV.\ MUNICIPAL\ ENTITIES\ with\ which\ the\ regulated\ entity\ has\ engaged\ in\ municipal\ securities\ business\ or\ municipal\ advisory\ business\ (list\ by\ state)}$

## A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
NE	Rock County School District 0100 (Rock Cnty Pub Schs), Rock County	Negotiated underwriting
MO	Kirkwood, Kirkwood, St. Louis County	Negotiated underwriting
IA	Red Oak, Red Oak, Montgomery County	Negotiated underwriting
MO	St. Charles, St. Charles, St. Charles County	Negotiated underwriting
ОН	North Canton City School District, Stark County	Negotiated underwriting
MI	Saginaw School District, Saginaw County	Negotiated underwriting
NE	Buffalo County School District 0105 (Pleasanton Pub Schs), Buffalo County	Negotiated underwriting
NE	Lancaster County Rural Water District 1	Negotiated underwriting
MI	Bad Axe Public Schools, Huron County	Negotiated underwriting
NE	Sarpy County SID 347 (Giles Pointe/Mirabel)	Negotiated underwriting
NE	Phelps-Gosper Fire Protection District 2	Negotiated underwriting
MN	Lyon County, Lyon County	Negotiated underwriting
IA	Clay County, Clay County	Negotiated underwriting
NE	Plainview, Plainview, Pierce County	Negotiated underwriting
MN	Waconia ISD 110, Carver County	Negotiated underwriting
NE	Holdrege, Holdrege, Phelps County	Negotiated underwriting
NE	Dawson Cnty Sch Dist 0020 (Gothenburg Public Schools), Dawson County	Negotiated underwriting
NE	Arlington, Arlington, Washington County	Negotiated underwriting



# **A.** Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
NE	Orleans Rural Fire District	Negotiated underwriting
NE	Douglas County SID 607	Negotiated underwriting
NE	Sarpy County SID 351 (Cheyenne Ridge)	Negotiated underwriting
ОН	Newark City, Newark City, Licking County	Negotiated underwriting
NE	Callaway, Callaway, Custer County	Negotiated underwriting
NE	Auburn, Auburn, Nemaha County	Negotiated underwriting
NE	Wisner-Pilger Pub Schs (Cuming Cnty Sch Dist 0030), Cuming County	Private placement
NE	Big Springs, Big Springs, Deuel County	Private placement
NE	Potter Rural Fire District #4	Private placement
MI	Frankfort City-County Airport Authority	Private placement
NE	Rock County Pub Schs (Rock Cnty Sch Dist 0100), Rock County	Private placement
NE	Exeter, Exeter, Fillmore County	Private placement
NE	Newman Grove, Newman Grove, Madison, Platte	Private placement

# B

B. Municipal Advisory Business				
State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))		
NE	Broken Bow Pub Schs (Custer Cnty SD 0025), Custer County	Advice		
IA	Swisher, Swisher, Johnson County	Advice		
MN	Eagan, Eagan, Dakota County	Advice		
MN	Luverne, Luverne, Rock	Advice		



#### **B.** Municipal Advisory Business

State Complete name of municipal

entity and city/county

Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))

County

#### C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity

ne of Issue Description

Reportable Date of Selection

None



Signature: electronic Date: Aug 29, 2024

Name (must be officer of regulated entity): *Traci Spanier* Address: 150 South Fifth Street, Minneapolis, MN 55402

**Phone:** 612-851-4901

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)