

# FORM G-37



**Name of Regulated Entity:** *KNN Public Finance, LLC*

**Report Period:** *Fourth Quarter of 2023*

## I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)  If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
-------	--	--

*None*

## II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
-------	---	---------------------------------------

*None*

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
-------	--	--

*None*

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**

**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>None</i>		

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
CA	<i>22nd District Agricultural Association (Del Mar Racetrack Authority), Del Mar, San Diego</i>	<i>Advice</i>
CA	<i>Alameda, County of, Oakland, Alameda</i>	<i>Advice</i>
CA	<i>Allan Hancock Community College District, Santa Maria, San Luis Obispo, Santa Barbara, Ventura</i>	<i>Advice</i>
CA	<i>Bay Area Water Supply &amp; Conservation Agency, San Mateo, San Mateo</i>	<i>Advice</i>
CA	<i>Bell, City of, Bell, Los Angeles</i>	<i>Advice</i>
CA	<i>Berkeley Unified School District, Berkeley, Alameda</i>	<i>Advice</i>
CA	<i>Biola University, La Mirada, Los Angeles</i>	<i>Advice</i>
CA	<i>Bishop, City of, Bishop, Inyo</i>	<i>Advice</i>
CA	<i>Brea-Olinda Unified School District, Brea, Orange</i>	<i>Advice</i>
CA	<i>Brentwood Union School District, Brentwood, Contra Costa</i>	<i>Advice</i>
CA	<i>Burlingame School District, Burlingame, San Mateo</i>	<i>Advice</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
CA	<i>Butte, County of, Oroville, Butte</i>	<i>Advice</i>
CA	<i>California Education Facilities Authority, Sacramento, All</i>	<i>Advice</i>
CA	<i>California Ethanol + Power, Brawley, Imperial</i>	<i>Advice</i>
CA	<i>California Health Facilities Financing Authority, Sacramento, Sacramento</i>	<i>Advice</i>
CA	<i>California School Finance Authority, Sacramento, All</i>	<i>Advice</i>
CA	<i>California State Treasurer's Office / State Board of Public Works, Sacramento, All</i>	<i>Advice</i>
CA	<i>California State University, Long Beach, Los Angeles</i>	<i>Advice</i>
CA	<i>Castro Valley Unified School District, Castro Valley, Alameda</i>	<i>Advice</i>
CA	<i>Ceres Unified School District, Ceres, Stanislaus</i>	<i>Advice</i>
CA	<i>Cerritos Community College District, Norwalk, Los Angeles</i>	<i>Advice</i>
CA	<i>Chabot Las Positas Community College District, Dublin, Alameda</i>	<i>Advice</i>
CA	<i>Citrus Community College District, Glendora, Los Angeles</i>	<i>Advice</i>
CA	<i>College of the Sequoias Community College District, Visalia, Tulare and Kings</i>	<i>Advice</i>
CA	<i>Commerce, City of, Commerce, Los Angeles</i>	<i>Advice</i>
CA	<i>Compton Community College District, Compton, Los</i>	<i>Advice</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
CA	<i>Angeles Contra Costa, County of, Martinez, Contra Costa</i>	<i>Advice</i>
CA	<i>Copper Mountain Community College District, Joshua Tree, San Bernardino</i>	<i>Advice</i>
CA	<i>Dublin Unified School District, Dublin, Alameda</i>	<i>Advice</i>
CA	<i>Eastside Union School District, Lancaster, Los Angeles</i>	<i>Advice</i>
CA	<i>El Camino College District, Torrance, Los Angeles</i>	<i>Advice</i>
CA	<i>El Monte City School District, El Monte, Los Angeles</i>	<i>Advice</i>
CA	<i>El Segundo, City of, El Segundo, Los Angeles</i>	<i>Advice</i>
CA	<i>Emeryville, City of, Emeryville, Alameda</i>	<i>Advice</i>
CA	<i>Excel Academy Charter School, Irvine, Orange</i>	<i>Advice</i>
CA	<i>Fall River Joint Unified School District, Burney, Shasta</i>	<i>Advice</i>
CA	<i>Foothill-DeAnza Community College District, Los Altos Hills, Santa Clara</i>	<i>Advice</i>
CA	<i>Fresno, City of, Fresno, Fresno</i>	<i>Advice</i>
CA	<i>Fresno, County of, Fresno, Fresno</i>	<i>Advice</i>
CA	<i>Gardena, City of, Gardena, Los Angeles</i>	<i>Advice</i>
CA	<i>Glenn, County of, Willows, Glenn</i>	<i>Advice</i>
CA	<i>Grossmont Union High School District, El Cajon, San</i>	<i>Advice</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
CA	<i>Diego Grossmont-Cuyamaca Community College District, El Cajon, San Diego</i>	<i>Advice</i>
CA	<i>Hughson Unified School District, Hughson, Stanislaus</i>	<i>Advice</i>
CA	<i>Huntington Beach, City of, Huntington Beach, Orange</i>	<i>Advice</i>
CA	<i>Kerman Unified School District, Kerman, Fresno</i>	<i>Advice</i>
CA	<i>Kern County Water Agency, Bakersfield, Kern</i>	<i>Advice</i>
CA	<i>Kern, County of, Bakersfield, Kern</i>	<i>Advice</i>
CA	<i>Kings, County of, Hanford, Kings</i>	<i>Advice</i>
CA	<i>Lake Tahoe Community College District, South Lake Tahoe, El Dorado</i>	<i>Advice</i>
CA	<i>Lake, County of, Lakeport, Lake</i>	<i>Advice</i>
CA	<i>Las Lomas Elementary School District, Menlo Park, San Mateo</i>	<i>Advice</i>
CA	<i>Lathrop, City of, Lathrop, San Joaquin</i>	<i>Advice</i>
CA	<i>Livermore, City of, Livermore, Alameda</i>	<i>Advice</i>
CA	<i>Lompoc, City of, Lompoc, Santa Barbara</i>	<i>Advice</i>
CA	<i>Long Beach, City of, Long Beach, Los Angeles</i>	<i>Advice</i>
CA	<i>Los Angeles Community College District, Los Angeles, Los Angeles</i>	<i>Advice</i>
CA	<i>Los Angeles County Metropolitan Transportation</i>	<i>Advice</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
CA	<i>Authority, Los Angeles, Los Angeles Los Angeles Unified School District, Los Angeles, Los Angeles</i>	<i>Advice</i>
CA	<i>Los Angeles, City of, Los Angeles, Los Angeles</i>	<i>Advice</i>
CA	<i>Los Angeles, County of, Los Angeles, Los Angeles</i>	<i>Advice</i>
CA	<i>Los Angeles, Port of, San Pedro, Los Angeles</i>	<i>Advice</i>
CA	<i>Madera County Transportation Commission, Madera, Madera</i>	<i>Advice</i>
CA	<i>Madera, County of, Madera, Madera</i>	<i>Advice</i>
CA	<i>Magnolia Public Schools, Los Angeles, Los Angeles</i>	<i>Advice</i>
CA	<i>Manhattan Beach, City of, Manhattan Beach, Los Angeles</i>	<i>Advice</i>
CA	<i>Mendocino, County of, Ukiah, Mendocino</i>	<i>Advice</i>
CA	<i>Menlo Park Fire Protection District, Menlo Park, San Mateo</i>	<i>Advice</i>
CA	<i>Merced City School District, Merced, Merced</i>	<i>Advice</i>
CA	<i>Merced County Association of Governments, Merced, Merced</i>	<i>Advice</i>
CA	<i>Merced, County of, Merced, Merced</i>	<i>Advice</i>
CA	<i>Millbrae School District, Millbrae, San Mateo</i>	<i>Advice</i>
CA	<i>Milpitas Unified School District, Milpitas, Santa Clara</i>	<i>Advice</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
CA	<i>Mira Costa Community College District, Oceanside, San Diego</i>	<i>Advice</i>
CA	<i>Mission Viejo, City of, Mission Viejo, Orange</i>	<i>Advice</i>
CA	<i>Mono, County of, Bridgeport, Mono</i>	<i>Advice</i>
CA	<i>Montecito Union School District, Santa Barbara, Santa Barbara</i>	<i>Advice</i>
CA	<i>Monterey Peninsula Community College District, Monterey, Monterey</i>	<i>Advice</i>
CA	<i>Monterey, County of, Salinas, Monterey</i>	<i>Advice</i>
CA	<i>Monterey-Salinas Transit District, Monterey, Monterey</i>	<i>Advice</i>
CA	<i>Morgan Hill Unified School District, Morgan Hill, Santa Clara</i>	<i>Advice</i>
CA	<i>Mountain Empire Unified School District, Pine Valley, San Diego</i>	<i>Advice</i>
CA	<i>Mountain View School District, Ontario, San Bernardino</i>	<i>Advice</i>
CA	<i>Napa Valley Transportation Authority, Napa, Napa</i>	<i>Advice</i>
CA	<i>Napa Valley Unified School District, Napa, Napa</i>	<i>Advice</i>
CA	<i>Napa, County of, Napa, Napa</i>	<i>Advice</i>
CA	<i>Nevada, County of, Nevada, Nevada</i>	<i>Advice</i>
CA	<i>Newark Unified School District, Newark, Alameda</i>	<i>Advice</i>
CA	<i>North Orange Community College District, Anaheim,</i>	<i>Advice</i>



**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
CA	<i>Los Angeles, Orange Oakland, City of, Oakland, Alameda</i>	<i>Advice</i>
CA	<i>Oakley Union Elementary School District, Oakley, Contra Costa</i>	<i>Advice</i>
CA	<i>Oceanside Unified School District, Oceanside, San Diego</i>	<i>Advice</i>
AZ	<i>Odyssey Preparatory Academy, Buckeye, Maricopa</i>	<i>Advice</i>
CA	<i>Orange County Transportation Authority, Orange, Orange</i>	<i>Advice</i>
CA	<i>Orange, County of, Santa Ana, Orange</i>	<i>Advice</i>
OR	<i>Oregon, State of / Oregon Facilities Authority, Salem, Marion, Polk</i>	<i>Advice</i>
CA	<i>Paramount, City of, Paramount, Los Angeles</i>	<i>Advice</i>
CA	<i>Piedmont Unified School District, Piedmont, Alameda</i>	<i>Advice</i>
CA	<i>Pittsburg Unified School District, Pittsburg, Contra Costa</i>	<i>Advice</i>
CA	<i>Plumas County, Quincy, Plumas</i>	<i>Advice</i>
CA	<i>Redlands, City of, Redlands, San Bernardino</i>	<i>Advice</i>
CA	<i>Redwood City Elementary School District, Redwood, San Mateo</i>	<i>Advice</i>
CA	<i>Redwoods Community College District, Eureka, Humboldt</i>	<i>Advice</i>
CA	<i>Rialto Unified School District,</i>	<i>Advice</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
CA	<i>Rialto, San Bernardino Riverside, City of, Riverside, Riverside</i>	<i>Advice</i>
CA	<i>San Benito Council of Governments, Hollister, San Benito</i>	<i>Advice</i>
CA	<i>San Benito, County of, Hollister, San Benito</i>	<i>Advice</i>
CA	<i>San Bernardino, County of, San Bernardino, San Bernardino</i>	<i>Advice</i>
CA	<i>San Diego Community College District, San Diego, San Diego</i>	<i>Advice</i>
CA	<i>San Diego Unified School District, San Diego, San Diego</i>	<i>Advice</i>
CA	<i>San Diego, City of, San Diego, San Diego</i>	<i>Advice</i>
CA	<i>San Francisco Community College District, San Francisco, San Francisco</i>	<i>Advice</i>
CA	<i>San Francisco, City and County, San Francisco, San Francisco</i>	<i>Advice</i>
CA	<i>San Joaquin, County of, Stockton, San Joaquin</i>	<i>Advice</i>
CA	<i>San Jose Unified School District, San Jose, Santa Clara</i>	<i>Advice</i>
CA	<i>San Leandro, City of, San Leandro, Alameda</i>	<i>Advice</i>
CA	<i>San Luis Obispo Community College District, San Luis Obispo, San Luis Obispo</i>	<i>Advice</i>
CA	<i>San Luis Obispo Regional Transit Authority, San Luis Obispo, San Luis Obispo</i>	<i>Advice</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
CA	<i>San Luis Obispo, County of, San Luis Obispo, San Luis Obispo</i>	<i>Advice</i>
CA	<i>Santa Barbara Community College District, Santa Barbara, Santa Barbara</i>	<i>Advice</i>
CA	<i>Santa Barbara County Association of Governments, Santa Barbara, Santa Barbara</i>	<i>Advice</i>
CA	<i>Santa Barbara Unified School District, Santa Barbara, Santa Barbara</i>	<i>Advice</i>
CA	<i>Santa Barbara, City of, Santa Barbara, Santa Barbara</i>	<i>Advice</i>
CA	<i>Santa Barbara, County of, Santa Barbara, Santa Barbara</i>	<i>Advice</i>
CA	<i>Santa Clara, City of, Santa Clara, Santa Clara</i>	<i>Advice</i>
CA	<i>Santa Clara, County of, San Jose, Santa Clara</i>	<i>Advice</i>
CA	<i>Santa Cruz County Regional Transportation Commission, Santa Cruz, Santa Cruz</i>	<i>Advice</i>
CA	<i>Santa Maria, City of, Santa Maria, Santa Barbara</i>	<i>Advice</i>
CA	<i>Santa Monica Community College District, Santa Monica, Los Angeles</i>	<i>Advice</i>
CA	<i>Santee, City of, Santee, San Diego</i>	<i>Advice</i>
CA	<i>Solano, County of, Fairfield, Solano</i>	<i>Advice</i>
CA	<i>Solvang School District, Solvang, Santa Barbara</i>	<i>Advice</i>
CA	<i>Sonoma County Transportation Authority,</i>	<i>Advice</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
CA	<i>Santa Rosa, Sonoma Sonoma County Water Agency, Santa Rosa, Sonoma</i>	<i>Advice</i>
CA	<i>Sonoma, County of, Santa Rosa, Sonoma</i>	<i>Advice</i>
CA	<i>South Bayside Waste Management, San Carlos, San Mateo</i>	<i>Advice</i>
CA	<i>Southwestern Community College District, Chula Vista, San Diego</i>	<i>Advice</i>
CA	<i>Stanislaus Council of Governments, Modesto, Stanislaus</i>	<i>Advice</i>
CA	<i>Stanislaus, County of, Modesto, Stanislaus</i>	<i>Advice</i>
CA	<i>State Center Community College District, Oakhurst, Fresno</i>	<i>Advice</i>
CA	<i>Stockton, City of, Stockton, San Joaquin</i>	<i>Advice</i>
CA	<i>Sutter Butte Flood Control Agency, Yuba City, Sutter, Butte</i>	<i>Advice</i>
CA	<i>Sutter, County of, Yuba City, Sutter</i>	<i>Advice</i>
CA	<i>Sweetwater Union High School District, Chula Vista, San Diego</i>	<i>Advice</i>
CA	<i>Tehama, County of, Red Bluff, Tehama</i>	<i>Advice</i>
CA	<i>Transportation Agency of Monterey County, Salinas, Monterey</i>	<i>Advice</i>
CA	<i>Tulare County Association of Governments, Visalia, Tulare</i>	<i>Advice</i>
CA	<i>Tulare, County of, Visalia,</i>	<i>Advice</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
CA	<i>Tulare United Water Conservation District, Santa Paula, Ventura</i>	<i>Advice</i>
CA	<i>Vacaville, City of, Vacaville, Solano</i>	<i>Advice</i>
CA	<i>Ventura, County of, Ventura, Ventura</i>	<i>Advice</i>
CA	<i>Washington Unified School District, West Sacramento, Yolo</i>	<i>Advice</i>
CA	<i>Washington Union School District, Salinas, Monterey</i>	<i>Advice</i>
WA	<i>Washington, State of, Olympia, Thurston</i>	<i>Advice</i>
CA	<i>West Contra Costa Unified School District, Richmond, Contra Costa</i>	<i>Advice</i>
CA	<i>West Sacramento, City of, West Sacramento, Yolo</i>	<i>Advice</i>
CA	<i>Yolo County, Woodland, Yolo</i>	<i>Advice</i>

**C. Ballot-Approved Offerings**

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>None</i>		

# FORM G-37



**Signature:** electronic

**Date:** *Feb 23, 2024*

**Name (must be officer of regulated entity):** *Kimberly McManus*

**Address:** *2054 University Avenue, Berkeley, CA 94704*

**Phone:** *973-618-9506*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)