

**Name of Regulated Entity:** *Therber, Brock & Associates, LLC*

**Report Period:** *First Quarter of 2023*

**I. CONTRIBUTIONS made to officials of a municipal entity (list by state)**

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)
<i>None</i>		<p>If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.</p>

**II. PAYMENTS made to political parties of states or political subdivisions (list by state)**

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
<i>None</i>		

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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*None*

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**

**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>None</i>		

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
<i>IN</i>	<i>Town of Hartsville, Hartsville, Bartholomew</i>	<i>Advice</i>
<i>IN</i>	<i>Town of Clay City, Clay City, Clay</i>	<i>Advice</i>
<i>IN</i>	<i>Town of Milton, Milton, Wayne</i>	<i>Advice</i>
<i>IN</i>	<i>Town of New Richmond, New Richmond, Montgomery</i>	<i>Advice</i>
<i>IN</i>	<i>Shoals Public Library, Shoals, Martin</i>	<i>Advice</i>
<i>IN</i>	<i>Bloomfield School District, Bloomfield, Greene</i>	<i>Advice</i>
<i>IN</i>	<i>Clarksville Community School Corporation, Clarksville, Clarke</i>	<i>Advice</i>
<i>IN</i>	<i>Jay School Corporation, Portland, Jay &amp; Blackford</i>	<i>Advice</i>
<i>IN</i>	<i>Flat Rock-Hawcreek School Corporation, Hope, Bartholomew</i>	<i>Advice</i>
<i>IN</i>	<i>Frankton-Lapel Community Schools, Anderson, Madison</i>	<i>Advice</i>
<i>IN</i>	<i>Greencastle Community School Corporation, Greencastle, Putnam</i>	<i>Advice</i>
<i>IN</i>	<i>Lafayette School Corporation,</i>	<i>Advice</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
IN	<i>Lafayette, Tippecanoe Linton-Stockton School Corporation, Linton, Greene</i>	Advice
IN	<i>Nineveh-Hensley-Jackson United School District, Trafalgar, Johnson &amp; Morgan</i>	Advice
IN	<i>Rossville Consolidated School District, Rossville, Clinton</i>	Advice
IN	<i>Scott County School District 1, Austin, Scott</i>	Advice
IN	<i>Scott County School District 2, Scottsburg, Scott</i>	Advice
IN	<i>Seymour Community Schools, Seymour, Jackson</i>	Advice
IN	<i>Tippecanoe School Corporation, Lafayette, Tippecanoe</i>	Advice
IN	<i>Western School Corporation, Russiaville, Howard</i>	Advice
IN	<i>Whitko Community School Corporation, Larwill, Kosciusko and Whitley</i>	Advice
IN	<i>Ben Davis Conservancy District, Indianapolis, Marion</i>	Advice
IN	<i>City of Jonesboro, Jonesboro, Grant</i>	Advice
IN	<i>Daviess County Rural Water, Washington, Daviess</i>	Advice
IN	<i>Dearborn County RSD, Lawrenceburg, Dearborn</i>	Advice
IN	<i>Jackson County RSD, Freetown, Jackson</i>	Advice
IN	<i>L&amp;M Regional Water District, Losantville, Randolph</i>	Advice
IN	<i>Norwood RSD, Huntington, Huntington</i>	Advice
IN	<i>Paxton Water, Paxton,</i>	Advice

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IN	Sullivan Reelsville Water Authority, Greencastle, Putnam	Advice
IN	Steuben Lakes Regional Waste District, Angola, Steuben	Advice
IN	Taylor RSD, Hemlock, Howard	Advice
IN	Thralls Station Regional Sewer District, St. Mary of the Woods, Vermillion	Advice
IN	Town of Advance, Advance, Boone	Advice
IN	Town of Andrews, Andrews, Huntington	Advice
IN	Town of Arcadia, Arcadia, Hamilton	Advice
IN	Town of Atlanta, Atlanta, Hamilton	Advice
IN	Town of Brooklyn, Brooklyn, Morgan	Advice
IN	Town of Crothersville, Crothersville, Jackson	Advice
IN	Town of Dana, Dana, Vermillion	Advice
IN	Town of Dayton, Dayton, Tippecanoe	Advice
IN	Town of Elizabethtown, Elizabethtown, Bartholomew	Advice
IN	Town of Fountain City, Fountain City, Wayne	Advice
IN	Town of Greentown, Greentown, Howard	Advice
IN	Town of Hymera, Hymera, Sullivan	Advice
IN	Town of Ingalls, Ingalls, Madison	Advice
IN	Town of Jamestown,	Advice

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IN	<i>Jamestown, Boone Town of Kingman, Kingman, Fountain</i>	<i>Advice</i>
IN	<i>Town of Knightstown, Knightstown, Henry</i>	<i>Advice</i>
IN	<i>Town of LaCrosse, LaCrosse, LaPorte</i>	<i>Advice</i>
IN	<i>Town of Leavenworth, Leavenworth, Crawford</i>	<i>Advice</i>
IN	<i>Town of Lewisville, Lewisville, Henry</i>	<i>Advice</i>
IN	<i>Town of Linden, Linden, Montgomery</i>	<i>Advice</i>
IN	<i>Town of Losantville, Losantville, Randolph</i>	<i>Advice</i>
IN	<i>Town of Marshall, Marshall, Parke</i>	<i>Advice</i>
IN	<i>Town of Modoc, Modoc, Randolph</i>	<i>Advice</i>
IN	<i>Town of Monrovia, Monrovia, Morgan</i>	<i>Advice</i>
IN	<i>Town of Moores Hill, Moores Hill, Dearborn</i>	<i>Advice</i>
IN	<i>Town of Morgantown, Morgantown, Morgan</i>	<i>Advice</i>
IN	<i>Town of New Richmond, New Richmond, Montgomery</i>	<i>Advice</i>
IN	<i>Town of Oolitic, Oolitic, Lawrence</i>	<i>Advice</i>
IN	<i>Town of Princes Lakes, Princes Lakes, Johnson</i>	<i>Advice</i>
IN	<i>Town of Russellville, Russellville, Putnam</i>	<i>Advice</i>
IN	<i>Town of Sandborn, Sandborn, Knox</i>	<i>Advice</i>
IN	<i>Town of Seelyville, Seelyville, Vigo</i>	<i>Advice</i>

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IN	Town of Shirley, Shirley, Hancock & Henry	Advice
IN	Town of Shoals, Shoals, Martin	Advice
IN	Town of St. Joe, St. Joe, DeKalb	Advice
IN	Town of St. Paul, St. Paul, Decatur	Advice
IN	Town of Sunman, Sunman, Ripley	Advice
IN	Town of Sweetser, Sweetser, Grant	Advice
IN	Town of Thorntown, Thorntown, Howard	Advice
IN	Town of Universal, Universal, Vermillion	Advice
IN	Town of West College Corner, West College Corner, Union	Advice
IN	Town of West Terre Haute, West Terre Haute, Vigo	Advice
IN	Town of Williamsport, Williamsport, Fountain	Advice
IN	Town of Windfall, Windfall, Tipton	Advice
IN	Van Bibber Lake Conservancy District, Greencastle, Putnam	Advice
IN	West Lakes Regional Sewer District, West Lakes, Noble	Advice
IN	Town of Kirklin, Kirklin, Clinton	Advice
IN	Town of Swayzee, Swayzee, Grant	Advice
IN	Town of Laurel, Laurel, Franklin	Advice
IN	Town of West Lebanon, West Lebanon, Warren	Advice
IN	Valley Rural Water Corp.,	Advice

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<i>IN</i>	<i>Dearborn</i>	<i>Advice</i>
<i>IN</i>	<i>North Shelby Co. RSD, Shelby</i>	<i>Advice</i>
<i>IN</i>	<i>Town of Lynn, Lynn, Randolph</i>	<i>Advice</i>
<i>IN</i>	<i>St. Bernice Water Authority, St. Bernice, Vermillion</i>	<i>Advice</i>
<i>IN</i>	<i>Town of Fairland, Fairland, Shelby</i>	<i>Advice</i>

**C. Ballot-Approved Offerings**

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>None</i>		



# FORM G-37



**Signature:** electronic

**Date:** *May 15, 2023*

**Name (must be officer of regulated entity):** *Lonnie Therber*

**Address:** *11550 N. Meridian St., Carmel, IN 46032*

**Phone:** *317-637-9572*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)