

Name of Regulated Entity: *RBC Capital Markets, LLC*

Report Period: *Third Quarter of 2021*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)
		If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
CO	<i>Friends of Weld RE-4 Schools , Windsor, Larimer and Weld, CO,</i>	<i>\$5,000.00 contribution by Dealer on 07/14/2021</i>
CO	<i>IAM 27J, Brighton, Adam and Weld, CO,</i>	<i>\$20,000.00 contribution by Dealer on 08/10/2021</i>
CO	<i>YES to Education Manitou Springs, Manitou Springs, El Paso, CO,</i>	<i>\$3,000.00 contribution by Dealer on 08/10/2021</i>
CO	<i>NextGen Moffat County Schools, Craig, Moffat, CO,</i>	<i>\$1,800.00 contribution by Dealer on 08/31/2021</i>
CO	<i>Citizens for D51 Schools, Grand Junction, Mesa, CO,</i>	<i>\$5,000.00 contribution by Dealer on 08/30/2021</i>
CO	<i>Friends of District 11 COS, Colorado Springs, El Paso, CO,</i>	<i>\$10,000.00 contribution by Dealer on 09/07/2021</i>
CO	<i>Yes to Education Plateau Valley, Mesa, Mesa, CO,</i>	<i>\$750.00 contribution by Dealer on 09/21/2021</i>

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
TX	Deer Park City-Texas, Harris	Negotiated underwriting
TX	Del Rio City-Texas, Val Verde	Negotiated underwriting
TX	Denton Co (Lake Dallas) ISD, Denton	Negotiated underwriting
TX	Fort Bend Co MUD #156, Fort Bend	Negotiated underwriting
TX	Fort Bend-Waller Cos MUD #2, Fort Bend/Waller	Negotiated underwriting
TX	Harris Co Cult Ed Facs Fin Corp, Harris	Negotiated underwriting
TX	Harris Co MUD #368, Harris	Negotiated underwriting
TX	Harris Co MUD #393, Harris	Negotiated underwriting
TX	Harris Co Water Ctl & Imp Dt #119, Harris	Negotiated underwriting
TX	Harris-Montgomery Cos Management Dt, Harris/Montgomery	Negotiated underwriting
TX	Houston City-Texas, Houston, Harris	Negotiated underwriting
TX	Iowa Colony Development Auth, Brazoria	Negotiated underwriting
TX	Lubbock Co (Frenship) ISD, Lubbock/Hockley	Negotiated underwriting
TX	Montgomery Co MUD #83, Montgomery	Negotiated underwriting
TX	Montgomery Co MUD #84, Montgomery	Negotiated underwriting
TX	Morton Road MUD, Harris	Negotiated underwriting
TX	North Central Texas Hsg Fin Corp, State Authority	Negotiated underwriting
TX	Northwest Harris Co MUD #19, Northwest	Negotiated underwriting
TX	Nueces Co (Corpus Christi) ISD, Nueces	Negotiated underwriting
TX	Pecos-Barstow-Toyah ISD, Reeves/Ward	Negotiated underwriting

A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>TX</i>	<i>Port Freeport-Texas, Brazoria</i>	<i>Negotiated underwriting</i>
<i>TX</i>	<i>San Antonio City-Texas, San Antonio, Bexar</i>	<i>Negotiated underwriting</i>
<i>TX</i>	<i>Tarrant Co (Azle) ISD, Tarrant</i>	<i>Negotiated underwriting</i>
<i>TX</i>	<i>Texas Dept of Hsg & Comm Affairs, Travis</i>	<i>Negotiated underwriting</i>
<i>TX</i>	<i>Texas Water Development Board, State Authority</i>	<i>Negotiated underwriting</i>
<i>TX</i>	<i>Viridian Municipal Management Dt, Tarrant</i>	<i>Negotiated underwriting</i>
<i>TX</i>	<i>Westwood Magnolia Parkway Imp Dt, Montgomery</i>	<i>Negotiated underwriting</i>
<i>TX</i>	<i>Williamson Co (Hutto) ISD, Williamson</i>	<i>Negotiated underwriting</i>
<i>UT</i>	<i>Salt Lake City-Utah, Salt Lake City, Salt Lake</i>	<i>Negotiated underwriting</i>
<i>UT</i>	<i>Utah Co-Utah, Utah</i>	<i>Negotiated underwriting</i>
<i>UT</i>	<i>Vineyard Redevelopment Agency, Utah</i>	<i>Negotiated underwriting</i>
<i>WI</i>	<i>Wisconsin, Dane</i>	<i>Negotiated underwriting</i>
<i>WI</i>	<i>Wisconsin Public Finance Auth, Dane</i>	<i>Negotiated underwriting</i>
<i>WY</i>	<i>Wyoming Community Dev Authority, State Authority</i>	<i>Negotiated underwriting</i>
<i>CO</i>	<i>Broomfield City and Co-Colorado, Broomfield City and Co-Colorado, Boulder</i>	<i>Financial advisor</i>
<i>FL</i>	<i>Cape Coral City-Florida, Cape Coral city, Lee</i>	<i>Financial advisor</i>
<i>FL</i>	<i>Lakeland City-Florida, Lakeland City, Polk</i>	<i>Financial advisor</i>
<i>FL</i>	<i>Miami Beach City-Florida, Miami Beach City, Dade</i>	<i>Financial advisor</i>
<i>FL</i>	<i>Miami Beach Health Facs Auth, Miami Beach City, Dade</i>	<i>Financial advisor</i>
<i>NM</i>	<i>Albuquerque Bernalilio Co Wtr Util Auth, Albuquerque</i>	<i>Financial advisor</i>
<i>NM</i>	<i>Aztec Municipal School</i>	<i>Financial advisor</i>

A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
NM	District No. 2, San Juan Bloomfield School District No. 6, City of Broomfield, San Juan County	Financial advisor
NM	Cuba Independent School District No. 20, City of Cuba, Sandoval County	Financial advisor
NM	Gadsden Independent School District No. 16, City of Sunland Park, Dona Ana County	Financial advisor
NM	Jal Public Schools, City of Jal, Lea County	Financial advisor
NM	Lovington Municipal School District No. 1, City of Lovington, Lea County	Financial advisor
NM	Pojoaque Valley Public School District No. 1, City of Santa Fe, Santa Fe County	Financial advisor
NM	Quemado Independent School District No. 2, City of Quemado, Catron County	Financial advisor
NM	Santa Fe Community College District, City of Santa Fe, Santa Fe County	Financial advisor
NM	Tatum Municipal School District No. 28, City of Tatum, Lea County	Financial advisor
TX	Beaumont City-Texas, Beaumont city, Jefferson	Financial advisor
TX	Bexar Co-Texas, Bexar	Financial advisor
TX	City of Lubbock, Texas, City of Lubbock, Lubbock County	Financial advisor
TX	College of the Mainland, Galveston	Financial advisor
TX	Dallas ISD, Dallas	Financial advisor
TX	Edna-Texas, Jackson	Financial advisor
TX	Fairfield City-Texas, Fairfield, Freestone	Financial advisor
TX	Gonzales City-Texas, Gonzales, Gonzales	Financial advisor
TX	Harris Co MUD #500, Harris	Financial advisor

A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
TX	Hays CISD, Hays/Caldwell/Travis	Financial advisor
TX	Hidalgo Co (Mercedes) ISD, Hidalgo	Financial advisor
TX	Hidalgo Co (Pharr-San Juan-Alamo) ISD, Hidalgo	Financial advisor
TX	Hood Co (Lipan) ISD, Hood/Erath/Palo Pinto/Parker	Financial advisor
TX	Jefferson Co (Nederland) ISD, Jefferson	Financial advisor
TX	La Porte City-Texas, La Porte City, Harris	Financial advisor
TX	Lubbock City-Texas, Lubbock City, Lubbock	Financial advisor
TX	Odessa College Dt, Ector	Financial advisor
TX	Pflugerville City-Texas, Travis	Financial advisor
TX	Stafford Economic Dev Corp, Fort Bend/ Harris	Financial advisor
TX	Travis Co (Austin) ISD, Travis	Financial advisor
TX	Victoria City-Texas, Victoria city, Victoria	Financial advisor
CO	Colorado Hsg & Fin Auth (CHFA), Denver	Remarketing agent
IL	Illinois Finance Authority, Cook	Remarketing agent
CA	Brentwood Infrastructure Financing Authority, Contra Costa	Private placement
CA	California Housing Finance Agency, State	Private placement
CA	City of Oxnard Financing Authority, Oxnard, Ventura	Private placement
FL	Florida Housing Finance Corporation, State	Private placement
FL	Housing Finance Authority of Miami-Dade County, Florida, Miami-Dade County	Private placement
FL	School District of Monroe County, Florida, Monroe	Private placement
NH	New Hampshire Health and	Private placement

A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
	<i>Education Facilities Authority, State</i>	
<i>NY</i>	<i>Nassau County Industrial Development Agency, Nassau</i>	<i>Private placement</i>
<i>FL</i>	<i>Miami Lakes-Florida, Miami Lakes, Miami-Dade</i>	<i>Negotiated underwriting</i>
<i>FL</i>	<i>Orange Co Housing Finance Auth, Orange</i>	<i>Negotiated underwriting</i>
<i>FL</i>	<i>Palm Beach Co Housing Fin Au, Palm Beach</i>	<i>Negotiated underwriting</i>
<i>GA</i>	<i>Atlanta City-Georgia, De Kalb/Fulton</i>	<i>Negotiated underwriting</i>
<i>HI</i>	<i>Hawaii, State</i>	<i>Negotiated underwriting</i>
<i>IA</i>	<i>Iowa Finance Authority, State Authority</i>	<i>Negotiated underwriting</i>
<i>IL</i>	<i>Illinois Finance Authority, Cook</i>	<i>Negotiated underwriting</i>
<i>IL</i>	<i>Illinois Housing Dev Authority, Cook</i>	<i>Negotiated underwriting</i>
<i>IL</i>	<i>Illinois Sports Facilities Auth, State Authority</i>	<i>Negotiated underwriting</i>
<i>IN</i>	<i>Indiana Finance Authority, Marion</i>	<i>Negotiated underwriting</i>
<i>MA</i>	<i>Massachusetts Housing Fin Agcy, Suffolk</i>	<i>Negotiated underwriting</i>
<i>MD</i>	<i>Maryland Dept Hsg & Com Dev (DHCD), Prince Georges</i>	<i>Negotiated underwriting</i>
<i>ME</i>	<i>Maine State Housing Authority, State Authority</i>	<i>Negotiated underwriting</i>
<i>MN</i>	<i>Minnesota Housing Fin Agency, Ramsey</i>	<i>Negotiated underwriting</i>
<i>MN</i>	<i>University of Minnesota Regents, Hennepin</i>	<i>Negotiated underwriting</i>
<i>MO</i>	<i>Missouri Hlth & Ed Facs Authority, State Authority</i>	<i>Negotiated underwriting</i>
<i>MO</i>	<i>Missouri Housing Dev Commission, State Authority</i>	<i>Negotiated underwriting</i>
<i>MT</i>	<i>Montana Board of Housing, State Authority</i>	<i>Negotiated underwriting</i>
<i>NC</i>	<i>North Carolina Housing Fin Agcy, State Authority</i>	<i>Negotiated underwriting</i>
<i>NH</i>	<i>New Hampshire Hlth & Ed</i>	<i>Negotiated underwriting</i>

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NH	<i>Facs Auth, Merrimack New Hampshire Housing Fin Auth, State Authority</i>	<i>Negotiated underwriting</i>
NJ	<i>Bergen Co (Fair Lawn) SD BOE, Bergen</i>	<i>Negotiated underwriting</i>
NJ	<i>Bergen Co (Wyckoff) TSD BOE, Bergen</i>	<i>Negotiated underwriting</i>
NJ	<i>Bloomfield Twp-New Jersey, Essex</i>	<i>Negotiated underwriting</i>
NJ	<i>Brick Twp-New Jersey, Ocean</i>	<i>Negotiated underwriting</i>
NJ	<i>Livingston Twp-New Jersey, Essex</i>	<i>Negotiated underwriting</i>
NJ	<i>New Jersey Hlth Care Fac Fin Au, Mercer</i>	<i>Negotiated underwriting</i>
NJ	<i>Salem Co Improvement Auth, Salem</i>	<i>Negotiated underwriting</i>
NJ	<i>Seaside Heights Boro-New Jersey, Ocean</i>	<i>Negotiated underwriting</i>
NJ	<i>Somerset Hills SD BOE, Somerset</i>	<i>Negotiated underwriting</i>
NJ	<i>Sussex Co Muni Utilities Auth, Sussex</i>	<i>Negotiated underwriting</i>
NM	<i>Lea Co (Eunice) PSD #8, Lea</i>	<i>Negotiated underwriting</i>
NM	<i>Lea Co (Hobbs) MSD #16, Lea</i>	<i>Negotiated underwriting</i>
NM	<i>New Mexico Finance Auth (NMFA), Santa Fe</i>	<i>Negotiated underwriting</i>
NY	<i>Long Island Power Authority, Nassau</i>	<i>Negotiated underwriting</i>
NY	<i>New York City-New York, New York/Bronx/Kings</i>	<i>Negotiated underwriting</i>
NY	<i>NYC Housing Dev Corp, New York/Bronx/Kings</i>	<i>Negotiated underwriting</i>
NY	<i>NYC Municipal Water Fin Auth, Kings</i>	<i>Negotiated underwriting</i>
NY	<i>NYC Transitional Finance Auth, Kings</i>	<i>Negotiated underwriting</i>
NY	<i>NYS Bridge Authority, State Authority</i>	<i>Negotiated underwriting</i>
NY	<i>NYS Dorm Authority, State Authority</i>	<i>Negotiated underwriting</i>

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NY	NYS Housing Fin-Mortgage Agcy, Kings	Negotiated underwriting
NY	Triborough Bridge & Tunnel Auth, State Authority	Negotiated underwriting
NY	Upper Mohawk Valley Mem Aud Auth, Oneida	Negotiated underwriting
OH	Cuyahoga Co (Westlake City) SD, Cuyahoga	Negotiated underwriting
OH	Hamilton Co (Indian Hill) EVSD, Hamilton	Negotiated underwriting
OH	Ohio, Franklin	Negotiated underwriting
OH	Ohio State University, College or University	Negotiated underwriting
OK	Tulsa Trustee Airport Imp Trust, Osage/Tulsa	Negotiated underwriting
OR	Oregon Hsg & Comm Svcs Dept, Marion	Negotiated underwriting
PA	Ambridge Boro-Pennsylvania, Beaver	Negotiated underwriting
PA	Baden Boro Municipal Auth, Beaver	Negotiated underwriting
PA	Baden-Pennsylvania, Beaver	Negotiated underwriting
PA	Berks Co (Wyomissing) ASD, Berks	Negotiated underwriting
PA	Chester Co (Coatesville) ASD, Chester	Negotiated underwriting
PA	Delaware Co (Ridley) SD, Delaware	Negotiated underwriting
PA	Delaware Co (Upper Darby) SD, Delaware	Negotiated underwriting
PA	Ephrata Borough Authority, Lancaster	Negotiated underwriting
PA	Erie Co (North East) SD, Erie	Negotiated underwriting
PA	Fayette Co (Brownsville) ASD, Fayette/Washington	Negotiated underwriting
PA	German Twp Sewer Auth, Fayette	Negotiated underwriting
PA	Kennett Square-Pennsylvania, Chester	Negotiated underwriting
PA	Lackawanna Co (Old Forge) SD, Lackawanna	Negotiated underwriting

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PA	Lancaster Co (Manheim) CSD, Lancaster	Negotiated underwriting
PA	Lebanon Co (East Lebanon) SD, Lebanon	Negotiated underwriting
PA	Lebanon-Pennsylvania, Lebanon	Negotiated underwriting
PA	Lehigh Co (Salisbury) TSD, Lehigh	Negotiated underwriting
PA	Luzerne Twp Sewage Auth, Luzerne	Negotiated underwriting
PA	Lycoming Co (East Lycoming) SD, Lycoming	Negotiated underwriting
PA	Montgomery Co (Pottstown) SD, Montgomery	Negotiated underwriting
PA	Mountaintop Area Jt Sanit Auth, Luzerne	Negotiated underwriting
PA	Pennsylvania Housing Fin Agcy (PHFA), Dauphin	Negotiated underwriting
PA	Upper Chichester-Pennsylvania, Delaware	Negotiated underwriting
PA	Wilkesburg Boro-Pennsylvania, Allegheny	Negotiated underwriting
RI	Rhode Island Hsg & Mtg Fin Corp, State Authority	Negotiated underwriting
TN	Tennessee Hsg Dev Agcy (THDA), Davidson	Negotiated underwriting
TX	Arlington Higher Ed Fin Corp, Tarrant	Negotiated underwriting
TX	Austin Community College Dt, Travis/Williamson/Hays/Bastrop	Negotiated underwriting
TX	Bastrop ISD, Bastrop	Negotiated underwriting
TX	Bexar Co (Edgewood) ISD, Bexar	Negotiated underwriting
TX	Bexar Co (Southwest) ISD, Bexar	Negotiated underwriting
AK	Alaska International Airport Sys, State Authority	Negotiated underwriting
AL	Pell City Spec Care Facs Fin Au, St Clair	Negotiated underwriting
AZ	Glendale Industrial Dev Auth,	Negotiated underwriting

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	<i>Maricopa</i>	
AZ	<i>Maricopa Co Industrial Dev Auth, Maricopa</i>	<i>Negotiated underwriting</i>
AZ	<i>Tempe City-Arizona, Maricopa</i>	<i>Negotiated underwriting</i>
CA	<i>Antelope Valley Community Coll Dt, Los Angeles/Kern California, State</i>	<i>Negotiated underwriting</i>
CA	<i>California State Univ Trustees, Los Angeles</i>	<i>Negotiated underwriting</i>
CA	<i>California Statewide CDA (CSCDA), Contra Costa</i>	<i>Negotiated underwriting</i>
CA	<i>Commerce-California, Los Angeles</i>	<i>Negotiated underwriting</i>
CA	<i>Los Angeles Co (Castaic) USD, Los Angeles</i>	<i>Negotiated underwriting</i>
CA	<i>Los Angeles Co (Charter Oak) USD, Los Angeles</i>	<i>Negotiated underwriting</i>
CA	<i>Los Angeles Co (East Whittier) SD, Los Angeles</i>	<i>Negotiated underwriting</i>
CA	<i>Los Angeles Co (El Monte) UHSD, Los Angeles</i>	<i>Negotiated underwriting</i>
CA	<i>Los Angeles Co (Glendale) USD, Los Angeles</i>	<i>Negotiated underwriting</i>
CA	<i>Los Angeles Co (Los Nietos) SD, Los Angeles</i>	<i>Negotiated underwriting</i>
CA	<i>Los Angeles Co (Santa Monica-Malibu) USD, Los Angeles</i>	<i>Negotiated underwriting</i>
CA	<i>Los Angeles Co Schools, Los Angeles</i>	<i>Negotiated underwriting</i>
CA	<i>Mount San Antonio Comm College Dt, Los Angeles</i>	<i>Negotiated underwriting</i>
CA	<i>Napa Co (Napa Valley) USD, Napa</i>	<i>Negotiated underwriting</i>
CA	<i>Palomar Community Coll Dt, San Diego</i>	<i>Negotiated underwriting</i>
CA	<i>Sacramento Regional Transit Dt, Sacramento</i>	<i>Negotiated underwriting</i>
CA	<i>San Diego Co (La Mesa-Spring Valley) SD, San Diego</i>	<i>Negotiated underwriting</i>

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CA	<i>Santa Barbara Co (Hope) ESD, Santa Barbara</i>	<i>Negotiated underwriting</i>
CA	<i>Santa Clara Co (Campbell) UHSD, Santa Clara</i>	<i>Negotiated underwriting</i>
CA	<i>Solano Comm College Dt, Solano/Yolo</i>	<i>Negotiated underwriting</i>
CA	<i>Union Sanitary Dt Fin Auth, Alameda</i>	<i>Negotiated underwriting</i>
CO	<i>Adams-Arapahoe SD #28J, Adams/Arapahoe</i>	<i>Negotiated underwriting</i>
CO	<i>Aurora City-Colorado, Adams/Arapahoe</i>	<i>Negotiated underwriting</i>
CO	<i>Colorado Ed and Culture Fac Auth, Denver</i>	<i>Negotiated underwriting</i>
CO	<i>Colorado Hsg & Fin Auth (CHFA), Denver</i>	<i>Negotiated underwriting</i>
CO	<i>Eagle Co-Colorado, Eagle</i>	<i>Negotiated underwriting</i>
CO	<i>El Paso Co (Academy) SD #20, El Paso</i>	<i>Negotiated underwriting</i>
CO	<i>El Paso Co (Falcon) SD #49, El Paso</i>	<i>Negotiated underwriting</i>
CO	<i>El Paso Co (Harrison) SD #2, El Paso</i>	<i>Negotiated underwriting</i>
CO	<i>Woodmoor Wtr & Sanitation Dt #1, El Paso</i>	<i>Negotiated underwriting</i>
CT	<i>Connecticut Housing & Fin Auth (CHFA), Hartford</i>	<i>Negotiated underwriting</i>
FL	<i>Brevard Co Housing Finance Auth, Brevard</i>	<i>Negotiated underwriting</i>
FL	<i>Central Florida Expressway Au, Florida</i>	<i>Negotiated underwriting</i>
FL	<i>Florida Housing Finance Corp, State Authority</i>	<i>Negotiated underwriting</i>
FL	<i>Jacksonville City-Florida, Duval</i>	<i>Negotiated underwriting</i>
FL	<i>Jacksonville Electric Authority (JEA), Duval</i>	<i>Negotiated underwriting</i>
TX	<i>Brazoria Co (Alvin) ISD, Brazoria</i>	<i>Negotiated underwriting</i>
TX	<i>Brazoria Co MUD #19, Brazoria</i>	<i>Negotiated underwriting</i>
TX	<i>Collin Co (McKinney) ISD,</i>	<i>Negotiated underwriting</i>

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A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
	<i>Collin</i>	

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
CO	<i>State of Colorado Treasurers' Office, City of Denver, State</i>	<i>Advice</i>
NM	<i>Lordsburg Municipal School District, City of Lordsburg, Hidalgo County</i>	<i>Advice</i>
NM	<i>City of Gallup Utilities (Electric), City of Gallup, McKinley County</i>	<i>Advice</i>
TX	<i>Trail of the Lakes Municipal Utility District, City of Houston, Harris County</i>	<i>Advice</i>
AZ	<i>Pima County, Pima County</i>	<i>Advice</i>
TX	<i>Bland Independent School District, City of Merit, Hunt County</i>	<i>Advice</i>
TX	<i>Austin Independent School District, City of Austin, Travis County</i>	<i>Advice</i>
TX	<i>McAllen Independent School District, City of McAllen, Hidalgo County</i>	<i>Advice</i>
TX	<i>Calhoun County Navigation District/ / Industrial Development Authority, City of Port Lavaca, Calhoun County</i>	<i>Advice</i>
NM	<i>Quay County, Quay County</i>	<i>Advice</i>
NM	<i>Roosevelt County Special Hospital District, City of Portales, Roosevelt County</i>	<i>Advice</i>

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
CO	<i>Metro Wastewater Reclamation District, City of Denver, Denver County</i>	<i>Advice</i>
TX	<i>Harlandale Independent School District, City of San Antonio, Bexar County</i>	<i>Advice</i>
TX	<i>Liberty-Eylau Independent School District, City of Texarkana, Bowie County</i>	<i>Advice</i>
TX	<i>Montgomery County Municipal Utility District No. 101, City of Houston, Montgomery County</i>	<i>Advice</i>
TX	<i>Gateway Park Municipal Management District, City of El Campo, Wharton County</i>	<i>Advice</i>
NM	<i>Pojoague Valley School District, City of Santa Fe, Santa Fe County</i>	<i>Advice</i>
TX	<i>Port Arthur Independent School District, City of Port Arthur, Jefferson County</i>	<i>Advice</i>
NM	<i>City of Gallup Joint Utilities Department (Water), City of Gallup, McKinley County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 118, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Lone Star College System, City of The Woodlands, Harris, Montgomery and San Jacinto Counties</i>	<i>Advice</i>
TX	<i>City of Pflugerville, City of Pflugerville, Travis County</i>	<i>Advice</i>
TX	<i>Life School of Dallas, City of Dallas, Dallas County</i>	<i>Advice</i>
TX	<i>City of Mission, City of Mission, Hidalgo County</i>	<i>Advice</i>

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
TX	<i>Kaufman Independent School District, City of Kaufman, Kaufman County</i>	<i>Advice</i>
AZ	<i>University of Arizona/ Arizona Board of Regents, State Agency</i>	<i>Advice</i>
NM	<i>Carrizozo Municipal Schools, City of Carrizozo, Lincoln County</i>	<i>Advice</i>
TX	<i>Texas Public Finance Authority- Texas Windstorm Insurance Authority, City of Austin, Travis County</i>	<i>Advice</i>
FL	<i>Ocean Highway & Port Authority, City of Fernandina Beach, Nassau County</i>	<i>Advice</i>
NM	<i>Roswell Independent School District, City of Roswell, Chaves County</i>	<i>Advice</i>
TX	<i>City of Beaumont, City of Beaumont, Jefferson County</i>	<i>Advice</i>
TX	<i>City of Elgin, City of Elgin, Travis County</i>	<i>Advice</i>
NM	<i>Village of Los Ranchos de Albuquerque, Village of Los Ranchos de Albuquerque, Bernalillo County</i>	<i>Advice</i>
NM	<i>Central Consolidated Schools, City of Shiprock, San Juan County</i>	<i>Advice</i>
NM	<i>Rio Rancho Public Schools (No. 94), City of Rio Rancho, Sandoval County</i>	<i>Advice</i>
TX	<i>Community Independent School District, City of Neveda, Collin County</i>	<i>Advice</i>
WY	<i>Carbon County Specific Purpose Tax Joint Powers</i>	<i>Advice</i>

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	<i>Board, City of Rawlins, Carbon County</i>	
NM	<i>Artesia Public School District, City of Artesia, Eddy County</i>	<i>Advice</i>
TX	<i>San Benito Consolidated Independent School District, City of San Benito, Cameron County</i>	<i>Advice</i>
TX	<i>Kaufman County MUD No. 10 -, City of Dallas, Kaufman County</i>	<i>Advice</i>
AZ	<i>State of Arizona Department of Adminsitration - Issue specific, City of Phoenix, State</i>	<i>Advice</i>
FL	<i>City of Bradenton, City of Bradenton, Manatee County</i>	<i>Advice</i>
FL	<i>City of DeLand, City of Deland, Volusia County</i>	<i>Advice</i>
NM	<i>Texico Municpal School District, City of Texico, Curry County</i>	<i>Advice</i>
NM	<i>Bernalillo County, City of Albuquerque, Bernalillo County</i>	<i>Advice</i>
TX	<i>Bandera County, City of Bandera, Bandera County</i>	<i>Advice</i>
NM	<i>Bloomfield School District No. 6, City of Broonfield, San Juan County</i>	<i>Advice</i>
TX	<i>Town of Fulton, City of Fulton, Aransas County</i>	<i>Advice</i>
NM	<i>City of Roswell, City of Roswell, Chaves County</i>	<i>Advice</i>
TX	<i>City of Lubbock, City of Lubbock, Lubbock County</i>	<i>Advice</i>
NM	<i>City of Santa Rosa, City of Santa Rosa, Guadalupe County</i>	<i>Advice</i>

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TX	<i>Harris County Municipal Utility District No. 407, City of Houston, Harris County</i>	<i>Advice</i>
NM	<i>Town of Edgewood, City of Edgewood, Santa Fe County</i>	<i>Advice</i>
TX	<i>Galveston County Municipal Utility District No. 032, City of La Marque, Galveston County</i>	<i>Advice</i>
NM	<i>Los Alamos School District, City of Los Alamos, Los Alamos County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 500, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 501, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>City of Edna, City of Edna/, Jackson County</i>	<i>Advice</i>
NM	<i>Logan Municipal School District, City of Logan, Quay County</i>	<i>Advice</i>
NM	<i>City of Albuquerque, City of Albuquerque, Bernalillo County</i>	<i>Advice</i>
TX	<i>City of Waller, City of Waller, Waller County</i>	<i>Advice</i>
TX	<i>City of Port Lavaca, City of Port Lavaca, Calhoun County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 248, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Waco Independent School District, City of Waco, McLennan County</i>	<i>Advice</i>
FL	<i>Hernando County, City of Brooksville, Hernando County</i>	<i>Advice</i>

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NM	<i>Guadalupe County, City of Santa Rosa, Guadalupe County</i>	<i>Advice</i>
NM	<i>City of Moriarity, City of Moriarity, Torrance County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 503, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Towne Lake Management District, City of Houston, Harris County</i>	<i>Advice</i>
FL	<i>City of Bonita Springs, City of Bonita Springs, Lee County</i>	<i>Advice</i>
TX	<i>City of Rockport, City of Rockport, Aransas County</i>	<i>Advice</i>
TX	<i>Smithville Independent School District, City of Smithville, Bastrop County</i>	<i>Advice</i>
TX	<i>Northeast Texas Community College District, City of Mount Pleasant, Camp/Morris/ Titus County</i>	<i>Advice</i>
TX	<i>Palmer Independent School District, City of Palmer, Ellis County</i>	<i>Advice</i>
TX	<i>Pharr-San Juan-Alamo Independent School District, City of Pharr, Hidalgo County</i>	<i>Advice</i>
TX	<i>City of Port Neches, City of Port Neches, Jefferson County</i>	<i>Advice</i>
TX	<i>McLennan Community College, City of Waco, McLennan County</i>	<i>Advice</i>
TX	<i>Schleicher County Hospital District, City of Eldorado, Schleicher County</i>	<i>Advice</i>
NM	<i>New Mexico Military Institute, City of Roswell, Chaves</i>	<i>Advice</i>

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	<i>County</i>	
TX	<i>City of Gonzales, City of Gonzales, Gonzales County</i>	<i>Advice</i>
TX	<i>Crandall Independnet School District, City of Crandall, Kaufman County</i>	<i>Advice</i>
CO	<i>City and County of Broomfield, City and County of Broomfield, City and County of Broomfield</i>	<i>Advice</i>
TX	<i>Lake Forest Utility District, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>River Plantation Municipal Utility District, City of Conroe, Montgomery County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 373, City of Houston, Harris County</i>	<i>Advice</i>
NM	<i>City of Rio Rancho, City of Rio Rancho, Bernalillo County</i>	<i>Advice</i>
NM	<i>Los Lunas Municipal Schools District, City of Los Lunas, Valencia County</i>	<i>Advice</i>
TX	<i>Odessa Junior College District, City of Odessa, Ector County</i>	<i>Advice</i>
FL	<i>City of Miami Beach, City of Miami Beach, Dade County</i>	<i>Advice</i>
NM	<i>Central New Mexico Community College, City of Albuquerque, Bernalillo County/ Sandoval County</i>	<i>Advice</i>
NM	<i>Melrose Municipal School District No 12, City of Melrose, County of Middlesex</i>	<i>Advice</i>
TX	<i>Texas Woman's University,</i>	<i>Advice</i>

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NM	<i>City of Denton, Denton County San Miguel County, City of Las Vegas, San Miguel County</i>	<i>Advice</i>
TX	<i>Nueces Canyon Consolidated Independent School District, City of Barksdale, Edwards County</i>	<i>Advice</i>
TX	<i>City of Kyle, City of Kyle, Hays County</i>	<i>Advice</i>
TX	<i>City of Victoria, City of Victoria, Vicotira County</i>	<i>Advice</i>
FL	<i>Emerald Coast Utilities Authority, City of Pensacola, Escambia County</i>	<i>Advice</i>
FL	<i>Islamorada, Village of Islands, City of Islamorda, Monroe County</i>	<i>Advice</i>
NM	<i>Las Cruces Municipal/ Public School District No 2, City of Las Cruces, Dana Ana County</i>	<i>Advice</i>
NM	<i>Jemez Mountain Public Schools, City of Jemez Pueblo, Sandoval County</i>	<i>Advice</i>
TX	<i>Kimberlin Ranch Municipal Utility District No. 1 of Grayson County, City of Houston, Grayson County</i>	<i>Advice</i>
TX	<i>Edna Independent School District, City of Edna, Jackson County</i>	<i>Advice</i>
FL	<i>City of Marathon, City of Marathon, Monroe County</i>	<i>Advice</i>
TX	<i>Aransas County, City of Rockport, Aransas County</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 57, City of</i>	<i>Advice</i>

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TX	<i>Houston, Brazoria County Harris County Municipal Utility District No. 502, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>La Salle Water Control and Improvement District No. 1A, City of Port Lavaca, La Salle County</i>	<i>Advice</i>
TX	<i>Kimberlin Ranch Municipal Utility District No. 3 of Grayson County, City of Houston, Grayson County</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 21, City of Houston, Brazoria County</i>	<i>Advice</i>
TX	<i>Galveston County Municipal Utility District No. 072, City of Houston, Galveston County</i>	<i>Advice</i>
TX	<i>Argyle Independent School District, City of Argyle, Denton County</i>	<i>Advice</i>
TX	<i>Menard County Water Control and Improvement District No.1, City of Menard, Menard County</i>	<i>Advice</i>
TX	<i>Fort Bend County Water Control and Improvement District No. 2, City of Stafford, Fort Bend County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 155, City of Houston, Harris County</i>	<i>Advice</i>
NM	<i>Santa Fe Community College, City of Santa Fe, Santa Fe County</i>	<i>Advice</i>
TX	<i>Denison Independent School District, City of Denison, Grayson County</i>	<i>Advice</i>

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NM	<i>City of Bloomfield, City of Bloomfield, San Juan County</i>	<i>Advice</i>
TX	<i>La Villa Independent School District, City of La Villa, Hidalgo County</i>	<i>Advice</i>
TX	<i>Irving Independent School District, City of Irving, Dallas County</i>	<i>Advice</i>
TX	<i>Harris County Water Control & Improvement District - Fondren Road, City of Houston, Harris County</i>	<i>Advice</i>
AZ	<i>Valley Metro/ Regional Public Transportation Authority/ Maricopa County, Maricopa County</i>	<i>Advice</i>
TX	<i>City of Liberty, City of Liberty, Liberty County</i>	<i>Advice</i>
TX	<i>Gillespie County, City of Fredericksburg, Gillespie County</i>	<i>Advice</i>
NM	<i>Hagerman Municipal School District, City of Hagerman, Chaves County</i>	<i>Advice</i>
NM	<i>Southern Sandoval County Arroyo Flood Control Authority, City of Rio Rancho, Sandoval County</i>	<i>Advice</i>
TX	<i>Fort Bend County Municipal Utility District No. 046, Missouri City, Fort Bend County</i>	<i>Advice</i>
TX	<i>Montgomery County Municipal Utility District No. 100, City of Houston, Montgomery County</i>	<i>Advice</i>
TX	<i>City of Katy, City of Katy, Harris/ Fort Bend/ Waller</i>	<i>Advice</i>

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TX	<i>Floresville Electric Light and Power System, City of Floresville, Wilson County</i>	<i>Advice</i>
NM	<i>Portales Municipal Schools, City of Portales, Roosevelt County</i>	<i>Advice</i>
TX	<i>Scurry Rosser Independent School District, City of Scurry, Kaufman County</i>	<i>Advice</i>
NM	<i>City of Clovis, City of Clovis, Curry County</i>	<i>Advice</i>
TX	<i>Fort Bend County Municipal Utility District No. 199, City of Katy, Fort Bend County</i>	<i>Advice</i>
NM	<i>Farmington Municipal School District No. 5, City of Farmington, San Juan County</i>	<i>Advice</i>
TX	<i>Nacogdoches Independent School District, City of Nacogdoches, Nacogdoches County</i>	<i>Advice</i>
FL	<i>City of Homestead, Homestead, Dade</i>	<i>Advice</i>
TX	<i>Starr County, City of Rio Grande City, Starr County</i>	<i>Advice</i>
TX	<i>Sanger Independent School District, City of Sanger, Denton County</i>	<i>Advice</i>
TX	<i>City of Fairfield, City of Fairfield, Freestone County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 261, City of Houston, Harris County</i>	<i>Advice</i>
NM	<i>Cobre Consolidated School District No. 2, City of Bayard, Grant County</i>	<i>Advice</i>
NM	<i>San Juan College, City of Farmington, San Juan County</i>	<i>Advice</i>

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NM	<i>Las Vegas City School District, City of Las Vegas, San Miguel County</i>	<i>Advice</i>
NM	<i>City of Carlsbad, City of Carlsbad, Eddy County</i>	<i>Advice</i>
NM	<i>City of Farmington, City of Farmington, San Juan County</i>	<i>Advice</i>
NM	<i>Quemado Independent School District No. 2, City of Quemado, Catron County</i>	<i>Advice</i>
TX	<i>Killeen Independent School District, City of Killeen, Bell & Coryell Counties</i>	<i>Advice</i>
TX	<i>La Porte Area Water Authority, City of la Porte, Harris County</i>	<i>Advice</i>
TX	<i>Lago Vista Independent School District, City of Lago Vista, Travis County</i>	<i>Advice</i>
NM	<i>Gallup McKinley County School District, City of Gallup, McKinley County</i>	<i>Advice</i>
TX	<i>City of Uhland, City of Uhland, Caldwell and Hays Counties</i>	<i>Advice</i>
TX	<i>North Harris County Regional Water Authority, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Clovercreek Municipal Utility District, City of Magnolia, Montgomery County</i>	<i>Advice</i>
CO	<i>State of Colorado Treasurers' Office, City of Denver, State</i>	<i>Advice</i>
TX	<i>Galveston County Municipal Utility District No 73, City of League City, Galveston County</i>	<i>Advice</i>
TX	<i>Port Neches Economic</i>	<i>Advice</i>

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	<i>Development Corporation, City of Port Neches, Jefferson County</i>	
NM	<i>Grants/ Cibola County School District No. 1, City of Grants, Cibola County</i>	<i>Advice</i>
NM	<i>San Juan County, City of Aztec, San Juan County</i>	<i>Advice</i>
TX	<i>Texas Public Finance Authority, City of Austin, Travis County</i>	<i>Advice</i>
NM	<i>City of Gallup, City of Gallup, McKinley County</i>	<i>Advice</i>
NM	<i>Valencia County, City of Los Lunas, Valencia County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 158, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Pflugerville Community Development corporation, City of Pflugerville, Travis County</i>	<i>Advice</i>
PA	<i>Commonwealth of Pennsylvania State System of Higher Education, City of Harrisburg, Cumberland County</i>	<i>Advice</i>
TX	<i>Palestine Independent School District, City of Palestine, Anderson County</i>	<i>Advice</i>
TX	<i>City of Stafford, City of Stafford, Harris/ Fort Bend</i>	<i>Advice</i>
TX	<i>Howe Independent School District, City of Howe, Grayson County</i>	<i>Advice</i>
TX	<i>Harris County Water Control & Improvement District No. 133, City of Houston, Harris</i>	<i>Advice</i>

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	<i>County</i>	
TX	<i>Medina Valley Independent School District, City of Castroville, Medina County</i>	<i>Advice</i>
NM	<i>Eastern New Mexico Water Utility Authority, City of Clovis, Curry County</i>	<i>Advice</i>
NM	<i>Jemez Valley Public School District No. 31, City of Jemez Pueblo, Sandoval County</i>	<i>Advice</i>
TX	<i>Aransas County Navigation District No. 1, City of Rockport, Aransas County</i>	<i>Advice</i>
TX	<i>Waterwood Municipal Utility District No. 1, City of Houston, San Jacinto County</i>	<i>Advice</i>
NM	<i>New Mexico Highland's University, City of Las Vegas, San Miguel County</i>	<i>Advice</i>
TX	<i>City of La Porte, City of La Porte, Harris County</i>	<i>Advice</i>
TX	<i>Mexia Independent School District, City of Mexia, Limestone County</i>	<i>Advice</i>
FL	<i>City of Cape Coral, City of Cape Coral, Lee County</i>	<i>Advice</i>
NM	<i>Vaughn Municipal School District, City of Vaughn, Guadalupe County</i>	<i>Advice</i>
TX	<i>City of Garden Ridge, City of Garden Ridge, Comal County</i>	<i>Advice</i>
TX	<i>City of Woodway, City of Woodway, McKennan County</i>	<i>Advice</i>
NM	<i>Gadsden Independent School District No. 16, City of Sunland Park, Dona Ana County</i>	<i>Advice</i>
NM	<i>State of New</i>	<i>Advice</i>

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	<i>Mexico-Administrative Office of the Court, City of Santa Fe, State Agency</i>	
NM	<i>Belen Consolidated Schools, City of Belen, Valencia County</i>	<i>Advice</i>
AZ	<i>Coconino County, Coconino County</i>	<i>Advice</i>
NM	<i>Jal Public Schools, City of Jal, Lea County</i>	<i>Advice</i>
TX	<i>City of New Boston, City of New Boston, Bowie County</i>	<i>Advice</i>
TX	<i>Medina Independent School District, City of Medina, Bandera County</i>	<i>Advice</i>
TX	<i>Liberty Community Development Corporation, City of Liberty, Liberty County</i>	<i>Advice</i>
TX	<i>Cape Royale Utility District, City of Coldspring, San Jacinto County</i>	<i>Advice</i>
NM	<i>City of Las Cruces, City of Las Cruces, Dona Ana County</i>	<i>Advice</i>
NM	<i>City of Las Vegas, City of Las Vegas, Sam Miguel County</i>	<i>Advice</i>
FL	<i>City of Lakeland, City of Lakeland, Polk County</i>	<i>Advice</i>
NM	<i>Lincoln County, City of Carrizozo, Lincoln County</i>	<i>Advice</i>
TX	<i>Hearne Independent School District, City of Hearne, Bexar County</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 06, City of Houston, Brazoria County</i>	<i>Advice</i>
TX	<i>Calhoun Port Authority, City of Point Comfort, Calhoun</i>	<i>Advice</i>

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	<i>County</i>	
TX	<i>Cinco Municipal Utility District No. 02, City of Katy, Fort Bend County</i>	<i>Advice</i>
NM	<i>Ruidoso Municipal Schools, City of Ruidoso, Lincoln County</i>	<i>Advice</i>
NM	<i>Bernalillo Public Schools District/ Municipal School District No.1, City of Bernalillo, Sandoval County</i>	<i>Advice</i>
TX	<i>Montgomery County Municipal Utility District No. 140, City of Houston, Montgomery County</i>	<i>Advice</i>
NM	<i>Eastern Sandoval County Arroyo Flood Control Authority, City of Bernalillo, Sandoval County</i>	<i>Advice</i>
TX	<i>Victoria County Navigation District, City of Victoria, Victoria County</i>	<i>Advice</i>
NM	<i>Mora County, City of Mora, Mora County</i>	<i>Advice</i>
TX	<i>Port Neches Improvement District, City of Port Neches, Jefferson County</i>	<i>Advice</i>
TX	<i>Tom Green County Cultural Education Facilities Finance Corporation, City of San Angelo, Tom Green County</i>	<i>Advice</i>
TX	<i>City of Clute, City of Clute, Brazoria County</i>	<i>Advice</i>
TX	<i>Texas Public Finance Authority, City of Austin, Travis County</i>	<i>Advice</i>
AZ	<i>Arizona Department of Transportation/ Arizona</i>	<i>Advice</i>

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NM	<i>Transportation Board, State Agency South Central Solid Waste Authority, City of Las Cruces, Dona Ana County</i>	<i>Advice</i>
TX	<i>Menard County, City of Menard, Menard County</i>	<i>Advice</i>
TX	<i>Texas Public Finance Authority-Cancer Prevention & Research Institute of Texas, City of Austin, Travis County</i>	<i>Advice</i>
NM	<i>Lovington Municipal School District No. 1, City of Lovington, Lea County</i>	<i>Advice</i>
CO	<i>Montezuma County, City of Cortez, City of Cortez</i>	<i>Advice</i>
TX	<i>College of the Mainland, City of Texas City, Galveston County</i>	<i>Advice</i>
TX	<i>Parker County Junior College -Legal Name (DBA Weatherford College), City of Weatherford, Parker County</i>	<i>Advice</i>
FL	<i>Polk County, City of Barlow, Polk County</i>	<i>Advice</i>
NM	<i>City of Jal, City of Jal, Lee County</i>	<i>Advice</i>
NM	<i>City of Belen, City of Belen, Valencia County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 427, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Paint Creek Independent School District, City of Haskell, Haskell County</i>	<i>Advice</i>
TX	<i>City of Marfa, City of Marfa, Presidio County</i>	<i>Advice</i>
OH	<i>Ohio Housing Finance</i>	<i>Advice</i>

B. Municipal Advisory Business

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TX	<i>Agency, City of Columbus, Franklin County Harris-Waller Counties Municipal Utility District No. 2, City of Katy, Harris/ Waller County</i>	<i>Advice</i>
FL	<i>City of North Miami, City of North Miami, Miami Dade County</i>	<i>Advice</i>
TX	<i>Galveston County Municipal Utility District No. 044, City of League City, Galveston County</i>	<i>Advice</i>
TX	<i>Harris County Water Control & Improvement District No. 114, City of Houston, Harris County</i>	<i>Advice</i>
NM	<i>Tucumcari Public Schools, City of Tucumcari, Quay County</i>	<i>Advice</i>
NM	<i>Taos County, City of Taos, Taos County</i>	<i>Advice</i>
TX	<i>City of Windcrest, City of Windcrest, Bexar County</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 56, City of Houston, Brazoria County</i>	<i>Advice</i>
TX	<i>Katy Management District No. 1, City of Katy, Fort Bend County</i>	<i>Advice</i>
NM	<i>Nor-Lea Special Hospital District, City of Lovington, Lea County</i>	<i>Advice</i>
TX	<i>City of Cameron, City of Cameron, Milam County</i>	<i>Advice</i>
TX	<i>Tyler Independent School District, City of Tyler, Smith County</i>	<i>Advice</i>

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NM	<i>City of Tucumcari, City of Tucumcari, Quay County</i>	<i>Advice</i>
TX	<i>Little Elm Independent School District, City of Little Elm, Denton County</i>	<i>Advice</i>
TX	<i>Brickston Municipal Utility District, City of Elgin, Travis County</i>	<i>Advice</i>
NM	<i>Western New Mexico University, City of Silver City, Grant County</i>	<i>Advice</i>
NM	<i>Moriarty Edgewood School District No 8, City of Moriarty, Torrance County</i>	<i>Advice</i>
TX	<i>Town of Refugio, City of Refugio, Refugio County</i>	<i>Advice</i>
TX	<i>City of Chandler, City of Chandler, Traylor/ Henderson/ Henderson County</i>	<i>Advice</i>
TX	<i>Tradition Municipal Utility District No. 1, City of Fort Worth, Denton County</i>	<i>Advice</i>
TX	<i>City of Arcola, City of Arcola, Fort Bend County</i>	<i>Advice</i>
TX	<i>Kimberlin Ranch Municipal Utility District No. 2 of Grayson County, City of Houston, Grayson County</i>	<i>Advice</i>
TX	<i>Paris Independent School District, City of Paris, Lamar County</i>	<i>Advice</i>
TX	<i>City of Lindale, City of Lindale, Smith County</i>	<i>Advice</i>
TX	<i>Kerr County, City of Kerrville, Kerr County</i>	<i>Advice</i>
TX	<i>Fort Worth Independent School District, City of Fort</i>	<i>Advice</i>

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NM	<i>Worth, Tarrant County Albuquerque Municipal School District No. 12 (aka Albuquerque Public Schools), City of Albuquerque, Bernallillo County</i>	<i>Advice</i>
NM	<i>Tatum Municipal Schools, City of Tatum, Lea County</i>	<i>Advice</i>
NM	<i>Santa Fe County, City of Sante Fe, Santa Fe County</i>	<i>Advice</i>
TX	<i>Cameron Economic Developemnt Corporation, City of Cameron, Milam County</i>	<i>Advice</i>
NM	<i>Dexter Consolidated School District, City of Dexter, Chaves County</i>	<i>Advice</i>
NM	<i>City of Raton, City of Raton, Colfax County</i>	<i>Advice</i>
TX	<i>Crawford Independent School District, City of Crawford, McLennan County</i>	<i>Advice</i>
NM	<i>Town of Vaughn, City of Vaughn, Guadalupe County</i>	<i>Advice</i>
NM	<i>Deming Public School District, City of Deming, Luna County</i>	<i>Advice</i>
TX	<i>Richey Road Municipal Utility District, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 389, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Ingram Independent School District, City of Ingram, Kerr County</i>	<i>Advice</i>
FL	<i>Fort Pierce Utilities Authority, Ciyt of Fort Pierce,</i>	<i>Advice</i>

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NM	<i>St Lucie County Carlsbad Municipal School District, City of Carlsbad, Eddy County</i>	<i>Advice</i>
NM	<i>Wagon Mound Public Schools, City of Wagon Mound, Mora County</i>	<i>Advice</i>
NM	<i>Alamogordo Public Schools (Municipal School District No. 1), City of Alamogordo, Otero County</i>	<i>Advice</i>
TX	<i>Bexar County Water Control and Improvement District No 10, City of Midcrown, Bexar County</i>	<i>Advice</i>
TX	<i>City of Leander, City of Leander, Travis County</i>	<i>Advice</i>
TX	<i>North Green Municipal Utility District, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Klein Independent School District, City of Klein, Harris County</i>	<i>Advice</i>
TX	<i>Hays Consolidated Independent School District, City of Kyle, Hays/ Travis/ Caldwell Counties</i>	<i>Advice</i>
TX	<i>Mercedes Independent School District, City of Mercedes, Hidalgo County</i>	<i>Advice</i>
TX	<i>Development Corporation of the City of Mercedes, City of Mercedes, Hidalgo County</i>	<i>Advice</i>
TX	<i>Nederland Independent School District, City of Nederland, Jefferson County</i>	<i>Advice</i>
TX	<i>Montgomery County Municipal Utility District No.</i>	<i>Advice</i>

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TX	186, City of Houston, Montgomery County Stafford Municipal School District, City of Stafford, Fort Bend County	Advice
TX	Teague Independent School District, City of Teague, Freestone County	Advice
TX	Hitchcock Independent School District, City of Hitchcock, Galveston County	Advice
TX	Katy Development Authority, City of Katy, Harris County	Advice
TX	Hopkins County, City of Sulphur Springs, Hopkins County	Advice
CO	Kinston Metropolitan District No 2 (f/k/a No 1), City of Loveland, Larimer County	Advice
NM	Albuquerque Metropolitan Arroyo Flood Control Authority, City of Albuquerque, Bernalillo County	Advice
TX	Highland Park Independent School District, City of Dallas, Dallas County	Advice
TX	Woodcreek Reserve Municipal Utility District, City of Houston, Fort Bend County	Advice
TX	City of Daisetta, City of Daisetta, Liberty County	Advice
NM	Clovis Municipal School District, City of Clovis, Curry County	Advice
TX	Dallas Independent School District, City of Dallas, Dallas County	Advice

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TX	City of Granite Shoals, City of Granite Shoals, Burnet County	Advice
TX	Montgomery County Municipal Utility District No. 107, City of Houston, Montgomery County	Advice
NM	Truth or Consequences School District, City of Truth or Consequences, Sierra County	Advice
TX	City of Seadrift, City of Seadrift, Calhoun County	Advice
TX	Wilson Independent School District, City of Wilson, Lynn County	Advice
NM	Village of Angel Fire, City of Angel Fire, Colfax County	Advice
NM	Roosevelt County, City of Portales, Roosevelt County	Advice
NM	Santa Fe Public School District, City of Santa Fe, Santa Fe County	Advice
TX	Harris County Municipal Utility District No. 286, City of Houston, Harris County	Advice
TX	Mission Redevelopment Authority, City of Mission, Hidalgo County	Advice
TX	City of Nixon, City of Nixon, Gonzales/ Wilson Counties	Advice
AZ	City of Tolleson, City of Tolleson	Advice
CO	Kinston Metropolitan District No 5, City of Loveland, Larimer County	Advice
NM	Dora Consolidated Schools, City of Dora, Roosevelt County	Advice

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TX	<i>Galveston County Municipal Utility District No. 043, City of League City, Galveston County</i>	<i>Advice</i>
TX	<i>City of Goliad, City of Goliad, Goliad County</i>	<i>Advice</i>
TX	<i>Lipan Independent School District, City of Lipan, Hood/ Erath/ Palo Pinto/ Parker County</i>	<i>Advice</i>
AZ	<i>City of Glendale, City of Glendale</i>	<i>Advice</i>
NM	<i>Aztec Municipal School District No. 2, City of Aztec, San Juan County</i>	<i>Advice</i>
TX	<i>South Shore Harbour Municipal Utility District No. 007, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Victoria Housing Finance Corporation, City of Victoria, Victoria County</i>	<i>Advice</i>
FL	<i>City of Pensacola, City of Pensacola, Escambia County</i>	<i>Advice</i>
NM	<i>Albuquerque Bernalillo County Water Utility Authority, City of Albuquerque, Bernalillo County</i>	<i>Advice</i>
NM	<i>Cloudcroft Municipal School District, City of Cloudcroft, Otero County</i>	<i>Advice</i>
FL	<i>Polk Regional Water Cooperative, City of Winter Haven, Polk County</i>	<i>Advice</i>
NM	<i>West Las Vegas School District, City of Las Vegas, San Miguel County</i>	<i>Advice</i>

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TX	<i>Cuero Independent School District, City of Cuero, DeWitt County</i>	<i>Advice</i>
TX	<i>City of Morgan's Point, City of Morgan Point, Harris County</i>	<i>Advice</i>
FL	<i>Sarasota Manatee Airport Authority, City of Sarasota, Sarasota County</i>	<i>Advice</i>
NM	<i>New Mexico State University, City of Las Cruces, Dona Ana County</i>	<i>Advice</i>
NM	<i>Cuba Independent School District, City of Cuba, Sandoval County</i>	<i>Advice</i>
TX	<i>Fort Bend County Municipal Utility District No. 119, City of Houston, Fort Bend County</i>	<i>Advice</i>
AZ	<i>Arizona State University/ Arizona Board of Regents, State Agency</i>	<i>Advice</i>
FL	<i>Lehigh Acres Municipal Services Improvement District, City of Lehigh Acres, Lee County</i>	<i>Advice</i>
NM	<i>Hatch Valley Public Schools, City of Hatch, Dana Ana County</i>	<i>Advice</i>
NM	<i>Dona Ana Branch Community College District, City of Las Cruces, Dona Ana County</i>	<i>Advice</i>
TX	<i>Harris County Improvement District No. 001, City of Houston, Harris County</i>	<i>Advice</i>
FL	<i>Town of Jupiter Island, Town of Jupiter Island, Martin County</i>	<i>Advice</i>
TX	<i>City of Kenedy, City of</i>	<i>Advice</i>

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TX	<i>Kenedy, Karnes County Lake Travis Independent School District, City of Austin, Travis County</i>	<i>Advice</i>
TX	<i>County of Bexar, City of San Antonio, Bexar County</i>	<i>Advice</i>
TX	<i>Tom Green County, City of San Angelo, Tom Green County</i>	<i>Advice</i>

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>Santa Monica-Malibu Unified School District</i>	<i>Santa Monica-Malibu Unified School District (Los Angeles County, California) 2021 Refunding General Obligation Bonds (Federally Taxable)</i>	<i>07/28/2021</i>
<i>Glendale Unified School District</i>	<i>Glendale Unified School District (Los Angeles County, California) 2021 General Obligation Refunding Bonds, Series A (Federally Taxable) and Series B (Federally Taxable) 2025 Crossover</i>	<i>06/04/2021</i>
<i>Solano Community College District</i>	<i>Solano Community College District (Solano and Yolo Counties, California) Election of 2012 General Obligation Bonds, Series E (Tax Exempt);</i>	<i>07/19/2021</i>

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Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>Charter Oak Unified School District</i>	<i>2021 General Obligation Refunding Bonds, Series A (Federally Taxable); 2021 General Obligation Refunding Bonds, Charter Oak Unified School District (Los Angeles County, California) 2021 General Obligation Refunding Bonds (Federally Taxable)</i>	<i>08/13/2021</i>

FORM G-37



Signature: electronic

Date: *Feb 23, 2023*

Name (must be officer of regulated entity): *George Hinchcliff*

Address: *200 Vesey Street, New York, NY 10281*

Phone: *212-618-7583*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)