

**Name of Regulated Entity:** *RBC Capital Markets, LLC*

**Report Period:** *Second Quarter of 2021*

**I. CONTRIBUTIONS made to officials of a municipal entity (list by state)**

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)
		If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

*None*

**II. PAYMENTS made to political parties of states or political subdivisions (list by state)**

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category

*None*

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
<i>CO</i>	<i>Yes 4 East Grand , Granby, Grand, CO,</i>	<i>\$3,000.00 contribution by Dealer on 05/20/2021</i>

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**
**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
TX	<i>Collin Co (Princeton) ISD, Collin</i>	<i>Negotiated underwriting</i>
AK	<i>Juneau City &amp; Borough-Alaska, Juneau, Juneau</i>	<i>Negotiated underwriting</i>
AK	<i>Alaska Municipal Bond Bank, Juneau Boro</i>	<i>Negotiated underwriting</i>
AZ	<i>Maricopa Co Spec Health Care Dt, Maricopa</i>	<i>Negotiated underwriting</i>
AZ	<i>Tempe City-Arizona, Tempe, Maricopa</i>	<i>Negotiated underwriting</i>
AZ	<i>Glendale City-Arizona, Glendale, Maricopa</i>	<i>Negotiated underwriting</i>
AZ	<i>Oro Valley Town-Arizona, Oro Valley Town, Pima</i>	<i>Negotiated underwriting</i>
CA	<i>Alameda Co (Albany) USD, Alameda</i>	<i>Negotiated underwriting</i>
CA	<i>Alameda Co (San Lorenzo) USD, Alameda</i>	<i>Negotiated underwriting</i>
CA	<i>Colusa Co (Pierce) JUSD, Colusa</i>	<i>Negotiated underwriting</i>
CA	<i>California Statewide CDA (CSCDA), Contra Costa</i>	<i>Negotiated underwriting</i>
CA	<i>Los Angeles Co (Santa Monica-Malibu) USD, Los Angeles</i>	<i>Negotiated underwriting</i>
CA	<i>Los Angeles Dept Wtr &amp; Pwr (LADWP), Los Angeles</i>	<i>Negotiated underwriting</i>
CA	<i>Los Angeles Co Development Auth, Los Angeles</i>	<i>Negotiated underwriting</i>
CA	<i>California School Finance Auth, Sacramento</i>	<i>Negotiated underwriting</i>
CA	<i>Grossmont-Cuyamaca Comm Coll Dt, San Diego</i>	<i>Negotiated underwriting</i>
CA	<i>California Municipal Fin Auth, San Diego</i>	<i>Negotiated underwriting</i>
CA	<i>San Joaquin Reg Rail Commission, San Joaquin</i>	<i>Negotiated underwriting</i>

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State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
CA	<i>San Joaquin Delta Comm Coll Dt, San Joaquin/Sacramento</i>	<i>Negotiated underwriting</i>
CA	<i>San Mateo Co Jt Powers Fin Auth, San Mateo</i>	<i>Negotiated underwriting</i>
CA	<i>Sonoma Co (Santa Rosa) HSD, Sonoma</i>	<i>Negotiated underwriting</i>
CA	<i>California Health Facs Fin Auth, State Authority</i>	<i>Negotiated underwriting</i>
CA	<i>California St Public Works Board, State Authority</i>	<i>Negotiated underwriting</i>
CO	<i>Prairie Corner Metro Dt, Adams</i>	<i>Negotiated underwriting</i>
CO	<i>Aurora City-Colorado, Aurora City, Adams/Arapahoe</i>	<i>Negotiated underwriting</i>
CO	<i>Englewood City-Colorado, Englewood City, Arapahoe</i>	<i>Negotiated underwriting</i>
CO	<i>Boulder Co-Colorado, Boulder</i>	<i>Negotiated underwriting</i>
CO	<i>Colorado Hsg &amp; Fin Auth (CHFA), Denver</i>	<i>Negotiated underwriting</i>
CO	<i>Colorado Ed and Culture Fac Auth, Denver</i>	<i>Negotiated underwriting</i>
CO	<i>Colorado, State</i>	<i>Negotiated underwriting</i>
CT	<i>Connecticut Housing &amp; Fin Auth (CHFA), Hartford</i>	<i>Negotiated underwriting</i>
CT	<i>Connecticut, State</i>	<i>Negotiated underwriting</i>
DC	<i>Washington Metro Area Transit Au, State Authority</i>	<i>Negotiated underwriting</i>
FL	<i>Brevard Co Housing Finance Auth, Brevard</i>	<i>Negotiated underwriting</i>
FL	<i>Central Florida Expressway Au, Florida</i>	<i>Negotiated underwriting</i>
FL	<i>Hillsborough Co Housing Fin Auth, Hillsborough</i>	<i>Negotiated underwriting</i>
FL	<i>Pasco Co School Board, Land O' Lakes</i>	<i>Negotiated underwriting</i>
FL	<i>Miami-Dade Co-Florida, Miami/Dade</i>	<i>Negotiated underwriting</i>
FL	<i>Miami-Dade Co Housing Fin Auth, Miami/Dade</i>	<i>Negotiated underwriting</i>
FL	<i>Pinellas Co Housing Fin Auth, Pinellas</i>	<i>Negotiated underwriting</i>

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IA	<i>Iowa Student Loan Liquidity Corp, Polk</i>	<i>Negotiated underwriting</i>
IA	<i>Iowa Finance Authority, State Authority</i>	<i>Negotiated underwriting</i>
IL	<i>Illinois Housing Dev Authority, Cook</i>	<i>Negotiated underwriting</i>
IN	<i>Indiana Housing Finance Auth, Marion</i>	<i>Negotiated underwriting</i>
MA	<i>Massachusetts Clean Water Trust, State Authority</i>	<i>Negotiated underwriting</i>
MA	<i>Massachusetts Housing Fin Agcy, Suffolk</i>	<i>Negotiated underwriting</i>
MA	<i>Massachusetts Educ Fin Au (MEFA), Suffolk</i>	<i>Negotiated underwriting</i>
MA	<i>Massachusetts Dev Finance Agcy, Suffolk</i>	<i>Negotiated underwriting</i>
MD	<i>Montgomery Co Hsg Opportunities Comm, Montgomery</i>	<i>Negotiated underwriting</i>
MD	<i>Maryland Dept Hsg &amp; Com Dev (DHCD), Prince Georges</i>	<i>Negotiated underwriting</i>
ME	<i>Maine State Housing Authority, State Authority</i>	<i>Negotiated underwriting</i>
MN	<i>Minnesota Housing Fin Agency, Ramsey</i>	<i>Negotiated underwriting</i>
MN	<i>Minnesota Higher Ed Facs Auth, State Authority</i>	<i>Negotiated underwriting</i>
ND	<i>North Dakota Hsg Fin Agcy, Burleigh</i>	<i>Negotiated underwriting</i>
NJ	<i>Middle Twp-New Jersey, Middle Township, Cape May</i>	<i>Negotiated underwriting</i>
NJ	<i>Essex Co Improvement Authority, Essex</i>	<i>Negotiated underwriting</i>
NJ	<i>Jersey City Redev Agcy, Jersey City, Hudson</i>	<i>Negotiated underwriting</i>
NJ	<i>Perth Amboy City-New Jersey, Perth Amboy City, Middlesex</i>	<i>Negotiated underwriting</i>
NJ	<i>Monroe Twp-New Jersey, Monroe Township, Middlesex</i>	<i>Negotiated underwriting</i>
NJ	<i>Ringwood Boro BOE, Ringwood</i>	<i>Negotiated underwriting</i>

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<i>NJ</i>	<i>New Jersey Hghr Ed Stud Assis Au, State Authority</i>	<i>Negotiated underwriting</i>
<i>NJ</i>	<i>Warren (Washington) Boro BOE, Warren</i>	<i>Negotiated underwriting</i>
<i>NM</i>	<i>New Mexico Mortgage Fin Auth, Bernalillo</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>NYS Environmental Facs Corp, Albany</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>NYS Housing Fin-Mortgage Agcy, Kings</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>NYC Housing Dev Corp, New York/Bronx/Kings</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Triborough Bridge &amp; Tunnel Auth, State Authority</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>NYS Dorm Authority, State Authority</i>	<i>Negotiated underwriting</i>
<i>OH</i>	<i>Miami University, College or University</i>	<i>Negotiated underwriting</i>
<i>OH</i>	<i>Ohio, Franklin</i>	<i>Negotiated underwriting</i>
<i>OH</i>	<i>American Municipal Power Inc, Franklin</i>	<i>Negotiated underwriting</i>
<i>OH</i>	<i>Hamilton Co (Indian Hill) EVSD, Hamilton</i>	<i>Negotiated underwriting</i>
<i>OH</i>	<i>Miamisburg City-Ohio, Miamisburg City, Montgomery</i>	<i>Negotiated underwriting</i>
<i>OH</i>	<i>Summit Co (Cuyahoga Falls) SD, Summit</i>	<i>Negotiated underwriting</i>
<i>OK</i>	<i>Oklahoma Agr Mech Coll Bd Rgt, College or University</i>	<i>Negotiated underwriting</i>
<i>PA</i>	<i>Jefferson Hills Boro-Pennsylvania, Allegheny</i>	<i>Negotiated underwriting</i>
<i>PA</i>	<i>Brackenridge Borough-Pennsylvania, Allegheny</i>	<i>Negotiated underwriting</i>
<i>PA</i>	<i>N Sewickley Twp Wtr Auth, Beaver</i>	<i>Negotiated underwriting</i>
<i>PA</i>	<i>Berks Co (Hamburg) ASD, Berks</i>	<i>Negotiated underwriting</i>
<i>PA</i>	<i>Berks Co (Kutztown) ASD, Berks</i>	<i>Negotiated underwriting</i>

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PA	<i>Berks Co (Wilson) SD, Berks</i>	<i>Negotiated underwriting</i>
PA	<i>Hamburg Municipal Authority, Berks</i>	<i>Negotiated underwriting</i>
PA	<i>West Reading-Pennsylvania, Berks</i>	<i>Negotiated underwriting</i>
PA	<i>Blair Co (Hollidaysburg) ASD, Blair</i>	<i>Negotiated underwriting</i>
PA	<i>Bucks Co (Neshaminy) SD, Bucks</i>	<i>Negotiated underwriting</i>
PA	<i>Northampton Twp-Pennsylvania, Northampton Twp, Bucks</i>	<i>Negotiated underwriting</i>
PA	<i>West Bradford Twp-Pennsylvania, West Bradford Twp, Chester</i>	<i>Negotiated underwriting</i>
PA	<i>Chester Co (Owen J Roberts) SD, Chester</i>	<i>Negotiated underwriting</i>
PA	<i>Berwick Area Joint Sewer Auth, Columbia</i>	<i>Negotiated underwriting</i>
PA	<i>Cumberland Co (So Middleton) SD, Cumberland</i>	<i>Negotiated underwriting</i>
PA	<i>Pennsylvania Housing Fin Agcy (PHFA), Dauphin</i>	<i>Negotiated underwriting</i>
PA	<i>Dauphin Co-Pennsylvania, Dauphin</i>	<i>Negotiated underwriting</i>
PA	<i>St Marys Area Water Authority, Elk</i>	<i>Negotiated underwriting</i>
PA	<i>Lackawanna Co (Riverside) SD, Lackawanna</i>	<i>Negotiated underwriting</i>
PA	<i>Lackawanna Co (Dunmore) SD, Lackawanna</i>	<i>Negotiated underwriting</i>
PA	<i>Lackawanna Co (Valley View) SD, Lackawanna</i>	<i>Negotiated underwriting</i>
PA	<i>Lancaster Co (Conestoga Vly) SD, Lancaster</i>	<i>Negotiated underwriting</i>
PA	<i>Lancaster Co (Manheim) TSD, Lancaster</i>	<i>Negotiated underwriting</i>
PA	<i>Lancaster Co (Hempfield) SD, Lancaster</i>	<i>Negotiated underwriting</i>
PA	<i>Lancaster Co (Ephrata) ASD, Lancaster</i>	<i>Negotiated underwriting</i>
PA	<i>Lebanon Co</i>	<i>Negotiated underwriting</i>

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	<i>(Annville-Cleona) SD, Lebanon</i>	
PA	<i>Whitehall Twp Auth, Lehigh</i>	<i>Negotiated underwriting</i>
PA	<i>Mercer Co-Pennsylvania, Mercer</i>	<i>Negotiated underwriting</i>
PA	<i>Montgomery Co (Colonial) SD, Montgomery</i>	<i>Negotiated underwriting</i>
PA	<i>Montgomery Co Hghr Ed &amp; Hlth Au, Montgomery</i>	<i>Negotiated underwriting</i>
PA	<i>North Penn Water Authority, Montgomery</i>	<i>Negotiated underwriting</i>
PA	<i>Easton Area Joint Sewer Auth, Northampton</i>	<i>Negotiated underwriting</i>
PA	<i>Northampton Co (Easton) ASD, Northampton/Bucks</i>	<i>Negotiated underwriting</i>
PA	<i>Northumberland Co (Mt Carmel) ASD, Northumberland</i>	<i>Negotiated underwriting</i>
PA	<i>Schuylkill Co Municipal Authority, Schuylkill</i>	<i>Negotiated underwriting</i>
PA	<i>Center-West Jt Sewer Auth, Washington</i>	<i>Negotiated underwriting</i>
PA	<i>Derry Twp Municipal Authority, Westmoreland</i>	<i>Negotiated underwriting</i>
PA	<i>East Westmoreland VocTech Sch Au, Westmoreland</i>	<i>Negotiated underwriting</i>
PA	<i>York Co (Northern York) SD, York</i>	<i>Negotiated underwriting</i>
RI	<i>Rhode Island Student Loan Auth, State Authority</i>	<i>Negotiated underwriting</i>
SD	<i>Lincoln Co-South Dakota, Lincoln</i>	<i>Negotiated underwriting</i>
TX	<i>Bexar Co (San Antonio) ISD, Bexar</i>	<i>Negotiated underwriting</i>
TX	<i>Bexar Co (Edgewood) ISD, Bexar</i>	<i>Negotiated underwriting</i>
TX	<i>San Antonio City-Texas, Bexar</i>	<i>Negotiated underwriting</i>
TX	<i>Brazoria Co MUD #34, Brazoria</i>	<i>Negotiated underwriting</i>
TX	<i>Brazoria Co MUD #25, Brazoria</i>	<i>Negotiated underwriting</i>



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TX	Lower Kirby Pearland Management Dt, Brazoria	Negotiated underwriting
TX	Cameron Co (Santa Maria) ISD, Cameron	Negotiated underwriting
TX	Collin Co (Anna) ISD, Collin	Negotiated underwriting
TX	Collin Co-Texas, Collin	Negotiated underwriting
TX	Collin Co (Allen) ISD, Collin	Negotiated underwriting
TX	Collin Co (Melissa) ISD, Collin	Negotiated underwriting
TX	Collin Co-Texas, Collin	Negotiated underwriting
TX	Denton Co (Northwest) ISD, Denton/Tarrant/Wise	Negotiated underwriting
TX	Fort Bend Co MUD #50, Fort Bend	Negotiated underwriting
TX	Fort Bend Co (Lamar) CISD, Fort Bend	Negotiated underwriting
TX	Fort Bend Co MUD #155, Fort Bend	Negotiated underwriting
TX	Fort Bend Co MUD #130, Fort Bend	Negotiated underwriting
TX	Fort Bend Co MUD #2, Fort Bend	Negotiated underwriting
TX	Fort Bend Co MUD #23, Fort Bend	Negotiated underwriting
TX	Fort Bend Co MUD #159, Fort Bend	Negotiated underwriting
TX	Fort Bend Co MUD #30, Fort Bend	Negotiated underwriting
TX	Fort Bend Grand Parkway Toll Road Auth, Fort Bend	Negotiated underwriting
TX	Fort Bend Co MUD #152, Fort Bend	Negotiated underwriting
TX	Westwood Management Dt, Galveston	Negotiated underwriting
TX	Galveston Co MUD #68, Galveston	Negotiated underwriting
TX	Bilma Public Utility Dt, Harris	Negotiated underwriting
TX	Harris Co Water Ctl Imp Dt #84, Harris	Negotiated underwriting
TX	Harris Co MUD #285, Harris	Negotiated underwriting
TX	Harris Co MUD#284, Harris	Negotiated underwriting

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TX	Harris Co MUD #278, Harris	Negotiated underwriting
TX	Harris Co MUD #412, Harris	Negotiated underwriting
TX	Harris Co MUD #399, Harris	Negotiated underwriting
TX	Harris Co Wtr Ctl Imp Dt #157, Harris	Negotiated underwriting
TX	Cypresswood Utility Dt, Harris	Negotiated underwriting
TX	Remington MUD #1, Harris	Negotiated underwriting
TX	Spring West MUD, Harris	Negotiated underwriting
TX	West Harris Co MUD #7, Harris	Negotiated underwriting
TX	Harris Co MUD #400, Harris	Negotiated underwriting
TX	Cypress Hill MUD # 1, Harris	Negotiated underwriting
TX	Harris Co MUD #381, Harris	Negotiated underwriting
TX	Harris Co MUD #16, Harris	Negotiated underwriting
TX	Northeast Harris Co MUD #1, Harris	Negotiated underwriting
TX	Alamo Community College Dt, Hidalgo	Negotiated underwriting
TX	Hidalgo Co (Monte Alto) ISD, Hidalgo	Negotiated underwriting
TX	Hood Co (Godley) ISD, Hood/Johnson/Tarrant	Negotiated underwriting
TX	Fritch City-Texas, Fritch City, Hutchinson	Negotiated underwriting
TX	Johnson Co (Cleburne) ISD, Johnson	Negotiated underwriting
TX	Lynn Co (New Home) ISD, Lynn	Negotiated underwriting
TX	Keene-Texas, Navarro	Negotiated underwriting
TX	Nueces Co (Robstown) ISD, Nueces	Negotiated underwriting
TX	Reeves Co (Balmorhea) ISD, Reeves	Negotiated underwriting
TX	North Texas Tollway Auth (NTTA), Rockwell	Negotiated underwriting
TX	Tyler City-Texas, Tyler City, Smith	Negotiated underwriting
TX	Starr Co (Rio Grande) CISD, Starr	Negotiated underwriting
TX	Arlington Higher Ed Fin Corp, Tarrant	Negotiated underwriting

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TX	Texas Dept of Hsg & Comm Affairs, Travis	Negotiated underwriting
TX	Travis Co (Del Valle) ISD, Travis	Negotiated underwriting
TX	Lazy Nine MUD #1B, Travis	Negotiated underwriting
TX	Texas Dept of Hsg & Comm Affairs, Travis	Negotiated underwriting
TX	Austin Community College Dt, Travis/Williamson/Hays/Bastra	Negotiated underwriting
TX	Williamson Co (Liberty Hill) ISD, Williamson	Negotiated underwriting
TX	Waller Co Road Improvemnet Dt #1, Waller	Negotiated underwriting
TX	Laredo City-Texas, Laredo City, Webb	Negotiated underwriting
TX	Williamson Co (Taylor) ISD, Williamson	Negotiated underwriting
WA	Washington St Hsg Fin Commission, King	Negotiated underwriting
WA	Lewis Co Public Utility Dt #1, Lewis	Negotiated underwriting
WA	Everett Housing Authority, Snohomish	Negotiated underwriting
WI	Wisconsin Hsg & Econ Dev Auth, State Authority	Negotiated underwriting
AZ	Arizona Board of Regents, Maricopa	Financial advisor
AZ	Glendale City-Arizona, Glendale City, Maricopa	Financial advisor
AZ	Pima Co-Arizona, Pima	Financial advisor
FL	Hernando Co-Florida, Hernando	Financial advisor
NM	City of Albuquerque, City of Albuquerque, Bernalillo	Financial advisor
NM	Santa Fe PSD, Santa Fe	Financial advisor
OH	Ohio Housing Finance Agency (OHFA), Franklin	Financial advisor
PA	Pennsylvania Econ Dev Fin Auth, Dauphin	Financial advisor
TX	Bandera Co (Medina Valley) ISD, Bandera	Financial advisor
TX	Elgin City-Texas, Elgin City,	Financial advisor

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TX	<i>Bastrop Bexar Co (Harlandale) ISD, Bexar</i>	<i>Financial advisor</i>
TX	<i>Brazoria Co MUD #21, Brazoria</i>	<i>Financial advisor</i>
TX	<i>Cameron Co (San Benito) CISD, Cameron</i>	<i>Financial advisor</i>
TX	<i>Dallas ISD, Dallas</i>	<i>Financial advisor</i>
TX	<i>Denton Co (Little Elm) ISD, Denton</i>	<i>Financial advisor</i>
TX	<i>Texas Womans Univ Bd of Regents, Denton</i>	<i>Financial advisor</i>
TX	<i>Fort Bend Co MUD #199, Fort Bend</i>	<i>Financial advisor</i>
TX	<i>Galveston Co (Hitchcock) ISD, Galveston</i>	<i>Financial advisor</i>
TX	<i>Galveston Co MUD #43, Galveston</i>	<i>Financial advisor</i>
TX	<i>Galveston Co MUD #44, Galveston</i>	<i>Financial advisor</i>
TX	<i>Lone Star College System, Harris/Montgomery</i>	<i>Financial advisor</i>
TX	<i>Chandler City-Texas, Chandler City, Henderson</i>	<i>Financial advisor</i>
TX	<i>Hidalgo Co (Edcouch-Elsa) ISD, Hidalgo</i>	<i>Financial advisor</i>
TX	<i>Hood Co (Lipan) ISD, Hood/Erath/Palo Pinto/Parker</i>	<i>Financial advisor</i>
TX	<i>Hopkins Co-Texas, Hopkins</i>	<i>Financial advisor</i>
TX	<i>Kaufman Co (Crandall) ISD, Kaufman</i>	<i>Financial advisor</i>
TX	<i>Lubbock City-Texas, Lubbock City, Lubbock</i>	<i>Financial advisor</i>
TX	<i>McLennan Co Jr College Dt, McLennan</i>	<i>Financial advisor</i>
TX	<i>Arlington Higher Ed Fin Corp, Tarrant</i>	<i>Financial advisor</i>
TX	<i>Tarrant Co (Fort Worth) ISD, Tarrant</i>	<i>Financial advisor</i>
TX	<i>Travis Co (Lago Vista) ISD, Travis</i>	<i>Financial advisor</i>

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WI	Wisconsin Hsg & Econ Dev Auth, State Authority	Remarketing agent
NJ	City of Asbury Park, Asbury Park, Monmouth	Private placement

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
NM	City of Santa Rosa, City of Santa Rosa, Guadalupe County	Advice
NM	Lordsburg Municipal School District, City of Lordsburg, Hidalgo County	Advice
NM	Central Consolidated Schools, City of Shiprock, San Juan County	Advice
NM	Santa Fe County, City of Sante Fe, Santa Fe County	Advice
TX	Texas Public Finance Authority- Texas Windstorm Insurance Authority, City of Austin, Travis County	Advice
TX	Harris County Municipal Utility District No. 373, City of Houston, Harris County	Advice
NM	City of Moriarity, City of Moriarity, Torrance County	Advice
NM	City of Albuquerque, City of Albuquerque, Bernalillo County	Advice
NM	New Mexico Highland's University, City of Las Vegas, San Miguel County	Advice
TX	Galveston County Municipal	Advice

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TX	<p><i>Utility District No. 044, City of League City, Galveston County</i></p> <p><i>Harris County Water Control &amp; Improvement District No. 114, City of Houston, Harris County</i></p>	Advice
NM	<p><i>Albuquerque Metropolitan Arroyo Flood Control Authority, City of Albuquerque, Bernalillo County</i></p>	Advice
NM	<p><i>Cuba Independent School District, City of Cuba, Sandoval County</i></p>	Advice
TX	<p><i>Harris County Water Control &amp; Improvement District No. 133, City of Houston, Harris County</i></p>	Advice
FL	<p><i>City of DeLand, City of Deland, Volusia County</i></p>	Advice
TX	<p><i>Harris County Improvement District No. 001, City of Houston, Harris County</i></p>	Advice
TX	<p><i>Pharr-San Juan-Alamo Independent School District, City of Pharr, Hidalgo County</i></p>	Advice
FL	<p><i>City of Bonita Springs, City of Bonita Springs, Lee County</i></p>	Advice
TX	<p><i>City of Kyle, City of Kyle, Hays County</i></p>	Advice
TX	<p><i>City of Edna, City of Edna/, Jackson County</i></p>	Advice
TX	<p><i>La Salle Water Control and Improvement District No. 1A, City of Port Lavaca, La Salle County</i></p>	Advice
TX	<p><i>City of Lubbock, City of</i></p>	Advice

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
AZ	<i>Lubbock, Lubbock County State of Arizona - Issue specific, City of Phoenix, State</i>	<i>Advice</i>
TX	<i>Dallas Independent School District, City of Dallas, Dallas County</i>	<i>Advice</i>
NM	<i>Jemez Valley Public School District No. 31, City of Jemez Pueblo, Sandoval County</i>	<i>Advice</i>
TX	<i>Town of Fulton, City of Fulton, Aransas County</i>	<i>Advice</i>
TX	<i>Nueces Canyon Consolidated Independent School District, City of Barksdale, Edwards County</i>	<i>Advice</i>
TX	<i>Galveston County Municipal Utility District No 73, City of League City, Galveston County</i>	<i>Advice</i>
TX	<i>River Plantation Municipal Utility District, City of Houston, County of Montgomery</i>	<i>Advice</i>
NM	<i>Cobre Consolidated School District No. 2, City of Bayard, Grant County</i>	<i>Advice</i>
TX	<i>Kimberlin Ranch Municipal Utility District No. 1 of Grayson County, City of Houston, Grayson County</i>	<i>Advice</i>
TX	<i>City of Daisetta, City of Daisetta, Liberty County</i>	<i>Advice</i>
TX	<i>Crawford Independent School District, City of Crawford, McLennan County</i>	<i>Advice</i>
TX	<i>City of Elgin, City of Elgin, Travis County</i>	<i>Advice</i>
NM	<i>Central New Mexico</i>	<i>Advice</i>

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NM	<i>Community College, City of Albuquerque, Bernalillo County/ Sandoval County City of Tucumcari, City of Tucumcari, Quay County</i>	<i>Advice</i>
TX	<i>Killeen Independent School District, City of Killeen, Bell &amp; Coryell Counties</i>	<i>Advice</i>
NM	<i>Jemez Mountain Public Schools, City of Jemez Pueblo, Sandoval County</i>	<i>Advice</i>
NM	<i>Town of Edgewood, City of Edgewood, Santa Fe County</i>	<i>Advice</i>
TX	<i>Bandera County, City of Bandera, Bandera County</i>	<i>Advice</i>
TX	<i>City of Pflugerville, City of Pflugerville, Travis County</i>	<i>Advice</i>
NM	<i>Eastern New Mexico Water Utility Authority, City of Clovis, Curry County</i>	<i>Advice</i>
TX	<i>College of the Mainland, City of Texas City, Galveston County</i>	<i>Advice</i>
FL	<i>City of Pensacola, City of Pensacola, Escambia County</i>	<i>Advice</i>
NM	<i>Wagon Mound Public Schools, City of Wagon Mound, Mora County</i>	<i>Advice</i>
TX	<i>Fort Bend County Municipal Utility District No. 199, City of Katy, Fort Bend County</i>	<i>Advice</i>
TX	<i>Katy Development Authority, City of Katy, Harris County</i>	<i>Advice</i>
NM	<i>Artesia Public School District, City of Artesia, Eddy County</i>	<i>Advice</i>
NM	<i>Jal Public Schools, City of Jal, Lea County</i>	<i>Advice</i>



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NM	<i>Ruidoso Municipal Schools, City of Ruidoso, Lincoln County</i>	<i>Advice</i>
TX	<i>Stafford Municipal School District, City of Stafford, Fort Bend County</i>	<i>Advice</i>
TX	<i>Medina Valley Independent School District, City of Castroville, Medina County</i>	<i>Advice</i>
TX	<i>Cameron Economic Developemnt Corporation, City of Cameron, Milam County</i>	<i>Advice</i>
NM	<i>Tucumcari Public Schools, City of Tucumcari, Quay County</i>	<i>Advice</i>
NM	<i>Bernalillo Public Schools District/ Municipal School District No.1, City of Bernalillo, Sandoval County</i>	<i>Advice</i>
TX	<i>La Villa Independent School District, City of La Villa, Hidalgo County</i>	<i>Advice</i>
TX	<i>City of Cameron, City of Cameron, Milam County</i>	<i>Advice</i>
TX	<i>Montgomery County Municipal Utility District No. 107, City of Houston, Montgomery County</i>	<i>Advice</i>
NM	<i>Valencia County, City of Los Lunas, Valencia County</i>	<i>Advice</i>
TX	<i>Bexar County Water Control and Improvement District No 10, City of Midcrown, Bexar County</i>	<i>Advice</i>
TX	<i>La Porte Area Water Authority, City of la Porte, Harris County</i>	<i>Advice</i>

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TX	<i>City of Mercedes, City of Mercedes, Hidalgo County</i>	<i>Advice</i>
TX	<i>Town of Refugio, City of Refugio, Refugio County</i>	<i>Advice</i>
NM	<i>Nor-Lea Special Hospital District, City of Lovington, Lea County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 118, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>North Harris County Regional Water Authority, City of Houston, Harris County</i>	<i>Advice</i>
AZ	<i>Coconino County, Coconino County</i>	<i>Advice</i>
NM	<i>Gallup McKinley County School District, City of Gallup, McKinley County</i>	<i>Advice</i>
TX	<i>Mexia Independent School District, City of Mexia, Limestone County</i>	<i>Advice</i>
TX	<i>City of Chandler, City of Chandler, Traylor/Henderson/Henderson County</i>	<i>Advice</i>
NM	<i>City of Las Vegas, City of Las Vegas, Sam Miguel County</i>	<i>Advice</i>
TX	<i>Calhoun County Navigation District// Industrial Development Authority, City of Port Lavaca, Calhoun County</i>	<i>Advice</i>
TX	<i>Fort Bend County Municipal Utility District No. 119, City of Houston, Fort Bend County</i>	<i>Advice</i>
FL	<i>Lehigh Acres Municipal Services Improvement District, City of Lehigh Acres,</i>	<i>Advice</i>

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NM	Lee County Hagerman Municipal School District, City of Hagerman, Chaves County	Advice
TX	Hearne Independent School District, City of Hearne, Bexar County	Advice
TX	Woodcreek Reserve Municipal Utility District, City of Houston, Fort Bend County	Advice
TX	Waterwood Municipal Utility District No. 1, City of Houston, San Jacinto County	Advice
TX	Falls City Independent School District, City of Falls City, Karnes County	Advice
CO	State of Colorado Treasurers' Office, City of Denver, State	Advice
NM	Santa Fe Public School District, City of Santa Fe, Santa Fe County	Advice
PA	Commonwealth of Pennsylvania State System of Higher Education, City of Harrisburg, Cumberland County	Advice
NM	Eastern Sandoval County Arroyo Flood Control Authority, City of Bernalillo, Sandoval County	Advice
TX	City of Lindale, City of Lindale, Smith County	Advice
FL	Polk County, City of Barlow, Polk County	Advice
TX	Kimberlin Ranch Municipal Utility District No. 2 of Grayson County, City of Houston, Grayson County	Advice

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TX	<i>Lago Vista Independent School District, City of Lago Vista, Travis County</i>	<i>Advice</i>
TX	<i>Gateway Park Municipal Management District, City of El Campo, Wharton County</i>	<i>Advice</i>
TX	<i>Argyle Independent School District, City of Argyle, Denton County</i>	<i>Advice</i>
TX	<i>Tyler Independent School District, City of Tyler, Smith County</i>	<i>Advice</i>
TX	<i>Floresville Electric Light and Power System, City of Floresville, Wilson County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 500, City of Houston, Harris County</i>	<i>Advice</i>
NM	<i>Albuquerque Bernalillo County Water Utility Authority, City of Albuquerque, Bernalillo County</i>	<i>Advice</i>
NM	<i>Bernalillo County, City of Albuquerque, Bernalillo County</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 56, City of Houston, Brazoria County</i>	<i>Advice</i>
TX	<i>Galveston County Municipal Utility District No. 043, City of League City, Galveston County</i>	<i>Advice</i>
TX	<i>Austin Independent School District, City of Austin, Travis County</i>	<i>Advice</i>
TX	<i>Lake Forest Utility District, City of Houston, Harris</i>	<i>Advice</i>

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TX	<i>County North Green Municipal Utility District, City of Houston, Harris County</i>	<i>Advice</i>
NM	<i>Roswell Independent School District, City of Roswell, Chaves County</i>	<i>Advice</i>
TX	<i>Medina Independent School District, City of Medina, Bandera County</i>	<i>Advice</i>
NM	<i>Farmington Municipal School District No. 5, City of Farmington, San Juan County</i>	<i>Advice</i>
TX	<i>Odessa Junior College District, City of Odessa, Ector County</i>	<i>Advice</i>
TX	<i>City of Gonzales, City of Gonzales, Gonzales County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 502, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Lubbock Christian University, City of Lubbock, Lubbock County</i>	<i>Advice</i>
CO	<i>Kinston Metropolitan District No 2 (f/k/a No 1), City of Loveland, Larimer County</i>	<i>Advice</i>
TX	<i>Port Arthur Independent School District, City of Port Arthur, Jefferson County</i>	<i>Advice</i>
NM	<i>City of Clovis, City of Clovis, Curry County</i>	<i>Advice</i>
NM	<i>South Central Solid Waste Authority, City of Las Cruces, Dona Ana County</i>	<i>Advice</i>
NM	<i>Moriarty Edgewood School District No 8, City of Moriarty, Torrance County</i>	<i>Advice</i>

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TX	<i>Harris County Municipal Utility District No. 503, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Hays Consolidated Independent School District, City of Kyle, Hays/ Travis/ Caldwell Counties</i>	<i>Advice</i>
TX	<i>Clovercreek Municipal Utility District, City of Magnolia, Montgomery County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 155, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Schleicher County Hospital District, City of Eldorado, Schleicher County</i>	<i>Advice</i>
TX	<i>City of Waller, City of Waller, Waller County</i>	<i>Advice</i>
WY	<i>Carbon County, Carbon County</i>	<i>Advice</i>
NM	<i>Quay County, Quay County</i>	<i>Advice</i>
TX	<i>Fort Bend County Municipal Utility District No. 046, Missouri City, Fort Bend County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 158, City of Houston, Harris County</i>	<i>Advice</i>
NM	<i>Las Cruces Municipal/ Public School District No 2, City of Las Cruces, Dona Ana County</i>	<i>Advice</i>
NM	<i>City of Gallup Joint Utilities Department (Water), City of Gallup, McKinley County</i>	<i>Advice</i>
FL	<i>City of Homestead , Homestead, Dade</i>	<i>Advice</i>
TX	<i>County of Bexar, City of San Antonio, Bexar County</i>	<i>Advice</i>

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TX	<i>Tradition Municipal Utility District No. 1, City of Fort Worth, Denton County</i>	<i>Advice</i>
TX	<i>Klein Independent School District, City of Klein, Harris County</i>	<i>Advice</i>
TX	<i>Mission Redevelopment Authority, City of Mission, Hidalgo County</i>	<i>Advice</i>
TX	<i>City of Marfa, City of Marfa, Presidio County</i>	<i>Advice</i>
FL	<i>City of Marathon, City of Marathon, Monroe County</i>	<i>Advice</i>
TX	<i>Palmer Independent School District, City of Palmer, Ellis County</i>	<i>Advice</i>
TX	<i>Menard County Water Control and Improvement District No.1, City of Menard, Menard County</i>	<i>Advice</i>
NM	<i>Texico Municipal School District, City of Texico, Curry County</i>	<i>Advice</i>
FL	<i>City of North Miami, City of North Miami, Miami Dade County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 407, City of Houston, Harris County</i>	<i>Advice</i>
NM	<i>State of New Mexico-Administrative Office of the Court, City of Santa Fe, State Agency</i>	<i>Advice</i>
TX	<i>Hitchcock Independent School District, City of Hitchcock, Galveston County</i>	<i>Advice</i>
TX	<i>South Shore Harbour Municipal Utility District No.</i>	<i>Advice</i>

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	<i>007, City of Houston, Harris County</i>	
<i>TX</i>	<i>City of Mission, City of Mission, Hidalgo County</i>	<i>Advice</i>
<i>NM</i>	<i>Dona Ana Branch Community College District, City of Las Cruces, Dona Ana County</i>	<i>Advice</i>
<i>TX</i>	<i>Kaufman County MUD No. 10 -, City of Dallas, Kaufman County</i>	<i>Advice</i>
<i>TX</i>	<i>Montgomery County Municipal Utility District No. 140, City of Houston, Montgomery County</i>	<i>Advice</i>
<i>TX</i>	<i>City of Leander, City of Leander, Travis County</i>	<i>Advice</i>
<i>NM</i>	<i>New Mexico Military Institute, City of Roswell, Chaves County</i>	<i>Advice</i>
<i>NM</i>	<i>Lincoln County, City of Carrizozo, Lincoln County</i>	<i>Advice</i>
<i>TX</i>	<i>Montgomery County Municipal Utility District No. 100, City of Houston, Montgomery County</i>	<i>Advice</i>
<i>AZ</i>	<i>City of Glendale, City of Glendale</i>	<i>Advice</i>
<i>FL</i>	<i>City of Lakeland, City of Lakeland, Polk County</i>	<i>Advice</i>
<i>NM</i>	<i>North Central Regional Transit District, City of Espanola, Taos County</i>	<i>Advice</i>
<i>NM</i>	<i>Roosevelt County, City of Portales, Roosevelt County</i>	<i>Advice</i>
<i>TX</i>	<i>City of New Boston, City of New Boston, Bowie County</i>	<i>Advice</i>
<i>TX</i>	<i>City of Woodway, City of Woodway, McLennan County</i>	<i>Advice</i>



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NM	<i>City of Jal, City of Jal, Lee County</i>	<i>Advice</i>
NM	<i>Pojoague Valley School District, City of Santa Fe, Santa Fe County</i>	<i>Advice</i>
TX	<i>Teague Independent School District, City of Teague, Freestone County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 501, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Wilson Independent School District, City of Wilson, Lynn County</i>	<i>Advice</i>
TX	<i>Montgomery County Municipal Utility District No. 101, City of Houston, Montgomery County</i>	<i>Advice</i>
NM	<i>City of Roswell, City of Roswell, Chaves County</i>	<i>Advice</i>
NM	<i>Dexter Consolidated School District, City of Dexter, Chaves County</i>	<i>Advice</i>
NM	<i>Los Alamos School District, City of Los Alamos, Los Alamos County</i>	<i>Advice</i>
TX	<i>Kaufman Independent School District, City of Kaufman, Kaufman County</i>	<i>Advice</i>
AZ	<i>University of Arizona/ Arizona Board of Regents, State Agency</i>	<i>Advice</i>
NM	<i>City of Raton, City of Raton, Colfax County</i>	<i>Advice</i>
NM	<i>City of Las Cruces, City of Las Cruces, Dona Ana County</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 57, City of</i>	<i>Advice</i>

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TX	<i>Houston, Brazoria County City of Beaumont, City of Beaumont, Jefferson County</i>	<i>Advice</i>
NM	<i>Albuquerque Municipal School District No. 12 (aka Albuquerque Public Schools), City of Albuquerque, Bernallillo County</i>	<i>Advice</i>
TX	<i>Highland Park Independent School District, City of Dallas, Dallas County</i>	<i>Advice</i>
TX	<i>Bland Independent School District, City of Merit, Hunt County</i>	<i>Advice</i>
TX	<i>Victoria County Navigation District, City of Victoria, Victoria County</i>	<i>Advice</i>
TX	<i>Denison Independent School District, City of Denison, Grayson County</i>	<i>Advice</i>
TX	<i>Victoria Housing Finance Corporation, City of Victoria, Victoria County</i>	<i>Advice</i>
NM	<i>Alamogordo Public Schools (Municipal School District No. 1), City of Alamogordo, Otero County</i>	<i>Advice</i>
TX	<i>Northeast Texas Community College District, City of Mount Pleasant, Camp/ Morris/ Titus County</i>	<i>Advice</i>
TX	<i>City of Kenedy, City of Kenedy, Karnes County</i>	<i>Advice</i>
CO	<i>State of Colorado Treasurers' Office, City of Denver, State</i>	<i>Advice</i>
NM	<i>City of Rio Rancho, City of Rio Rancho, Bernalillo County</i>	<i>Advice</i>

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NM	<i>Western New Mexico University, City of Silver City, Grant County</i>	<i>Advice</i>
TX	<i>Port Neches Improvement District, City of Port Neches, Jefferson County</i>	<i>Advice</i>
FL	<i>Fort Pierce Utilities Authority, City of Fort Pierce, St Lucie County</i>	<i>Advice</i>
TX	<i>Texas Public Finance Authority, City of Austin, Travis County</i>	<i>Advice</i>
TX	<i>City of Windcrest, City of Windcrest, Bexar County</i>	<i>Advice</i>
TX	<i>City of Arcola, City of Arcola, Fort Bend County</i>	<i>Advice</i>
CO	<i>Metro Wastewater Reclamation District, City of Denver, Denver County</i>	<i>Advice</i>
FL	<i>City of Cape Coral, City of Cape Coral, Lee County</i>	<i>Advice</i>
NM	<i>Village of Los Ranchos de Albuquerque, Village of Los Ranchos de Albuquerque, Bernalillo County</i>	<i>Advice</i>
NM	<i>Cloudcroft Municipal School District, City of Cloudcroft, Otero County</i>	<i>Advice</i>
FL	<i>City of Bradenton, City of Bradenton, Manatee County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 248, City of Houston, Harris County</i>	<i>Advice</i>
NM	<i>City of Gallup, City of Gallup, McKinley County</i>	<i>Advice</i>
NM	<i>Belen Consolidated Schools, City of Belen, Valencia County</i>	<i>Advice</i>

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TX	<i>Irving Independent School District, City of Irving, Dallas County</i>	<i>Advice</i>
TX	<i>Galveston County Municipal Utility District No. 072, City of Houston, Galveston County</i>	<i>Advice</i>
TX	<i>Edna Independent School District, City of Edna, Jackson County</i>	<i>Advice</i>
TX	<i>Paris Independent School District, City of Paris, Lamar County</i>	<i>Advice</i>
TX	<i>Waco Independent School District, City of Waco, McLennan County</i>	<i>Advice</i>
TX	<i>Lake Travis Independent School District, City of Austin, Travis County</i>	<i>Advice</i>
TX	<i>Harlandale Independent School District, City of San Antonio, Bexar County</i>	<i>Advice</i>
TX	<i>Katy Management District No. 1, City of Katy, Fort Bend County</i>	<i>Advice</i>
NM	<i>Truth or Consequences School District, City of Truth or Consequences, Sierra County</i>	<i>Advice</i>
TX	<i>Smithville Independent School District, City of Smithville, Bastrop County</i>	<i>Advice</i>
TX	<i>San Benito Consolidated Independent School District, City of San Benito, Cameron County</i>	<i>Advice</i>
TX	<i>City of Port Neches, City of Port Neches, Jefferson County</i>	<i>Advice</i>
WY	<i>Carbon County Specific Purpose Tax Joint Powers</i>	<i>Advice</i>

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TX	Board, City of Rawlins, Carbon County	Advice
TX	Harris County Municipal Utility District No. 427, City of Houston, Harris County	Advice
TX	Harris County Water Control & Improvement District - Fondren Road, City of Houston, Harris County	Advice
AZ	City of Tolleson, City of Tolleson	Advice
TX	Hopkins County, City of Sulphur Springs, Hopkins County	Advice
NM	San Juan County, City of Aztec, San Juan County	Advice
TX	Little Elm Independent School District, City of Little Elm, Denton County	Advice
TX	Parker County Junior College -Legal Name (DBA Weatherford College), City of Weatherford, Parker County	Advice
NM	Clovis Municipal School District, City of Clovis, Curry County	Advice
FL	City of Miami Beach, City of Miami Beach, Dade County	Advice
NM	San Juan College, City of Farmington, San Juan County	Advice
TX	Palestine Independent School District, City of Palestine, Anderson County	Advice
TX	City of Rockport, City of Rockport, Aransas County	Advice
TX	Kerr County, City of Kerrville, Kerr County	Advice
NM	San Miguel County, City of	Advice

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	<i>Las Vegas, San Miguel County</i>	
<i>TX</i>	<i>Life School of Dallas, City of Dallas, Dallas County</i>	<i>Advice</i>
<i>TX</i>	<i>City of Goliad, City of Goliad, Goliad County</i>	<i>Advice</i>
<i>TX</i>	<i>Paint Creek Independent School District, City of Haskell, Haskell County</i>	<i>Advice</i>
<i>TX</i>	<i>Brazoria County Municipal Utility District No. 21, City of Houston, Brazoria County</i>	<i>Advice</i>
<i>TX</i>	<i>Lipan Independent School District, City of Lipan, Hood/ Erath/ Palo Pinto/ Parker County</i>	<i>Advice</i>
<i>TX</i>	<i>Sanger Independent School District, City of Sanger, Denton County</i>	<i>Advice</i>
<i>TX</i>	<i>Brickston Municipal Utility District, City of Elgin, Travis County</i>	<i>Advice</i>
<i>NM</i>	<i>Southern Sandoval County Arroyo Flood Control Authority, City of Rio Rancho, Sandoval County</i>	<i>Advice</i>
<i>NM</i>	<i>Santa Fe Community College, City of Santa Fe, Santa Fe County</i>	<i>Advice</i>
<i>TX</i>	<i>Nacogdoches Independent School District, City of Nacogdoches, Nacogdoches County</i>	<i>Advice</i>
<i>NM</i>	<i>New Mexico State University, City of Las Cruces, Dona Ana County</i>	<i>Advice</i>
<i>NM</i>	<i>Aztec Municipal School District No. 2, City of Aztec,</i>	<i>Advice</i>

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TX	<i>San Juan County McAllen Independent School District, City of McAllen, Hidalgo County</i>	<i>Advice</i>
NM	<i>Logan Municipal School District, City of Logan, Quay County</i>	<i>Advice</i>
TX	<i>City of Umland, City of Umland, Caldwell and Hays Counties</i>	<i>Advice</i>
NM	<i>Melrose Municipal School District No. 12, City of Melrose, County of Middlesex</i>	<i>Advice</i>
NM	<i>Gadsden Independent School District No. 16, City of Sunland Park, Dona Ana County</i>	<i>Advice</i>
TX	<i>Texas Woman's University, City of Denton, Denton County</i>	<i>Advice</i>
AZ	<i>Arizona State University/ Arizona Board of Regents, State Agency</i>	<i>Advice</i>
NM	<i>Lovington Municipal School District No. 1, City of Lovington, Lea County</i>	<i>Advice</i>
TX	<i>Fort Bend County Water Control and Improvement District No. 2, City of Stafford, Fort Bend County</i>	<i>Advice</i>
TX	<i>City of Stafford, City of Stafford, Harris/ Fort Bend</i>	<i>Advice</i>
NM	<i>Carlsbad Municipal School District, City of Carlsbad, Eddy County</i>	<i>Advice</i>
NM	<i>Town of Vaughn, City of Vaughn, Guadalupe County</i>	<i>Advice</i>
TX	<i>Cinco Municipal Utility</i>	<i>Advice</i>

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TX	<i>District No. 02, City of Katy, Fort Bend County Richey Road Municipal Utility District, City of Houston, Harris County</i>	<i>Advice</i>
NM	<i>Portales Municipal Schools, City of Portales, Roosevelt County</i>	<i>Advice</i>
TX	<i>City of Alamo, City of Alamo, Hidalgo County</i>	<i>Advice</i>
TX	<i>City of Liberty, City of Liberty, Liberty County</i>	<i>Advice</i>
TX	<i>City of Clute, City of Clute, Brazoria County</i>	<i>Advice</i>
TX	<i>City of Victoria, City of Victoria, Vicotira County</i>	<i>Advice</i>
FL	<i>Polk Regional Water Cooperative, City of Winter Haven, Polk County</i>	<i>Advice</i>
NM	<i>Carrizozo Municipal Schools, City of Carrizozo, Lincoln County</i>	<i>Advice</i>
NM	<i>Las Vegas City School District, City of Las Vegas, San Miguel County</i>	<i>Advice</i>
NM	<i>Los Lunas Municipal Schools District, City of Los Lunas, Valencia County</i>	<i>Advice</i>
TX	<i>Edcouch Elsa Independent School District, City of Edcouch, Hidalgo County</i>	<i>Advice</i>
TX	<i>Texas Public Finance Authority, City of Austin, Travis County</i>	<i>Advice</i>
FL	<i>Hernando County, Ciyt of Brooksville, Hernando County</i>	<i>Advice</i>
TX	<i>Gillespie County, City of Fredericksburg, Gillespie</i>	<i>Advice</i>



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NM	<i>County Guadalupe County, City of Santa Rosa, Guadalupe County</i>	<i>Advice</i>
NM	<i>Dora Consolidated Schools, City of Dora, Roosevelt County</i>	<i>Advice</i>
TX	<i>Cuero Independent School District, City of Cuero, DeWitt County</i>	<i>Advice</i>
TX	<i>Development Corporation of the City of Mercedes, City of Mercedes, Hidalgo County</i>	<i>Advice</i>
TX	<i>Liberty-Eylau Independent School District, City of Texarkana, Bowie County</i>	<i>Advice</i>
NM	<i>City of Bloomfield, City of Bloomfield, San Juan County</i>	<i>Advice</i>
AZ	<i>Arizona Department of Transportation/ Arizona Transportation Board, State Agency</i>	<i>Advice</i>
TX	<i>Aransas County, City of Rockport, Aransas County</i>	<i>Advice</i>
TX	<i>Howe Independent School District, City of Howe, Grayson County</i>	<i>Advice</i>
TX	<i>Scurry Rosser Independent School District, City of Scurry, Kaufman County</i>	<i>Advice</i>
OH	<i>Ohio Housing Finance Agency, City of Columbus, Franklin County</i>	<i>Advice</i>
TX	<i>Eanes Independent School District, City of Austin, Travis County</i>	<i>Advice</i>
TX	<i>Pflugerville Community Development corporation,</i>	<i>Advice</i>

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	<i>City of Pflugerville, Travis County</i>	
<i>TX</i>	<i>Towne Lake Management District, City of Houston, Harris County</i>	<i>Advice</i>
<i>NM</i>	<i>Tatum Municipal Schools, City of Tatum, Lea County</i>	<i>Advice</i>
<i>NM</i>	<i>Rio Rancho Public Schools (No. 94), City of Rio Rancho, Sandoval County</i>	<i>Advice</i>
<i>TX</i>	<i>City of Katy, City of Katy, Harris/ Fort Bend/ Waller</i>	<i>Advice</i>
<i>NM</i>	<i>Vaughn Municipal School District, City of Vaughn, Guadalupe County</i>	<i>Advice</i>
<i>TX</i>	<i>City of Fairfield, City of Fairfield, Freestone County</i>	<i>Advice</i>
<i>TX</i>	<i>Harris-Waller Counties Municipal Utility District No. 2, City of Katy, Harris/ Waller County</i>	<i>Advice</i>
<i>TX</i>	<i>Liberty Community Development Corporation, City of Liberty, Liberty County</i>	<i>Advice</i>
<i>TX</i>	<i>City of Port Lavaca, City of Port Lavaca, Calhoun County</i>	<i>Advice</i>
<i>TX</i>	<i>Tom Green County, City of San Angelo, Tom Green County</i>	<i>Advice</i>
<i>NM</i>	<i>Mora County, City of Mora, Mora County</i>	<i>Advice</i>
<i>TX</i>	<i>Mercedes Independent School District, City of Mercedes, Hidalgo County</i>	<i>Advice</i>
<i>AZ</i>	<i>Valley Metro/ Regional Public Transportation Authority/ Maricopa County, Maricopa</i>	<i>Advice</i>

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TX	<i>County City of La Porte, City of La Porte, Harris County</i>	<i>Advice</i>
TX	<i>Montgomery County Municipal Utility District No. 186, City of Houston, County of Montgomery</i>	<i>Advice</i>
NM	<i>Town of Bernalillo, City of Bernalillo, Sandoval County</i>	<i>Advice</i>
TX	<i>Calhoun Port Authority, City of Point Comfort, Calhoun County</i>	<i>Advice</i>
TX	<i>City of Giddings, City of Giddings, Lee County</i>	<i>Advice</i>
TX	<i>Cape Royale Utility District, City of Coldspring, San Jacinto County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 286, City of Houston, Harris County</i>	<i>Advice</i>
AZ	<i>Pima County, Pima County</i>	<i>Advice</i>
NM	<i>Quemado Independent School District No. 2, City of Quemado, Catron County</i>	<i>Advice</i>
NM	<i>Roosevelt County Special Hospital District, City of Portales, Roosevelt County</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 06, City of Houston, Brazoria County</i>	<i>Advice</i>
TX	<i>Community Independent School District, City of Neveda, Collin County</i>	<i>Advice</i>
NM	<i>City of Carlsbad, City of Carlsbad, Eddy County</i>	<i>Advice</i>
TX	<i>Trail of the Lakes Municipal Utility District, City of Houston, Harris County</i>	<i>Advice</i>

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CO	<i>Kinston Metropolitan District No 5, City of Loveland, Larimer County</i>	<i>Advice</i>
FL	<i>Sarasota Manatee Airport Authority, City of Sarasota, Sarasota County</i>	<i>Advice</i>
NM	<i>West Las Vegas School District, City of Las Vegas, San Miguel County</i>	<i>Advice</i>
TX	<i>City of Nixon, City of Nixon, Gonzales/ Wilson Counties</i>	<i>Advice</i>
TX	<i>Kimberlin Ranch Municipal Utility District No. 3 of Grayson County, City of Houston, Grayson County</i>	<i>Advice</i>
FL	<i>Town of Jupiter Island, Town of Jupiter Island, Martin County</i>	<i>Advice</i>
NM	<i>Village of Angel Fire, City of Angel Fire, Colfax County</i>	<i>Advice</i>
TX	<i>Crandall Independnet School District, City of Crandall, Kaufman County</i>	<i>Advice</i>
TX	<i>McLennan Community College, City of Waco, McLennan County</i>	<i>Advice</i>
NM	<i>Grants/ Cibola County School District No. 1, City of Grants, Cibola County</i>	<i>Advice</i>
NM	<i>Deming Public School District, City of Deming, Luna County</i>	<i>Advice</i>
FL	<i>Ocean Highway &amp; Port Authority, City of Fernandina Beach, Nassau County</i>	<i>Advice</i>
TX	<i>Nederland Independent School District, City of Nederland, Jefferson County</i>	<i>Advice</i>

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NM	<i>City of Gallup Utilities (Electric), City of Gallup, McKinley County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 261, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 389, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Lone Star College System, City of The Woodlands, Harris, Montgomery and San Jacinto Counties</i>	<i>Advice</i>
TX	<i>Aransas County Navigation District No. 1, City of Rockport, Aransas County</i>	<i>Advice</i>
TX	<i>Fort Worth Independent School District, City of Fort Worth, Tarrant County</i>	<i>Advice</i>
TX	<i>City of Morgan's Point, City of Morgan Point, Harris County</i>	<i>Advice</i>
TX	<i>Ingram Independent School District, City of Ingram, Kerr County</i>	<i>Advice</i>
TX	<i>Tom Green County Cultural Education Facilities Finance Corporation, City of San Angelo, Tom Green County</i>	<i>Advice</i>
TX	<i>Galveston County Municipal Utility District No. 032, City of La Marque, Galveston County</i>	<i>Advice</i>
TX	<i>Port Neches Economic Development Corporation, City of Port Neches, Jefferson County</i>	<i>Advice</i>
TX	<i>Starr County, City of Rio</i>	<i>Advice</i>

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NM	<i>Grande City, Starr County Bloomfield School District No. 6, City of Broomfield, San Juan County</i>	<i>Advice</i>
FL	<i>Emerald Coast Utilities Authority, City of Pensacola, Escambia County</i>	<i>Advice</i>
TX	<i>City of Granite Shoals, City of Granite Shoals, Burnet County</i>	<i>Advice</i>
NM	<i>Hatch Valley Public Schools, City of Hatch, Dana Ana County</i>	<i>Advice</i>
NM	<i>City of Farmington, City of Farmington, San Juan County</i>	<i>Advice</i>
NM	<i>Taos County, City of Taos, Taos County</i>	<i>Advice</i>

**C. Ballot-Approved Offerings**

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>Grossmont-Cuy Community College District</i>	<i>Grossmont-Cuyamaca Community College District, San Diego County (California) General Obligation Bonds, Election of 2012, Series 2021C</i>	<i>02/09/2021</i>

# FORM G-37



**Signature:** electronic

**Date:** Feb 23, 2023

**Name (must be officer of regulated entity):** *George Hinchcliff*

**Address:** *200 Vesey Street, New York, NY 10281*

**Phone:** *212-618-7583*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)