

Name of Regulated Entity: RBC Capital Markets, LLC

Report Period: First Quarter of 2021

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State Complete name, title

(including any

city/county/state or other political subdivision) of municipal entity official

Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)

If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date

of such automatic exemption.

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State Complete name (including

any city/county/state or other

political subdivision) of

political party

None

Payments by each contributor category



III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State Official name of bond ballot

campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities Contributions, including the specific date the contributions were made, by each contributor category

None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None



${\bf IV.\ MUNICIPAL\ ENTITIES\ with\ which\ the\ regulated\ entity\ has\ engaged\ in\ municipal\ securities\ business\ or\ municipal\ advisory\ business\ (list\ by\ state)}$

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
AL	Black Belt Energy Gas Dt, Clarke	Negotiated underwriting
AZ	Maricopa Co Industrial Dev Auth, Maricopa	Negotiated underwriting
AZ	Scottsdale Muni Property Corp, Maricopa	Negotiated underwriting
AZ	Tucson City-Arizona, Tucson, Pima	Negotiated underwriting
CA	Alameda Co (Castro Valley) USD, Alameda	Negotiated underwriting
CA	Calaveras Co (Vallecito) USD, Calaveras	Negotiated underwriting
TX	Harris Co MUD #220, Harris	Negotiated underwriting
CA	Regents of the Univ of California, College or University	Negotiated underwriting
CA	California Statewide CDA (CSCDA), Contra Costa	Negotiated underwriting
CA	Kings Co (Reef-Sunset) USD, Kings	Negotiated underwriting
CA	Long Beach City Success Redev Agcy, Los Angeles	Negotiated underwriting
CA	Long Beach Comm College Dt, Los Angeles	Negotiated underwriting
CA	Los Angeles (Hacienda-La Puente) USD, Los Angeles	Negotiated underwriting
CA	Los Angeles Co (Whittier) UHSD, Los Angeles	Negotiated underwriting
CA	Los Angeles Co Schools, Los Angeles	Negotiated underwriting
CA	Marin Co (Tamalpais) UHSD, Marin	Negotiated underwriting
CA	Orange Co (Tustin) USD, Orange	Negotiated underwriting
CA	Riverside Co (Perris) ESD, Riverside	Negotiated underwriting
CA	California School Finance	Negotiated underwriting



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	Auth, Sacramento	
CA	San Bernardino Co (Chaffey) JUHSD, San Bernardino	Negotiated underwriting
CA	CMFA Special Fin Agcy I, San Diego	Negotiated underwriting
CA	Southwestern Comm College Dt, San Diego	Negotiated underwriting
CA	San Francisco Muni Transport Agy, San Francisco	Negotiated underwriting
CA	San Mateo-Foster City SD, San Mateo	Negotiated underwriting
CA	Sonoma Co (Harmony) USD, Sonoma	Negotiated underwriting
CA	Stanislaus Co (Ceres) USD, Stanislaus	Negotiated underwriting
CA	California, State	Negotiated underwriting
CO	Adams Co (Commerce) CSD #14, Adams	Negotiated underwriting
CO	Adams Co (Westminster) PSD, Adams	Negotiated underwriting
CO	Northglenn City-Colorado, Adams	Negotiated underwriting
CO	Adams Co (Strasburg) SD #31J, Adams/Araphoe	Negotiated underwriting
CO	Colorado Hsg & Fin Auth (CHFA), Denver	Negotiated underwriting
CO	Colorado Reg Transportation Dt, Denver	Negotiated underwriting
CO	Denver City and Co Housing Auth, Denver, Denver	Negotiated underwriting
CO	Denver City and Co SD #1, Denver, Denver	Negotiated underwriting
CO	Patriot Park Metro Dt #2, El Paso	Negotiated underwriting
CO	La Plata Co (Durango) SD #9-R, La Plata	Negotiated underwriting
CO	Weld Co SD # RE-5J, Larimer/Weld	Negotiated underwriting
CO	Pitkin Co (Aspen) SD #1, Pitkin	Negotiated underwriting
CT	Connecticut Housing & Fin Auth (CHFA), Hartford	Negotiated underwriting



State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
FL	Miami-Dade Co Housing Fin Auth, Miami/Dade	Negotiated underwriting
FL	Pasco Co-Florida, Pasco	Negotiated underwriting
FL	Pinellas Co School Board, Pinellas	Negotiated underwriting
FL	Florida Housing Finance Corp, State Authority	Negotiated underwriting
IA	Iowa Finance Authority, State Authority	Negotiated underwriting
IL	Chicago City BOE, Chicago, Cook	Negotiated underwriting
IL	Cook Co-Illinois, Cook	Negotiated underwriting
IL	Illinois Finance Authority, Cook	Negotiated underwriting
IN	Indiana Housing Finance Auth, Marion	Negotiated underwriting
MD	Maryland Dept Hsg & Com Dev (DHCD), Prince Georges	Negotiated underwriting
ME	Maine State Housing Authority, State Authority	Negotiated underwriting
MN	Minnesota Housing Fin Agency, Ramsey	Negotiated underwriting
MO	Missouri Hlth & Ed Facs Authority, State Authority	Negotiated underwriting
MO	Missouri Housing Dev Commission, State Authority	Negotiated underwriting
MT	Montana Board of Housing, State Authority	Negotiated underwriting
NC	North Carolina Housing Fin Agcy, State Authority	Negotiated underwriting
NH	New Hampshire Housing Fin Auth, State Authority	Negotiated underwriting
NJ	Atlantic City SD BOE, Atlantic, Atlantic	Negotiated underwriting
NJ	Swedesboro-Woolwich CSD BOE, Gloucester	Negotiated underwriting
NJ	Union Twp BOE, Hunterdon	Negotiated underwriting
NJ	Mercer Co (East Windsor) RSD, Mercer	Negotiated underwriting
NJ	New Jersey Turnpike Authority, Middlesex	Negotiated underwriting
NJ	Hazlet Twp Brd of Ed,	Negotiated underwriting



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	Monmouth	
NJ	Tinton Falls Boro Bd of Education, Monmouth	Negotiated underwriting
NJ	Madison Borough BOE, Morris	Negotiated underwriting
NJ	Morris Co (Jefferson) TSD, Morris	Negotiated underwriting
NJ	Morris Co Improvement Authority, Morris	Negotiated underwriting
NM	Regents of University of New Mexico, Bernalillo	Negotiated underwriting
NM	New Mexico Finance Auth (NMFA), Santa Fe	Negotiated underwriting
NV	Washoe Co-Nevada, Washoe	Negotiated underwriting
NY	Build NYC Resource Corp, Kings	Negotiated underwriting
NY	NYC Municipal Water Fin Auth, New York City, Kings	Negotiated underwriting
NY	NYC Transitional Finance Auth, New York City, Kings	Negotiated underwriting
NY	NYS Housing Fin-Mortgage Agcy, Kings	Negotiated underwriting
NY	Long Island Power Authority, Nassau	Negotiated underwriting
NY	New York City-New York, New York City, New York/Bronx/Kings	Negotiated underwriting
NY	NYC Housing Dev Corp, New York/Bronx/Kings	Negotiated underwriting
NY	NYS Dorm Authority, State Authority	Negotiated underwriting
NY	Triborough Bridge & Tunnel Auth, State Authority	Negotiated underwriting
ОН	Westlake City-Ohio, Westlake, Cuyahoga	Negotiated underwriting
OH	Greene Co (Fairborn City) SD, Greene	Negotiated underwriting
OH	Montgomery Co (Northridge) LSD, Montgomery	Negotiated underwriting
OH	Montgomery Co-Ohio, Montgomery	Negotiated underwriting
ОН	Ohio Water Development	Negotiated underwriting



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	Authority, State Authority	
OR	Oregon Facilities Authority, Marion	Negotiated underwriting
PA	Allegheny Co Hospital Dev Auth, Allegheny	Negotiated underwriting
PA	Green Tree Borough-Pennsylvania, Allegheny	Negotiated underwriting
PA	Big Beaver-Pennsylvania, Beaver	Negotiated underwriting
PA	Berks Co (Antietam) SD, Berks	Negotiated underwriting
PA	Berks Co (Daniel Boone) ASD, Berks	Negotiated underwriting
PA	Berks Co (Governor Mifflin) SD, Berks	Negotiated underwriting
PA	Bucks Co (Neshaminy) SD, Bucks	Negotiated underwriting
PA	Chester Co (Avon Grove) SD, Chester	Negotiated underwriting
PA	Cumberland Co (E Pennsboro) ASD, Cumberland	Negotiated underwriting
PA	Pennsylvania Hghr Ed Facs Auth, Cumberland	Negotiated underwriting
PA	Derry Twp-Pennsylvania, Dauphin	Negotiated underwriting
PA	Pennsylvania Econ Dev Fin Auth, Dauphin	Negotiated underwriting
PA	Pennsylvania Housing Fin Agcy (PHFA), Dauphin	Negotiated underwriting
PA	Delaware Co (Radnor) TSD, Delaware	Negotiated underwriting
PA	Menallen Twp Sewer Auth, Fayette	Negotiated underwriting
PA	Greene Twp Municipal Auth, Franklin	Negotiated underwriting
PA	Quincy Twp-Pennsylvania, Franklin	Negotiated underwriting
PA	Lancaster Co (Hempfield) SD, Lancaster	Negotiated underwriting
PA	Lancaster Co (Pequea Valley) SD, Lancaster	Negotiated underwriting



A. Municipai Se	ecurities Business	
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PA	West Cocalico Twp-Pennsylvania, Lancaster	Negotiated underwriting
PA	Lehigh Co (Parkland) SD, Lehigh	Negotiated underwriting
PA	Lehigh Co (Catasauqua) ASD, Lehigh/Northampton	Negotiated underwriting
PA	Dallas Area Municipal Authority, Luzerne	Negotiated underwriting
PA	Luzerne Co (Hazleton) ASD, Luzerne/Carbon/Schuylkill	Negotiated underwriting
PA	Montgomery (Upper Merion) ASD, Montgomery	Negotiated underwriting
PA	Montgomery Co (Cheltenham) TSD, Montgomery	Negotiated underwriting
PA	Montgomery Co (Colonial) SD, Montgomery	Negotiated underwriting
PA	Montgomery Co (Upper Dublin) SD, Montgomery	Negotiated underwriting
PA	Schuylkill Co (Blue Mountain) SD, Schuylkill	Negotiated underwriting
PA	Schuylkill Co (Pottsville) ASD, Schuylkill	Negotiated underwriting
PA	Chartiers Twp-Pennsylvania, Washington	Negotiated underwriting
PA	Derry Twp Municipal Authority, Westmoreland	Negotiated underwriting
PA	Latrobe-Pennsylvania, Westmoreland	Negotiated underwriting
PA	Spring Grove Boro-Pennsylvania, York	Negotiated underwriting
RI	Rhode Island Hsg & Mtg Fin Corp, State Authority	Negotiated underwriting
TN	Tennessee Hsg Dev Agcy (THDA), Davidson	Negotiated underwriting
TX	Brazoria Co MUD #29, Brazoria	Negotiated underwriting
TX	Brazos Higher Education Auth, Brazos	Negotiated underwriting
TX	Texas A&M University System, Brazos	Negotiated underwriting
TX	Collin Co (Allen) ISD, Collin	Negotiated underwriting
TX	Collin Co (Prosper) ISD,	Negotiated underwriting



State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
	Collin/Denton	
TX	Denton Co (Krum) ISD, Denton/Wise	Negotiated underwriting
TX	Fort Bend Co MUD #124, Fort Bend	Negotiated underwriting
TX	Fort Bend Co MUD #57, Fort Bend	Negotiated underwriting
TX	Fort Bend Co MUD #58, Fort Bend	Negotiated underwriting
TX	Cinco MUD #3, Fort Bend/Harris	Negotiated underwriting
TX	Guadalupe Co (Seguin) ISD, Guadalupe	Negotiated underwriting
TX	North Forest MUD, Harris	Negotiated underwriting
TX	Hunters Glen MUD, Harris	Negotiated underwriting
TX	Harris Co MUD #537, Harris	Negotiated underwriting
TX	Lake MUD, Harris	Negotiated underwriting
TX	Lubbock Co (Frenship) ISD, Lubbock/Hockley	Negotiated underwriting
TX	Montgomery Co Utility Dt #3, Montgomery	Negotiated underwriting
TX	Keene-Texas, Navarro	Negotiated underwriting
TX	Palo Pinto Co Water Control & Imp Dt #1, Palo Pinto	Negotiated underwriting
TX	Willow Park City-Texas, Parker	Negotiated underwriting
TX	Parker Co (Aledo) ISD, Parker/Tarrant	Negotiated underwriting
TX	Amarillo Junior College Dt, Potter/Randall	Negotiated underwriting
TX	San Patricio Co (Gregory-Portland) ISD, San Patricio	Negotiated underwriting
TX	Tarrant Co (Arlington) ISD, Tarrant	Negotiated underwriting
TX	Lower Colorado River Authority (LCRA), Travis	Negotiated underwriting
TX	Laredo College, Webb	Negotiated underwriting
WA	King Co (Shoreline) SD #412, King	Negotiated underwriting
WI	Wisconsin Public Finance Auth, Dane	Negotiated underwriting



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WY	Wyoming Community Dev Authority, State Authority	Negotiated underwriting
AZ	Arizona Board of Regents, Maricopa	Financial advisor
NM	Rio Rancho City-New Mexico, Rio Rancho, Bernalillo	Financial advisor
NM	Bernalillo Co (Albuquerque) MSD #12, Bernalillo/Sandoval	Financial advisor
ОН	Ohio Housing Finance Agency (OHFA), Franklin	Financial advisor
TX	Gillespie Co-Texas, Gillespie	Financial advisor
TX	Mission City-Texas, Mission, Hidalgo	Financial advisor
TX	Lubbock City-Texas, Lubbock, Lubbock	Financial advisor
TX	McLennan Co (Waco) ISD, McLennan	Financial advisor
TX	Montgomery Co MUD #107, Montgomery	Financial advisor
TX	Parker Co Jr College Dt, Parker	Financial advisor
TX	Travis Co (Lago Vista) ISD, Travis	Financial advisor
СО	Mesa Ridge Metropolitan District No. 2, Greenwood Village, Arapahoe County	Private placement
CO	Colorado Hsg & Fin Auth (CHFA), Denver	Remarketing agent
CA	CMFA Special Finance Agency I, Carlsbad, San Diego	Private placement
CO	Upper Cottonwood Creek Metropolitan District No. 4, Colorado Springs, El Paso County	Private placement
LA	Louisiana Local Government Environmental Facilities and Community Development Authority, Baton Rouge, East Baton Rouge Parish	Private placement



A. Municipal Securities Business

State Complete name of municipal Type of municipal securities business

entity and city/county (negotiated underwriting, private placement, financial advisor, or remarketing agent)

WI The Public Finance Authority, Private placement

Madison, Dane County

CO Upper Cottonwood Creek Private placement

Metropolitan District No. 3, Colorado Springs, El Paso

County

IL Upper Illinois River Valley Private placement

Development Authority, Ottawa, LaSalle County

WY Wyoming Community Dev Remarketing agent

Authority, State Authority

B. Municipal Advisory Business

State Complete name of municipal Type of municipal advisory business (advice

entity and city/county or solicitation) (and in the case of municipal

advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment

advisory services))

NM West Las Vegas School Advice

District, City of Las Vegas,

San Miguel County

TX Aransas County Navigation Advice

District No. 1, City of Rockport, Aransas County

TX City of Windcrest, City of Advice

Windcrest, Bexar County

TX Odessa Junior College Advice

District, City of Odessa, Ector

County

TX Harris County Municipal Advice

Utility District No. 155, City

of Houston, Harris County

NM City of Tucumcari, City of Advice

Tucumcari, Quay County

NM San Juan College, City of Advice

Farmington, San Juan County

TX Bexar County Water Control Advice

and Improvement District No



B. Municipai Ad	ivisory Business	
State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
	10, City of Midcrown, Bexar County	
TX	Mexia Independent School District, City of Mexia, Limestone County	Advice
NM	San Juan County, City of Aztec, San Juan County	Advice
TX	Smithville Independent School District, City of Smithville, Bastrop County	Advice
TX	City of Seadrift, City of Seadrift, Calhoun County	Advice
TX	Hays Consolidated Independent School District, City of Kyle, Hays/ Travis/ Caldwell Counties	Advice
FL	Fort Pierce Utilities Authority, Ciyt of Fort Pierce, St Lucie County	Advice
TX	Mission Redevelopment Authority, City of Mission, Hidalgo County	Advice
NM	Vaughn Municipal School District, City of Vaughn, Guadalupe County	Advice
TX	Killeen Independent School District, City of Kileen, Bell & Coryell Counties	Advice
TX	Kaufman Independent School District, City of Kuafman, Kaufman County	Advice
TX	Parker County Junior College -Legal Name (DBA Weatherford College), City of Weatherford, Parker County	Advice
NM	Mora County, City of Mora, Mora County	Advice
NM	Pojoague Valley School	Advice



B. Municipal Ad		Tune of municipal advisory business (advise
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	District, City of Santa Fe, Santa Fe County	
FL	City of Lakeland, City of Lakeland, Polk County	Advice
NM	Albuquerque Metropolitan Arroyo Flood Control Authority, City of Albuquerque, Bernalillo County	Advice
TX	City of Marfa, City of Marfa, Presidio County	Advice
TX	Galveston County Municipal Utility District No. 072, City of Houston, Galveston County	Advice
TX	City of Lubbock, City of Lubbock, Lubbock County	Advice
AZ	Coconino County, Coconino County	Advice
NM	Town of Edgewood, City of Edgewood, Santa Fe County	Advice
TX	Bandera County, City of Bandera, Bandera County	Advice
TX	Town of Refugio, City of Refugio, Refugio County	Advice
NM	Nor-Lea Special Hospital District, City of Lovington, Lea County	Advice
TX	Palestine Independent School District, City of Palestine, Anderson County	Advice
NM	Bernalillo County, City of Albuquerque, Bernalillo County	Advice
ОН	Ohio Housing Finance Agency, City of Columbus, Franklin County	Advice
TX	Tyler Independent School District, City of Tyler, Smith	Advice



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	County	
TX	Liberty-Eylau Independent School District, City of Texarkana, Bowie County	Advice
NM	Roosevelt County, City of Portales, Roosevelt County	Advice
TX	City of Daisetta, City of Daisetta, Liberty County	Advice
AZ	Pima County, Pima County	Advice
TX	City of Victoria, City of Victoria, Vicotira County	Advice
FL	Town of Jupiter Island, Town of Jupiter Island, Martin County	Advice
TX	Community Independent School District, City of Neveda, Collin County	Advice
TX	Nederland Independent School District, City of Nederland, Jefferson County	Advice
AZ	Arizona State University/ Arizona Board of Regents, State Agency	Advice
TX	Schleicher County Hospital District, City of Eldorado, Schleicher County	Advice
CO	Kinston Metropolitan District No 5, City of Loveland, Larimer County	Advice
FL	City of Cape Coral, City of Cape Coral, Lee County	Advice
TX	City of Nixon, City of Nixon, Gonzales/Wilson Counties	Advice
TX	City of La Porte, City of La Porte, Harris County	Advice
TX	Waterwood Municipal Utility District No. 1, City of	Advice



•	dvisory Business	The section of the se
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NM	Houston, San Jacinto County Texico Municpal School District, City of Texico, Curry	Advice
NM	County Aztec Municipal School District No. 2, City of Aztec,	Advice
TX	San Juan County Lubbock Christian University, City of Lubbock, Lubbock County	Advice
TX	City of Leander, City of Leander, Travis County	Advice
NM	City of Roswell, City of Roswell, Chaves County	Advice
NM	Eastern New Mexico Water Utility Authority, City of Clovis, Curry County	Advice
NM	Lincoln County, City of Carrizozo, Lincoln County	Advice
NM	State of New Mexico-Administrative Office of the Court, City of Santa Fe, State Agency	Advice
TX	City of Chandler, City of Chandler, Traylor/ Henderson/ Henderson County	Advice
TX	River Plantation Municipal Utility District, City of Houston, County of Montgomery	Advice
TX	Harris County Municipal Utility District No. 118, City of Houston, Harris County	Advice
TX	Gateway Park Municipal Management District, City of El Campo, Wharton County	Advice
FL	Hernando County, Ciyt of	Advice



B. Municipal Advisory Business

B. Municipal Ac	lvisory Business	
State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
NM	Brooksville, Hernando County Albuquerque Municipal School District No. 12 (aka Albuquerque Public Schools), City of Albuquerque, Bernallillo County	Advice
TX	Klein Independent School District, City of Klein, Harris County	Advice
TX	Pharr-San Juan-Alamo Independent School District, City of Pharr, Hidalgo County	Advice
TX	Brickston Municipal Utility District, City of Elgin, Travis County	Advice
NM	Roswell Independent School District, City of Roswell, Chaves County	Advice
NM	Carrizozo Municipal Schools, City of Carrizozo, Lincoln County	Advice
NM	Santa Fe Public School District, City of Santa Fe, Santa Fe County	Advice
TX	City of New Boston, City of New Boston, Bowie County	Advice
TX	Calhoun County Navigation District//Industrial Development Authority, City of Port Lavaca, Calhoun County	Advice
TX	Highland Park Independent School District, City of Dallas, Dallas County	Advice
TX	City of Morgan's Point, City of Morgan Point, Harris County	Advice
TX	Port Neches Improvement	Advice



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	District, City of Port Neches, Jefferson County	
TX	Kaufman County MUD No. 10 -, City of Dallas, Kaufman County	Advice
TX	City of Liberty, City of Liberty, Liberty County	Advice
AZ	University of Arizona/ Arizona Board of Regents, State Agency	Advice
NM	Grants/ Cibola County School District No. 1, City of Grants, Cibola County	Advice
NM	Wagon Mound Public Schools, City of Wagon Mound, Mora County	Advice
TX	Woodcreek Reserve Municipal Utility District, City of Houston, Fort Bend County	Advice
TX	Richey Road Municipal Utility District, City of Houston, Harris County	Advice
CO	Metro Wastewater Reclamation District, City of Denver, Denver County	Advice
TX	Cuero Independent School District, City of Cuero, DeWitt County	Advice
TX	Montgomery County Municipal Utility District No. 101, City of Houston, Montgomery County	Advice
NM	Truth or Consequences School District, City of Truth or Consequences, Sierra County	Advice
FL	City of Miami Beach, City of Miami Beach, Dade County	Advice
NM	City of Jal, City of Jal, Lee	Advice

NM



B. Municipal Advisory Business

B. Municipal A	B. Municipal Advisory Business			
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	County			
NM	Tucumcari Public Schools, City of Tucumcari, Quay County	Advice		
NM	City of Las Vegas, City of Las Vegas, Sam Miguel County	Advice		
NM	Western New Mexico University, City of Silver City, Grant County	Advice		
TX	City of Edna, City of Edna/, Jackson County	Advice		
TX	City of Beaumont, City of Beaumont, Jefferson County	Advice		
NM	Deming Public School District, City of Deming, Luna County	Advice		
TX	Harris County Municipal Utility District No. 427, City of Houston, Harris County	Advice		
TX	La Villa Independent School District, City of La Villa, Hidalgo County	Advice		
NM	Roosevelt County Special Hospital District, City of Portales, Roosevelt County	Advice		
TX	Development Corporation of the City of Mercedes, City of Mercedes, Hidalgo County	Advice		
TX	Crawford Independent School District, City of Crawford, McLennan County	Advice		
CO	Kinston Metropolitan District No 2 (f/k/a No 1), City of Loveland, Larimer County	Advice		
TX	Fort Worth Independent School District, City of Fort Worth, Tarrant County	Advice		

Advice

Alamogordo Public Schools



State	dvisory Business Complete name of municipal	Type of municipal advisory business (advice
State	entity and city/county	or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
	(Municipal School District No. 1), City of Alamogordo, Otero County	
TX	Port Arthur Independent School District, City of Port Arthur, Jefferson County	Advice
TX	Montgomery County Municipal Utility District No. 186, City of Houston, County of Montgomery	Advice
TX	Sanger Independent School District, City of Sanger, Denton County	Advice
TX	Harris County Municipal Utility District No. 502, City of Houston, Harris County	Advice
TX	La Salle Water Control and Improvement District No. 1A, City of Port Lavaca, La Salle County	Advice
PA	Commonwealth of Pennsylvania State System of Higher Education, City of Harrisburg, Cumberland County	Advice
TX	San Benito Consolidated Independent School District, City of San Benito, Cameron County	Advice
TX	Fort Bend County Water Control and Improvement District No. 2, Fort Bend County	Advice
TX	City of Goliad, City of Goliad, Goliad County	Advice
NM	City of Raton, City of Raton, Colfax County	Advice
NM	Valencia County, City of Los	Advice



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		advisory services))
	Lunas, Valencia County	
TX	Harlandale Independent School District, City of San Antonio, Bexar County	Advice
TX	Brazoria County Municipal Utility District No. 57, City of Houston, Brazoria County	Advice
TX	Tom Green County, City of San Angelo, Tom Green County	Advice
WY	Carbon County, Carbon County	Advice
TX	Aransas Pass Independent School District, City of Aransas Pass, San Patricio County	Advice
TX	City of Elgin, City of Elgin, Travis County	Advice
NM	New Mexico Highland's University, City of Las Vegas, San Miguel County	Advice
TX	City of Lindale, City of Lindale, Smith County	Advice
TX	Lago Vista Independent School District, City of Lago Vista, Travis County	Advice
AZ	Arizona Department of Transportation/Arizona Transportation Board, State Agency	Advice
FL	City of Pensacola, City of Pensacola, Escambia County	Advice
TX	Galveston County Municipal Utility District No. 044, City of League City, Galveston County	Advice
NM	Dona Ana Branch Community College District, City of Las	Advice



B. Municipal A	dvisory Business	
State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
	Cruces, Dona Ana County	
NM	Town of Vaughn, City of Vaughn, Guadalupe County	Advice
NM	City of Gallup Utilities (Electric), City of Gallup, McKinley County	Advice
TX	Nueces Canyon Consolidated Independent School District, City of Barksdale, Edwards County	Advice
TX	Kimberlin Ranch Municipal Utility District No. 1 of Grayson County, City of Houston, Grayson County	Advice
TX	Austin Independent School District, City of Austin, Travis County	Advice
NM	Tatum Municipal Schools, City of Tatum, Lea County	Advice
NM	Santa Fe Community College, City of Santa Fe, Santa Fe County	Advice
TX	City of Pflugerville, City of Pflugerville, Travis County	Advice
NM	Quemado Independent School District No. 2, City of Quemado, Catron County	Advice
NM	Gallup McKinley County School District, City of Gallup, McKinley County	Advice
TX	Harris County Municipal Utility District No. 501, City of Houston, Harris County	Advice
TX	Ingram Independent School District, City of Ingram, Kerr County	Advice
TX	City of Woodway, City of Woodway, McLennan County	Advice



B. Municipai Ad	ivisory business	
State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
TX	Harris County Municipal Utility District No. 261, City of Houston, Harris County	Advice
TX	City of Kenedy, City of Kenedy, Karnes County	Advice
NM	Lovington Municipal School District No. 1, City of Lovington, Lea County	Advice
TX	Fort Bend County Municipal Utility District No. 119, City of Houston, Fort Bend County	Advice
TX	Aransas County, City of Rockport, Aransas County	Advice
TX	Irving Independent School District, City of Irving, Dallas County	Advice
TX	South Shore Harbour Municipal Utility District No. 007, City of Houston, Harris County	Advice
TX	Edna Independent School District, City of Edna, Jackson County	Advice
NM	City of Santa Rosa, City of Santa Rosa, Guadalupe County	Advice
NM	Las Vegas City School District, City of Las Vegas, San Miguel County	Advice
TX	City of Rockport, City of Rockport, Aransas County	Advice
NM	New Mexico Military Institute, City of Roswell, Chaves County	Advice
NM	Bernalillo Public Schools District/ Municipal School District No.1, City of Bernalillo, Sandoval County	Advice



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NM	Santa Fe County, City of Sante Fe, Santa Fe County	Advice
TX	Harris County Municipal Utility District No. 389, City of Houston, Harris County	Advice
TX	McAllen Independent School District, City of McAllen, Hidalgo County	Advice
TX	Paris Independent School District, City of Paris, Lamar County	Advice
TX	Brazoria County Municipal Utility District No. 21, City of Houston, Brazoria County	Advice
TX	Harris County Municipal Utility District No. 500, City of Houston, Harris County	Advice
TX	Tom Green County Cultural Education Facilities Finace Corporation, City of San Angelo, Tom Green County	Advice
TX	Tradition Municipal Utility District No. 1, City of Fort Worth, Denton County	Advice
TX	Victoria County Navigation District, City of Victoria, Victoria County	Advice
TX	Victoria Housing Finance Corporation, City of Victoria, Victoria County	Advice
FL	Polk Regional Water Cooperative, City of Winter Haven, Polk County	Advice
NM	Village of Angel Fire, City of Angel Fire, Colfax County	Advice
NM	Portales Municipal Schools, City of Portales, Roosevelt County	Advice



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TX	City of Giddings, City of Gidding, Lee County	Advice
TX	Pflugerville Community Development corporation, City of Pflugerville, Travis County	Advice
TX	Floresville Electric Light and Power System, City of Floresville, Wilson County	Advice
FL	City of Bonita Springs, City of Bonita Springs, Lee County	Advice
TX	Cinco Municipal Utility District No. 02, City of Katy, Fort Bend County	Advice
TX	Harris County Municipal Utility District No. 503, City of Houston, Harris County	Advice
FL	Polk County, City of Barlow, Polk County	Advice
FL	Ocean Highway & Port Authority, City of Fernandina Beach, Nassau County	Advice
NM	City of Clovis, City of Clovis, Curry County	Advice
NM	Central Consolidated Schools, City of Shiprock, San Juan County	Advice
TX	Cameron Economic Developemnt Corporation, City of Cameron, Milam County	Advice
TX	Life School of Dallas, City of Dallas, Dallas County	Advice
TX	Little Elm Independent School District, City of Little Elm, Denton County	Advice
TX	Port Neches Economic Development Corporation,	Advice



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	City of Port Neches, Jefferson	
NM	County Hatch Valley Public Schools, City of Hatch, Dana Ana County	Advice
NM	Quay County, Quay County	Advice
TX	Texas Public Finance Authority, City of Austin, Travis County	Advice
TX	Gillespie County, City of Fredericksburg, Gillespie County	Advice
TX	Towne Lake Management District, City of Houston, Harris County	Advice
NM	Gadsden Independent School District No. 16, City of Sunland Park, Dona Ana County	Advice
NM	Lordsburg Municipal School District, City of Lordsburg, Hidalgo County	Advice
TX	County of Bexar, City of San Antonio, Bexar County	Advice
TX	City of Stafford, City of Stafford, Harris/ Fort Bend	Advice
AZ	City of Glendale, City of Glendale	Advice
TX	Town of Fulton, City of Fulton, Aransas County	Advice
TX	Dallas Independent School District, City of Dallas, Dallas County	Advice
TX	Kimberlin Ranch Municipal Utility District No. 2 of Grayson County, City of Houston, Grayson County	Advice
TX	Lipan Independent School	Advice



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	District, City of Lipan, Hood/ Erath/ Palo Pinto/ Parker County	
TX	City of Port Neches, City of Port Neches, Jefferson County	Advice
FL	City of Homestead , Homestead, Dade	Advice
NM	Dora Consolidated Schools, City of Dora, Roosevelt County	Advice
TX	Galveston County Municipal Utility District No. 043, City of League City, Galveston County	Advice
TX	Harris County Municipal Utility District No. 373, City of Houston, Harris County	Advice
TX	Lone Star College System, City of The Woodlands, Harris, Montgomery and San Jacinto Counties	Advice
FL	University Athletic Association Inc, Alachua County, Alachua County	Advice
NM	Cobre Consolidated School District No. 2, City of Bayard, Grant County	Advice
TX	College of the Mainland, City of Texas City, Galveston County	Advice
TX	Hitchcock Independent School District, City of Hitchcock, Galveston County	Advice
TX	Kimberlin Ranch Municipal Utility District No. 3 of Grayson County, City of Houston, Grayson County	Advice
TX	Spring Independent School	Advice



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	District, City of Houston, Harris County	
TX	Medina Valley Independent School District, City of Castroville, Medina County	Advice
TX	South San Antonio Independent School District, City of San Antonio, Bexar County	Advice
TX	City of Katy, City of Katy, Harris/Fort Bend/Waller	Advice
TX	Clovercreek Municipal Utility District, City of Magnolia, Montgomery County	Advice
TX	Medina Independent School District, City of Medina, Bandera County	Advice
TX	Harris County Water Control & Improvement District - Fondren Road, City of Houston, Harris County	Advice
TX	Kerr County, City of Kerrville, Kerr County	Advice
NM	Los Alamos School District, City of Los Alamos, Los Alamos County	Advice
TX	Brazoria County Municipal Utility District No. 56, City of Houston, Brazoria County	Advice
TX	Harris-Waller Counties Municipal Utility District No. 2, City of Katy, Harris/ Waller County	Advice
NM	Las Cruces Municipal/ Public School District No 2, City of Las Cruces, Dana Ana County	Advice
NM	Jal Public Schools, City of Jal, Lea County	Advice



B. Municipai Ad	VISORY BUSINESS	
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NM	Eastern Sandoval County Arroyo Flood Control Authority, City of Bernalillo, Sandoval County	Advice
TX	Paint Creek Independent School District, City of Haskell, Haskell County	Advice
TX	Texas Woman's University, City of Denton, Denton County	Advice
TX	City of Gonzales, City of Gonzales, Gonzales County	Advice
NM	South Central Solid Waste Authority, City of Las Cruces, Dona Ana County	Advice
TX	City of Clute, City of Clute, Brazoria County	Advice
TX	Northeast Texas Community College District, City of Mount Pleasant, Camp/ Morris/ Titus County	Advice
TX	City of Port Lavaca, City of Port Lavaca, Calhoun County	Advice
TX	City of Mercedes, City of Mercedes, Hidalgo County	Advice
TX	Montgomery County Municipal Utility District No. 107, City of Houston, Montgomery County	Advice
FL	Sarasota Manatee Airport Authority, City of Sarasota, Sarasota County	Advice
NM	City of Gallup Joint Utilities Department (Water), City of Gallup, McKinley County	Advice
NM	City of Bloomfield, City of Bloomfield, San Juan County	Advice
FL	Lehigh Acres Municipal	Advice



B. Municipal A	dvisory Business	
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	Services Improvement District, City of Lehigh Acres, Lee County	
TX	Brazoria County Municipal Utility District No. 06, City of Houston, Brazoria County	Advice
TX	Teague Independent School District, Cityof Teague, Freestone County	Advice
NM	Logan Municipal School District, City of Logan, Quay County	Advice
TX	City of Granite Shoals, City of Granite Shoals, Burnet County	Advice
CO	State of Colorado Treasurers' Office/ Pooled Master Contrast, City of Denver, State	Advice
NM	Southern Sandoval County Arroyo Flood Control Authority, City of Rio Rancho, Sandoval County	Advice
TX	Palmer Independent School District, City of Palmer, Ellis County	Advice
NM	New Mexico State University, City of Las Cruces, Dona Ana County	Advice
TX	Calhoun Port Authority, City of Point Comfort, Calhoun County	Advice
TX	City of Arcola, City of Arcola, Fort Bend County	Advice
TX	Falls City Independent School District, City of Falls City, Karnes County	Advice
FL	City of Marathon, City of	Advice



B. Municipal Advisory Business

State	Complete name of municipal	Type of municipal advisory business (advice
	entity and city/county	or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
	Marathon, Monroe County	
TX	Hopkins County, City of Sulphur Springs, Hopkins County	Advice
TX	McLennan Community College, City of Waco, McLennan County	Advice
TX	Eanes Independent School District, City of Austin, Travis County	Advice
TX	Burton Independent School District, City of Burton, Washington County	Advice
FL	City of North Miami, City of North Miami, Miami Dade County	Advice
TX	Trail of the Lakes Municipal Utility District, City of Houston, Harris County	Advice
TX	Montgomery County Municipal Utility District No. 100, City of Houston, Montgomery County	Advice
FL	Emerald Coast Utilities Authority, City of Pensacola, Escambia County	Advice
NM	Jemez Valley Public School District No. 31, City of Jemez Pueblo, Sandoval County	Advice
TX	Denison Independent School District, City of Denison, Grayson County	Advice
NM	City of Rio Rancho, City of Rio Rancho, Bernalillo County	Advice
NM	Bloomfield School District No. 6, City of Broonfield, San	Advice

Juan County



B. Municipal Advisory Business

_	dvisory Business	
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TX	North Green Municipal Utility District, City of Houston, Harris County	Advice
TX	City of Kyle, City of Kyle, Hays County	Advice
NM	Dexter Consolidated School District, City of Dexter, Chaves County	Advice
NM	Melrose Municipal School District No 12, City of Melrose, County of Middlesex	Advice
NM	North Central Regional Transit District, City of Espanola, Taos County	Advice
TX	Waco Independent School District, City of Waco, McLennan County	Advice
TX	Starr County, City of Rio Grande City, Starr County	Advice
NM	Moriarty Edgewood School District No 8, City of Moriarty, Torrance County	Advice
NM	Albuquerque Bernalillo County Water Utility Authority, City of Albuquerque, Bernalillo County	Advice
NM	City of Farmington, City of Farmington, San Juan County	Advice
TX	Edcouch Elsa Independent School District, City of Edcouch, Hidalgo County	Advice
TX	Lake Forest Utility District, City of Houston, Harris County	Advice
TX	North Harris County Regional Water Authority, City of Houston, Harris County	Advice

Houston, Harris County



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TX	Wilson Independent School District, City of Wilson, Lynn County	Advice
TX	Katy Development Authority, City of Katy, Harris County	Advice
NM	City of Albuquerque, City of Albuquerque, Bernalillo County	Advice
NM	Carlsbad Municipal School District, City of Carlsbad, Eddy County	Advice
TX	Harris County Municipal Utility District No. 407, City of Houston, Harris County	Advice
NM	Clovis Municipal School District, City of Clovis, Curry County	Advice
TX	Howe Independent School District, City of Howe, Grayson County	Advice
WY	Carbon County Specific Purpose Tax Joint Powers Board, City of Rawlins, Carbon County	Advice
AZ	City of Tolleson, City of Tolleson	Advice
FL	City of Bradenton, City of Bradenton, Manatee County	Advice
TX	City of Alamo, City of Alamo, Hidalgo County	Advice
FL	City of DeLand, City of Deland, Volusia County	Advice
NM	Hagerman Municipal School District, City of Hagerman, Chaves County	Advice
NM	City of Las Cruces, City of Las Cruces, Dona Ana County	Advice
NM	Jemez Mountain Public	Advice



or manner par man more		
State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
	Schools, City of Jemez Pueblo, Sandoval County	
TX	Katy Management District No. 1, City of Katy, Fort Bend County	Advice
TX	City of Waller, City of Waller, Waller County	Advice
NM	Rio Rancho Public Schools (No. 94), City of Rio Rancho, Sandoval County	Advice
CO	State of Colorado Treasurers' Office (Issue Specific - ETRANS), City of Denver, State	Advice
NM	Central New Mexico Community College, City of Albuquerque, Bernalillo County/ Sandoval County	Advice
NM	Artesia Public School District, City of Artesia, Eddy County	Advice
TX	Stafford Municipal School District, City of Stafford, Fort Bend County	Advice
TX	Harris County Municipal Utility District No. 158, City of Houston, Harris County	Advice
TX	Karnes County, City of Karnes City, Karnes County	Advice
TX	Argyle Independent School District, City of Argyle, Denton County	Advice
TX	Harris County Water Control & Improvement District No. 114, City of Houston, Harris County	Advice
TX	City of Silsbee, City of Silsbee, Hardin County	Advice
TX	City of Cameron, City of	Advice



B. Municipal Advisory Business

State	Complete name of municipal	Type of municipal advisory business (advice
	antity and aity/accents	or solicitation) (and in the case of municipal

entity and city/county or solicitation) (and in the case of municipal

advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business,

municipal advisory business or investment

advisory services))

Advice

NM City of Gallup, City of Gallup, Advice

McKinley County

NMCloudcroft Municipal School Advice

District, City of Cloudcroft,

Otero County

Cuba Independent School NMAdvice

District, City of Cuba,

Sandoval County

Ruidoso Municipal Schools, NM Advice

City of Ruidoso, Lincoln

County

NMFarmington Municipal School Advice

District No. 5, City of

Farmington, San Juan County

TXGalveston County Municipal Advice

Utility District No. 032, City

of La Marque, Galveston

County

NM Belen Consolidated Schools, Advice

City of Belen, Valencia

County

NM Los Lunas Municipal Schools

District, City of Los Lunas,

Valencia County

TXLa Porte Area Water Advice

Authority, City of la Porte,

Harris County

TXBland Independent School Advice

District, City of Merit, Hunt

County

TXLiberty Community Advice

Development Corporation, City of Liberty, Liberty

County

TXLake Travis Independent Advice

School District, City of Austin,

Travis County



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TX	Mercedes Independent School District, Cit y of Mercedes, Hidalgo County	Advice
TX	Crandall Independnet School District, City of Crandall, Kaufman County	Advice
TX	Scurry Rosser Independent School District, City of Scurry, Kaufman County	Advice
TX	Harris County Water Control & Improvement District No. 133, City of Houston, Harris County	Advice
NM	Town of Bernalillo, City of Bernalillo, Sandoval County	Advice
NM	City of Moriarity, City of Moriarity, Torrance County	Advice
TX	City of Fulshear, City of Fulshear, Fort Bend County	Advice
TX	Fort Bend County Municipal Utility District No. 199, City of Katy, Fort Bend County	Advice
TX	Nacogdoches Independent School District, City of Nacogdoches, Nacogdoches County	Advice
NM	Guadalupe County, City of Santa Rosa, Guadalupe County	Advice
TX	Fort Bend County Municipal Utility District No. 046, Missouri City, Fort Bend County	Advice
NM	Taos County, City of Taos, Taos County	Advice
TX	City of Mission, City of Mission, Hidalgo County	Advice
TX	Menard County Water	Advice



B. Municipal Advisory Business

b. Municipai Ad	ivisory Business	
State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
	Control and Improvement District No.1, City of Menard, Menard County	
TX	Harris County Improvement District No. 001, City of Houston, Harris County	Advice
TX	Harris County Municipal Utility District No. 248, City of Houston, Harris County	Advice
TX	Harris County Municipal Utility District No. 286, City of Houston, Harris County	Advice
TX	Texas Public Finance Authority, City of Austin, Travis County	Advice
AZ	Valley Metro/ Regional Public Transportation Authority/ Maricopa County, Maricopa County	Advice
TX	Montgomery County Municipal Utility District No. 140, City of Houston, Montgomery County	Advice
TX	Cape Royale Utility District, City of Coldspring, San Jacinto County	Advice

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
Aspen School	\$94,315,000 Aspen School	11/13/2020



C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
District No. 1 (RE)	District No. 1 (RE) Pitkin County, Colorado, General Obligation Bonds, Series 2021	
Weld County School District RE-5J	\$124,445,000 Weld County School District RE-5J, In Weld and Larimer Counties, Colorado, General Obligation Bonds, Series 2021	11/10/2020
School District No. 1 in the City and County of Denver	\$450,000,000 School District No. 1 in the City and County of Denver and State of Colorado General Obligation Bonds, Series 2021	11/11/2020
Durango School District 9-R	\$90,000,000 Durango School District 9-R (La Plata County, Colorado) General Obligation Bonds Series 2021	08/03/2020
Tustin Unified School District	\$22,045,000 Tustin Unified School District Subseries 2012-1- School Facilities Improvement District no. 2012-1 of the Tustin School District	12/18/2020
Chaffey Joint Union High School District	\$59,995,163 Chaffey Joint Union High School District (San Bernardino County, California) Election of 2012 General Obligation Bonds, Series F	11/18/2020



Signature: electronic Date: Feb 23, 2023

Name (must be officer of regulated entity): George Hinchcliff

Address: 200 Vesey Street, New York, NY 10281

Phone: 212-618-7583

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)