

Name of Regulated Entity: *RBC Capital Markets, LLC*

Report Period: *Fourth Quarter of 2020*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)
<i>None</i>		<p>If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.</p>

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
<i>None</i>		

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)
A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
AZ	<i>Maricopa Co Industrial Dev Auth, Maricopa</i>	<i>Negotiated underwriting</i>
AZ	<i>Coconino Co Industrial Dev Auth, Coconino</i>	<i>Negotiated underwriting</i>
AZ	<i>Mesa City-Arizona, Mesa, Maricopa</i>	<i>Negotiated underwriting</i>
AZ	<i>Tucson City-Arizona, Tucson City, Pima</i>	<i>Negotiated underwriting</i>
CA	<i>California Statewide CDA (CSCDA), Contra Costa</i>	<i>Negotiated underwriting</i>
CA	<i>CSCDA Community Imp Auth, Contra Costa</i>	<i>Negotiated underwriting</i>
CA	<i>California Public Finance Authority, Kings</i>	<i>Negotiated underwriting</i>
CA	<i>Los Angeles Co (Glendale) USD, Los Angeles</i>	<i>Negotiated underwriting</i>
CA	<i>Los Angeles Co (Santa Monica-Malibu) USD, Los Angeles</i>	<i>Negotiated underwriting</i>
CA	<i>Los Angeles Comm College Dt, Los Angeles</i>	<i>Negotiated underwriting</i>
CA	<i>Santa Monica Comm College Dt, Los Angeles</i>	<i>Negotiated underwriting</i>
CA	<i>Madera Co (Chowchilla) ESD, Madera</i>	<i>Negotiated underwriting</i>
CA	<i>Coast Community College Dt, Orange</i>	<i>Negotiated underwriting</i>
CA	<i>California School Finance Auth, Sacramento</i>	<i>Negotiated underwriting</i>
CA	<i>Palomar Community Coll Dt, San Diego</i>	<i>Negotiated underwriting</i>
CA	<i>San Francisco City/Co-California, San Francisco, San Francisco</i>	<i>Negotiated underwriting</i>
CA	<i>San Mateo Co (Millbrae) SD, San Mateo</i>	<i>Negotiated underwriting</i>
CA	<i>San Mateo-Foster City SD, San Mateo</i>	<i>Negotiated underwriting</i>

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CA	<i>Solano Comm College Dt, Solano/Yolo</i>	<i>Negotiated underwriting</i>
CA	<i>Sonoma Co (Cloverdale) USD, Sonoma</i>	<i>Negotiated underwriting</i>
CA	<i>Stanislaus Co (Ceres) USD, Stanislaus</i>	<i>Negotiated underwriting</i>
CA	<i>California Infrstr & Eco Dev Bank, State Authority</i>	<i>Negotiated underwriting</i>
CA	<i>California St Public Works Board, State Authority</i>	<i>Negotiated underwriting</i>
CA	<i>Ventura Co (Santa Paula) UHSD, Ventura</i>	<i>Negotiated underwriting</i>
CO	<i>Adams Co (Westminster) PSD, Adams</i>	<i>Negotiated underwriting</i>
CO	<i>Aurora City-Colorado, Aurora, Adams/Arapahoe</i>	<i>Negotiated underwriting</i>
CO	<i>Sand Creek Metropolitan Dt, Adams/Denver</i>	<i>Negotiated underwriting</i>
CO	<i>Arapahoe Co (Littleton) PSD #6, Arapahoe</i>	<i>Negotiated underwriting</i>
CO	<i>Citadel On Colfax Business Imp Dt, Arapahoe</i>	<i>Negotiated underwriting</i>
CO	<i>Colorado Hsg & Fin Auth (CHFA), Denver</i>	<i>Negotiated underwriting</i>
CO	<i>Denver City and Co Housing Auth, Denver, Denver</i>	<i>Negotiated underwriting</i>
CO	<i>Allison Valley Metro Dt #1, El Paso</i>	<i>Negotiated underwriting</i>
CO	<i>Cherokee Metropolitan Dt, El Paso</i>	<i>Negotiated underwriting</i>
CO	<i>El Paso Co (Lewis-Palmer) SD #38, El Paso</i>	<i>Negotiated underwriting</i>
CO	<i>Larimer Co (Poudre) SD #R-1, Larimer</i>	<i>Negotiated underwriting</i>
CO	<i>Mesa Co-Colorado, Mesa</i>	<i>Negotiated underwriting</i>
CO	<i>Colorado, State</i>	<i>Negotiated underwriting</i>
CT	<i>Connecticut Housing & Fin Auth (CHFA), Hartford</i>	<i>Negotiated underwriting</i>
CT	<i>University of Connecticut, Tolland</i>	<i>Negotiated underwriting</i>
FL	<i>Hollywood City-Florida, Broward</i>	<i>Negotiated underwriting</i>

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FL	Jacksonville Transportation Auth, Duval	Negotiated underwriting
FL	Hillsborough Co Ind Dev Auth, Hillsborough	Negotiated underwriting
FL	Miami-Dade Co Housing Fin Auth, Miami/Dade	Negotiated underwriting
FL	Miami-Dade Co-Florida, Miami/Dade	Negotiated underwriting
FL	Cutler Bay Town-Florida, Miami-Dade	Negotiated underwriting
FL	Ocoee City-Florida, Ocoee, Orange	Negotiated underwriting
FL	Sarasota Co-Florida, Sarasota	Negotiated underwriting
FL	Venice City-Florida, Venice, Sarasota	Negotiated underwriting
FL	Oviedo City-Florida, Oveido, Seminole	Negotiated underwriting
GA	Georgia Housing Finance Authority, State Authority	Negotiated underwriting
IL	Illinois Housing Dev Authority, Cook	Negotiated underwriting
IL	Illinois State Toll Highway Auth, State Authority	Negotiated underwriting
IN	Indiana Finance Authority, Marion	Negotiated underwriting
LA	Louisiana Public Facs Auth (LPFA), E Baton Rouge Parish	Negotiated underwriting
MA	Massachusetts, Suffolk	Negotiated underwriting
MA	Massachusetts Educ Fin Au (MEFA), Suffolk	Negotiated underwriting
MA	Massachusetts Housing Fin Agcy, Suffolk	Negotiated underwriting
MD	Maryland Eco Dev Corp (MEDCO), Baltimore City	Negotiated underwriting
MD	Maryland Dept Hsg & Com Dev (DHCD), Prince Georges	Negotiated underwriting
ME	Maine State Housing Authority, State Authority	Negotiated underwriting
MI	Michigan St Hsg Dev Au (MSHDA), State Authority	Negotiated underwriting

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<i>MN</i>	<i>Minnesota Housing Fin Agency, Ramsey</i>	<i>Negotiated underwriting</i>
<i>MO</i>	<i>Kansas City Industrial Dev Au, Clay/Jackson/Platte</i>	<i>Negotiated underwriting</i>
<i>MO</i>	<i>Missouri Hlth & Ed Facs Authority, State Authority</i>	<i>Negotiated underwriting</i>
<i>MO</i>	<i>Missouri Housing Dev Commission, State Authority</i>	<i>Negotiated underwriting</i>
<i>ND</i>	<i>North Dakota Hsg Fin Agcy, Burleigh</i>	<i>Negotiated underwriting</i>
<i>NH</i>	<i>New Hampshire Housing Fin Auth, State Authority</i>	<i>Negotiated underwriting</i>
<i>NJ</i>	<i>Burlington Co (Lenape) RHSD BOE, Burlington</i>	<i>Negotiated underwriting</i>
<i>NJ</i>	<i>Hudson Co Improvement Auth, Hudson</i>	<i>Negotiated underwriting</i>
<i>NJ</i>	<i>Jersey City Redev Agcy, Jersey, Hudson</i>	<i>Negotiated underwriting</i>
<i>NJ</i>	<i>Union City Board Education, Union, Hudson</i>	<i>Negotiated underwriting</i>
<i>NJ</i>	<i>Middlesex Co (Woodbridge) TBOE, Middlesex</i>	<i>Negotiated underwriting</i>
<i>NJ</i>	<i>New Jersey Trans Trust Fund Au, State Authority</i>	<i>Negotiated underwriting</i>
<i>NM</i>	<i>New Mexico Finance Auth (NMFA), Santa Fe</i>	<i>Negotiated underwriting</i>
<i>NV</i>	<i>Nevada Housing Division, State Authority</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>NYS Environmental Facs Corp, Albany</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Metropolitan Transport Auth (MTA), Kings</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>NYC Municipal Water Fin Auth, Kings</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>NYC Transitional Finance Auth, Kings</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>NYS Housing Fin-Mortgage Agcy, Kings</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>New York City-New York, New York/Bronx/Kings</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>NYC Housing Dev Corp, New York/Bronx/Kings</i>	<i>Negotiated underwriting</i>

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NY	NYC Health & Hosp Corp, New York/Bronx/Kings	Negotiated underwriting
NY	Schenectady Metroplex Dev Auth, Schenectady	Negotiated underwriting
OH	Cuyahoga Co-Ohio, Cuyahoga	Negotiated underwriting
OH	Delaware Co (Olentangy) LSD, Delaware/Franklin	Negotiated underwriting
OH	Greene Co (Fairborn City) SD, Greene	Negotiated underwriting
OH	Harrison City-Ohio, Hamilton	Negotiated underwriting
OH	Montgomery Co (Miamisburg) SD, Montgomery	Negotiated underwriting
OH	Portage Co (Streetsboro) CSD, Portage	Negotiated underwriting
OH	Ohio Public Fac Commission, State Authority	Negotiated underwriting
OK	Oklahoma Dev Finance Auth, State Authority	Negotiated underwriting
OR	Oregon Hsg & Comm Svcs Dept, Marion	Negotiated underwriting
PA	Allegheny Co Port Authority, Allegheny	Negotiated underwriting
PA	Worthington-W Franklin Jt Muni Auth, Armstrong	Negotiated underwriting
PA	Monaca Borough-Pennsylvania, Beaver	Negotiated underwriting
PA	Reading City-Pennsylvania, Reading, Berks	Negotiated underwriting
PA	Middletown Twp-Pennsylvania, Bucks	Negotiated underwriting
PA	Dauphin Co General Authority, Dauphin	Negotiated underwriting
PA	Susquehanna Twp-Pennsylvania, Dauphin	Negotiated underwriting
PA	Lackawanna Co (Mid Valley) SD, Lackawanna	Negotiated underwriting
PA	Lackawanna Co (Scranton City) SD, Lackawanna	Negotiated underwriting

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PA	<i>Ephrata Borough Authority, Lancaster</i>	<i>Negotiated underwriting</i>
PA	<i>Lancaster Co (Manheim) CSD, Lancaster</i>	<i>Negotiated underwriting</i>
PA	<i>Lancaster SD, Lancaster</i>	<i>Negotiated underwriting</i>
PA	<i>Lehigh Co (Parkland) SD, Lehigh</i>	<i>Negotiated underwriting</i>
PA	<i>Lehigh Co (Catasauqua) ASD, Lehigh/Northampton</i>	<i>Negotiated underwriting</i>
PA	<i>Luzerne Co (Pittston) ASD, Luzerne</i>	<i>Negotiated underwriting</i>
PA	<i>Luzerne Co (Hazleton) ASD, Luzerne/Carbon/Schuylkill</i>	<i>Negotiated underwriting</i>
PA	<i>Mercer Co Industrial Dev Auth, Mercer</i>	<i>Negotiated underwriting</i>
PA	<i>Montgomery Co (Cheltenham) TSD, Montgomery</i>	<i>Negotiated underwriting</i>
PA	<i>Montgomery Co (Hatboro-Horsham) SD, Montgomery</i>	<i>Negotiated underwriting</i>
PA	<i>Montgomery Co (Upper Dublin) SD, Montgomery</i>	<i>Negotiated underwriting</i>
PA	<i>Montgomery Co (Upper Moreland) TSD, Montgomery</i>	<i>Negotiated underwriting</i>
PA	<i>Northampton Co (Nazareth) ASD, Northampton</i>	<i>Negotiated underwriting</i>
PA	<i>Schuylkill Co-Pennsylvania, Schuylkill</i>	<i>Negotiated underwriting</i>
PA	<i>Somerset ASD, Somerset</i>	<i>Negotiated underwriting</i>
PA	<i>Somerset Co (Windber) ASD, Somerset</i>	<i>Negotiated underwriting</i>
PA	<i>Cecil Twp-Pennsylvania, Washington</i>	<i>Negotiated underwriting</i>
RI	<i>Rhode Island Hlth & Ed Bldg Corp, Providence</i>	<i>Negotiated underwriting</i>
TX	<i>Bexar Co (Judson) ISD, Bexar</i>	<i>Negotiated underwriting</i>
TX	<i>Bexar Co (North East) ISD, Bexar</i>	<i>Negotiated underwriting</i>
TX	<i>San Antonio City-Texas, Bexar</i>	<i>Negotiated underwriting</i>
TX	<i>Brazoria Co MUD #19, Brazoria</i>	<i>Negotiated underwriting</i>

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TX	<i>Pearland City-Texas, Pearland, Brazoria.Fort Bend/Harris</i>	<i>Negotiated underwriting</i>
TX	<i>Brazoria Co MUD #23, Brazoria/Harris</i>	<i>Negotiated underwriting</i>
TX	<i>Burnet Co-Texas, Burnet</i>	<i>Negotiated underwriting</i>
TX	<i>Cameron Co (Santa Maria) ISD, Cameron</i>	<i>Negotiated underwriting</i>
TX	<i>Collin Co (Anna) ISD, Collin</i>	<i>Negotiated underwriting</i>
TX	<i>Collin Co (Melissa) ISD, Collin</i>	<i>Negotiated underwriting</i>
TX	<i>Collin Co (Frisco) ISD, Collin/Denton</i>	<i>Negotiated underwriting</i>
TX	<i>Dallas Area Rapid Transit Auth, Dallas</i>	<i>Negotiated underwriting</i>
TX	<i>Dallas Co (Garland) ISD, Dallas</i>	<i>Negotiated underwriting</i>
TX	<i>Dallas Co (Sunnyvale) ISD, Dallas</i>	<i>Negotiated underwriting</i>
TX	<i>Dawson Co (Lamesa) ISD, Dawson</i>	<i>Negotiated underwriting</i>
TX	<i>Denton Co (Lewisville) ISD, Denton</i>	<i>Negotiated underwriting</i>
TX	<i>Denton Co Fresh Wtr Sup Dt #6, Denton</i>	<i>Negotiated underwriting</i>
TX	<i>Ector Co ISD, Ector</i>	<i>Negotiated underwriting</i>
TX	<i>Ellis Co (Midlothian) ISD, Ellis</i>	<i>Negotiated underwriting</i>
TX	<i>Fort Bend Co Improvement Dt #24, Fort Bend</i>	<i>Negotiated underwriting</i>
TX	<i>Fort Bend Co Levee Imp Dt #12, Fort Bend</i>	<i>Negotiated underwriting</i>
TX	<i>Palmer Plantation MUD #2, Fort Bend</i>	<i>Negotiated underwriting</i>
TX	<i>Clear Brook City MUD, Clear Brook City, Harris</i>	<i>Negotiated underwriting</i>
TX	<i>Deer Park City-Texas, Deer Park City, Harris</i>	<i>Negotiated underwriting</i>
TX	<i>Harris Co (Cypress-Fairbanks) ISD, Harris</i>	<i>Negotiated underwriting</i>
TX	<i>Harris Co (Spring Branch)</i>	<i>Negotiated underwriting</i>

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	<i>ISD, Harris</i>	
TX	<i>Harris Co Dept of Education, Harris</i>	<i>Negotiated underwriting</i>
TX	<i>Harris Co Dept of Education, Harris</i>	<i>Negotiated underwriting</i>
TX	<i>Harris Co MUD #150, Harris</i>	<i>Negotiated underwriting</i>
TX	<i>Harris Co MUD #230, Harris</i>	<i>Negotiated underwriting</i>
TX	<i>Harris Co MUD #400, Harris</i>	<i>Negotiated underwriting</i>
TX	<i>Harris Co MUD #5, Harris</i>	<i>Negotiated underwriting</i>
TX	<i>Harris Co Water Ctl & Imp Dt #119, Harris</i>	<i>Negotiated underwriting</i>
TX	<i>Harris Co-Texas, Harris</i>	<i>Negotiated underwriting</i>
TX	<i>Sagemeadow Utility Dt, Harris</i>	<i>Negotiated underwriting</i>
TX	<i>Harris Co (Goose Creek) CISD, Harris/Chambers</i>	<i>Negotiated underwriting</i>
TX	<i>Canadian River Muni Water Auth, Hemphill</i>	<i>Negotiated underwriting</i>
TX	<i>Hidalgo Co (Donna) ISD, Hidalgo</i>	<i>Negotiated underwriting</i>
TX	<i>Hidalgo Co (Edinburg) CISD, Hidalgo</i>	<i>Negotiated underwriting</i>
TX	<i>Hidalgo Co (La Joya) ISD, Hidalgo</i>	<i>Negotiated underwriting</i>
TX	<i>Hidalgo Co (La Joya) ISD, Hidalgo</i>	<i>Negotiated underwriting</i>
TX	<i>Hidalgo Co (Sharyland) ISD, Hidalgo</i>	<i>Negotiated underwriting</i>
TX	<i>Kendall Co (Boerne) ISD, Kendall</i>	<i>Negotiated underwriting</i>
TX	<i>Kendall Co (Boerne) ISD, Kendall</i>	<i>Negotiated underwriting</i>
TX	<i>Midland Co Public Facs Corp, Midland</i>	<i>Negotiated underwriting</i>
TX	<i>Montgomery Co (Conroe) ISD, Montgomery</i>	<i>Negotiated underwriting</i>
TX	<i>Oak Ridge North-Texas, Montgomery</i>	<i>Negotiated underwriting</i>
TX	<i>Point Aquarius MUD, Montgomery</i>	<i>Negotiated underwriting</i>
TX	<i>Southern Montgomery Co MUD, Montgomery</i>	<i>Negotiated underwriting</i>

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TX	<i>Nueces Co (Robstown) ISD, Nueces</i>	<i>Negotiated underwriting</i>
TX	<i>North Texas Tollway Auth (NTTA), Rockwell</i>	<i>Negotiated underwriting</i>
TX	<i>North Texas Tollway Auth (NTTA), Rockwell</i>	<i>Negotiated underwriting</i>
TX	<i>Tarrant Co (Everman) ISD, Tarrant</i>	<i>Negotiated underwriting</i>
TX	<i>Tarrant Co (White Settlement) ISD, Tarrant</i>	<i>Negotiated underwriting</i>
TX	<i>Viridian Municipal Management Dt, Tarrant</i>	<i>Negotiated underwriting</i>
TX	<i>Tarrant Co (Mansfield) ISD, Tarrant/Johnson</i>	<i>Negotiated underwriting</i>
TX	<i>Austin City-Texas, Austin, Travis/Williamson/Hays</i>	<i>Negotiated underwriting</i>
TX	<i>Washington Co (Brenham) ISD, Washington</i>	<i>Negotiated underwriting</i>
TX	<i>Laredo City-Texas, Laredo, Webb</i>	<i>Negotiated underwriting</i>
TX	<i>Webb Co-Texas, Webb</i>	<i>Negotiated underwriting</i>
TX	<i>Willacy Co (Lasara) ISD, Willacy</i>	<i>Negotiated underwriting</i>
TX	<i>Yoakum Co (Denver City) ISD, Yoakum</i>	<i>Negotiated underwriting</i>
UT	<i>Murray City Municipal Bldg Auth, Murray, Salt Lake</i>	<i>Negotiated underwriting</i>
UT	<i>Utah Charter School Fin Auth, Salt Lake</i>	<i>Negotiated underwriting</i>
UT	<i>Utah State Board of Regents, Salt Lake</i>	<i>Negotiated underwriting</i>
WA	<i>Washington St Hsg Fin Commission, King</i>	<i>Negotiated underwriting</i>
VA	<i>Virginia Small Business Fin Auth, Henrico</i>	<i>Negotiated underwriting</i>
WA	<i>Franklin Co Public Utility Dt #1, Franklin</i>	<i>Negotiated underwriting</i>
WA	<i>Bellevue City-Washington, Bellevue, King</i>	<i>Negotiated underwriting</i>
WA	<i>Pierce Co (Tacoma) SD #10, Pierce</i>	<i>Negotiated underwriting</i>
WA	<i>Pierce Co-Washington,</i>	<i>Negotiated underwriting</i>

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WA	<i>Pierce Pierce Co-Washington, Pierce</i>	<i>Negotiated underwriting</i>
WA	<i>Northwest Open Access Network, Thurston</i>	<i>Negotiated underwriting</i>
WI	<i>Wisconsin, Dane</i>	<i>Negotiated underwriting</i>
WI	<i>Wisconsin Public Finance Auth, Dane</i>	<i>Negotiated underwriting</i>
WY	<i>Wyoming Community Dev Authority, State Authority</i>	<i>Negotiated underwriting</i>
AZ	<i>Arizona Board of Regents, Maricopa</i>	<i>Financial advisor</i>
AZ	<i>Glendale City-Arizona, Glendale, Maricopa</i>	<i>Financial advisor</i>
AZ	<i>Pima Co-Arizona, Pima</i>	<i>Financial advisor</i>
CO	<i>Kinston Metropolitan Dt #5, Larimer</i>	<i>Financial advisor</i>
TX	<i>Aransas Co-Texas, Aransas</i>	<i>Financial advisor</i>
TX	<i>Bell Co (Killeen) ISD, Bell</i>	<i>Financial advisor</i>
TX	<i>Bowie Co (Liberty-Eylau) ISD, Bowie</i>	<i>Financial advisor</i>
TX	<i>Denton Co (Little Elm) ISD, Denton</i>	<i>Financial advisor</i>
TX	<i>Ellis Co (Palmer) ISD, Ellis</i>	<i>Financial advisor</i>
TX	<i>Kyle City-Texas, Kyle, Hays</i>	<i>Financial advisor</i>
TX	<i>Hays CISD, Hays/Caldwell/Travis</i>	<i>Financial advisor</i>
TX	<i>Hidalgo Co (McAllen) ISD, Hidalgo</i>	<i>Financial advisor</i>
TX	<i>Beaumont City-Texas, Beaumont City, Jefferson</i>	<i>Financial advisor</i>
TX	<i>Jefferson Co (Port Arthur) ISD, Jefferson</i>	<i>Financial advisor</i>
TX	<i>Kerr Co-Texas, Kerr</i>	<i>Financial advisor</i>
TX	<i>Liberty City-Texas, Liberty, Liberty</i>	<i>Financial advisor</i>
TX	<i>Lubbock City-Texas, Lubbock, Lubbock</i>	<i>Financial advisor</i>
TX	<i>Texas Public Finance Auth (TPFA), State Authority</i>	<i>Financial advisor</i>
TX	<i>Travis Co (Lake Travis) ISD, Travis</i>	<i>Financial advisor</i>

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TX	<i>Leander City-Texas, Leander, Williamson/Travis</i>	<i>Financial advisor</i>
TX	<i>Floresville City-Texas, Floresville, Wilson</i>	<i>Financial advisor</i>
WY	<i>Carbon 2009 Spec Purp Jt Pwrs Bd, Carbon</i>	<i>Financial advisor</i>
CO	<i>Colorado Hsg & Fin Auth (CHFA), Denver</i>	<i>Remarketing agent</i>
IL	<i>Illinois Housing Dev Authority, Cook</i>	<i>Remarketing agent</i>
OK	<i>Oklahoma Dev Finance Auth, State Authority</i>	<i>Remarketing agent</i>
CA	<i>California Municipal Fin Auth, San Diego</i>	<i>Private placement</i>
CO	<i>Hilltop Metropolitan District, Douglas County</i>	<i>Private placement</i>
CO	<i>Interquest Town Center Business Improvement District, El Paso</i>	<i>Private placement</i>
FL	<i>Hillsborough Co Housing Fin Auth, Hillsborough</i>	<i>Private placement</i>
IL	<i>Illinois Finance Authority, Cook</i>	<i>Private placement</i>
IL	<i>Upper Illinois River Villy Dev Auth, Grundy</i>	<i>Private placement</i>
LA	<i>Louisiana Gov Env Fac & CDA (LCDA), E Baton Rouge Parish</i>	<i>Private placement</i>
OK	<i>Oklahoma Dev Finance Auth, State Authority</i>	<i>Private placement</i>
WI	<i>Wisconsin Public Finance Auth, Dane</i>	<i>Private placement</i>

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
TX	<i>Little Elm Independent School District, City of Little Elm, Denton County</i>	<i>Advice</i>
TX	<i>Uptown Development Authority, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>City of Port Neches, City of Port Neches, Jefferson County</i>	<i>Advice</i>
TX	<i>Crawford Independent School District, City of Crawford, McLennan County</i>	<i>Advice</i>
TX	<i>Victoriia Housing Finance Corporation, City of Victoria, Victoria County</i>	<i>Advice</i>
FL	<i>Hernando County, Ciyt of Brooksville, Hernando County</i>	<i>Advice</i>
NM	<i>Santa Fe Public School District, City of Santa Fe, Santa Fe County</i>	<i>Advice</i>
TX	<i>City of New Boston, City of New Boston, Bowie County</i>	<i>Advice</i>
NM	<i>Jal Public Schools, City of Jal, Lea County</i>	<i>Advice</i>
TX	<i>City of Nixon, City of Nixon, Gonzales/ Wilson Counties</i>	<i>Advice</i>
NM	<i>Western New Mexico University, City of Silver City, Grant County</i>	<i>Advice</i>
NM	<i>Santa Fe County, City of Sante Fe, Santa Fe County</i>	<i>Advice</i>
NM	<i>North Central Regional Transit District, City of Taos, Taos County</i>	<i>Advice</i>
TX	<i>Killeen Independent School District, City of Killeen, Bell & Coryell Counties</i>	<i>Advice</i>
TX	<i>Harris County Water Control & Improvement District No.</i>	<i>Advice</i>

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TX	133, City of Houston, Harris County Liberty Community Development Corporation, City of Liberty, Liberty County	Advice
TX	City of Chandler, City of Chandler, Traylor/Henderson/ Henderson County	Advice
FL	Fort Pierce Utilities Authority, Ciyt of Fort Pierce, St Lucie County	Advice
NM	Central New Mexico Community College, City of Albuquerque, Bernalillo County/ Sandoval County	Advice
NM	Village of Angel Fire, City of Angel Fire, Colfax County	Advice
TX	Liberty-Eylau Independent School District, City of Texarkana, Bowie County	Advice
TX	Dallas Independent School District, City of Dallas, Dallas County	Advice
TX	Harris County Municipal Utility District No. 261, City of Houston, Harris County	Advice
TX	Montgomery County Municipal Utility District No. 101, City of Houston, Montgomery County	Advice
TX	Harris County Municipal Utility District No. 286, City of Houston, Harris County	Advice
TX	City of Cameron, City of Cameron, Milam County	Advice
FL	Nassau County, Nassau	Advice

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NM	<i>County Mora County, City of Mora, Mora County</i>	<i>Advice</i>
TX	<i>Katy Development Authority, City of Katy, Harris County</i>	<i>Advice</i>
TX	<i>Fort Bend County Water Control and Improvement District No. 2, City of Houston, Fort Bend County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 155, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Montgomery County Municipal Utility District No. 107, City of Houston, Montgomery County</i>	<i>Advice</i>
NM	<i>City of Raton, City of Raton, Colfax County</i>	<i>Advice</i>
NM	<i>Gallup McKinley County School District, City of Gallup, McKinley County</i>	<i>Advice</i>
TX	<i>San Benito Consolidated Independent School District, City of San Benito, Cameron County</i>	<i>Advice</i>
TX	<i>Towne Lake Management District, City of Houston, Harris County</i>	<i>Advice</i>
FL	<i>Lehigh Acres Municipal Services Improvement District, City of Lehigh Acres, Lee County</i>	<i>Advice</i>
NM	<i>Roosevelt County, City of Portales, Roosevelt County</i>	<i>Advice</i>
TX	<i>Aransas Pass Independent School District, City of Aransas Pass, San Patricio County</i>	<i>Advice</i>

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NM	<i>Albuquerque Municipal School District No. 12 (aka Albuquerque Public Schools), City of Albuquerque, Bernalillo County</i>	<i>Advice</i>
NM	<i>Town of Edgewood, City of Edgewood, Santa Fe County</i>	<i>Advice</i>
NM	<i>Belen Consolidated Schools, City of Belen, Valencia County</i>	<i>Advice</i>
NM	<i>Alamogordo Public Schools (Municipal School District No. 1), City of Alamogordo, Otero County</i>	<i>Advice</i>
NM	<i>Jemez Valley Public School District No. 31, City of Jemez Pueblo, Sandoval County</i>	<i>Advice</i>
TX	<i>City of Mission, City of Mission, Hidalgo County</i>	<i>Advice</i>
NM	<i>City of Clovis, City of Clovis, Curry County</i>	<i>Advice</i>
TX	<i>Northeast Texas Community College District, City of Mount Pleasant, Camp/Morris/ Titus County</i>	<i>Advice</i>
TX	<i>Cuero Independent School District, City of Cuero, DeWitt County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 503, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Klein Independent School District, City of Klein, Harris County</i>	<i>Advice</i>
NM	<i>City of Jal, City of Jal, Lee County</i>	<i>Advice</i>
TX	<i>North Harris County Regional Water Authority, City of</i>	<i>Advice</i>

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FL	Houston, Harris County City of DeLand, City of Deland, Volusia County	Advice
TX	Teague Independent School District, City of Teague, Freestone County	Advice
NM	Clovis Municipal School District, City of Clovis, Curry County	Advice
NM	Los Alamos School District, City of Los Alamos, Los Alamos County	Advice
NM	Albuquerque Metropolitan Arroyo Flood Control Authority, City of Albuquerque, Bernalillo County	Advice
TX	City of Giddings, City of Giddings, Lee County	Advice
NM	Albuquerque Bernalillo County Water Utility Authority, City of Albuquerque, Bernalillo County	Advice
NM	Santa Fe Community College, City of Santa Fe, Santa Fe County	Advice
TX	Town of Refugio, City of Refugio, Refugio County	Advice
TX	Bland Independent School District, City of Merit, Hunt County	Advice
NM	Town of Vaughn, City of Vaughn, Guadalupe County	Advice
NM	Rio Rancho Public Schools (No. 94), City of Rio Rancho, Sandoval County	Advice
NM	Lincoln County, City of	Advice

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TX	<i>Carrizozo, Lincoln County Kaufman Independent School District, City of Kaufman, Kaufman County</i>	<i>Advice</i>
TX	<i>Brickston Municipal Utility District, City of Elgin, Travis County</i>	<i>Advice</i>
TX	<i>City of Leander, City of Leander, Travis County</i>	<i>Advice</i>
NM	<i>City of Gallup Joint Utilities Department (Water), City of Gallup, McKinley County</i>	<i>Advice</i>
TX	<i>Lake Travis Independent School District, City of Austin, Travis County</i>	<i>Advice</i>
AZ	<i>Arizona State University/ Arizona Board of Regents, State Agency</i>	<i>Advice</i>
FL	<i>Town of Jupiter Island, Town of Jupiter Island, Martin County</i>	<i>Advice</i>
TX	<i>Gateway Park Municipal Management District, Wharton County</i>	<i>Advice</i>
NM	<i>Town of Bernalillo, City of Bernalillo, Sandoval County</i>	<i>Advice</i>
AZ	<i>City of Tolleson, City of Tolleson</i>	<i>Advice</i>
TX	<i>Cinco Municipal Utility District No. 02, City of Katy, Fort Bend County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 501, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Mercedes Independent School District, City of Mercedes, Hidalgo County</i>	<i>Advice</i>
TX	<i>Kerr County, City of</i>	<i>Advice</i>

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NM	<i>Kerrville, Kerr County Los Lunas Municipal Schools District, City of Los Lunas, Valencia County</i>	<i>Advice</i>
FL	<i>City of Pensacola, City of Pensacola, Escambia County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 502, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Tom Green County, City of San Angelo, Tom Green County</i>	<i>Advice</i>
TX	<i>City of Arcola, City of Arcola, Fort Bend County</i>	<i>Advice</i>
AZ	<i>City of Glendale - Linking Agreement #CTR046444, City of Glendale</i>	<i>Advice</i>
AZ	<i>University of Arizona/ Arizona Board of Regents (pursuant to Pool Procurement), State Agency</i>	<i>Advice</i>
FL	<i>Sarasota Manatee Airport Authority, City of Sarasota, Sarasota County</i>	<i>Advice</i>
NM	<i>Dora Consolidated Schools, City of Dora, Roosevelt County</i>	<i>Advice</i>
NM	<i>Guadalupe County, City of Santa Rosa, Guadalupe County</i>	<i>Advice</i>
NM	<i>City of Gallup, City of Gallup, McKinley County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 427, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 57, City of Houston, Brazoria County</i>	<i>Advice</i>

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TX	<i>Galveston County Municipal Utility District No. 072, City of Houston, Galveston County</i>	<i>Advice</i>
FL	<i>Emerald Coast Utilities Authority, City of Pensacola, Escambia County</i>	<i>Advice</i>
TX	<i>Fort Bend County Municipal Utility District No. 046, City of Houston, Fort Bend County</i>	<i>Advice</i>
NM	<i>City of Bloomfield, City of Bloomfield, San Juan County</i>	<i>Advice</i>
NM	<i>Las Vegas City School District, City of Las Vegas, San Miguel County</i>	<i>Advice</i>
TX	<i>City of Kyle, City of Kyle, Hays County</i>	<i>Advice</i>
TX	<i>Port Neches Economic Development Corporation, City of Port Neches, Jefferson County</i>	<i>Advice</i>
FL	<i>Ocean Highway & Port Authority, City of Fernandina Beach, Nassau County</i>	<i>Advice</i>
NM	<i>Cobre Consolidated School District No. 2, City of Bayard, Grant County</i>	<i>Advice</i>
NM	<i>Tatum Municipal Schools, City of Tatum, Lea County</i>	<i>Advice</i>
TX	<i>City of Mercedes, City of Mercedes, Hidalgo County</i>	<i>Advice</i>
TX	<i>City of Liberty, City of Liberty, Liberty County</i>	<i>Advice</i>
TX	<i>Lago Vista Independent School District, City of Lago Vista, Travis County</i>	<i>Advice</i>
NM	<i>Farmington Municipal School District No. 5, City of Farmington, San Juan County</i>	<i>Advice</i>

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TX	<i>Harris County Water Control & Improvement District - Fondren Road, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Crandall Independnet School District, City of Crandall, Kaufman County</i>	<i>Advice</i>
TX	<i>Montgomery County Municipal Utility District No. 100, City of Houston, Montgomery County</i>	<i>Advice</i>
NM	<i>Bernalillo Public Schools District/ Municipal School District No.1, City of Bernalillo, Sandoval County</i>	<i>Advice</i>
TX	<i>City of Victoria, City of Victoria, Vicotira County</i>	<i>Advice</i>
TX	<i>City of Waller, City of Waller, Waller County</i>	<i>Advice</i>
TX	<i>Community Independent School District, City of Neveda, Collin County</i>	<i>Advice</i>
TX	<i>Waterwood Municipal Utility District No. 1, City of Houston, San Jacinto County</i>	<i>Advice</i>
NM	<i>New Mexico Highland's University, City of Las Vegas, San Miguel County</i>	<i>Advice</i>
TX	<i>Goliad Independent School District, City of Goliad, Goliad County</i>	<i>Advice</i>
FL	<i>City of North Miami, City of North Miami, Miami Dade County</i>	<i>Advice</i>
FL	<i>Polk Regional Water Cooperative, City of Winter Haven, Polk County</i>	<i>Advice</i>
NM	<i>City of Roswell, City of</i>	<i>Advice</i>

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TX	<i>Roswell, Chaves County City of Carrollton, City of Carrollton, Dallas/ Denton/ Collin County</i>	<i>Advice</i>
TX	<i>Hays Consolidated Independent School District, City of Kyle, Hays/ Travis/ Caldwell Counties</i>	<i>Advice</i>
CO	<i>Kinston Metropolitan District No 5, City of Loveland, Larimer County</i>	<i>Advice</i>
FL	<i>University Athletic Association Inc, Alachua County, Alachua County</i>	<i>Advice</i>
NM	<i>South Central Solid Waste Authority, City of Las Cruces, Dona Ana County</i>	<i>Advice</i>
NM	<i>Dexter Consolidated School District, City of Dexter, Chaves County</i>	<i>Advice</i>
NM	<i>Portales Municipal Schools, City of Portales, Roosevelt County</i>	<i>Advice</i>
TX	<i>Denison Independent School District, City of Denison, Grayson County</i>	<i>Advice</i>
TX	<i>Nueces Canyon Consolidated Independent School District, City of Barksdale, Edwards County</i>	<i>Advice</i>
TX	<i>Harris County Water Control & Improvement District No. 114, City of Houston, Harris County</i>	<i>Advice</i>
NM	<i>Gadsden Independent School District No. 16, City of Sunland Park, Dona Ana County</i>	<i>Advice</i>

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TX	<i>Calhoun County Navigation District// Industrial Development Authority, City of Port Lavaca, Calhoun County</i>	<i>Advice</i>
TX	<i>Galveston County Water Control & Improvement District No. 008, City of Houston, Galveston County</i>	<i>Advice</i>
TX	<i>City of Gonzales, City of Gonzales, Gonzales County</i>	<i>Advice</i>
TX	<i>City of Goliad, City of Goliad, Goliad County</i>	<i>Advice</i>
TX	<i>Kimberlin Ranch Municipal Utility District No. 3 of Grayson County, City of Houston, Grayson County</i>	<i>Advice</i>
TX	<i>Richey Road Municipal Utility District, City of Houston, Harris County</i>	<i>Advice</i>
NM	<i>Valencia County, City of Los Lunas, Valencia County</i>	<i>Advice</i>
TX	<i>La Salle Water Control and Improvement District No. 1A, City of Port Lavaca, La Salle County</i>	<i>Advice</i>
TX	<i>Kaufman County MUD No. 10 -, City of Dallas, Kaufman County</i>	<i>Advice</i>
TX	<i>Nacogdoches Independent School District, City of Nacogdoches, Nacogdoches County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 158, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Eanes Independent School District, City of Austin, Travis</i>	<i>Advice</i>

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AZ	<i>County Arizona Department of Corrections/ State Procurement Office State of Arizona in pursuant to Industrial Development Authority of the County Of Pinal Correctional Facility, Pinal County</i>	Advice
NM	<i>Eastern New Mexico Water Utility Authority, City of Clovis, Curry County</i>	Advice
NM	<i>Lovington Municipal School District No. 1, City of Lovington, Lea County</i>	Advice
NM	<i>Bloomfield School District No. 6, City of Broomfield, San Juan County</i>	Advice
TX	<i>Paris Independent School District, City of Paris, Lamar County</i>	Advice
FL	<i>City of Miami Beach, City of Miami Beach, Dade County</i>	Advice
TX	<i>Harris County Improvement District No. 001, City of Houston, Harris County</i>	Advice
TX	<i>North Green Municipal Utility District, City of Houston, Harris County</i>	Advice
FL	<i>City of Marathon, City of Marathon, Monroe County</i>	Advice
TX	<i>Medina Independent School District, City of Medina, Bandera County</i>	Advice
TX	<i>Texas Public Finance Authority, City of Austin, Travis County</i>	Advice
TX	<i>La Villa Independent School</i>	Advice

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TX	District, City of La Villa, Hidalgo County Palmer Independent School District, City of Palmer, Ellis	Advice
NM	City of Santa Rosa, City of Santa Rosa, Guadalupe County	Advice
NM	Taos County, City of Taos, Taos County	Advice
WY	Carbon County Specific Purpose Tax Joint Powers Board, City of Rawlins, Carbon County	Advice
NM	Carrizozo Municipal Schools, City of Carrizozo, Lincoln County	Advice
OH	Ohio Housing Finance Agency, City of Columbus, Franklin County	Advice
TX	Medina Valley Independent School District, City of Castroville, Medina County	Advice
TX	City of Elgin, City of Elgin, Travis County	Advice
NM	Cuba Independent School District, City of Cuba, Sandoval County	Advice
TX	Waco Independent School District, City of Waco, McLennan County	Advice
NM	New Mexico Military Institute, City of Roswell, Chaves County	Advice
TX	County of Bexar, City of San Antonio, Bexar County	Advice
TX	McLennan Community College, City of Waco, McLennan County	Advice

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CO	<i>Kinston Metropolitan District No 2 (f/k/a No 1), City of Loveland, Larimer County</i>	<i>Advice</i>
TX	<i>Harlandale Independent School District, City of San Antonio, Bexar County</i>	<i>Advice</i>
TX	<i>Galveston County Municipal Utility District No. 043, City of Houston, Galveston County</i>	<i>Advice</i>
NM	<i>Quemado Independent School District No. 2, City of Quemado, Catron County</i>	<i>Advice</i>
TX	<i>City of Granite Shoals, City of Granite Shoals, Burnet County</i>	<i>Advice</i>
TX	<i>Nederland Independent School District, City of Nederland, Jefferson County</i>	<i>Advice</i>
NM	<i>Tucumcari Public Schools, City of Tucumcari, Quay County</i>	<i>Advice</i>
CO	<i>Metro Wastewater Reclamation District, City of Denver, Denver County</i>	<i>Advice</i>
TX	<i>Smithville Independent School District, City of Smithville, Bastrop County</i>	<i>Advice</i>
TX	<i>Highland Park Independent School District, City of Dallas, Dallas County</i>	<i>Advice</i>
PA	<i>Commonwealth of Pennsylvania State System of Higher Education, City of Harrisburg, Cumberland County</i>	<i>Advice</i>
TX	<i>Odessa Junior College District, City of Odessa, Ector County</i>	<i>Advice</i>

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TX	<i>Kimberlin Ranch Municipal Utility District No. 1 of Grayson County, City of Houston, Grayson County</i>	<i>Advice</i>
NM	<i>City of Las Cruces, City of Las Cruces, Dona Ana County</i>	<i>Advice</i>
NM	<i>City of Farmington, City of Farmington, San Juan County</i>	<i>Advice</i>
TX	<i>Argyle Independent School District, City of Argyle, Denton County</i>	<i>Advice</i>
TX	<i>Fort Bend County Municipal Utility District No. 119, City of Houston, Fort Bend County</i>	<i>Advice</i>
TX	<i>Stafford Municipal School District, City of Stafford, Fort Bend County</i>	<i>Advice</i>
TX	<i>Montgomery County Municipal Utility District No. 140, City of Houston, Montgomery County</i>	<i>Advice</i>
NM	<i>San Juan College, City of Farmington, San Juan County</i>	<i>Advice</i>
TX	<i>City of Alamo, City of Alamo, Hidalgo County</i>	<i>Advice</i>
TX	<i>Edcouch Elsa Independent School District, City of Edcouch, Hidalgo County</i>	<i>Advice</i>
TX	<i>Victoria County Navigation District, City of Victoria, Victoria County</i>	<i>Advice</i>
TX	<i>City of Morgan's Point, City of Morgan Point, Harris County</i>	<i>Advice</i>
TX	<i>Tyler Independent School District, City of Tyler, Smith County</i>	<i>Advice</i>
TX	<i>Fort Worth Independent</i>	<i>Advice</i>

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TX	<i>School District, City of Fort Worth, Tarrant County Tom Green County Cultural Education Facilities Finance Corporation, City of San Angelo, Tom Green County</i>	Advice
TX	<i>Burton Independent School District, City of Burton, Washington County</i>	Advice
NM	<i>Aztec Municipal School District No. 2, City of Aztec, San Juan County</i>	Advice
TX	<i>City of Clute, City of Clute, Brazoria County</i>	Advice
TX	<i>Harris County Municipal Utility District No. 407, City of Houston, Harris County</i>	Advice
WY	<i>Carbon County, Carbon County</i>	Advice
TX	<i>Edna Independent School District, City of Edna, Jackson County</i>	Advice
NM	<i>Truth or Consequences School District, City of Truth or Consequences, Sierra County</i>	Advice
TX	<i>Kimberlin Ranch Municipal Utility District No. 2 of Grayson County, City of Houston, Grayson County</i>	Advice
FL	<i>Polk County, City of Barlow, Polk County</i>	Advice
TX	<i>Tradition Municipal Utility District No. 1, City of Fort Worth, Denton County</i>	Advice
TX	<i>Ingram Independent School District, City of Ingram, Kerr County</i>	Advice
CO	<i>State of Colorado Treasurers'</i>	Advice

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	<i>Office (Issue Specific - ETRANS), City of Denver, State</i>	
NM	<i>Melrose Municipal School District No 12, City of Melrose, County of Middlesex</i>	<i>Advice</i>
TX	<i>Mission Redevelopment Authority, City of Mission, Hidalgo County</i>	<i>Advice</i>
TX	<i>Lubbock Christian University, City of Lubbock, Lubbock County</i>	<i>Advice</i>
TX	<i>Cape Royale Utility District, City of Coldspring, San Jacinto County</i>	<i>Advice</i>
AZ	<i>Valley Metro/ Regional Public Transportation Authority/ Maricopa County (pursuant to Pool Procurement), Maricopa County</i>	<i>Advice</i>
NM	<i>Artesia Public School District, City of Artesia, Eddy County</i>	<i>Advice</i>
TX	<i>Development Corporation of the City of Mercedes, City of Mercedes, Hidalgo County</i>	<i>Advice</i>
TX	<i>City of Rockport, City of Rockport, Aransas County</i>	<i>Advice</i>
NM	<i>Nor-Lea Special Hospital District, City of Lovington, Lea County</i>	<i>Advice</i>
NM	<i>Moriarty Edgewood School District No 8, City of Moriarty, Torrance County</i>	<i>Advice</i>
NM	<i>San Juan County, City of Aztec, San Juan County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 389, City of Houston, Harris County</i>	<i>Advice</i>

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
TX	<i>Brazoria County Municipal Utility District No. 21, City of Houston, Brazoria County</i>	<i>Advice</i>
TX	<i>Paint Creek Independent School District, City of Haskell, Haskell County</i>	<i>Advice</i>
TX	<i>Bandera County, City of Bandera, Bandera County</i>	<i>Advice</i>
TX	<i>Harris-Waller Counties Municipal Utility District No. 2, City of Houston, Harris/Waller County</i>	<i>Advice</i>
TX	<i>Karnes County, City of Karnes City, Karnes County</i>	<i>Advice</i>
NM	<i>Deming Public School District, City of Deming, Luna County</i>	<i>Advice</i>
NM	<i>Southern Sandoval County Arroyo Flood Control Authority, City of Rio Rancho, Sandoval County</i>	<i>Advice</i>
TX	<i>City of Daisetta, City of Daisetta, Liberty County</i>	<i>Advice</i>
NM	<i>City of Rio Rancho, City of Rio Rancho, Bernalillo County</i>	<i>Advice</i>
AZ	<i>Coconino County, Coconino County</i>	<i>Advice</i>
NM	<i>Pojoague Valley School District, City of Santa Fe, Santa Fe County</i>	<i>Advice</i>
TX	<i>Calhoun Port Authority, City of Point Comfort, Calhoun County</i>	<i>Advice</i>
TX	<i>Gillespie County, City of Fredericksburg, Gillespie County</i>	<i>Advice</i>
TX	<i>South Shore Harbour</i>	<i>Advice</i>

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	<i>Municipal Utility District No. 007, City of Houston, Harris County</i>	
<i>TX</i>	<i>City of Edna, City of Edna/, Jackson County</i>	<i>Advice</i>
<i>NM</i>	<i>Carlsbad Municipal School District, City of Carlsbad, Eddy County</i>	<i>Advice</i>
<i>NM</i>	<i>Vaughn Municipal School District, City of Vaughn, Guadalupe County</i>	<i>Advice</i>
<i>TX</i>	<i>Dallas County, City of Dallas, Dallas County</i>	<i>Advice</i>
<i>TX</i>	<i>Texas Woman's University, City of Denton, Denton County</i>	<i>Advice</i>
<i>TX</i>	<i>Lake Forest Utility District, City of Houston, Harris County</i>	<i>Advice</i>
<i>TX</i>	<i>City of Lindale, City of Lindale, Smith County</i>	<i>Advice</i>
<i>TX</i>	<i>Aransas County Navigation District No. 1, City of Rockport, Aransas County</i>	<i>Advice</i>
<i>TX</i>	<i>Life School of Dallas, City of Dallas, Dallas County</i>	<i>Advice</i>
<i>TX</i>	<i>Harris County Municipal Utility District No. 248, City of Houston, Harris County</i>	<i>Advice</i>
<i>TX</i>	<i>Harris County Municipal Utility District No. 373, City of Houston, Harris County</i>	<i>Advice</i>
<i>NM</i>	<i>Ruidoso Municipal Schools, City of Ruidoso, Lincoln County</i>	<i>Advice</i>
<i>TX</i>	<i>Sanger Independent School District, City of Sanger, Denton County</i>	<i>Advice</i>

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TX	<i>Galveston County Municipal Utility District No. 044, City of Houston, Galveston County</i>	<i>Advice</i>
TX	<i>Parker County Junior College -Legal Name (DBA Weatherford College), City of Weatherford, Parker County</i>	<i>Advice</i>
NM	<i>Hagerman Municipal School District, City of Hagerman, Chaves County</i>	<i>Advice</i>
NM	<i>Quay County, Quay County</i>	<i>Advice</i>
TX	<i>City of Marfa, City of Marfa, Presidio County</i>	<i>Advice</i>
NM	<i>City of Moriarity, City of Moriarity, Torrance County</i>	<i>Advice</i>
TX	<i>Hitchcock Independent School District, City of Hitchcock, Galveston County</i>	<i>Advice</i>
TX	<i>McAllen Independent School District, City of McAllen, Hidalgo County</i>	<i>Advice</i>
TX	<i>Clovercreek Municipal Utility District, City of Magnolia, Montgomery County</i>	<i>Advice</i>
NM	<i>Lordsburg Municipal School District, City of Lordsburg, Hidalgo County</i>	<i>Advice</i>
TX	<i>City of Windcrest, City of Windcrest, Bexar County</i>	<i>Advice</i>
CO	<i>State of Colorado Treasurers' Office/ Pooled Master Contrast, City of Denver, State</i>	<i>Advice</i>
FL	<i>City of Bonita Springs, City of Bonita Springs, Lee County</i>	<i>Advice</i>
NM	<i>Wagon Mound Public Schools, City of Wagon Mound, Mora County</i>	<i>Advice</i>

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NM	<i>Logan Municipal School District, City of Logan, Quay County</i>	<i>Advice</i>
FL	<i>City of Homestead, Homestead, Dade</i>	<i>Advice</i>
TX	<i>Galveston County Municipal Utility District No. 032, City of Houston, Galveston County</i>	<i>Advice</i>
TX	<i>Menard County Water Control and Improvement District No.1, City of Menard, Menard County</i>	<i>Advice</i>
TX	<i>City of Pflugerville, City of Pflugerville, Travis County</i>	<i>Advice</i>
NM	<i>Hatch Valley Public Schools, City of Hatch, Dona Ana County</i>	<i>Advice</i>
TX	<i>City of Beaumont, City of Beaumont, Jefferson County</i>	<i>Advice</i>
TX	<i>Austin Independent School District, City of Austin, Travis County</i>	<i>Advice</i>
AZ	<i>Pima County, Pima County</i>	<i>Advice</i>
AZ	<i>Arizona Department of Transportation/ Arizona Transportation Board (pursuant to Pool Procurement), State Agency</i>	<i>Advice</i>
TX	<i>City of Katy, City of Katy, Harris/ Fort Bend/ Waller</i>	<i>Advice</i>
TX	<i>Schleicher County Hospital District, City of Eldorado, Schleicher County</i>	<i>Advice</i>
AZ	<i>State of Arizona, Stae Agency</i>	<i>Advice</i>
NM	<i>Dona Ana Branch Community College District, City of Las Cruces, Dona Ana County</i>	<i>Advice</i>
TX	<i>Fort Bend County Municipal</i>	<i>Advice</i>

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TX	Utility District No. 199, City of Houston, Fort Bend County Spring Independent School District, City of Houston, Harris County	Advice
FL	City of Bradenton, City of Bradenton, Manatee County	Advice
NM	City of Las Vegas, City of Las Vegas, Sam Miguel County	Advice
TX	City of Silsbee, City of Silsbee, Hardin County	Advice
NM	West Las Vegas School District, City of Las Vegas, San Miguel County	Advice
PA	Salus University, Elkins Park, Montgomery County	Advice
TX	Bexar County Water Control and Improvement District No 10, City of Midcrown, Bexar County	Advice
TX	Brazoria County Municipal Utility District No. 56, City of Houston, Brazoria County	Advice
TX	Cameron Economic Developemnt Corporation, City of Cameron, Milam County	Advice
FL	City of Cape Coral, City of Cape Coral, Lee County	Advice
NM	Eastern Sandoval County Arroyo Flood Control Authority, City of Bernalillo, Sandoval County	Advice
TX	City of Port Lavaca, City of Port Lavaca, Calhoun County	Advice
TX	City of Seadrift, City of Seadrift, Calhoun County	Advice
TX	Floresville Electric Light and	Advice

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TX	<i>Power System, City of Floresville, Wilson County Palestine Independent School District, City of Palestine, Anderson County</i>	Advice
TX	<i>Mexia Independent School District, City of Mexia, Limestone County</i>	Advice
NM	<i>New Mexico State University, City of Las Cruces, Dona Ana County</i>	Advice
NM	<i>Cloudcroft Municipal School District, City of Cloudcroft, Otero County</i>	Advice
TX	<i>Falls City Independent School District, City of Falls City, Karnes County</i>	Advice
TX	<i>Katy Management District No. 1, City of Katy, Fort Bend County</i>	Advice
TX	<i>Starr County, City of Rio Grande City, Starr County</i>	Advice
TX	<i>South San Antonio Independent School District, City of San Antonio, Bexar County</i>	Advice
TX	<i>College of the Mainland, City of Texas City, Galveston County</i>	Advice
TX	<i>Port Neches Improvement District, City of Port Neches, Jefferson County</i>	Advice
TX	<i>Brazoria County Municipal Utility District No. 06, City of Houston, Brazoria County</i>	Advice
TX	<i>Scurry Rosser Independent School District, City of Scurry, Kaufman County</i>	Advice

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NM	<i>Bernalillo County, City of Albuquerque, Bernalillo County</i>	<i>Advice</i>
NM	<i>Grants/ Cibola County School District No. 1, City of Grants, Cibola County</i>	<i>Advice</i>
NM	<i>City of Gallup Utilities (Electric), City of Gallup, McKinley County</i>	<i>Advice</i>
TX	<i>City of Fulshear, City of Fulshear, Fort Bend County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 500, City of Houston, Harris County</i>	<i>Advice</i>
NM	<i>Roswell Independent School District, City of Roswell, Chaves County</i>	<i>Advice</i>
TX	<i>Irving Independent School District, City of Irving, Dallas County</i>	<i>Advice</i>
TX	<i>Woodcreek Reserve Municipal Utility District, City of Houston, Fort Bend County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 118, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Trail of the Lakes Municipal Utility District, City of Houston, Harris County</i>	<i>Advice</i>
TX	<i>Pharr-San Juan-Alamo Independent School District, City of Pharr, Hidalgo County</i>	<i>Advice</i>
AZ	<i>Northern Arizona University/ Arizona Board or Regents (Pursuant to Pool Procurement), State Agency</i>	<i>Advice</i>
NM	<i>City of Albuquerque, City of Albuquerque, Bernalillo</i>	<i>Advice</i>

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NM	<i>County Texico Municipal School District, City of Texico, Curry County</i>	<i>Advice</i>
TX	<i>Howe Independent School District, City of Howe, Grayson County</i>	<i>Advice</i>
FL	<i>City of Lakeland, City of Lakeland, Polk County</i>	<i>Advice</i>
NM	<i>Roosevelt County Special Hospital District, City of Portales, Roosevelt County</i>	<i>Advice</i>
TX	<i>Port Arthur Independent School District, City of Port Arthur, Jefferson County</i>	<i>Advice</i>
TX	<i>City of Lubbock, City of Lubbock, Lubbock County</i>	<i>Advice</i>
TX	<i>Wilson Independent School District, City of Wilson, Lynn County</i>	<i>Advice</i>
TX	<i>City of Stafford, City of Stafford, Harris/ Fort Bend</i>	<i>Advice</i>
NM	<i>Las Cruces Municipal/ Public School District No 2, City of Las Cruces, Dona Ana County</i>	<i>Advice</i>
NM	<i>City of Tucumcari, City of Tucumcari, Quay County</i>	<i>Advice</i>
NM	<i>Central Consolidated Schools, City of Shiprock, San Juan County</i>	<i>Advice</i>
TX	<i>Aransas County, City of Rockport, Aransas County</i>	<i>Advice</i>
TX	<i>Town of Fulton, City of Fulton, Aransas County</i>	<i>Advice</i>
TX	<i>City of Kenedy, City of Kenedy, Karnes County</i>	<i>Advice</i>
TX	<i>Pflugerville Community</i>	<i>Advice</i>

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NM	<i>Development corporation, City of Pflugerville, Travis County State of New Mexico-Administrative Office of the Court, City of Santa Fe, State Agency</i>	<i>Advice</i>
TX	<i>Lone Star College System, City of The Woodlands, Harris, Montgomery and San Jacinto Counties</i>	<i>Advice</i>
TX	<i>Hopkins County, City of Sulphur Springs, Hopkins County</i>	<i>Advice</i>

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>Glendale Unified School District (California)</i>	<i>\$38,000,000 Glendale Unified School District (Los Angeles County, California) General Obligation Bonds, Election of 2011, Series E</i>	<i>03/24/2020</i>
<i>Solano Community College District (California)</i>	<i>\$30,000,000 Solano Community College District (Solano and Yolo Counties, California) Election of 2012 General Obligation Bonds, Series D</i>	<i>09/30/2020</i>
<i>Coast Community</i>	<i>\$211,770,000 Coast Community College District</i>	<i>08/31/2020</i>

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Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>College District (California)</i>	<i>(Orange County, California) 2020 General Obligation Refunding Bonds (Federrally Taxable)</i>	

FORM G-37



Signature: electronic

Date: *Feb 23, 2023*

Name (must be officer of regulated entity): *George Hinchcliff*

Address: *200 Vesey Street, New York, NY 10281*

Phone: *212-618-7583*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)