

Name of Regulated Entity: *Raftelis Financial Consultants, Inc.*

Report Period: *Third Quarter of 2022*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)
		If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>None</i>		

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
<i>NC</i>	<i>Concord, City of</i>	<i>Advice</i>
<i>TX</i>	<i>Round Rock, City of</i>	<i>Advice</i>
<i>FL</i>	<i>Tampa, City of</i>	<i>Advice</i>
<i>TX</i>	<i>El Paso Water Utilities Pub Svc Board</i>	<i>Advice</i>
<i>FL</i>	<i>St. Johns County</i>	<i>Advice</i>
<i>OH</i>	<i>Delaware County</i>	<i>Advice</i>
<i>KS</i>	<i>Lawrence, City of(KS)</i>	<i>Advice</i>
<i>CA</i>	<i>Las Virgenes Municipal Water District</i>	<i>Advice</i>
<i>FL</i>	<i>South Island Public Service District</i>	<i>Advice</i>
<i>FL</i>	<i>City of Stuart</i>	<i>Advice</i>
<i>CO</i>	<i>Paulding County</i>	<i>Advice</i>
<i>MO</i>	<i>St. Louis MSD</i>	<i>Advice</i>
<i>CA</i>	<i>Padre Dam Municipal Water District</i>	<i>Advice</i>
<i>TN</i>	<i>Sevierville, City of</i>	<i>Advice</i>
<i>KS</i>	<i>Lawrence, City of(KS)</i>	<i>Advice</i>
<i>CA</i>	<i>Redlands, City of</i>	<i>Advice</i>
<i>TN</i>	<i>Metro Government of Nashville and Davidson Co.</i>	<i>Advice</i>
<i>CA</i>	<i>Camarillo, City of</i>	<i>Advice</i>
<i>VA</i>	<i>Newport News, City of</i>	<i>Advice</i>
<i>TX</i>	<i>Arlington Water Utilities, City</i>	<i>Advice</i>

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
	<i>of</i>	
FL	<i>Tavares, City of</i>	<i>Advice</i>
CA	<i>Hollister, City of</i>	<i>Advice</i>
SC	<i>York County</i>	<i>Advice</i>
CA	<i>Montecito Water District</i>	<i>Advice</i>
SC	<i>Chester County Wastewater Recovery</i>	<i>Advice</i>
CA	<i>Dixon, City of</i>	<i>Advice</i>
CO	<i>Greeley, City of</i>	<i>Advice</i>
MI	<i>Genesee County Division of Water & Waste Services</i>	<i>Advice</i>
NV	<i>Henderson, City of (NV)</i>	<i>Advice</i>
WA	<i>Tacoma - Public Works, City of</i>	<i>Advice</i>
FL	<i>St. Johns County</i>	<i>Advice</i>
KS	<i>Lawrence, City of (KS)</i>	<i>Advice</i>
MD	<i>Baltimore, City of</i>	<i>Advice</i>
LA	<i>Sewerage & Water Board of New Orleans</i>	<i>Advice</i>
FL	<i>Orlando, City of</i>	<i>Advice</i>
CO	<i>Trinidad, City of</i>	<i>Advice</i>
CA	<i>St. Helena, City of</i>	<i>Advice</i>
MT	<i>Billing Heights, County Water District of</i>	<i>Advice</i>
VT	<i>Burlington (VT), City of</i>	<i>Advice</i>
FL	<i>Polk Regional Water Cooperative</i>	<i>Advice</i>
IL	<i>Aurora, City of</i>	<i>Advice</i>
NC	<i>Raleigh, City of</i>	<i>Advice</i>
MO	<i>North Kansas City, City of</i>	<i>Advice</i>
IL	<i>Burr Ridge, Village of</i>	<i>Advice</i>
KS	<i>Perry City of</i>	<i>Advice</i>
CA	<i>San Clemente, City of</i>	<i>Advice</i>
IA	<i>Des Moines Water Works</i>	<i>Advice</i>
MO	<i>Smithville, City of (MO)</i>	<i>Advice</i>
TN	<i>Hallsdale-Powell Utility</i>	<i>Advice</i>

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	<i>District</i>	
FL	<i>Haines City, City of</i>	<i>Advice</i>
CA	<i>San Bernardino, City of Water Department</i>	<i>Advice</i>
TX	<i>Addison, Town of</i>	<i>Advice</i>
FL	<i>Wakulla County Board of Commissioners</i>	<i>Advice</i>
CA	<i>Pico Rivera, City of</i>	<i>Advice</i>
AR	<i>Bentonville, City of</i>	<i>Advice</i>
FL	<i>Belleair, Town of</i>	<i>Advice</i>
VA	<i>Suffolk, City of</i>	<i>Advice</i>
VA	<i>Richmond Department of Finance - AP</i>	<i>Advice</i>
CO	<i>Greeley, City of</i>	<i>Advice</i>
NY	<i>Buffalo Sewer Authority</i>	<i>Advice</i>
NV	<i>Clark County Water Reclamation District</i>	<i>Advice</i>
CA	<i>San Diego, City of Public Utilities Dept.</i>	<i>Advice</i>
CA	<i>Vallejo, City of</i>	<i>Advice</i>
CO	<i>Grand Junction, City of</i>	<i>Advice</i>
CO	<i>Platteville, Town of</i>	<i>Advice</i>
WA	<i>Seattle Public Utilities</i>	<i>Advice</i>
AL	<i>Birmingham Water Works Board</i>	<i>Advice</i>
CO	<i>Westminster (CO), City of</i>	<i>Advice</i>
NC	<i>Durham, City of</i>	<i>Advice</i>
FL	<i>Ocala, City of</i>	<i>Advice</i>
VA	<i>Washington County Service Authority</i>	<i>Advice</i>
HI	<i>Kauai County Department of Water</i>	<i>Advice</i>
MO	<i>Jefferson City</i>	<i>Advice</i>
OH	<i>Northwestern Water & Sewer District</i>	<i>Advice</i>
GA	<i>Villa Rica, City of</i>	<i>Advice</i>

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TN	Hallsdale-Powell Utility District	Advice
NC	Durham, City of	Advice
TN	Metro Government of Nashville and Davidson Co.	Advice
TX	Midland, City of	Advice
MI	Bloomfield Township	Advice
CA	Tracy, City of	Advice
CA	San Geronio Pass Water Agency	Advice
CO	Baca Grande Water & Sanitation District	Advice
AZ	KeyBanc Capital Markets	Advice
SC	Ridgeland, Town of	Advice
CA	Madera County Water & Natural Resources	Advice
CA	Coronado, City of	Advice
TN	Hendersonville Utility District	Advice
GA	Columbus Water Works	Advice
OH	Dayton, City of (OH)	Advice

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
None		

FORM G-37



Signature: electronic

Date: *Nov 8, 2022*

Name (must be officer of regulated entity): *Bart Kreps*

Address: *227 West Trade Street, Charlotte, NC 28202*

Phone: *704-936-4438*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)