

# FORM G-37



**Name of Regulated Entity:** *DA Davidson & Company*

**Report Period:** *Fourth Quarter of 2021*

## I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)  If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
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*None*

## II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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*None*

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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*None*

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**
**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
CA	<i>California (State of), Sacramento, Sacramento</i>	<i>Negotiated underwriting</i>
CA	<i>California Municipal Finance Authority, Carlsbad, San Diego</i>	<i>Negotiated underwriting</i>
CA	<i>Community Facilities Dist. No. 4 (Terramor) of Temescal Valley Water Dist., Temescal Valley, Riverside</i>	<i>Negotiated underwriting</i>
CA	<i>Elsinore Valley Municipal Water District, Lake Elsinore, Riverside County</i>	<i>Negotiated underwriting</i>
CA	<i>Martinez Unified School District, Martinez, Contra Costa</i>	<i>Negotiated underwriting</i>
CA	<i>North Coast County Water District, Pacifica, San Mateo</i>	<i>Negotiated underwriting</i>
CA	<i>Pine Ridge Elementary School District, Auberry, Fresno</i>	<i>Negotiated underwriting</i>
CA	<i>State Public Works Board of the State of California, Sacramento, Sacramento</i>	<i>Negotiated underwriting</i>
CO	<i>Aberdeen Metropolitan District No. 2, Commerce City, Adams</i>	<i>Negotiated underwriting</i>
CO	<i>Aurora Highlands Community Authority Board, Aurora, Adams</i>	<i>Negotiated underwriting</i>
CO	<i>Carousel Farms Metropolitan District, Parker, Douglas</i>	<i>Negotiated underwriting</i>
CO	<i>Castleview Metropolitan District No. 1, Castle Rock, Douglas</i>	<i>Negotiated underwriting</i>
CO	<i>Colorado Educational and Cultural Facilities Authority, Denver, Denver</i>	<i>Negotiated underwriting</i>
CO	<i>Conestoga Metropolitan District No. 2, Ault, Weld</i>	<i>Negotiated underwriting</i>

**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
CO	<i>Dos Rios General Improvement District, Grand Junction, Mesa</i>	<i>Negotiated underwriting</i>
CO	<i>Goldsmith Metropolitan District, Denver, Arapahoe/Denver</i>	<i>Negotiated underwriting</i>
CO	<i>Heritage Ridge Metropolitan District, Berthoud, Larimer</i>	<i>Negotiated underwriting</i>
CO	<i>Hogback Metropolitan District, Ken Caryl Ranch, Jefferson</i>	<i>Negotiated underwriting</i>
CO	<i>Independence Metropolitan District No. 3, Denver, Denver</i>	<i>Negotiated underwriting</i>
CO	<i>Inspiration Metropolitan District, Aurora, Arapahoe</i>	<i>Negotiated underwriting</i>
CO	<i>Monument Junction Metropolitan District No. 1, Monument, El Paso</i>	<i>Negotiated underwriting</i>
CO	<i>Muegge Farms Metropolitan Districts No. 3, Denver, Adams</i>	<i>Negotiated underwriting</i>
CO	<i>Parkside Metropolitan District, Loveland, Larimer</i>	<i>Negotiated underwriting</i>
CO	<i>Reagan Ranch Metropolitan District No. 1, Colorado Springs, El Paso</i>	<i>Negotiated underwriting</i>
CO	<i>Remuda Ridge Metropolitan District, Fountain, El Paso</i>	<i>Negotiated underwriting</i>
CO	<i>Senac South Metropolitan District No. 1, Aurora, Arapahoe</i>	<i>Negotiated underwriting</i>
CO	<i>Settler's Crossing Metropolitan District, Commerce City, Adams</i>	<i>Negotiated underwriting</i>
CO	<i>Town of Erie Urban Renewal Authority, Erie, Weld</i>	<i>Negotiated underwriting</i>
CO	<i>Villages at Murphy Creek MD No. 1., Aurora, Arapahoe</i>	<i>Negotiated underwriting</i>
CT	<i>Steel Point Infrastructure Improvement District, Bridgeport, Fairfield</i>	<i>Negotiated underwriting</i>

**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
FL	Capital Trust Agency, Gulf Breeze, Santa Rosa County	Negotiated underwriting
FL	Florida Development Finance Corporation, Winter Spring, Seminole	Negotiated underwriting
IA	Iowa Finance Authority, Des Moines, Des Moines	Negotiated underwriting
ID	Idaho Housing and Finance Association, Boise, Ada	Negotiated underwriting
ID	Spring Valley Community Infrastructure District No. 1., Eagle, Ada	Negotiated underwriting
IL	Homewood-Flossmoor Park District, Flossmoor, Cook	Negotiated underwriting
IL	Illinois Finance Authority, Chicago, Cook	Negotiated underwriting
IL	Lincolnwood (Village of), Lincolnwood, Cook	Negotiated underwriting
IL	Salem CHSD #600, Salem, Marion	Negotiated underwriting
LA	Tangipahoa Water District, Hammond, Tangipahoa	Negotiated underwriting
MO	Public Water Supply District No. 1, Hannibal, Ralls	Negotiated underwriting
MT	School District No. 7 (Lolo), Lolo, Missoula	Negotiated underwriting
NE	Bellevue (City of), Bellevue, Sarpy	Negotiated underwriting
NE	Cass County SID #1 Construction Fund Warrants, Plattsmouth, Cass	Negotiated underwriting
NE	Cuming County Public Power District, West Point, Cuming	Negotiated underwriting
NE	Dodge County SID #8 Construction Fund Warrants, Fremont, Dodge	Negotiated underwriting
NE	Dodge County SID #8 General Fund Warrants, Fremont, Dodge	Negotiated underwriting
NE	Dodge County SID #9 Construction Fund Warrants, Fremont, Dodge	Negotiated underwriting

**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
NE	Dodge County SID #9 General Fund Warrants, Fremont, Dodge	Negotiated underwriting
NE	Douglas County SID #277 General Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #413 General Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #424 General Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #425 General Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #427 General Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #479 General Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #520 General Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #523 General Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #531 Construction Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #537 Construction Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #542 General Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #559 General Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #561 Construction Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #561	Negotiated underwriting

**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
NE	<i>General Fund Warrants, Omaha, Douglas Douglas County SID #562 Construction Fund Warrants, Omaha, Douglas</i>	<i>Negotiated underwriting</i>
NE	<i>Douglas County SID #562 General Fund Warrants, Omaha, Douglas</i>	<i>Negotiated underwriting</i>
NE	<i>Douglas County SID #567 Construction Fund Warrants, Omaha, Douglas</i>	<i>Negotiated underwriting</i>
NE	<i>Douglas County SID #567 General Fund Warrants, Omaha, Douglas</i>	<i>Negotiated underwriting</i>
NE	<i>Douglas County SID #569 Construction Fund Warrants, Omaha, Douglas</i>	<i>Negotiated underwriting</i>
NE	<i>Douglas County SID #569 General Fund Warrants, Omaha, Douglas</i>	<i>Negotiated underwriting</i>
NE	<i>Douglas County SID #574 Construction Fund Warrants, Omaha, Douglas</i>	<i>Negotiated underwriting</i>
NE	<i>Douglas County SID #574 General Fund Warrants, Omaha, Douglas</i>	<i>Negotiated underwriting</i>
NE	<i>Douglas County SID #575 Construction Fund Warrants, Omaha, Douglas</i>	<i>Negotiated underwriting</i>
NE	<i>Douglas County SID #575 General Fund Warrants, Omaha, Douglas</i>	<i>Negotiated underwriting</i>
NE	<i>Douglas County SID #577 Construction Fund Warrants, Omaha, Douglas</i>	<i>Negotiated underwriting</i>
NE	<i>Douglas County SID #577 General Fund Warrants, Omaha, Douglas</i>	<i>Negotiated underwriting</i>
NE	<i>Douglas County SID #578 Construction Fund Warrants, Omaha, Douglas</i>	<i>Negotiated underwriting</i>
NE	<i>Douglas County SID #578 General Fund Warrants,</i>	<i>Negotiated underwriting</i>

**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
NE	Omaha, Douglas Douglas County SID #580 Construction Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #580 General Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #583 Construction Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #584 Construction Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #584 General Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #591 Construction Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #591 General Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #594 Construction Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #594 General Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #597 Construction Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #597 General Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #603 Construction Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #603 General Fund Warrants, Omaha, Douglas	Negotiated underwriting
NE	Douglas County SID #606 Construction Fund Warrants, Omaha, Douglas	Negotiated underwriting



**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
NE	<i>Douglas County SID #606 General Fund Warrants, Omaha, Douglas</i>	<i>Negotiated underwriting</i>
NE	<i>Douglas County SID #610 Construction Fund Warrants, Omaha, Douglas</i>	<i>Negotiated underwriting</i>
NE	<i>Douglas County SID #610 General Fund Warrants, Omaha, Douglas</i>	<i>Negotiated underwriting</i>
NE	<i>Douglas County SID #611 Construction Fund Warrants, Omaha, Douglas</i>	<i>Negotiated underwriting</i>
NE	<i>Douglas County SID #611 General Fund Warrants, Omaha, Douglas</i>	<i>Negotiated underwriting</i>
NE	<i>Douglas County SID #615 General Fund Warrants, Omaha, Douglas</i>	<i>Negotiated underwriting</i>
NE	<i>Gretna (City of), Gretna, Sarpy</i>	<i>Negotiated underwriting</i>
NE	<i>La Vista (City of), La Vista, Sarpy</i>	<i>Negotiated underwriting</i>
NE	<i>Laurel Rural Fire Protection District, Laurel, Cedar</i>	<i>Negotiated underwriting</i>
NE	<i>Nebraska Public Power District, Columbus, Platte</i>	<i>Negotiated underwriting</i>
NE	<i>Omaha (City of), Omaha, Douglas</i>	<i>Negotiated underwriting</i>
NE	<i>Omaha (City of) Public Facilities Corporation, Omaha, Douglas</i>	<i>Negotiated underwriting</i>
NE	<i>Otoe County School District 0111 (Nebraska City Public Schools), Nebraska City, Otoe</i>	<i>Negotiated underwriting</i>
NE	<i>Papio-Missouri River Natural Resources District, Omaha, Douglas</i>	<i>Negotiated underwriting</i>
NE	<i>Saline County Rural Fire Protection District, Wilber, Saline</i>	<i>Negotiated underwriting</i>
NE	<i>Sanitary and Improvement District No. 317, Papillion,</i>	<i>Negotiated underwriting</i>

**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
NE	Sarpy Sanitary and Improvement District No. 319, Bellevue, Sarpy	Negotiated underwriting
NE	Sarpy Sanitary and Improvement District No. 323, Bellevue, Sarpy	Negotiated underwriting
NE	Sarpy Sanitary and Improvement District No. 574, Omaha, Douglas	Negotiated underwriting
NE	Sarpy County School District 0037 (Gretna Public Schools), Gretna, Sarpy	Negotiated underwriting
NE	Sarpy County SID #317 Construction Fund Warrants, Papillion, Sarpy	Negotiated underwriting
NE	Sarpy County SID #151 General Fund Warrants, Papillion, Sarpy	Negotiated underwriting
NE	Sarpy County SID #172 Construction Fund Warrants, Papillion, Sarpy	Negotiated underwriting
NE	Sarpy County SID #293 Construction Fund Warrants, Papillion, Sarpy	Negotiated underwriting
NE	Sarpy County SID #293 General Fund Warrants, Papillion, Sarpy	Negotiated underwriting
NE	Sarpy County SID #296 Construction Fund Warrants, Papillion, Sarpy	Negotiated underwriting
NE	Sarpy County SID #296 General Fund Warrants, Papillion, Sarpy	Negotiated underwriting
NE	Sarpy County SID #299 Construction Fund Warrants, Papillion, Sarpy	Negotiated underwriting
NE	Sarpy County SID #300 Construction Fund Warrants, Papillion, Sarpy	Negotiated underwriting
NE	Sarpy County SID #300 General Fund Warrants, Papillion, Sarpy	Negotiated underwriting

**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
NE	Sarpy County SID #304 Construction Fund Warrants, Papillion, Sarpy	Negotiated underwriting
NE	Sarpy County SID #304 General Fund Warrants, Papillion, Sarpy	Negotiated underwriting
NE	Sarpy County SID #305 Construction Fund Warrants, Papillion, Sarpy	Negotiated underwriting
NE	Sarpy County SID #305 General Fund Warrants, Papillion, Sarpy	Negotiated underwriting
NE	Sarpy County SID #307 Construction Fund Warrants, Papillion, Sarpy	Negotiated underwriting
NE	Sarpy County SID #308 Construction Fund Warrants, Papillion, Sarpy	Negotiated underwriting
NE	Sarpy County SID #312 Construction Fund Warrants, Papillion, Sarpy	Negotiated underwriting
NE	Sarpy County SID #312 General Fund Warrants, Papillion, Sarpy	Negotiated underwriting
NE	Sarpy County SID #315 Construction Fund Warrants, Papillion, Sarpy	Negotiated underwriting
NE	Sarpy County SID #316 Construction Fund Warrants, Papillion, Sarpy	Negotiated underwriting
NE	Sarpy County SID #316 General Fund Warrants, Papillion, Sarpy	Negotiated underwriting
NE	Sarpy County SID #317 Construction Fund Warrants, Papillion, Sarpy	Negotiated underwriting
NE	Sarpy County SID #319 Construction Fund Warrants, Papillion, Sarpy	Negotiated underwriting
NE	Sarpy County SID #319 General Fund Warrants, Papillion, Sarpy	Negotiated underwriting
NE	Sarpy County SID #322	Negotiated underwriting

**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
NE	<i>Construction Fund Warrants, Papillion, Sarpy Sarpy County SID #323</i>	<i>Negotiated underwriting</i>
NE	<i>Construction Fund Warrants, Papillion, Sarpy Sarpy County SID #323</i>	<i>Negotiated underwriting</i>
NE	<i>General Fund Warrants, Papillion, Sarpy Sarpy County SID #325</i>	<i>Negotiated underwriting</i>
NE	<i>Construction Fund Warrants, Papillion, Sarpy Sarpy County SID #325</i>	<i>Negotiated underwriting</i>
NE	<i>General Fund Warrants, Papillion, Sarpy Sarpy County SID #326</i>	<i>Negotiated underwriting</i>
NE	<i>Construction Fund Warrants, Papillion, Sarpy Sarpy County SID #326</i>	<i>Negotiated underwriting</i>
NE	<i>General Fund Warrants, Papillion, Sarpy Sarpy County SID #326</i>	<i>Negotiated underwriting</i>
NE	<i>Construction Fund Warrants, Papillion, Sarpy Sarpy County SID #328</i>	<i>Negotiated underwriting</i>
NE	<i>General Fund Warrants, Papillion, Sarpy Sarpy County SID #328</i>	<i>Negotiated underwriting</i>
NE	<i>Construction Fund Warrants, Papillion, Sarpy Sarpy County SID #331</i>	<i>Negotiated underwriting</i>
NE	<i>General Fund Warrants, Papillion, Sarpy Sarpy County SID #331</i>	<i>Negotiated underwriting</i>
NE	<i>Construction Fund Warrants, Papillion, Sarpy Sarpy County SID #333</i>	<i>Negotiated underwriting</i>
NE	<i>General Fund Warrants, Papillion, Sarpy Sarpy County SID #333</i>	<i>Negotiated underwriting</i>
NE	<i>Construction Fund Warrants, Papillion, Sarpy Sarpy County SID #334</i>	<i>Negotiated underwriting</i>
NE	<i>General Fund Warrants, Sarpy Sarpy County SID #334</i>	<i>Negotiated underwriting</i>

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**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
NE	<i>Papillion, Sarpy Sarpy County SID #341 Construction Fund Warrants, Papillion, Sarpy</i>	<i>Negotiated underwriting</i>
NE	<i>Sarpy County SID #345 Construction Fund Warrants, Papillion, Sarpy</i>	<i>Negotiated underwriting</i>
NE	<i>Sarpy County SID #345 General Fund Warrants, Papillion, Sarpy</i>	<i>Negotiated underwriting</i>
NE	<i>Saunders County SID #8 Construction Fund Warrants, Wahoo, Saunders</i>	<i>Negotiated underwriting</i>
NE	<i>Thedford Rural Fire Protection District, Thedford, Thomas</i>	<i>Negotiated underwriting</i>
NE	<i>Valentine (City of), Valentine, Cherry</i>	<i>Negotiated underwriting</i>
NE	<i>Washington (County of), Blair, Washington</i>	<i>Negotiated underwriting</i>
NE	<i>Waverly (City of), Waverly, Lancaster</i>	<i>Negotiated underwriting</i>
NY	<i>Buffalo Municipal Water Finance Authority, Buffalo, Erie</i>	<i>Negotiated underwriting</i>
NY	<i>City of Albany Capital Resource Corporation, Albany, Albany</i>	<i>Negotiated underwriting</i>
NY	<i>Town of Amherst Development Corporation, Buffalo, Erie</i>	<i>Negotiated underwriting</i>
OK	<i>Cleveland County Public Facilities Authority, Norman, Cleveland</i>	<i>Negotiated underwriting</i>
OK	<i>Grady County School Finance Authority, Chickasha, Grady</i>	<i>Negotiated underwriting</i>
OK	<i>Pontotoc County Educational Facilities Authority, Ada, Pontotoc</i>	<i>Negotiated underwriting</i>
OK	<i>Tulsa County Industrial Authority, Tulsa, Tulsa</i>	<i>Negotiated underwriting</i>
OR	<i>Madras (City of), Madras,</i>	<i>Negotiated underwriting</i>

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**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
OR	<i>Jefferson Oregon (State of), Salem, Marion</i>	<i>Negotiated underwriting</i>
SC	<i>South Carolina Jobs-Economic Development Authority, Columbia, Richland</i>	<i>Negotiated underwriting</i>
SD	<i>Gayville-Volin School District No. 63-1, Gayville, Clay and Yankton</i>	<i>Negotiated underwriting</i>
SD	<i>Platte-Geddes School District No. 11-5, Platte, Charles Mix</i>	<i>Negotiated underwriting</i>
SD	<i>Tri-Valley School District No. 49-6, Colton, Minnehaha</i>	<i>Negotiated underwriting</i>
TX	<i>New Hope Cultural Education Facilities Finance Corporation, Allen, Collin</i>	<i>Negotiated underwriting</i>
UT	<i>Brixton Park Improvement Association No. 1, Saratoga Springs, Utah</i>	<i>Negotiated underwriting</i>
UT	<i>Gateway at Sand Hollow Public Infrastructure District No. 1, Hurricane, Washington</i>	<i>Negotiated underwriting</i>
UT	<i>Pine View Public Infrastructure District No. 1, Toquerville, Washington</i>	<i>Negotiated underwriting</i>
UT	<i>Utah Charter School Finance Authority, Salt Lake City, Salt Lake</i>	<i>Negotiated underwriting</i>
WA	<i>Bethel School District No. 403, Spanaway, Pierce</i>	<i>Negotiated underwriting</i>
WA	<i>Clark County Fire Protection District No. 6, Vancouver, Clark</i>	<i>Negotiated underwriting</i>
WA	<i>Clover Park School District No. 400, Lakewood, Pierce</i>	<i>Negotiated underwriting</i>
WA	<i>Kent School District No. 415, Kent, King</i>	<i>Negotiated underwriting</i>
WA	<i>Seattle (City of), Seattle, King</i>	<i>Negotiated underwriting</i>
WA	<i>Stanwood (City of), Stanwood, Snohomish</i>	<i>Negotiated underwriting</i>

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## A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
WI	<i>Cashton (Village of), Cashton, Monroe</i>	<i>Negotiated underwriting</i>
WI	<i>Public Finance Authority, Madison, Dane</i>	<i>Negotiated underwriting</i>
CO	<i>Adonea Metropolitan District No. 2, Westminster, Adams and Jefferson</i>	<i>Private placement</i>
CO	<i>Anthology West Metropolitan District No. 4, Centennial, Arapahoe</i>	<i>Private placement</i>
CO	<i>Black Hawk (City of), Black Hawk, Gilpin</i>	<i>Private placement</i>
CO	<i>Compark Business Campus Metropolitan District, Parker, Douglas</i>	<i>Private placement</i>
CO	<i>Iloff Commons Metropolitan District No. 3 in the City of Aurora, Arapahoe County, Colorado, Aurora, Arapahoe</i>	<i>Private placement</i>
CO	<i>Iron Works Village Metropolitan District, Centennial, Arapahoe</i>	<i>Private placement</i>
CO	<i>Lost Creek Farms Metropolitan District, Denver, Denver</i>	<i>Private placement</i>
CO	<i>Serenity Ridge Metropolitan District No. 2, Aurora, Arapahoe</i>	<i>Private placement</i>
IA	<i>Sioux Center (City of), Sioux Center, Sioux</i>	<i>Private placement</i>
LA	<i>Louisiana Local Government Environmental Facilities and Community Development Authority, Baton Rouge, East Baton Rouge Parish</i>	<i>Private placement</i>
MO	<i>Adair (County of), Kirksville, Adair</i>	<i>Private placement</i>
MO	<i>Bourbon (City of), Bourbon, Crawford</i>	<i>Private placement</i>
MO	<i>Goodman (City of), Goodman, McDonald</i>	<i>Private placement</i>
MO	<i>Lexington (City of),</i>	<i>Private placement</i>

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State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
MO	<i>Lexington, Lafayette Lone Jack (City of), Lone Jack, Jackson</i>	<i>Private placement</i>
MO	<i>Pemiscot (County of), Caruthersville, Pemiscot</i>	<i>Private placement</i>
MO	<i>Public Water Supply District No. 5, Smithville, Clay</i>	<i>Private placement</i>
MO	<i>PWSD No. 1, Eldorado Springs, Cedar</i>	<i>Private placement</i>
MO	<i>Rosebud (City of), Rosebud, Gasconade</i>	<i>Private placement</i>
MS	<i>Mississippi Home Corporation, Jackson, Hinds</i>	<i>Private placement</i>
MT	<i>Montana Facility Finance Authority, Helena, Lewis and Clark</i>	<i>Private placement</i>
NE	<i>Kimball (County of), Kimball, Kimball</i>	<i>Private placement</i>
NE	<i>Valley (County of), Ord, Valley</i>	<i>Private placement</i>
OK	<i>Independent School District No. 15, Atoka, Atoka</i>	<i>Private placement</i>
OR	<i>Milton-Freewater Water Control District, Milton-Freewater, Umatilla</i>	<i>Private placement</i>
OR	<i>Phoenix (City of), Phoenix, Jackson</i>	<i>Private placement</i>
TX	<i>Bayside (City of), Bayside, Refugio</i>	<i>Private placement</i>
WA	<i>Entiat (City of), Entiat, Chelan</i>	<i>Private placement</i>
WA	<i>Public Hospital District No. 2 (Lake Chelan Community Hospital), Chelan, Chelan</i>	<i>Private placement</i>



**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
AZ	<i>Imagine Desert West Middle, Inc., Phoenix, Maricopa</i>	<i>Advice</i>
AZ	<i>Industrial Development Authority of the City of Phoenix, Phoenix, Maricopa</i>	<i>Advice</i>
IA	<i>Akron-Westfield Community School District, Akron, Plymouth</i>	<i>Advice</i>
IA	<i>Alta (City of), Alta, Buena Vista</i>	<i>Advice</i>
IA	<i>Avoca (City of), Avoca, Pottawattamie</i>	<i>Advice</i>
IA	<i>Cleghorn (City of), Cleghorn, Cherokee</i>	<i>Advice</i>
IA	<i>Correctionville (City of), Correctionville, Woodbury</i>	<i>Advice</i>
IA	<i>Dayton (City of), Dayton, Webster</i>	<i>Advice</i>
IA	<i>Dow City, Dow City, Crawford</i>	<i>Advice</i>
IA	<i>Dubuque Metropolitan Area Solid Waste Agency, Dubuque, Dubuque</i>	<i>Advice</i>
IA	<i>Savage Sanitary Sewer District, Fort Dodge, Webster</i>	<i>Advice</i>
IA	<i>Galva (City of), Galva, Ida</i>	<i>Advice</i>
IA	<i>Guthrie Center (City of), Guthrie Center, Guthrie</i>	<i>Advice</i>
IA	<i>Hartley (City of), Hartley, O'Brien</i>	<i>Advice</i>
IA	<i>Humboldt (City of), Humboldt, Humboldt</i>	<i>Advice</i>
IA	<i>Warren County, Indianola, Warren</i>	<i>Advice</i>
IA	<i>Nevada (City of), Nevada, Story</i>	<i>Advice</i>
IA	<i>Osceola (City of), Osceola,</i>	<i>Advice</i>

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IA	<i>Clarke Oskaloosa (City of), Oskaloosa, Mahaska</i>	<i>Advice</i>
IA	<i>Peterson (City of), Peterson, Clay</i>	<i>Advice</i>
IA	<i>Rockwell City, Rockwell City, Calhoun</i>	<i>Advice</i>
IA	<i>Shenandoah (City of), Shenandoah, Fremont and Page</i>	<i>Advice</i>
IA	<i>Woodbury County Law Enforcement Center Authority, Sioux City, Woodbury</i>	<i>Advice</i>
IA	<i>Titonka (City of), Titonka, Kossuth</i>	<i>Advice</i>
IA	<i>Ventura (City of), Ventura, Cerro Gordo</i>	<i>Advice</i>
KY	<i>Union (City of), Union, Boone</i>	<i>Advice</i>
MO	<i>Archie (City of), Archie, Cass</i>	<i>Advice</i>
MO	<i>Benton City (Village of), Benton City, Audrain</i>	<i>Advice</i>
MO	<i>Chamois (City of), Chamois, Osage</i>	<i>Advice</i>
MO	<i>City of Clarksburg, Clarksburg, Moniteau</i>	<i>Advice</i>
MO	<i>Clarksville (City of), Clarksville, Pike</i>	<i>Advice</i>
MO	<i>Marble Hill (City of), Marble Hill, Bollinger</i>	<i>Advice</i>
MO	<i>Memphis (City of), Memphis, Scotland</i>	<i>Advice</i>
MO	<i>Mokane (City of), Mokane, Callaway</i>	<i>Advice</i>
MO	<i>Harry S. Truman Public Water Supply District No. 2, Montrose, Henry</i>	<i>Advice</i>

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MO	<i>Paris (City of), Paris, Monroe</i>	<i>Advice</i>
MO	<i>Public Water Supply District No. 10, Peculiar, Cass</i>	<i>Advice</i>
MO	<i>Warsaw (City of), Warsaw, Benton</i>	<i>Advice</i>
MO	<i>Windsor (City of), Windsor, Henry &amp; Pettis</i>	<i>Advice</i>
NE	<i>Sanitary and Improvement District No. 9 (Iron Horse), Ashland, Cass</i>	<i>Advice</i>
NE	<i>Sanitary and Improvement District No. 133, Chalco, Sarpy</i>	<i>Advice</i>
NE	<i>Sanitary and Improvement District No. 290, Chalco, Sarpy</i>	<i>Advice</i>
NE	<i>Sanitary and Improvement District No. 1, Duncan, Polk</i>	<i>Advice</i>
NE	<i>Sanitary and Improvement District No. 240, Gretna, Sarpy</i>	<i>Advice</i>
NE	<i>Sanitary and Improvement District No. 237, La Vista, Sarpy</i>	<i>Advice</i>
NE	<i>Sanitary and Improvement District No. 276 (Portal Ridge), La Vista, Sarpy</i>	<i>Advice</i>
NE	<i>Sanitary and Improvement District No. 469, Omaha, Douglas</i>	<i>Advice</i>
NE	<i>Sanitary and Improvement District No. 476, Omaha, Douglas</i>	<i>Advice</i>
NE	<i>Sanitary and Improvement District No. 485, Omaha, Douglas</i>	<i>Advice</i>
NE	<i>Sanitary and Improvement District No. 499, Omaha,</i>	<i>Advice</i>

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NE	Douglas Sanitary and Improvement District No. 540, Omaha, Douglas	Advice
NE	Omaha Airport Authority, Omaha, Douglas	Advice
NJ	Bloomfield College, Bloomfield, Essex	Advice
NJ	Thomas Edison State University, Trenton, Mercer	Advice
NY	Downtown Brooklyn Partnership, Brooklyn, Kings	Advice
NY	Committee to Protect Journalists, New York, New York	Advice
OH	Stark County Port Authority, Canton, Stark	Advice
OK	Jackson County Facilities Authority, Altus, Jackson	Advice
OK	Anadarko (City of), Anadarko, Caddo	Advice
OK	Mannford Public Works Authority, Mannford, Creek	Advice
OK	Osage County Industrial Authority, Pawhuska, Osage	Advice
OK	Sallisaw Municipal Authority, Sallisaw, Sequoyah	Advice
OR	City of Cannon Beach, Cannon Beach, Clatsop	Advice
OR	Benton County, Corvallis, Benton	Advice
OR	Klamath Falls City Schools, Klamath Falls, Klamath	Advice
OR	Jackson County, Medford, Jackson	Advice
OR	Prosper Portland, Portland, Multnomah	Advice

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OR	<i>Redmond School District No. 2J, Redmond, Deschutes</i>	<i>Advice</i>
OR	<i>West Linn (City of), West Linn, Clackamas</i>	<i>Advice</i>
TX	<i>Rice Consolidated Independent School District, Altair, Colorado</i>	<i>Advice</i>
TX	<i>Alton (City of), Alton, Hidalgo</i>	<i>Advice</i>
TX	<i>Alvord Independent School District, Alvord, Wise</i>	<i>Advice</i>
TX	<i>Arlington Classics Academy, Arlington, Tarrant</i>	<i>Advice</i>
TX	<i>Atlanta (City of), Atlanta, Cass</i>	<i>Advice</i>
TX	<i>Bertram (City of), Bertram, Burnet</i>	<i>Advice</i>
TX	<i>Blanco (City of), Blanco, Blanco</i>	<i>Advice</i>
TX	<i>Brackett ISD, Brackettville, Kinney</i>	<i>Advice</i>
TX	<i>Brenham Independent School District, Brenham, Washington</i>	<i>Advice</i>
TX	<i>Tarkington Independent School District, Cleveland, Liberty</i>	<i>Advice</i>
TX	<i>Mitchell County Hospital District, Colorado City, Mitchell</i>	<i>Advice</i>
TX	<i>Combes (Town of), Combes, Cameron</i>	<i>Advice</i>
TX	<i>A+ Charter Schools, Inc., Dallas, Dallas</i>	<i>Advice</i>
TX	<i>Winfrey Academy Charter, Dallas, Dallas</i>	<i>Advice</i>
TX	<i>Darrouzett Independent School District, Darrouzett,</i>	<i>Advice</i>

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TX	<i>Lipscomb Faith Family Academy, Desoto, TX</i>	<i>Advice</i>
TX	<i>East Bernard ISD, East Bernard, Wharton</i>	<i>Advice</i>
TX	<i>Electra ISD, Electra, Wichita</i>	<i>Advice</i>
TX	<i>Etoile Independent School District, Etoile, Nacogdoches</i>	<i>Advice</i>
TX	<i>Fruitvale ISD, Fruitvale, Van Zandt</i>	<i>Advice</i>
TX	<i>Odyssey Academy, Galveston, Galveston</i>	<i>Advice</i>
TX	<i>Graham (City of), Graham, Young</i>	<i>Advice</i>
TX	<i>Trinity Basin Preparatory, Grand Prairie, Tarrant</i>	<i>Advice</i>
TX	<i>UMEP Inc, Grand Prairie, Dallas</i>	<i>Advice</i>
TX	<i>Ezzell ISD, Hallettsville, Lavaca</i>	<i>Advice</i>
TX	<i>Lavaca County, Hallettsville, Lavaca</i>	<i>Advice</i>
TX	<i>Harmony Education Foundation (f/k/a Charter School Solutions), Houston, Harris</i>	<i>Advice</i>
TX	<i>Manara Academy, Inc., Irving, Dallas</i>	<i>Advice</i>
TX	<i>Blanco County, Johnson City, Blanco</i>	<i>Advice</i>
TX	<i>Kemp ISD, Kemp, Kaufman</i>	<i>Advice</i>
TX	<i>Kermit ISD, Kermit, Winkler</i>	<i>Advice</i>
TX	<i>Lampasas County, Lampasas, Lampasas</i>	<i>Advice</i>
TX	<i>LaPoynor Independent School District, LaRue, Henderson</i>	<i>Advice</i>
TX	<i>Lindale Independent School</i>	<i>Advice</i>

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TX	<i>District, Lindale, Smith</i>	<i>Advice</i>
TX	<i>Cass County, Linden, Cass</i>	<i>Advice</i>
TX	<i>Log Cabin, City of, Log Cabin, Henderson</i>	<i>Advice</i>
TX	<i>Marlin Independent School District, Marlin, Falls</i>	<i>Advice</i>
TX	<i>Imagine International Academy of North Texas, LLC, McKinney, Collin</i>	<i>Advice</i>
TX	<i>Meyersville Independent School District, Meyersville, DeWitt</i>	<i>Advice</i>
TX	<i>Panhandle (City of), Panhandle, Carson</i>	<i>Advice</i>
TX	<i>Port Isabel (City of), Port Isabel, Cameron</i>	<i>Advice</i>
TX	<i>Primera (City of), Primera, Cameron</i>	<i>Advice</i>
TX	<i>Refugio ISD, Refugio, Refugio</i>	<i>Advice</i>
TX	<i>River Oaks, River Oaks, Tarrant</i>	<i>Advice</i>
TX	<i>Highland ISD, Roscoe, Nolan</i>	<i>Advice</i>
TX	<i>Braination, Inc. d/b/a Inspire Academies, San Antonio, Bexar</i>	<i>Advice</i>
TX	<i>Riverwalk Education Foundation, San Antonio, Bexar</i>	<i>Advice</i>
TX	<i>Sonora Independent School District, Sonora, Sutton</i>	<i>Advice</i>
TX	<i>Spurger Independent School District, Spurger, Tyler</i>	<i>Advice</i>
TX	<i>Tenaha Independent School District, Tenaha, Shelby</i>	<i>Advice</i>
TX	<i>Texarkana College, Texarkana, Bowie</i>	<i>Advice</i>
TX	<i>Three Rivers (City of), Three</i>	<i>Advice</i>

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TX	<i>Rivers, Live Oak Brazos Independent School District, Wallis, Austin</i>	<i>Advice</i>
TX	<i>Wellman-Union Consolidated ISD, Wellman, Terry</i>	<i>Advice</i>
TX	<i>Windthorst ISD, Windthorst, Archer</i>	<i>Advice</i>
TX	<i>Winnsboro (City of), Winnsboro, Wood</i>	<i>Advice</i>
WA	<i>Bainbridge Island (City of), Bainbridge Island, Kitsap</i>	<i>Advice</i>
WA	<i>Federal Way School District No. 210, Federal Way, Kings</i>	<i>Advice</i>
WA	<i>Lynnwood Public Facilities District, Lynnwood, Snohomish</i>	<i>Advice</i>
WA	<i>Olympia School District No. 111, Olympia, Thurston</i>	<i>Advice</i>
WA	<i>Pierce County, Tacoma, Pierce</i>	<i>Advice</i>

**C. Ballot-Approved Offerings**

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>None</i>		



## FORM G-37



**Signature:** electronic

**Date:** *Jan 25, 2022*

**Name (must be officer of regulated entity):** *LISA TAKEUCHI*

**Address:** *8 Third Street N., Great Falls, MT 59401*

**Phone:** *206-903-8694*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)