

**Name of Regulated Entity:** *The GMS Group, LLC*

**Report Period:** *Fourth Quarter of 2021*

**I. CONTRIBUTIONS made to officials of a municipal entity (list by state)**

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)  If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
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*None*

**II. PAYMENTS made to political parties of states or political subdivisions (list by state)**

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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*None*

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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*None*

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**

**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
TX	East Montgomery County MUD No. 3, Montgomery County	Financial advisor
TX	Hays County Development District No. 1, Hays County	Financial advisor
TX	Bammel Utility District, Bammel, Harris	Financial advisor
TX	Harris County Water Control and Improvement District No. 157, Harris County	Financial advisor
TX	Harris County Water Control and Improvement District No. 157, Harris County	Financial advisor
TX	Harris County MUD No. 105, Harris County	Financial advisor
TX	Fort Bend County MUD No. 220, Fort Bend County	Financial advisor
TX	Fort Bend County MUD No. 122, Fort Bend County	Financial advisor
TX	Brazoria County MUD No. 53, Brazoria County	Financial advisor
TX	Harris County MUD No. 542, Harris County	Financial advisor
TX	Harris County MUD No. 480, Harris County	Financial advisor
TX	Sunbelt Fresh Water and Supply District, Harris County	Negotiated underwriting, Financial advisor
TX	Harris County MUD No. 213-A, Harris County	Financial advisor
TX	Brazoria County MUD No. 43, Brazoria County	Financial advisor
TX	Bridgestone MUD, Bridgestone, Harris County	Negotiated underwriting, Financial advisor
TX	Fort Bend County MUD No. 184, Fort Bend County	Financial advisor
TX	North Harris County Regional Water Authority, Harris	Financial advisor, Private placement

**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
	<i>County</i>	
TX	<i>Montgomery County MUD No. 92, Montgomery County</i>	<i>Financial advisor</i>
TX	<i>Harris-Montgomery Counties Management District, Harris and Montgomery Counties</i>	<i>Negotiated underwriting, Financial advisor</i>
TX	<i>Montgomery County MUD No. 96, Montgomery County</i>	<i>Financial advisor</i>
TX	<i>Fort Bend County MUD No. 58, Fort Bend County</i>	<i>Financial advisor</i>
TX	<i>Fort Bend County Improvement District No. 24, Fort Bend County</i>	<i>Financial advisor</i>
TX	<i>Bridgestone MUD, Bridgestone, Harris County</i>	<i>Financial advisor</i>
TX	<i>Brazoria County MUD No. 32, Brazoria County</i>	<i>Financial advisor</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
TX	<i>Harris County MUD No. 213-A, Harris County</i>	<i>Advice</i>
TX	<i>Harris-Montgomery Counties Management District, Harris and Montgomery County</i>	<i>Advice</i>
TX	<i>Fort Bend County MUD No.184, Fort Bend-Waller Counties</i>	<i>Advice</i>
TX	<i>Harris County MUD No. 105, Harris County</i>	<i>Advice</i>
TX	<i>North Harris County Regional Water Authority, Harris County</i>	<i>Advice</i>
TX	<i>Harris County Water Control</i>	<i>Advice</i>

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TX	<i>and Improvement District No. 157, Harris County Harris County Water Control and Improvement District No. 157, Harris County</i>	<i>Advice</i>
TX	<i>Brazoria County MUD No. 53, Brazoria County</i>	<i>Advice</i>
TX	<i>Fort Bend County MUD No. 58, Fort Bend County</i>	<i>Advice</i>
TX	<i>Montgomery County MUD No. 96, Harris-Montgomery Counties</i>	<i>Advice</i>
TX	<i>Fort Bend County Improvement District No. 24, Fort Bend County</i>	<i>Advice</i>
TX	<i>Hays County Development District No. 1, Hays County</i>	<i>Advice</i>
TX	<i>East Montgomery County MUD No. 3, Montgomery County</i>	<i>Advice</i>
TX	<i>Bammel Utility District, Bammel, Harris County</i>	<i>Advice</i>
TX	<i>Fort Bend County MUD No. 220, Fort Bend County</i>	<i>Advice</i>
TX	<i>Fort Bend County MUD No. 122, Fort Bend County</i>	<i>Advice</i>
TX	<i>Harris County MUD No. 542, Harris County</i>	<i>Advice</i>
TX	<i>Harris County MUD No. 480, Harris County</i>	<i>Advice</i>
TX	<i>Sunbelt Fresh Water and Supply District, Harris County</i>	<i>Advice</i>
TX	<i>Brazoria County MUD No. 43, Brazoria County</i>	<i>Advice</i>
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TX	Montgomery County MUD No. 92, Montgomery County	Advice
TX	Bridgestone MUD, Bridgestone, Harris County	Advice
TX	Brazoria County MUD No. 32, Brazoria County	Advice

**C. Ballot-Approved Offerings**

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
None		

## FORM G-37



**Signature:** electronic

**Date:** *Jan 14, 2022*

**Name (must be officer of regulated entity):** *GINNY COLARUSSO*

**Address:** *120 Eagle Rock Avenue, East Hanover, NJ 07936*

**Phone:** *973-548-2534*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)