

Name of Regulated Entity: AEM Financial Solutions, LLC

Report Period: Second Quarter of 2021

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State Complete name, title

(including any

city/county/state or other political subdivision) of municipal entity official

Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)

If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date

of such automatic exemption.

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State Complete name (including

any city/county/state or other

political subdivision) of

political party

None

Payments by each contributor category



III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State Official name of bond ballot

campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities Contributions, including the specific date the contributions were made, by each contributor category

None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None



IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

A. Municipal Securities Business

State Complete name of municipal Type of municipal securities business

entity and city/county (negotiated underwriting, private placement,

financial advisor, or remarketing agent)

None

B. Municipal Advisory Business

State Complete name of municipal Type of municipal advisory business (advice

entity and city/county or solicitation) (and in the case of municipal

advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment

advisory services))

Advice

MN City of Lakefield, Lakefield, Advice

Jackson

MN Ramsey County, St. Paul, Advice

Ramsey

MN City of Chisago City, Chisago Advice City, Chisago

MN City of Dundas, Dundas, Rice

MN City of North Oaks, North Advice

Oaks, Ramsey

MN City of Lindstrom, Lindstrom, Advice

Chisago

MN City of Byron, Byron, Olmsted Advice MN City of Albertville, Albertville, Advice

Wright

MN Minnehaha Creek Watershed Advice

District, Minnetonka,

Hennepin

MN City of Lauderdale, Advice

Lauderdale, Ramsey

MN City of Buffalo Lake, Buffalo Advice

Lake, Renville

MN City of Crystal, Crystal, Advice

Hennepin

MN City of Green Isle, Green Isle, Advice

Sibley



B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
MN	City of Hilltop, Hilltop, Anoka	Advice
MN	City of Independence, Independence, Hennepin	Advice
MN	City of Maple Plain, Maple Plain, Hennepin	Advice
MN	City of Mayer, Mayer, Carver	Advice
MN	City of New Hope, New Hope, Hennepin	Advice
MN	City of Oak Grove, Oak Grove, Anoka	Advice
MN	City of Wayzata, Wayzata, Hennepin	Advice
MN	City of Wyoming, Wyoming, Chisago	Advice
MN	City of Carver, Carver, Carver	Advice
MN	City of Hector, Hector, Renville	Advice
MN	City of Marshall, Marshall, Lyon	Advice
MN	City of New Brighton, New Brighton, Ramsey	Advice
MN	Shakopee Public Utilities Commission, Shakopee, Scott	Advice
MN	City of Isanti EDA, Isanti, Isanti	Advice
MN	City of Wells, Wells, Faribault	Advice
MN	City of Blooming Prairie, Blooming Prairie, Steele	Advice



C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity Issue Description

Reportable Date of Selection

None



Signature: electronic Date: Aug 1, 2021

Name (must be officer of regulated entity): BRENDA CROSBY

Address: 5201 Eden Avenue, Edina, MN 55436

Phone: 952-715-3056

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)