

FORM G-37



Name of Regulated Entity: *Live Oak Public Finance, LLC*

Report Period: *Second Quarter of 2021*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer) If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
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None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)
A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
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None

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
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TX	Sealy Independent School District, Sealy, Austin	Advice
TX	Harts Bluff Independent School District, Mount Pleasant, Titus	Advice
TX	Sulphur Bluff Independent School District, Sulphur Bluff, Hopkins	Advice
TX	Dawson, City of, Dawson, Navarro	Advice
TX	Cayuga Independent School District, Cayuga, Anderson	Advice
TX	Aransas Co. Independent School District, Rockport, Aransas	Advice
TX	Iredell Independent School District, Iredell, Bosque	Advice
TX	DeKalb Independent School District, DeKalb, Bowie	Advice
TX	Simms Independent School District, Simms, Bowie	Advice
TX	Damon Independent School District, Damon, Brazoria	Advice
TX	Snook Independent School District, Snook, Burleson	Advice
TX	Bloomburg Independent	Advice

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
	<i>School District, Bloomburg, Cass</i>	
TX	<i>Lindsay Independent School District, Lindsay, Cooke</i>	<i>Advice</i>
TX	<i>Crockett Co. Water Control and Improvement Dist. No. 1, Ozona, Crockett</i>	<i>Advice</i>
TX	<i>Westphalia Independent School District, Westphalia, Falls</i>	<i>Advice</i>
TX	<i>Fayetteville Independent School District, Fayetteville, Fayette</i>	<i>Advice</i>
TX	<i>Flatonia Independent School District, Flatonia, Fayette</i>	<i>Advice</i>
TX	<i>Nixon-Smilely Consolidated Independent School District, Nixon, Gonzales</i>	<i>Advice</i>
TX	<i>Navasota Independent School District, Navasota, Grimes</i>	<i>Advice</i>
TX	<i>Chillicothe Independent School District, Chillicothe, Hardeman</i>	<i>Advice</i>
TX	<i>Haskell Consolidated Independent School District, Haskell, Haskell</i>	<i>Advice</i>
TX	<i>Bynum Independent School District, Bynum, Hill</i>	<i>Advice</i>
TX	<i>Blum Independent School District, Blum, Hill</i>	<i>Advice</i>
TX	<i>Hillsboro Independent School District, Hillsboro, Hill</i>	<i>Advice</i>
TX	<i>Abbott Independent School District, Abbott, Hill</i>	<i>Advice</i>
TX	<i>Cumby Independent School District, Cumby, Hopkins</i>	<i>Advice</i>
TX	<i>Miller Grove Independent</i>	<i>Advice</i>

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
	<i>School District, Cumby, Hopkins</i>	
TX	<i>Irion County Independent School District, Mertzon, Irion</i>	<i>Advice</i>
TX	<i>Anson Hospital District, Anson, Jones</i>	<i>Advice</i>
TX	<i>Forney Independent School District, Forney, Kaufman</i>	<i>Advice</i>
TX	<i>Roxton Independent School District, Roxton, Lamar</i>	<i>Advice</i>
TX	<i>Lometa Independent School District, Lometa, Lampasas</i>	<i>Advice</i>
TX	<i>Centerville Independent School District, Centerville, Leon</i>	<i>Advice</i>
TX	<i>Leon Independent School District, Jewett, Leon</i>	<i>Advice</i>
TX	<i>Mart Independent School District, Mart, Limestone</i>	<i>Advice</i>
TX	<i>Brady Independent School District, Brady, McCullough</i>	<i>Advice</i>
TX	<i>Hallsburg Independent School District, Hallsburg, McLennan</i>	<i>Advice</i>
TX	<i>Dawson Independent School District, Dawson, Navarro</i>	<i>Advice</i>
TX	<i>Kerens Independent School District, Kerens, Navarro</i>	<i>Advice</i>
TX	<i>Mildred Independent School District, Mildred, Navarro</i>	<i>Advice</i>
TX	<i>Onalaska Independent School District, Onalaska, Polk</i>	<i>Advice</i>
TX	<i>Corrigan-Camden Independent School District, Corrigan, Polk</i>	<i>Advice</i>
TX	<i>Rankin County Hospital</i>	<i>Advice</i>

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B. Municipal Advisory Business

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TX	District, Rankin, Rankin Laneville Independent School District, Laneville, Rusk	Advice
TX	Tatum Independent School District, Tatum, Rusk	Advice
TX	San Saba Independent School District, San Saba, San Saba	Advice
TX	Warren Independent School District, Warren, Tyler	Advice
TX	Thorndale Independent School District, Thorndale, Williamson	Advice
TX	Hawkins Independent School District, Hawkins, Wood	Advice
TX	Olney Hamilton Hosp. District, Olney, Young	Advice
TX	Sabine Pass Independent School District, Beaumont, Jefferson	Advice
TX	Dublin Independent School District, Dublin, Willbarger	Advice
TX	Caddo Mills Independent School District, Caddo Mills, Hunt	Advice
TX	Coupland Independent School District, Coupland, Williamson	Advice
TX	Benjamin Independent School District, Benjamin, Knox	Advice
TX	Huckabay Independent School District, Stephenville, Erath	Advice
TX	Sterling City Independent School District, Sterling City, Sterling	Advice
TX	Roby Consolidated Independent School District, Roby, Fisher	Advice

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TX	<i>Sulphur Springs Independent School District, Sulphur Springs, Hopkins</i>	<i>Advice</i>
TX	<i>Water Valley Independent School District, Water Valley, Tom Green</i>	<i>Advice</i>
TX	<i>Vernon Independent School District, Vernon, Wilbarger</i>	<i>Advice</i>
TX	<i>Oakwood Independent School District, Oakwood, Leon</i>	<i>Advice</i>
TX	<i>Madisonville Consolidated Independent School District, Madisonville, Madison</i>	<i>Advice</i>
TX	<i>Lovelady Independent School District, Lovelady, Houston</i>	<i>Advice</i>
TX	<i>Lexington Independent School District, Lexington, Lee</i>	<i>Advice</i>
TX	<i>Jonesboro Independent School District, Jonesboro, Coryell</i>	<i>Advice</i>
TX	<i>Fairfield Independent School District, Fairfield, Freestone</i>	<i>Advice</i>
TX	<i>Dumas Independent School District, Dumas, Moore</i>	<i>Advice</i>
TX	<i>Bartlett Independent School District, Bartlett, Bell</i>	<i>Advice</i>
TX	<i>Rivercrest Independent School District, Bogota, Red River</i>	<i>Advice</i>
TX	<i>Wink Loving Independent School District, Wink, Winkler</i>	<i>Advice</i>
TX	<i>Lyford Consolidated Independent School District, Wilacy, Lyford</i>	<i>Advice</i>
TX	<i>Louise Independent School District, Louise, Wharton</i>	<i>Advice</i>
TX	<i>North Lamar Independent School District, Paris, Lamar</i>	<i>Advice</i>

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TX	<i>Maypearl Independent School District, Maypearl, Ellis</i>	<i>Advice</i>
TX	<i>Throckmorton Collegiate Independent School District, Throckmorton, Throckmorton</i>	<i>Advice</i>
TX	<i>Dalhart Independent School District, Dalhart, Dallam</i>	<i>Advice</i>
TX	<i>Somerville Independent School District, Somerville, Burleson</i>	<i>Advice</i>
TX	<i>Richards Independent School District, Richards, Grimes</i>	<i>Advice</i>
TX	<i>Hubbard Independent School District, Hubbard, Hill</i>	<i>Advice</i>
TX	<i>Prairiland Independent School District, Prairiland, Lamar</i>	<i>Advice</i>
TX	<i>Pleasant Grove Independent School District, Pleasant Grove, Bowie</i>	<i>Advice</i>
TX	<i>Shallowater Independent School District, Shallowater, Lubbock</i>	<i>Advice</i>
TX	<i>Crockett Independent School District, Crockett, Houston</i>	<i>Advice</i>
TX	<i>Chilton Independent School District, Chilton, Falls</i>	<i>Advice</i>
TX	<i>Clarksville Independent School District, Clarksville, Red River</i>	<i>Advice</i>
TX	<i>Detroit Independent School District, Detroit, Red River</i>	<i>Advice</i>
TX	<i>Early Independent School District, Early, Brown</i>	<i>Advice</i>
TX	<i>Hughes Springs Independent School District, Hughes Springs, Cass</i>	<i>Advice</i>

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TX	<i>Chireno Independent School District, Chireno, Nacogdoches</i>	<i>Advice</i>
TX	<i>Mason Independent School District, Mason, Mason</i>	<i>Advice</i>
TX	<i>Edna Independent School District, Edna, Jackson</i>	<i>Advice</i>
TX	<i>Goliad Independent School District, Goliad, Goliad</i>	<i>Advice</i>
TX	<i>Riesel Independent School District, Riesel, McLennan</i>	<i>Advice</i>
TX	<i>Big Sandy Independent School District, Big Sandy, Polk</i>	<i>Advice</i>
TX	<i>Rocksprings Independent School District, Rocksprings, Edwards</i>	<i>Advice</i>
TX	<i>City of Caddo Mills, Caddo Mills, Hunt</i>	<i>Advice</i>
TX	<i>Buffalo Independent School District, Buffalo, Leon</i>	<i>Advice</i>
TX	<i>Rains Independent School District, Rains, Rains</i>	<i>Advice</i>
TX	<i>City of Columbus, Columbus, Colorado</i>	<i>Advice</i>
TX	<i>Iola Independent School District, Iola, Grimes</i>	<i>Advice</i>
TX	<i>Hearne Independent School District, Hearne, Robertson</i>	<i>Advice</i>
TX	<i>Weatherford Independent School District, Weatherford, Parker</i>	<i>Advice</i>
TX	<i>Schertz-Cibolo-Universal City Independent School District, Schertz, Guadalupe</i>	<i>Advice</i>
TX	<i>Brenham Independent School District, Brenham, Washington</i>	<i>Advice</i>

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TX	<i>Jarrell Independent School District, Jarrell, Williamson</i>	<i>Advice</i>
TX	<i>Montgomery Independent School District, Montgomery, Montgomery</i>	<i>Advice</i>
TX	<i>Iredell Independent School District, Iredell, Bosque</i>	<i>Advice</i>
TX	<i>Cayuga Independent School District, Cayuga, Anderson</i>	<i>Advice</i>
TX	<i>Simms Independent School District, Simms, Bowie</i>	<i>Advice</i>
TX	<i>Aransas Co. Independent School District, Rockport, Aransas</i>	<i>Advice</i>
TX	<i>DeKalb Independent School District, DeKalb, Bowie</i>	<i>Advice</i>
TX	<i>Maud Independent School District, Maud, Bowie</i>	<i>Advice</i>
TX	<i>Damon Independent School District, Damon, Brazoria</i>	<i>Advice</i>
TX	<i>Snook Independent School District, Snook, Burleson</i>	<i>Advice</i>
TX	<i>Bloomburg Independent School District, Bloomburg, Cass</i>	<i>Advice</i>
TX	<i>Lindsay Independent School District, Lindsay, Cooke</i>	<i>Advice</i>
TX	<i>Crockett County Consolidated Common School District, Ozona, Crockett</i>	<i>Advice</i>
TX	<i>Crockett Co. Water Control and Improvement Dist. No. 1, Ozona, Crockett</i>	<i>Advice</i>
TX	<i>Westphalia Independent School District, Westphalia, Falls</i>	<i>Advice</i>
TX	<i>Fayetteville Independent</i>	<i>Advice</i>

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	<i>School District, Fayetteville, Fayette</i>	
TX	<i>Flatonia Independent School District, Flatonia, Fayette</i>	<i>Advice</i>
TX	<i>Nixon-Smiley Consolidated Independent School District, Nixon, Gonzales</i>	<i>Advice</i>
TX	<i>Navasota Independent School District, Navasota, Grimes</i>	<i>Advice</i>
TX	<i>Bynum Independent School District, Bynum, Hill</i>	<i>Advice</i>
TX	<i>Blum Independent School District, Blum, Hill</i>	<i>Advice</i>
TX	<i>Hillsboro Independent School District, Hillsboro, Hill</i>	<i>Advice</i>
TX	<i>Abbott Independent School District, Abbott, Hill</i>	<i>Advice</i>
TX	<i>Cumby Independent School District, Cumby, Hopkins</i>	<i>Advice</i>
TX	<i>Miller Grove Independent School District, Cumby, Hopkins</i>	<i>Advice</i>
TX	<i>Irion County Independent School District, Mertzon, Irion</i>	<i>Advice</i>
TX	<i>Anson Hospital District, Anson, Jones</i>	<i>Advice</i>
TX	<i>Three Way Independent School District, Stephenville, Erath</i>	<i>Advice</i>
TX	<i>Roxton Independent School District, Roxton, Lamar</i>	<i>Advice</i>
TX	<i>Mart Independent School District, Mart, Limestone</i>	<i>Advice</i>
TX	<i>Lometa Independent School District, Lometa, Lampasas</i>	<i>Advice</i>
TX	<i>Silsbee Independent School</i>	<i>Advice</i>

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TX	<i>District, Silsbee, Hardin Leon Independent School</i>	<i>Advice</i>
TX	<i>District, Jewett, Leon Brady Independent School</i>	<i>Advice</i>
TX	<i>District, Brady, McCullough Hallsburg Independent School</i>	<i>Advice</i>
TX	<i>District, Hallsburg, McLennan</i>	<i>Advice</i>
TX	<i>Dawson Independent School District, Dawson, Navarro</i>	<i>Advice</i>
TX	<i>Kerens Independent School District, Kerens, Navarro</i>	<i>Advice</i>
TX	<i>Mildred Independent School District, Mildred, Navarro</i>	<i>Advice</i>
TX	<i>Laneville Independent School District, Laneville, Rusk</i>	<i>Advice</i>
TX	<i>Onalaska Independent School District, Onalaska, Polk</i>	<i>Advice</i>
TX	<i>Corrigan-Camden Independent School District, Corrigan, Polk</i>	<i>Advice</i>
TX	<i>Rankin County Hospital District, Rankin, Rankin</i>	<i>Advice</i>
TX	<i>Tatum Independent School District, Tatum, Rusk</i>	<i>Advice</i>
TX	<i>San Saba Independent School District, San Saba, San Saba</i>	<i>Advice</i>
TX	<i>Warren Independent School District, Warren, Tyler</i>	<i>Advice</i>
TX	<i>Thorndale Independent School District, Thorndale, Williamson</i>	<i>Advice</i>
TX	<i>Hawkins Independent School District, Hawkins, Wood</i>	<i>Advice</i>
TX	<i>Sabine Pass Independent School District, Beaumont, Jefferson</i>	<i>Advice</i>

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TX	<i>Dublin Independent School District, Dublin, Willbarger</i>	<i>Advice</i>
TX	<i>Caddo Mills Independent School District, Caddo Mills, Hunt</i>	<i>Advice</i>
TX	<i>Coupland Independent School District, Coupland, Williamson</i>	<i>Advice</i>
TX	<i>North Zulch Independent School District, North Zulch, Madison</i>	<i>Advice</i>
TX	<i>Huckabay Independent School District, Stephenville, Erath</i>	<i>Advice</i>
TX	<i>Sterling City Independent School District, Sterling City, Sterling</i>	<i>Advice</i>
TX	<i>Blanket Independent School District, Blanket, Brown</i>	<i>Advice</i>
TX	<i>Sulphur Springs Independent School District, Sulphur Springs, Hopkins</i>	<i>Advice</i>
TX	<i>Water Valley Independent School District, Water Valley, Tom Green</i>	<i>Advice</i>
TX	<i>Vernon Independent School District, Vernon, Wilbarger</i>	<i>Advice</i>
TX	<i>New Waverly Independent School District, New Waverly, Walker</i>	<i>Advice</i>
TX	<i>Oakwood Independent School District, Oakwood, Leon</i>	<i>Advice</i>
TX	<i>Madisonville Consolidated Independent School District, Madisonville, Madison</i>	<i>Advice</i>
TX	<i>Lovelady Independent School District, Lovelady, Houston</i>	<i>Advice</i>
TX	<i>Lexington Independent School District, Lexington, Lee</i>	<i>Advice</i>

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TX	<i>Jonesboro Independent School District, Jonesboro, Coryell</i>	<i>Advice</i>
TX	<i>Fairfield Independent School District, Fairfield, Freestone</i>	<i>Advice</i>
TX	<i>Dumas Independent School District, Dumas, Moore</i>	<i>Advice</i>
TX	<i>Bartlett Independent School District, Bartlett, Bell</i>	<i>Advice</i>
TX	<i>Rivercrest Independent School District, Bogota, Red River</i>	<i>Advice</i>
TX	<i>Wink Loving Independent School District, Wink, Winkler</i>	<i>Advice</i>
TX	<i>Lyford Consolidated Independent School District, Wilacy, Lyford</i>	<i>Advice</i>
TX	<i>Louise Independent School District, Louise, Wharton</i>	<i>Advice</i>
TX	<i>Peaster Independent School District, Peaster, Parker</i>	<i>Advice</i>
TX	<i>Maypearl Independent School District, Maypearl, Ellis</i>	<i>Advice</i>
TX	<i>Throckmorton Collegiate Independent School District, Throckmorton, Throckmorton</i>	<i>Advice</i>
TX	<i>Dalhart Independent School District, Dalhart, Dallam</i>	<i>Advice</i>
TX	<i>Marshall Independent School District, Marshall, Harrison</i>	<i>Advice</i>
TX	<i>Tidehaven Independent School District, El Maton, Matagorda</i>	<i>Advice</i>
TX	<i>Buna Independent School District, Buna, Jasper</i>	<i>Advice</i>
TX	<i>Llano Independent School District, Llano, Llano</i>	<i>Advice</i>
TX	<i>Frio Regional Hospital</i>	<i>Advice</i>

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TX	District, Pearsall, Frio Burton Independent School District, Burton, Washington	Advice
TX	Stamford Independent School District, Stamford, Jones	Advice
TX	Magnolia Independent School District, Magnolia, Montgomery	Advice
TX	Como-Pickton Consolidated Independent School District, Como, Hopkins	Advice
TX	Sulphur Springs ISD, Sulphur Springs, Hopkins	Advice
TX	Quanah Independent School District, Quanah, Hardeman	Advice
TX	Throckmorton Collegiate Independent School District, Throckmorton, Throckmorton	Advice
TX	Livingston Independent School District, Livingston, Polk	Advice
TX	Quinlan Independent School District, Quinlan, Hunt	Advice
TX	Spearman Independent School District, Spearman, Hansford	Advice
TX	Royal Independent School District, Royal, San Jacinto	Advice
TX	Wheeler Independent School District, Wheeler, Wheeler	Advice
TX	Breckenridge Independent School District, Breckenridge, Stephens	Advice
TX	Perryton Independent School District, Perryton, Ochiltree	Advice
TX	D'Hanis Independent School District, DHanis, Medina	Advice
TX	Ira Independent School	Advice

FORM G-37**B. Municipal Advisory Business**

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TX	<i>District, Ira, Scurry Baird Independent School District, Baird, Callahan</i>	<i>Advice</i>
TX	<i>Willis Independent School District, Willis, Montgomery</i>	<i>Advice</i>
TX	<i>Calvert Independent School District, Calvert, Robertson</i>	<i>Advice</i>
TX	<i>Prairiland Independent School District, Pattonville, Lamar</i>	<i>Advice</i>

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>Oakwood Independent School District</i>	<i>Unlimited Tax School Building Bonds, Series 2021</i>	<i>05/01/2021</i>
<i>Hawkins Independent School District</i>	<i>Unlimited Tax School Building Bonds, Series 2021</i>	<i>05/01/2021</i>
<i>North Zulch Independent School District</i>	<i>Unlimited Tax School Building Bonds, Series 2021</i>	<i>05/01/2021</i>
<i>Calvert Independent School District</i>	<i>Unlimited Tax School Building Bonds, Series 2021</i>	<i>05/01/2021</i>
<i>Wink-Loving Independent School District</i>	<i>Unlimited Tax School Building Bonds, Series 2021</i>	<i>05/01/2021</i>
<i>Mart Independent</i>	<i>Unlimited Tax School Building Bonds, Series 2021</i>	<i>05/01/2021</i>

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>School District City of Dawson Cumby Independent School District</i>	<i>TBD</i>	<i>05/01/2021</i>
<i>Throckmorton Collegiate Independent School District</i>	<i>Unlimited Tax School Building Bonds, Series 2021</i>	<i>05/01/2021</i>
<i>Richards Independent School District</i>	<i>Unlimited Tax School Building Bonds, Series 2021</i>	<i>05/01/2021</i>
<i>Prairiland Independent School District</i>	<i>Unlimited Tax School Building Bonds, Series 2021</i>	<i>05/01/2021</i>
<i>North Lamar Independent School District</i>	<i>Unlimited Tax School Building Bonds, Series 2021</i>	<i>05/01/2021</i>
<i>Jarrell Independent School District</i>	<i>Unlimited Tax School Building Bonds, Series 2021</i>	<i>05/01/2021</i>
<i>Water Valley Independent School District</i>	<i>Unlimited Tax School Building Bonds, Series 2021</i>	<i>05/01/2021</i>
<i>Peaster Independent School District</i>	<i>Unlimited Tax School Building Bonds, Series 2021</i>	<i>05/01/2021</i>

FORM G-37



Signature: electronic

Date: *Jul 30, 2021*

Name (must be officer of regulated entity): *CHRISTIAN MERRITT*

Address: *1515 S Capital of Texas Hwy, Austin, TX 78746*

Phone: *512-726-5547*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)