

FORM G-37



Name of Regulated Entity: *Sperry Capital Inc.*

Report Period: *First Quarter of 2021*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer) If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
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None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>None</i>		

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
CA	<i>Bay Area Toll Authority, San Francisco, San Francisco</i>	<i>Advice</i>
CA	<i>Peninsula Corridor Joint Powers Board, San Carlos, San Mateo</i>	<i>Advice</i>
TX	<i>NTE Mobility Partners LLC/LBJ Infrastructure Group LLC/Cintra, Dallas, Dallas</i>	<i>Advice</i>
CA	<i>Zone 7 Water Agency, Livermore, Alameda</i>	<i>Advice</i>
CA	<i>Los Angeles County Metropolitan Transportation Authority, Los Angeles, Los Angeles</i>	<i>Advice</i>
CA	<i>Town of Tiburon, Tiburon, Marin</i>	<i>Advice</i>
CA	<i>California Independent System Operator Corporation, Folsom, Sacramento</i>	<i>Advice</i>
CA	<i>Tracy Renewable Energy LLC, Tracy, San Joaquin</i>	<i>Advice</i>
CA	<i>Marin Municipal Water District, Corte Madera, Marin</i>	<i>Advice</i>
CA	<i>State of California Department of</i>	<i>Advice</i>

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
	<i>Transportation, Sacramento, Sacramento</i>	
CA	<i>Bay Area Rapid Transit District, Oakland, Alameda</i>	<i>Advice</i>
CA	<i>San Mateo County Transportation Authority, San Carlos, San Mateo</i>	<i>Advice</i>
CA	<i>Transportation Authority of Marin, San Rafael, Marin</i>	<i>Advice</i>
CA	<i>Orange County Transportation Authority, Orange, Orange</i>	<i>Advice</i>
CA	<i>Ross Valley Sanitary District, San Rafael, Marin</i>	<i>Advice</i>
CA	<i>Advancing California Finance Authority, San Francisco, San Francisco</i>	<i>Advice</i>
CA	<i>ABAG Finance Authority for Non-Profits, San Francisco, San Francisco</i>	<i>Advice</i>
CA	<i>Marin Emergency Radio Authority, Corte Madera, Marin</i>	<i>Advice</i>
CA	<i>San Mateo County Transit District, San Carlos, San Mateo</i>	<i>Advice</i>
CA	<i>California High Speed Rail Authority, Sacramento, Sacramento</i>	<i>Advice</i>
AZ	<i>Arizona Department of Transportation, Phoenix, Maricopa</i>	<i>Advice</i>
CA	<i>Southern California Regional Rail Authority (Metrolink), Los Angeles, Los Angeles</i>	<i>Advice</i>
CA	<i>East Palo Alto Community Alliance Neighborhood Development Organization</i>	<i>Advice</i>

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
CA	<i>(EPA CAN DO), East Palo Alto, San Mateo</i>	<i>Advice</i>
CA	<i>Transbay Joint Powers Authority, San Francisco, San Francisco</i>	<i>Advice</i>
CA	<i>City and County of San Francisco, San Francisco, San Francisco</i>	<i>Advice</i>
CA	<i>Central Contra Costa Sanitary District, Martinez, Contra Costa</i>	<i>Advice</i>
CA	<i>City of South San Francisco, South San Francisco, San Mateo</i>	<i>Advice</i>

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>None</i>		

FORM G-37



Signature: electronic

Date: *Apr 30, 2021*

Name (must be officer of regulated entity): *JAMES GIBBS*

Address: *3 Harbor Drive, Sausalito, CA 94965*

Phone: *415-608-1744*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)