

Name of Regulated Entity: Rathmann & Associates, L.P.

Report Period: First Quarter of 2021

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State Complete name, title

(including any

city/county/state or other political subdivision) of municipal entity official

Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)

If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State Complete name (including

any city/county/state or other

political subdivision) of

political party

None

Payments by each contributor category



III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State Official name of bond ballot

campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities Contributions, including the specific date the contributions were made, by each contributor category

None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None



IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

A. Municipal Securities Business

State Complete name of municipal Type of municipal securities business

entity and city/county (negotiated underwriting, private placement,

financial advisor, or remarketing agent)

None

TX

TX

B. Municipal Advisory Business

State Complete name of municipal Type of municipal advisory business (advice

entity and city/county or solicitation) (and in the case of municipal

advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment

advisory services))

Advice

Advice

TXBrazoria County Municipal Advice

Utility District No. 2,

Brazoria County

Harris County Municipal TXAdvice

Utility District No. 220,

Harris County

TXBrazoria County Municipal Advice

Utility District No. 17,

Brazoria County

Montgomery County Water TXAdvice

> Control and Improvement District No. 4, Montgomery

County

Fort Bend County Municipal TXAdvice

Utility District No. 23, Fort

Bend County

Rolling Creek Utility District, TXAdvice

Harris County

Fort Bend County Municipal Utility District No. 2, Fort

Bend County

Harris County Municipal

Utility District No. 371,

Harris County TX

Intercontinental Crossing Advice

Municipal Utility District,



State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
	Harris County	
TX	Willow Fork Drainage District, Fort Bend and Harris Counties	Advice
TX	Grant Road Public Utility District, Harris County	Advice
TX	Harris County Municipal Utility District No. 6, Harris County	Advice
TX	Mills Road Municipal Utility District, Harris County	Advice
TX	Montgomery County Municipal Utility District No. 84, Montgomery County	Advice
TX	Northeast Harris County Municipal Utility District No. 1, Harris County	Advice
TX	Spencer Road Public Utility District, Harris County	Advice
TX	Brazoria County Municipal Utility District No. 23, Brazoria County	Advice
TX	Cinco Municipal Utility District No. 8, Fort Bend County	Advice
TX	Harris-Brazoria Counties Municipal Utility District No. 509, Harris and Brazoria Counties	Advice
TX	Kings Manor Municipal Utility District, Montgomery and Harris Counties	Advice
TX	Brazoria County Municipal Utility District No. 66, Brazoria County	Advice
TX	Cypresswood Utility District, Harris County	Advice
TX	Harris County Municipal	Advice



Ctata	Complete name of municipal	Tour of more in all advisors business (advisor
State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
	Utility District No. 166, Harris County	
TX	Harris County Municipal Utility District No. 284, Harris County	Advice
TX	Harris County Municipal Utility District No. 504, Harris County	Advice
TX	Rankin Road West Municipal Utility District, Harris County	Advice
TX	Cypress Hill Municipal Utility District No. 1, Harris County	Advice
TX	Fort Bend County Municipal Utility District No. 130, Fort Bend County	Advice
TX	Harris County Municipal Utility District No. 53, Harris County	Advice
TX	Harris County Municipal Utility District No. 196, Harris County	Advice
TX	Northwest Harris County Municipal Utility District No. 20, Harris County	Advice
TX	Cinco Municipal Utility District No. 5, Fort Bend County	Advice
TX	Harris County Municipal Utility District No. 168, Harris County	Advice
TX	Harris County Municipal Utility District No. 399, Harris County	Advice
TX	Fort Bend County Municipal Utility District No. 141, Fort Bend County	Advice
TX	Harris County Utility District No. 16, Harris County	Advice



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TX	Northwest Harris County Municipal Utility District No. 19, Harris County	Advice
TX	Brazoria County Municipal Utility District No. 28, Brazoria and Harris Counties	Advice
TX	Harris County Municipal Utility District No. 278, Harris County	Advice
TX	Brazoria County Municipal Utility District No. 26, Brazoria County	Advice
TX	Clay Road Municipal Utility District, Harris County	Advice
TX	Harris County Municipal Utility District No. 420, Harris County	Advice
TX	Montgomery County Municipal Utility District No. 130, Montgomery County	Advice
TX	Harris County Municipal Utility District No. 5, Harris County	Advice
TX	Palmer Plantation Municipal Utility District No. 2, Fort Bend County	Advice
TX	Fort Bend County Municipal Utility District No. 155, Fort Bend County	Advice
TX	Fort Bend County Municipal Utility District No. 159, Fort Bend County	Advice
TX	Harris County Water Control and Improvement District No. 119, Harris County	Advice
TX	Brazoria County Municipal Utility District No. 19, Brazoria County	Advice



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TX	Harris County Municipal Utility District No. 213B, Harris County	Advice
TX	Harris County Water Control and Improvement District No. 136, Harris County	Advice
TX	Harris County Municipal Utility District No. 230, Harris County	Advice
TX	West Fort Bend Management District, Fort Bend County	Advice
TX	Brazoria County Municipal Utility District No. 36, Brazoria County	Advice
TX	Fort Bend County Municipal Utility District No. 30, Fort Bend and Harris Counties	Advice
TX	Grand Mission Municipal Utility District No. 1, Fort Bend County	Advice
TX	Brazoria-Fort Bend Counties Municipal Utility District No. 1, Brazoria and Fort Bend Counties	Advice
TX	Brazoria County Municipal Utility District No. 25, Brazoria County	Advice
TX	Northwest Harris County Municipal Utility District No. 16, Harris County	Advice
TX	Brazoria County Municipal Utility District No. 3, Brazoria County	Advice
TX	Fort Bend County Municipal Utility District No. 118, Fort Bend County	Advice
TX	Chambers County Municipal Utility District No. 1,	Advice



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	Chambers County	
TX	Cinco Municipal Utility District No. 3, Fort Bend and Harris Counties	Advice
TX	Harris County Municipal Utility District No. 411, Harris County	Advice
TX	West Harris County Municipal Utility District No. 1, Harris County	Advice
TX	Brazoria County Municipal Utility District No. 35, Brazoria County	Advice
TX	Castlewood Municipal Utility District, Harris County	Advice
TX	East Plantation Utility District, Montgomery County	Advice
TX	Harris County Municipal Utility District No. 561, Harris County	Advice
TX	West Keegans Bayou Improvement District, Fort Bend and Harris Counties	Advice
TX	Brazoria County Municipal Utility District No. 34, Brazoria County	Advice
TX	Burney Road Municipal Utility District, Fort Bend County	Advice
TX	Harris County Water Control and Improvement District No. 84, Harris County	Advice
TX	Fort Bend County Municipal Utility District No. 66, Fort Bend County	Advice
TX	Chimney Hill Municipal Utility District, Harris County	Advice
TX	Intercontinental Municipal	Advice



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	Utility District, Harris County	
TX	Montgomery County Municipal Utility District No. 131, Montgomery County	Advice
TX	Fort Bend County Levee Improvement District No. 12, Fort Bend County	Advice
TX	Lake Municipal Utility District, Harris County	Advice
TX	Montgomery County Municipal Utility District No. 83, Montgomery County	Advice
TX	West Harris County Municipal Utility District No. 7, Harris County	Advice
TX	Fort Bend County Municipal Utility District No. 142, Fort Bend County	Advice
TX	Harris County Municipal Utility District No. 393, Harris County	Advice
TX	Harris County Municipal Utility District No. 412, Harris County	Advice
TX	Brazoria County Municipal Utility District No. 29, Brazoria County	Advice
TX	Northwood Municipal Utility District No. 1, Harris County	Advice
TX	Spring West Municipal Utility District, Harris County	Advice
TX	Forest Hills Municipal Utility District, Harris County	Advice
TX	Fort Bend County Municipal Utility District No. 24, Fort Bend County	Advice
TX	Fort Bend County Municipal Utility District No. 50, Fort	Advice



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	Bend County	
TX	Mayde Creek Municipal Utility District, Harris County	Advice
TX	Travis County Municipal Utility District No. 10, Travis County	Advice
TX	Cinco Municipal Utility District No. 10, Fort Bend County	Advice
TX	Harris County Municipal Utility District No. 381, Harris County	Advice
TX	Montgomery County Municipal Utility District No. 154, Montgomery County	Advice
TX	Spring Meadows Municipal Utility District, Harris County	Advice
TX	Cinco Municipal Utility District No. 12, Fort Bend County	Advice
TX	Harris County Municipal Utility District No. 285, Harris County	Advice
TX	Harris County Municipal Utility District No. 127, Harris County	Advice
TX	Luce Bayou Public Utility District, Harris County	Advice
TX	Brazoria County Municipal Utility District No. 24, Brazoria County	Advice
TX	Fort Bend County Municipal Utility District No. 152, Fort Bend County	Advice
TX	Harris County Municipal Utility District No. 495, Harris County	Advice
TX	Harris County Municipal	Advice



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		solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
	Utility District No. 410, Harris County	
TX	Fort Bend County Municipal Utility District No. 156, Fort Bend County	Advice
TX	Harris County Municipal Utility District No. 551, Harris County	Advice
TX	Montgomery County Management District No. 1, Montgomery County	Advice
TX	Brazoria County Municipal Utility District No. 18, Brazoria and Harris Counties	Advice
TX	Palmer Plantation Municipal Utility District No. 1, Fort Bend County	Advice
TX	Renn Road Municipal Utility District, Harris County	Advice
TX	Brazoria County Municipal Utility District No. 38, Brazoria County	Advice
TX	Harris County Municipal Utility District No. 368, Harris County	Advice
TX	Morton Road Municipal Utility District, Harris County	Advice
TX	Harris County Municipal Utility District No. 304, Harris County	Advice
TX	Montgomery County Municipal Utility District No. 154B, Montgomery County	Advice
TX	Bissonnet Municipal Utility District, Harris County	Advice
TX	Harris County Municipal Utility District No. 400, Harris County	Advice



B. Municipal Advisory Business

2. Manierpur 110	visory Dusiness	
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TX	Remington Municipal Utility District No. 1, Harris County	Advice
TX	Brazoria County Municipal Utility District No. 47 (11/16/20, Brazoria County	Advice
TX	North Mission Glen Municipal Utility District, Fort Bend and Harris Counties	Advice
TX	Bilma Public Utility District, Harris County	Advice
TX	Brazoria County Municipal Utility District No. 61, Brazoria County	Advice
TX	Fort Bend County Municipal Utility District No. 42, Fort Bend County	Advice
TX	Grand Mission Municipal Utility District No. 2, Fort Bend County	Advice
TX	Harris County Municipal Utility District No. 439, Harris County	Advice

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity Issue Description

Reportable Date of Selection

None



Signature: electronic Date: Apr 30, 2021

Name (must be officer of regulated entity): *Kimberly McManus* Address: 8584 Katy Freeway, Suite 250, Houston, TX 77024

Phone: 973-618-9506

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)