

FORM G-37



Name of Regulated Entity: *Roosevelt & Cross Incorporated*

Report Period: *First Quarter of 2021*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)
		If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)
A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>NY</i>	<i>Virgil, Town of, Virgil</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Union-Endicott CSD, Endicott</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Belleville Henderson CSD, Adams</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Shenendehowa CSD, Clifton Park</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Horseheads CSD, Horseheads</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Whitesboro CSD, Whitesboro</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Livonia CSD, Livonia</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Cambridge CSD, Cambridge</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Triborough Bridge & Tunnel Authority</i>	<i>Negotiated underwriting</i>
<i>NJ</i>	<i>New Milford, Borough of, New Milford</i>	<i>Negotiated underwriting</i>
<i>NJ</i>	<i>Harmony, Township of, Harmony</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>New York City Transitional Finance Authority, NYC, New York</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>New York State Housing Finance Agency</i>	<i>Negotiated underwriting</i>
<i>RI</i>	<i>Rhode Island Housing & Mortgage Finance Corp</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Cortland, City of, Cortland</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Wheelerville UFSD, Caroga</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Niskayuna CSD, Niskayuna</i>	<i>Negotiated underwriting</i>
<i>NJ</i>	<i>New Jersey Educational Facilities Authority</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>New York State Dormitory Authority</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>New York State Dormitory Authority</i>	<i>Negotiated underwriting</i>
<i>NJ</i>	<i>Jersey City, City of, Jersey City</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Dutchess County, Dutchess</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Dutchess County, Dutchess</i>	<i>Negotiated underwriting</i>

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NY	Honeoye Falls-Lima CSD	Negotiated underwriting
NY	Poughkeepsie, City of, Poughkeepsie	Negotiated underwriting
NY	Deruyter CSD, DeRuyter	Negotiated underwriting
NY	East Irondequoit CSD, East Irondequoit	Negotiated underwriting
GA	Chamblee Urban Redevelopment Agency, City of, Chamblee	Negotiated underwriting
NY	Weedsport CSD, Weedsport	Negotiated underwriting
NY	Thompson, Town of, Thompson	Negotiated underwriting
CA	California, State of	Negotiated underwriting
NY	New York City Municipal Water Finance Authority, NYC, New York	Negotiated underwriting
NY	Sullivan West CSD, Sullivan	Negotiated underwriting
NY	Warwick Valley CSD, Warwick	Negotiated underwriting
NY	Marcellus, Town of, Marcellus	Negotiated underwriting
NY	Washington County, Washington	Negotiated underwriting
NY	Auburn CSD, Auburn	Negotiated underwriting
NY	Millbrook CSD, Millbrook	Negotiated underwriting
NY	Eastchester UFSD, Eastchester	Negotiated underwriting
NY	Willsboro CSD, Willsboro	Negotiated underwriting
NJ	Perth Amboy, City of, Perth Amboy	Negotiated underwriting
NY	West Irondequoit CSD, West Irondequoit	Negotiated underwriting
NY	Saratoga Springs, City of, Saratoga Springs	Negotiated underwriting
NY	New York City, NYC, New York	Negotiated underwriting
NY	New York City, NYC, New York	Negotiated underwriting
NY	Corinth, Village of, Corinth	Negotiated underwriting
MD	Baltimore County, Baltimore	Negotiated underwriting
NY	Port Byron CSD, Port Byron	Negotiated underwriting
NY	Geneseo CSD, Geneseo	Negotiated underwriting

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NY	Port Jervis City SD, Port Jervis	Negotiated underwriting
NY	Hudson Falls CSD, Hudson Falls	Negotiated underwriting
NY	Sherburne-Earlville CSD, Sherburne	Negotiated underwriting
TX	Waco, City of, Waco	Negotiated underwriting
NJ	North Haledon, Borough of, North Haledon	Negotiated underwriting
NY	Greenwich CSD, Greenwich	Negotiated underwriting
NY	Naples CSD, Naples	Negotiated underwriting
NY	Mechanicville City SD, Mechanicville	Negotiated underwriting
NY	Ausable Valley CSD, Clintonville	Negotiated underwriting
NY	New York City Housing Development Corp, NYC, New York	Negotiated underwriting
NY	New York City Housing Development Corp, NYC, New York	Negotiated underwriting
NY	New York City Municipal Water Finance Authority, NYC, New York	Negotiated underwriting
NY	Oakfield, Town of, Oakfield	Negotiated underwriting
NY	East Bloomfield CSD, Bloomfield	Negotiated underwriting
NY	Springville-Griffith Institute CSD, Springville	Negotiated underwriting
CT	Simsbury, Town of, Simsbury	Negotiated underwriting
NY	North Syracuse CSD, North Syracuse	Negotiated underwriting
NY	Onondaga County Water Authority, Onondaga	Negotiated underwriting
NY	Bellmore FD, Bellmore	Negotiated underwriting
NY	Sidney CSD, Sidney	Negotiated underwriting
NY	Liverpool CSD, Liverpool	Negotiated underwriting
CT	Wilton, Town of, Wilton	Negotiated underwriting
NJ	Clinton, Township of, Clinton	Negotiated underwriting
NY	Jamestown, City of, Jamestown	Negotiated underwriting
NY	Indian River CSD,	Negotiated underwriting

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	<i>Philadelphia</i>	
<i>MD</i>	<i>Howard County, Howard</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Tuckahoe, Village of, Tuckahoe</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Peekskill City SD, Peekskill</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Rye City SD, Rye</i>	<i>Negotiated underwriting</i>
<i>CT</i>	<i>Connecticut Housing Finance Authority</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>New Rochelle, City of, New Rochelle</i>	<i>Negotiated underwriting</i>
<i>NJ</i>	<i>Long Beach, Township of, Long Beach</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Odessa-Montour CSD, Odessa</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Merrick UFSD, Merrick</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Brockport, Village of, Brockport</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>New York City Transitional Finance Authority, NYC, New York</i>	<i>Negotiated underwriting</i>
<i>NJ</i>	<i>Fairfield, Township of, Fairfield</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Lisbon CSD, Lisbon</i>	<i>Negotiated underwriting</i>
<i>TX</i>	<i>Amarillo, City of, Amarillo</i>	<i>Negotiated underwriting</i>
<i>FL</i>	<i>Broward Co SD, Broward</i>	<i>Negotiated underwriting</i>
<i>NJ</i>	<i>Cinnaminson, Township of, Cinnaminson</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>North Greenbush, Town of, North Greenbush</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Albion, Town of, Albion</i>	<i>Negotiated underwriting</i>
<i>NJ</i>	<i>Weehawken, Township of, Weehawken</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Tuckahoe UFSD, Tuckahoe</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Fairport, Village of, Fairport</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Yonkers, City of, Yonkers</i>	<i>Negotiated underwriting</i>
<i>ME</i>	<i>Wells, Town of, Wells</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Lyme CSD, Chaumont</i>	<i>Negotiated underwriting</i>
<i>NJ</i>	<i>Wood-Ridge, Borough of, Wood-Ridge</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>East Hampton UFSD, East Hampton</i>	<i>Negotiated underwriting</i>

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<i>NY</i>	<i>Palmyra-Macedon CSD, Palmyra</i>	<i>Negotiated underwriting</i>
<i>RI</i>	<i>Rhode Island Housing & Mortgage Finance Corp</i>	<i>Negotiated underwriting</i>
<i>RI</i>	<i>Rhode Island Housing & Mortgage Finance Corp</i>	<i>Negotiated underwriting</i>
<i>CT</i>	<i>Killingly, Town of, Killingly</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>Lafayette CSD, Lafayette</i>	<i>Negotiated underwriting</i>
<i>NJ</i>	<i>Florence, Township of, Florence</i>	<i>Negotiated underwriting</i>

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
<i>NY</i>	<i>Niagara Falls Bridge Commission</i>	<i>Advice</i>

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>None</i>		

FORM G-37



Signature: electronic

Date: *Apr 15, 2021*

Name (must be officer of regulated entity): *MATTHEW CAMPOLETTANO*

Address: *One Exchange Plaza, New York, NY 10006*

Phone: *212-504-9264*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)