

Name of Regulated Entity: *Municipal Solutions, Inc.*

Report Period: *Fourth Quarter of 2020*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer) If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
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None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>None</i>		

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
<i>NY</i>	<i>Sinclairville, Village, Sinclairville, Chautauqua</i>	<i>Advice</i>
<i>NY</i>	<i>Hector, Town, Burdett, Schuyler</i>	<i>Advice</i>
<i>NY</i>	<i>Livonia, Village, Livonia, Livingston</i>	<i>Advice</i>
<i>NY</i>	<i>Palmyra-Macedon CSD, Palmyra, Wayne / Ontario</i>	<i>Advice</i>
<i>NY</i>	<i>Cheektowaga-Maryvale UFSD, Cheektowaga, Erie</i>	<i>Advice</i>
<i>NY</i>	<i>Silver Creek, Village, Silver Creek, Chautauqua</i>	<i>Advice</i>
<i>NY</i>	<i>Concord, Town, Concord, Erie</i>	<i>Advice</i>
<i>NY</i>	<i>Lowville, Village, Lowville, Lewis</i>	<i>Advice</i>
<i>NY</i>	<i>Batavia, City, Batavia, Genesee</i>	<i>Advice</i>
<i>NY</i>	<i>Fayette, Town, Waterloo, Seneca</i>	<i>Advice</i>
<i>NY</i>	<i>Middletown CSD, Middletown, Orange</i>	<i>Advice</i>
<i>NY</i>	<i>Springville, Village, Springville, Erie</i>	<i>Advice</i>
<i>NY</i>	<i>Alexander, Town, Alexander, Genesee</i>	<i>Advice</i>

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
NY	<i>Alexander, Village, Alexander, Genesee</i>	<i>Advice</i>
NY	<i>Olean, Town, Olean, Cattaraugus</i>	<i>Advice</i>
NY	<i>Torrey, Town, Dresden, Yates</i>	<i>Advice</i>
NY	<i>Alabama, Town, Oakfield, Genesee</i>	<i>Advice</i>
NY	<i>Owego, Village, Apalachin, Tioga</i>	<i>Advice</i>
NY	<i>Yates County, Penn Yan, Yates</i>	<i>Advice</i>
NY	<i>Yorkville, Village, Yorkville, Chenango</i>	<i>Advice</i>
NY	<i>Ripley CSD, Ripley, Chautauqua</i>	<i>Advice</i>
NY	<i>Delaware, Town, Hortonville, Sullivan</i>	<i>Advice</i>
NY	<i>Dunkirk, City, Dunkirk, Chautauqua</i>	<i>Advice</i>
NY	<i>Westfield, Village, Westfield, Chautauqua</i>	<i>Advice</i>
NY	<i>Wilson CSD, Wilson, Niagara</i>	<i>Advice</i>
NY	<i>Concord, Town, Concord, Erie</i>	<i>Advice</i>
NY	<i>Ellicottville, Village, Ellicottville, Cattaraugus</i>	<i>Advice</i>
NY	<i>Chautauqua County, Mayville, Chautauqua</i>	<i>Advice</i>
NY	<i>Cleveland, Village, Cleveland, Oswego</i>	<i>Advice</i>
NY	<i>Hanover, Town, Silver Creek, Chautauqua</i>	<i>Advice</i>
NY	<i>Pawling, Village, Pawling, Dutchess</i>	<i>Advice</i>
NY	<i>Alabama, Town, Oakfield, Genesee</i>	<i>Advice</i>
NY	<i>Dunkirk, Town, Dunkirk,</i>	<i>Advice</i>

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
NY	<i>Chautauqua Lake George, Village, Lake George, Warren</i>	<i>Advice</i>
NY	<i>Falconer CSD, Falconer, Chautauqua</i>	<i>Advice</i>
NY	<i>Ridgeway, Town, Medina, Orleans</i>	<i>Advice</i>
NY	<i>Solvay, Village, Solvay, Onondaga</i>	<i>Advice</i>
NY	<i>Clayton, Village, Clayton, Jefferson</i>	<i>Advice</i>
NY	<i>Geneva, City, Geneva, Ontario</i>	<i>Advice</i>
NY	<i>Cortlandville Fire District, Cortlandville, Cortland</i>	<i>Advice</i>
NY	<i>Esopus, Town, Port Ewen, Ulster</i>	<i>Advice</i>
NY	<i>Carrollton, Town, Limestone, Cattaraugus</i>	<i>Advice</i>
NY	<i>Addison, Village, Addison, Steuben</i>	<i>Advice</i>
NY	<i>Milo, Town, Penn Yan, Yates</i>	<i>Advice</i>
NY	<i>Alfred-Almond CSD, Almond, Allegany/Steuben</i>	<i>Advice</i>
NY	<i>Delaware, Town, Hortonville, Sullivan</i>	<i>Advice</i>
NY	<i>York, Town, York, Livingston</i>	<i>Advice</i>
NY	<i>Chautauqua, Town, Mayville, Chautauqua</i>	<i>Advice</i>
NY	<i>Franklinville, Village, Franklinville, Cattaraugus</i>	<i>Advice</i>
NY	<i>Parish, Town, Parish, Oswego</i>	<i>Advice</i>
NY	<i>Trumansburg, Village, Trumansburg, Tompkins</i>	<i>Advice</i>
NY	<i>Name of Municipal Entity, City, County</i>	<i>Advice</i>
NY	<i>Wheatfield, Town, No.</i>	<i>Advice</i>

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
NY	Tonawanda, Niagara Ellicottville, Village, Ellicottville, Cattaraugus	Advice
NY	Gowanda, Village, Gowanda, Erie / Cattaraugus	Advice
NY	Geneseo, Town, Geneseo, Livingston	Advice
NY	Evans, Town, Angola, Erie	Advice
NY	Riga, Town, Churchville, Monroe	Advice
NY	Wyoming, Village, Wyoming, Wyoming	Advice
NY	Alfred, Village, Alfred, Allegany	Advice
NY	Hopewell, Town, Canandaigua, Ontario	Advice
NY	Lyndonville, Village, Lyndonville, Orleans	Advice
NY	Chautauqua Fire District, Chautauqua, Chautauqua	Advice
NY	Jasper, Town, Jasper, Steuben	Advice
NY	Petersburgh, T, Petersburgh, Rensselaer	Advice
NY	Bergen, Village, Bergen, Genesee	Advice
NY	Chadwick Bay, Dunkirk, Chautauqua	Advice
NY	Lewiston-Porter CSD, Youngstown, Niagara	Advice
NY	Cortlandville Fire District, Cortlandville, Cortland	Advice
NY	Windham, Town, Hensonville, Greene	Advice
NY	Portland, Town, Brocton, Chautauqua	Advice
NY	Batavia, City, Batavia, Genesee	Advice

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NY	<i>Batavia, Town, Batavia, Genesee</i>	<i>Advice</i>
NY	<i>Corning, Town, Corning, Steuben</i>	<i>Advice</i>
NY	<i>Parish, Village, Parish, Oswego</i>	<i>Advice</i>
NY	<i>Newstead, Town, Akron, Erie</i>	<i>Advice</i>
NY	<i>Sweet Home CSD, Amherst, Erie</i>	<i>Advice</i>
NY	<i>Lyndonville CSD, Lyndonville, Orleans</i>	<i>Advice</i>
NY	<i>Pomfret, Town, Fredonia, Chautauqua</i>	<i>Advice</i>
NY	<i>Wilson CSD, Wilson, Niagara</i>	<i>Advice</i>
NY	<i>Depew, Village, Depew, Erie</i>	<i>Advice</i>
NY	<i>Honeoye Falls-Lima CSD, Honeoye Falls, Monroe / Ontario / Livingston</i>	<i>Advice</i>
NY	<i>Dickinson, Town, Binghamton, Broome</i>	<i>Advice</i>
NY	<i>Watkins Glen CSD, Watkins Glen, Schuyler</i>	<i>Advice</i>
NY	<i>Yorkville, Village, Yorkville, Chenango</i>	<i>Advice</i>
NY	<i>Watkins Glen CSD, Watkins Glen, Schuyler</i>	<i>Advice</i>
NY	<i>Torrey, Town, Dresden, Yates</i>	<i>Advice</i>
NY	<i>Walton, Village, Walton, Delaware</i>	<i>Advice</i>
NY	<i>Chautauqua, Town, Mayville, Chautauqua</i>	<i>Advice</i>
NY	<i>Hamburg, Village, Hamburg, Erie</i>	<i>Advice</i>
NY	<i>Dryden, Village, Dryden, Tompkins</i>	<i>Advice</i>
NY	<i>Little Valley, Village, Little Valley, Cattaraugus</i>	<i>Advice</i>

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NY	Niagara, Town, Niagara Falls, Niagara	Advice
NY	Allegany Joint FD, Allegany, Cattaraugus	Advice
NY	Bergen, Village, Bergen, Genesee	Advice
NY	Holley CSD, Holley, Orleans	Advice
NY	Sinclairville, Village, Sinclairville, Chautauqua	Advice
NY	Chautauqua County, Mayville, Chautauqua	Advice
NY	Gouverneur, Village, Gouverneur, St. Lawrence	Advice
NY	Wheatfield, Town, No. Tonawanda, Niagara	Advice
NY	Westfield CSD, Westfield, Chautauqua	Advice
NY	Albion, Town, Albion, Orleans	Advice
NY	Sloan, Village, Sloan, Erie	Advice
NY	Middlesex, Town, Middlesex, Ontario	Advice
NY	East Aurora, Village, East Aurora, Erie	Advice
NY	Elma, Town, Elma, Erie	Advice
NY	Moravia, Village, Moravia, Cayuga	Advice
NY	Olean, Town, Olean, Cattaraugus	Advice
NY	Frewsburg CSD, Frewsburg, Chautauqua	Advice
NY	Fredonia CSD, Fredonia, Chautauqua	Advice
NY	Shelby, Town, Medina, Orleans	Advice
NY	Walton, Village, Walton, Delaware	Advice
NY	We are voluntarily reporting	Advice

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	<i>municipal entities with which our firm is under contract. We may not necessarily have provided advice to all entities listed on this report within the last quarter.</i>	
NY	<i>Machias, Town, Machias, Cattaraugus</i>	<i>Advice</i>
NY	<i>Mexico, Village, Mexico, Oswego</i>	<i>Advice</i>
NY	<i>Ridge Road Fire District, Rochester, Monroe</i>	<i>Advice</i>
NY	<i>Alden, Village, Alden, Erie</i>	<i>Advice</i>
NY	<i>Lewiston, V, Lewiston, Niagara</i>	<i>Advice</i>
NY	<i>Watkins Glen, Village, Watkins Glen, Schuyler</i>	<i>Advice</i>
NY	<i>Schodack Valley Fire District, Castleton-on-Hudson, Rensselaer</i>	<i>Advice</i>
NY	<i>Solvay, Village, Solvay, Onondaga</i>	<i>Advice</i>
NY	<i>Southwestern CSD, Jamestown, Chautauqua</i>	<i>Advice</i>
NY	<i>Batavia, Town, Batavia, Genesee</i>	<i>Advice</i>
NY	<i>Fredonia CSD, Fredonia, Chautauqua</i>	<i>Advice</i>
NY	<i>Cattaraugus, Village, Cattaraugus, Cattaraugus</i>	<i>Advice</i>
NY	<i>Hector, Town, Burdett, Schuyler</i>	<i>Advice</i>
NY	<i>LeRay, Town, Evans Mills, Jefferson</i>	<i>Advice</i>
NY	<i>Waverly, Village, Waverly, Tioga</i>	<i>Advice</i>
NY	<i>Scottsville, Village,</i>	<i>Advice</i>

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NY	<i>Scottsville, Monroe Lake George, Village, Lake George, Warren</i>	Advice
NY	<i>Gowanda CSD, Gowanda, Erie / Cattaraugus</i>	Advice
NY	<i>South Corning, Village, Corning, Steuben</i>	Advice
NY	<i>Lewiston, Town, Lewiston, Niagara</i>	Advice
NY	<i>Lyndonville CSD, Lyndonville, Orleans</i>	Advice
NY	<i>Ulysses, Town, Trumansburg, Tompkins</i>	Advice
NY	<i>Dix, Town, Watkins Glen, Schuyler</i>	Advice
NY	<i>Barker CSD, Barker, Niagara/Orleans</i>	Advice
NY	<i>Brocton, Village, Brocton, Chautauqua</i>	Advice
NY	<i>Gorham-Middlesex CSD, Rushville, Ontario</i>	Advice
NY	<i>Bath, V, Bath, Steuben</i>	Advice
NY	<i>Montour Falls, Village, Montour Falls, Schuyler</i>	Advice
NY	<i>Corfu, Village, Corfu, Genesee</i>	Advice
NY	<i>Holley, Village, Holley, Orleans</i>	Advice
NY	<i>Randolph, Town, Randolph, Cattaraugus</i>	Advice
NY	<i>Erwin, Town, Painted Post, Steuben</i>	Advice
NY	<i>Martinsburg, Tn, Martinsburg, Lewis</i>	Advice
NY	<i>Manchester, Village, Manchester, Ontario</i>	Advice
NY	<i>Clarendon, Town, Clarendon,</i>	Advice

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NY	Orleans Springville, Village, Springville, Erie	Advice
NY	Yorkshire, Town, Delevan, Cattaraugus	Advice
NY	Cayuga, Village, Cayuga, Cayuga	Advice
NY	Middleport, Village, Middleport, Niagara	Advice
NY	Ripley CSD, Ripley, Chautauqua	Advice
NY	Lockport, Town, Lockport, Niagara	Advice
NY	Machias, Town, Machias, Cattaraugus	Advice
NY	Earlville, Village, Earlville, Madison	Advice
NY	Jasper, Town, Jasper, Steuben	Advice
NY	Alexandria Bay, Village, Alexandria Bay, Jefferson	Advice
NY	Byron, Town, Byron, Genesee	Advice
NY	Naples, Town, Naples, Ontario	Advice
NY	Chadwick Bay, Dunkirk, Chautauqua	Advice
NY	Stafford, Town, Stafford, Genesee	Advice
NY	Alfred, Village, Alfred, Allegany	Advice
NY	North Collins, Town, North Collins, Erie	Advice
NY	Bethany, Town, East Bethany, Genesee	Advice
NY	Coxsackie, Village, Coxsackie, Greene	Advice
NY	Tonawanda, City, Tonawanda, Erie	Advice

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NY	Genesee Valley CSD, Belmont, Allegany	Advice
NY	Groveland, Town, Groveland, Livingston	Advice
NY	Yates County, Penn Yan, Yates	Advice
NY	Clarence CSD, Clarence, Erie	Advice
NY	Brocton, Village, Brocton, Chautauqua	Advice
NY	Theresa, Village, Theresa, Jefferson	Advice
NY	Alden, Town, Alden, Erie	Advice
NY	Warsaw, Village, Warsaw, Wyoming	Advice
NY	Fayette, Town, Waterloo, Seneca	Advice
NY	Benton, Town, Penn Yan, Yates	Advice
NY	Keuka College, Keuka Park, Yates	Advice
NY	Riga, Town, Churchville, Monroe	Advice
NY	Fredonia, Village, Fredonia, Chautauqua	Advice
NY	Niagara, Town, Niagara Falls, Niagara	Advice
NY	Pine Plains CSD, Pine Plains, Dutchess	Advice
NY	Alden, Village, Alden, Erie	Advice
NY	Pomfret, Town, Fredonia, Chautauqua	Advice
NY	Clayton, Village, Clayton, Jefferson	Advice
NY	Elba, Town, Elba, Genesee	Advice
NY	Elba, Village, Elba, Genesee	Advice
NY	Horseheads, Village, Horseheads, Chemung	Advice

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NY	<i>Maynard Fire District, Marcy, Oneida</i>	<i>Advice</i>
NY	<i>Lockport, City, Lockport, Niagara</i>	<i>Advice</i>
NY	<i>Woodridge, Village, Woodridge, Sullivan</i>	<i>Advice</i>
NY	<i>Yorkshire Pioneer CSD, Yorkshire, Chautauqua</i>	<i>Advice</i>
NY	<i>East Greenbush, Town, East Greenbush, Rensselaer</i>	<i>Advice</i>
NY	<i>South Corning, Village, Corning, Steuben</i>	<i>Advice</i>
NY	<i>Berlin, Town, Berlin, Rensselaer</i>	<i>Advice</i>
NY	<i>Lansing, Town, Lansing, Tompkins</i>	<i>Advice</i>
NY	<i>Watertown FD, Watertown, Jefferson</i>	<i>Advice</i>
NY	<i>Alexandria Bay, Village, Alexandria Bay, Jefferson</i>	<i>Advice</i>
NY	<i>Alfred-Almond CSD, Almond, Allegany/Steuben</i>	<i>Advice</i>
NY	<i>Geneva, City, Geneva, Ontario</i>	<i>Advice</i>
NY	<i>Trumansburg, Village, Trumansburg, Tompkins</i>	<i>Advice</i>
NY	<i>Allegany County, Belmont, Allegany</i>	<i>Advice</i>
NY	<i>Celoron, Village, Celeron, Chautauqua</i>	<i>Advice</i>
NY	<i>Odessa, Village, Odessa, Schuyler</i>	<i>Advice</i>
NY	<i>Dryden, Town, Dryden, Tompkins</i>	<i>Advice</i>
NY	<i>Evans, Town, Angola, Erie</i>	<i>Advice</i>
NY	<i>Busti, Town, Lakeville, Chautauqua</i>	<i>Advice</i>

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NY	North West FD, Baldwinsville, Onondaga	Advice
NY	Busti, Town, Lakeville, Chautauqua	Advice
NY	Hanover, Town, Silver Creek, Chautauqua	Advice
NY	Gowanda CSD, Gowanda, Erie / Cattaraugus	Advice
NY	Barre, Town, Albion, Orleans	Advice
NY	Ashville Fire District, Ashville, Chautauqua	Advice
NY	Greene, Village, Greene, Chenango	Advice
NY	Covington, Town, Pavilion, Wyoming	Advice
NY	Owego, Village, Apalachin, Tioga	Advice
NY	Celoron, Village, Celeron, Chautauqua	Advice
NY	Wellsville, Village, Wellsville, Allegany	Advice
NY	Corning, Town, Corning, Steuben	Advice
NY	Silver Creek, Village, Silver Creek, Chautauqua	Advice
NY	Yates, Town, Yates, Orleans	Advice
NY	Whitesville CSD, Whitesville, Allegany	Advice
NY	Burdett, Village, Burdett, Schuyler	Advice
NY	Parish, Town, Parish, Oswego	Advice
NY	Amity, Town, Belmont, Allegany	Advice
NY	Eden, Town, Eden, Erie	Advice
NY	Ellicottville, Town, Ellicottville, Cattaraugus	Advice
NY	Name of Municipal Entity,	Advice

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	<i>City, County</i>	
NY	<i>Clayton, Town, Clayton, Jefferson</i>	<i>Advice</i>
NY	<i>Manchester, Village, Manchester, Ontario</i>	<i>Advice</i>
NY	<i>Chemung County, Elmira, Chemung</i>	<i>Advice</i>
NY	<i>Shelby, Town, Medina, Orleans</i>	<i>Advice</i>
NY	<i>Leicester, Town, Leicester, Livingston</i>	<i>Advice</i>
NY	<i>LeRay, Town, Evans Mills, Jefferson</i>	<i>Advice</i>
NY	<i>Westfield, Town, Westfield, Chautauqua</i>	<i>Advice</i>
NY	<i>Williamsville, Village, Williamsville, Erie</i>	<i>Advice</i>
NY	<i>Maynard Fire District, Marcy, Oneida</i>	<i>Advice</i>
NY	<i>Akron, Village, Akron, Erie</i>	<i>Advice</i>
NY	<i>Pembroke, Town, Corfu, Genesee</i>	<i>Advice</i>
NY	<i>Orchard Park, Village, Orchard Park, Erie</i>	<i>Advice</i>
NY	<i>Lewiston, V, Lewiston, Niagara</i>	<i>Advice</i>
NY	<i>Marilla, Town, Marilla, Erie</i>	<i>Advice</i>
NY	<i>Carrollton, Town, Limestone, Cattaraugus</i>	<i>Advice</i>
NY	<i>Southwestern CSD, Jamestown, Chautauqua</i>	<i>Advice</i>
NY	<i>Akron CSD, Akron, Erie / Genesee / Niagara</i>	<i>Advice</i>
NY	<i>Genesee Valley CSD, Belmont, Allegany</i>	<i>Advice</i>
NY	<i>Pine Valley CSD, So. Dayton, Chautauqua</i>	<i>Advice</i>

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NY	<i>Aurora, Town, East Aurora, Erie</i>	<i>Advice</i>
NY	<i>Wheatland-Chili CSD, Scottsville, Monroe/Livingston</i>	<i>Advice</i>
NY	<i>Livonia, Village, Livonia, Livingston</i>	<i>Advice</i>
NY	<i>Batavia CSD, Batavia, Genesee</i>	<i>Advice</i>
NY	<i>Corning, City, Corning, Steuben</i>	<i>Advice</i>
NY	<i>Geneseo, Town, Geneseo, Livingston</i>	<i>Advice</i>
NY	<i>Penn Yan, Village, Penn Yan, Yates</i>	<i>Advice</i>
NY	<i>East Aurora, Village, East Aurora, Erie</i>	<i>Advice</i>
NY	<i>Deposit, Village, Deposit, Broome & Delaware</i>	<i>Advice</i>
NY	<i>Penn Yan, Village, Penn Yan, Yates</i>	<i>Advice</i>
NY	<i>Ridgeway, Town, Medina, Orleans</i>	<i>Advice</i>
NY	<i>Mayville, Village, Mayville, Chautauqua</i>	<i>Advice</i>
NY	<i>Allegany, Town, Allegany, Cattaraugus</i>	<i>Advice</i>
NY	<i>Enfield, Town, Ithaca, Tompkins</i>	<i>Advice</i>
NY	<i>Alden, Town, Alden, Erie</i>	<i>Advice</i>
NY	<i>Gorham-Middlesex CSD, Rushville, Ontario</i>	<i>Advice</i>
NY	<i>Allegany County, Belmont, Allegany</i>	<i>Advice</i>
NY	<i>Caneadea, Town, Caneadea, Allegany</i>	<i>Advice</i>
NY	<i>Catskill, Town, Catskill, Greene</i>	<i>Advice</i>

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NY	<i>Forestville CSD, Forestville, Chautauqua / Cattaraugus</i>	<i>Advice</i>
NY	<i>Westfield, Town, Westfield, Chautauqua</i>	<i>Advice</i>
NY	<i>Dix, Town, Watkins Glen, Schuyler</i>	<i>Advice</i>
NY	<i>Corfu, Village, Corfu, Genesee</i>	<i>Advice</i>
NY	<i>Ellicott, Town, Falconer, Chautauqua</i>	<i>Advice</i>
NY	<i>Watertown FD, Watertown, Jefferson</i>	<i>Advice</i>
NY	<i>Chautauqua Fire District, Chautauqua, Chautauqua</i>	<i>Advice</i>
NY	<i>Owasco, Town, Auburn, Cayuga</i>	<i>Advice</i>
NY	<i>Owasco, Town, Auburn, Cayuga</i>	<i>Advice</i>
NY	<i>Fredonia, Village, Fredonia, Chautauqua</i>	<i>Advice</i>
NY	<i>Oakfield, Village, Oakfield, Genesee</i>	<i>Advice</i>
NY	<i>Berlin, Town, Berlin, Rensselaer</i>	<i>Advice</i>
NY	<i>Stafford, Town, Stafford, Genesee</i>	<i>Advice</i>
NY	<i>Watkins Glen, Village, Watkins Glen, Schuyler</i>	<i>Advice</i>
NY	<i>Sloan, Village, Sloan, Erie</i>	<i>Advice</i>
NY	<i>Honeoye Falls-Lima CSD, Honeoye Falls, Monroe / Ontario / Livingston</i>	<i>Advice</i>
NY	<i>Warsaw, Village, Warsaw, Wyoming</i>	<i>Advice</i>
NY	<i>Central Square, Village, Central Square, Oswego</i>	<i>Advice</i>
NY	<i>Moravia, Village, Moravia,</i>	<i>Advice</i>

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NY	<i>Cayuga Wyoming, Village, Wyoming, Wyoming</i>	<i>Advice</i>
NY	<i>Albion, Town, Albion, Orleans</i>	<i>Advice</i>
NY	<i>North Collins, Town, North Collins, Erie</i>	<i>Advice</i>
NY	<i>Sandy Creek, Village, Sandy Creek, Oswego</i>	<i>Advice</i>
NY	<i>Lewiston-Porter CSD, Youngstown, Niagara</i>	<i>Advice</i>
NY	<i>Alexander, Town, Alexander, Genesee</i>	<i>Advice</i>
NY	<i>Webster, Town, Webster, Monroe</i>	<i>Advice</i>
NY	<i>Cheektowaga-Maryvale UFSD, Cheektowaga, Erie</i>	<i>Advice</i>
NY	<i>Elba, Village, Elba, Genesee</i>	<i>Advice</i>
NY	<i>Schodack Valley Fire District, Castleton-on-Hudson, Rensselaer</i>	<i>Advice</i>
NY	<i>Cooperstown, Village, Cooperstown, Otsego</i>	<i>Advice</i>
NY	<i>Catskill, Town, Catskill, Greene</i>	<i>Advice</i>
NY	<i>Oswego, Town, Oswego, Oswego</i>	<i>Advice</i>
NY	<i>Ellicott, Town, Falconer, Chautauqua</i>	<i>Advice</i>
NY	<i>Pavilion, Town, Pavilion, Genesee</i>	<i>Advice</i>
NY	<i>Bath, V, Bath, Steuben</i>	<i>Advice</i>
NY	<i>Erwin, Town, Painted Post, Steuben</i>	<i>Advice</i>
NY	<i>Cleveland, Village, Cleveland, Oswego</i>	<i>Advice</i>
NY	<i>Allegany Joint FD, Allegany, Cattaraugus</i>	<i>Advice</i>

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NY	Stamford, Village, Stamford, Delaware	Advice
NY	Newfield, Town, Newfield, Tompkins	Advice
NY	Newfane, Town, Newfane, Niagara	Advice
NY	Eden, Town, Eden, Erie	Advice
NY	Sandy Creek, Village, Sandy Creek, Oswego	Advice
NY	Keuka College, Keuka Park, Yates	Advice
NY	Aurora, Town, East Aurora, Erie	Advice
NY	Campbell, Town, Campbell, Steuben	Advice
NY	Whitesville CSD, Whitesville, Allegany	Advice
NY	Barker CSD, Barker, Niagara/Orleans	Advice
NY	Burdett, Village, Burdett, Schuyler	Advice
NY	Oneida Public Library, Oneida, Madison	Advice
NY	Westfield CSD, Westfield, Chautauqua	Advice
NY	Martinsburg, Tn, Martinsburg, Lewis	Advice
NY	North West FD, Baldwinsville, Onondaga	Advice
NY	Randolph, Town, Randolph, Cattaraugus	Advice
NY	Sweet Home CSD, Amherst, Erie	Advice
NY	Tonawanda, City, Tonawanda, Erie	Advice
NY	Lockport, Town, Lockport, Niagara	Advice

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NY	Ellicottville, Town, Ellicottville, Cattaraugus	Advice
NY	Esopus, Town, Port Ewen, Ulster	Advice
NY	Newfield, Town, Newfield, Tompkins	Advice
NY	Newstead, Town, Akron, Erie	Advice
NY	Ulysses, Town, Trumansburg, Tompkins	Advice
NY	Clarendon, Town, Clarendon, Orleans	Advice
NY	Alexander, Village, Alexander, Genesee	Advice
NY	Olean, City, Olean, Cattaraugus	Advice
NY	Clayton, Town, Clayton, Jefferson	Advice
NY	Marilla, Town, Marilla, Erie	Advice
NY	Allegany County IDA, Belmont, Allegany	Advice
NY	Clarence CSD, Clarence, Erie	Advice
NY	Forestville CSD, Forestville, Chautauqua / Cattaraugus	Advice
NY	Dryden, Village, Dryden, Tompkins	Advice
NY	Allegany, Town, Allegany, Cattaraugus	Advice
NY	Schuyler County, Watkins Glen, Schuyler	Advice
NY	River Road Fire District, Tonawanda, Erie	Advice
NY	Yorkshire, Town, Delevan, Cattaraugus	Advice
NY	Newfane, Town, Newfane, Niagara	Advice
NY	Pawling, Village, Pawling, Dutchess	Advice

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NY	Dryden, Town, Dryden, Tompkins	Advice
NY	Akron CSD, Akron, Erie / Genesee / Niagara	Advice
NY	Leicester, Town, Leicester, Livingston	Advice
NY	Westfield, Village, Westfield, Chautauqua	Advice
NY	Hamburg, Village, Hamburg, Erie	Advice
NY	Fleming, Town, Auburn, Cayuga	Advice
NY	Webster, Town, Webster, Monroe	Advice
NY	Dresden, Village, Dresden, Yates	Advice
NY	Odessa, Village, Odessa, Schuyler	Advice
NY	Petersburgh, T, Petersburgh, Rensselaer	Advice
NY	Pine Valley CSD, So. Dayton, Chautauqua	Advice
NY	Murray, Town, Holley, Orleans	Advice
NY	Wellsville, Village, Wellsville, Allegany	Advice
NY	Batavia CSD, Batavia, Genesee	Advice
NY	Barre, Town, Albion, Orleans	Advice
NY	Amity, Town, Belmont, Allegany	Advice
NY	Dresden, Village, Dresden, Yates	Advice
NY	Franklinville, Village, Franklinville, Cattaraugus	Advice
NY	Dunkirk, City, Dunkirk, Chautauqua	Advice

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NY	Oakfield, Town, Oakfield, Genesee	Advice
NY	Coxsackie, Village, Coxsackie, Greene	Advice
NY	Little Valley, Village, Little Valley, Cattaraugus	Advice
NY	We are voluntarily reporting municipal entities with which our firm is under contract. We may not necessarily have provided advice to all entities listed on this report within the last quarter.	Advice
NY	Lewiston, Town, Lewiston, Niagara	Advice
NY	Palmyra-Macedon CSD, Palmyra, Wayne / Ontario	Advice
NY	Mayville, Village, Mayville, Chautauqua	Advice
NY	Parish, Village, Parish, Oswego	Advice
NY	Portland, Town, Brocton, Chautauqua	Advice
NY	Apalachin FD, Apalachin, Tioga	Advice
NY	Earlville, Village, Earlville, Madison	Advice
NY	Central Square, Village, Central Square, Oswego	Advice
NY	Wheatland, Town, Scottsville, Monroe	Advice
NY	Lyndonville, Village, Lyndonville, Orleans	Advice
NY	Brighton, Town, Rochester, Monroe	Advice
NY	East Greenbush, Town, East Greenbush, Rensselaer	Advice

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NY	Montour Falls, Village, Montour Falls, Schuylar	Advice
NY	Milo, Town, Penn Yan, Yates	Advice
NY	Seneca, Town, Stanley, Ontario	Advice
NY	Yates, Town, Yates, Orleans	Advice
NY	Middleport, Village, Middleport, Niagara	Advice
NY	Oxford, Village, Oxford, Chenango	Advice
NY	Arcade, Village, Arcade, Wyoming	Advice
NY	Falconer CSD, Falconer, Chautauqua	Advice
NY	Oneida Public Library, Oneida, Madison	Advice
NY	Chemung County, Elmira, Chemung	Advice
NY	Mexico, Village, Mexico, Oswego	Advice
NY	Ridge Road Fire District, Rochester, Monroe	Advice
NY	Genoa, Town, Genoa, Cayuga	Advice
NY	Naples, Village, Naples, Ontario	Advice
NY	Pittsford, Town, Pittsford, Monroe	Advice
NY	Bolivar, Village, Bolivar, Allegany	Advice
NY	Yorkshire Pioneer CSD, Yorkshire, Chautauqua	Advice
NY	Bethany, Town, East Bethany, Genesee	Advice
NY	Middletown CSD, Middletown, Orange	Advice
NY	Oakfield, Town, Oakfield, Genesee	Advice

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NY	<i>Olean Urban Renewal Agency, Olean, Cattaraugus</i>	<i>Advice</i>
NY	<i>Apalachin FD, Apalachin, Tioga</i>	<i>Advice</i>
NY	<i>Seneca, Town, Stanley, Ontario</i>	<i>Advice</i>
NY	<i>Elma, Town, Elma, Erie</i>	<i>Advice</i>
NY	<i>Frewsburg CSD, Frewsburg, Chautauqua</i>	<i>Advice</i>
NY	<i>Philadelphia, Village, Philadelphia, Jefferson</i>	<i>Advice</i>
NY	<i>Woodridge, Village, Woodridge, Sullivan</i>	<i>Advice</i>
NY	<i>Ashville Fire District, Ashville, Chautauqua</i>	<i>Advice</i>
NY	<i>Oxford, Village, Oxford, Chenango</i>	<i>Advice</i>
NY	<i>Depew, Village, Depew, Erie</i>	<i>Advice</i>
NY	<i>Pavilion, Town, Pavilion, Genesee</i>	<i>Advice</i>
NY	<i>Lockport, City, Lockport, Niagara</i>	<i>Advice</i>
NY	<i>Campbell, Town, Campbell, Steuben</i>	<i>Advice</i>
NY	<i>Wheatland-Chili CSD, Scottsville, Monroe/Livingston</i>	<i>Advice</i>
NY	<i>Bemus Point CSD, Bemus Point, Chautauqua</i>	<i>Advice</i>
NY	<i>Naples, Town, Naples, Ontario</i>	<i>Advice</i>
NY	<i>Amsterdam, City, Amsterdam, Montgomery</i>	<i>Advice</i>
NY	<i>Olean Urban Renewal Agency, Olean, Cattaraugus</i>	<i>Advice</i>
NY	<i>Hopewell, Town, Canandaigua, Ontario</i>	<i>Advice</i>
NY	<i>Interlaken, Village,</i>	<i>Advice</i>

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NY	<i>Interlaken, Seneca Arcade, Village, Arcade, Wyoming</i>	<i>Advice</i>
NY	<i>Deposit, Village, Deposit, Broome & Delaware</i>	<i>Advice</i>
NY	<i>Stamford, Village, Stamford, Delaware</i>	<i>Advice</i>
NY	<i>Wheatland, Town, Scottsville, Monroe</i>	<i>Advice</i>
NY	<i>Brighton, Town, Rochester, Monroe</i>	<i>Advice</i>
NY	<i>Middlesex, Town, Middlesex, Ontario</i>	<i>Advice</i>
NY	<i>York, Town, York, Livingston</i>	<i>Advice</i>
NY	<i>Williamsville, Village, Williamsville, Erie</i>	<i>Advice</i>
NY	<i>Bolivar, Village, Bolivar, Allegany</i>	<i>Advice</i>
NY	<i>Kirkwood, Town, Kirkwood, Broome</i>	<i>Advice</i>
NY	<i>Corning, City, Corning, Steuben</i>	<i>Advice</i>
NY	<i>Scottsville, Village, Scottsville, Monroe</i>	<i>Advice</i>
NY	<i>Chenango, Town, Binghamton, Broome</i>	<i>Advice</i>
NY	<i>Greene, Village, Greene, Chenango</i>	<i>Advice</i>
NY	<i>Orchard Park, Village, Orchard Park, Erie</i>	<i>Advice</i>
NY	<i>Chenango, Town, Binghamton, Broome</i>	<i>Advice</i>
NY	<i>Richburg, Village, Richburg, Allegany</i>	<i>Advice</i>
NY	<i>Lowville, Village, Lowville, Lewis</i>	<i>Advice</i>
NY	<i>Oakfield-Alabama CSD,</i>	<i>Advice</i>

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NY	Oakfield, Genesee & Orleans Fleming, Town, Auburn, Cayuga	Advice
NY	Henderson, Town, Henderson, Jefferson	Advice
NY	Murray, Town, Holley, Orleans	Advice
NY	Naples, Village, Naples, Ontario	Advice
NY	Pembroke, Town, Corfu, Genesee	Advice
NY	Waverly, Village, Waverly, Tioga	Advice
NY	Philadelphia, Village, Philadelphia, Jefferson	Advice
NY	Genoa, Town, Genoa, Cayuga	Advice
NY	Henderson, Town, Henderson, Jefferson	Advice
NY	Vernon, Village, Vernon, Oneida	Advice
NY	Watertown, Town, Watertown, Jefferson	Advice
NY	Pine Plains CSD, Pine Plains, Dutchess	Advice
NY	Elba, Town, Elba, Genesee	Advice
NY	Cattaraugus, Village, Cattaraugus, Cattaraugus	Advice
NY	Dickinson, Town, Binghamton, Broome	Advice
NY	Holley CSD, Holley, Orleans	Advice
NY	Oakfield, Village, Oakfield, Genesee	Advice
NY	Gowanda, Village, Gowanda, Erie / Cattaraugus	Advice
NY	Richburg, Village, Richburg, Allegany	Advice
NY	Watertown, Town, Watertown,	Advice

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NY	Jefferson Windham, Town, Hensonville, Greene	Advice
NY	Caneadea, Town, Caneadea, Allegany	Advice
NY	Lansing, Town, Lansing, Tompkins	Advice
NY	Dunkirk, Town, Dunkirk, Chautauqua	Advice
NY	River Road Fire District, Tonawanda, Erie	Advice
NY	Vernon, Village, Vernon, Oneida	Advice
NY	Interlaken, Village, Interlaken, Seneca	Advice
NY	Enfield, Town, Ithaca, Tompkins	Advice
NY	Kirkwood, Town, Kirkwood, Broome	Advice
NY	Benton, Town, Penn Yan, Yates	Advice
NY	Gouverneur, Village, Gouverneur, St. Lawrence	Advice
NY	Theresa, Village, Theresa, Jefferson	Advice
NY	Olean, City, Olean, Cattaraugus	Advice
NY	Akron, Village, Akron, Erie	Advice
NY	Schuyler County, Watkins Glen, Schuyler	Advice
NY	Bemus Point CSD, Bemus Point, Chautauqua	Advice
NY	Amsterdam, City, Amsterdam, Montgomery	Advice
NY	Covington, Town, Pavilion, Wyoming	Advice
NY	Addison, Village, Addison,	Advice

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	<i>Steuben</i>	
<i>NY</i>	<i>Byron, Town, Byron, Genesee</i>	<i>Advice</i>
<i>NY</i>	<i>Groveland, Town, Groveland, Livingston</i>	<i>Advice</i>
<i>NY</i>	<i>Oswego, Town, Oswego, Oswego</i>	<i>Advice</i>
<i>NY</i>	<i>Holley, Village, Holley, Orleans</i>	<i>Advice</i>
<i>NY</i>	<i>Oakfield-Alabama CSD, Oakfield, Genesee & Orleans</i>	<i>Advice</i>
<i>NY</i>	<i>Pittsford, Town, Pittsford, Monroe</i>	<i>Advice</i>
<i>NY</i>	<i>Allegany County IDA, Belmont, Allegany</i>	<i>Advice</i>
<i>NY</i>	<i>Cayuga, Village, Cayuga, Cayuga</i>	<i>Advice</i>
<i>NY</i>	<i>Horseheads, Village, Horseheads, Chemung</i>	<i>Advice</i>
<i>NY</i>	<i>Cooperstown, Village, Cooperstown, Otsego</i>	<i>Advice</i>

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>None</i>		

FORM G-37



Signature: electronic

Date: *Jan 20, 2021*

Name (must be officer of regulated entity): *Jeffrey Smith*

Address: *2528 State Route 21, Canandaigua, NY 14442*

Phone: *585-768-2136*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)