

**Name of Regulated Entity:** *Rathmann & Associates, L.P.*

**Report Period:** *Fourth Quarter of 2020*

**I. CONTRIBUTIONS made to officials of a municipal entity (list by state)**

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)
		If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

*None*

**II. PAYMENTS made to political parties of states or political subdivisions (list by state)**

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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*None*

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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*None*

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**

**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>None</i>		

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
TX	<i>Harris County Municipal Utility District No. 495, Harris County</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 19, Brazoria County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 127, Harris County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 166, Harris County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 230, Harris County</i>	<i>Advice</i>
TX	<i>Morton Road Municipal Utility District, Harris County</i>	<i>Advice</i>
TX	<i>Remington Municipal Utility District No. 1, Harris County</i>	<i>Advice</i>
TX	<i>Spring Meadows Municipal Utility District, Harris County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 196, Harris County</i>	<i>Advice</i>
TX	<i>Cinco Municipal Utility District No. 5, Fort Bend</i>	<i>Advice</i>

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TX	<i>County Fort Bend County Municipal Utility District No. 130, Fort Bend County</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 66, Brazoria County</i>	<i>Advice</i>
TX	<i>Chambers County Municipal Utility District No. 1, Chambers County</i>	<i>Advice</i>
TX	<i>Grand Mission Municipal Utility District No. 2, Fort Bend County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 551, Harris County</i>	<i>Advice</i>
TX	<i>Montgomery County Municipal Utility District No. 131, Montgomery County</i>	<i>Advice</i>
TX	<i>Cinco Municipal Utility District No. 3, Fort Bend and Harris Counties</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 26, Brazoria County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 6, Harris County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 410, Harris County</i>	<i>Advice</i>
TX	<i>Palmer Plantation Municipal Utility District No. 2, Fort Bend County</i>	<i>Advice</i>
TX	<i>North Mission Glen Municipal Utility District, Fort Bend and Harris Counties</i>	<i>Advice</i>
TX	<i>Bissonnet Municipal Utility</i>	<i>Advice</i>

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TX	<i>District, Harris County Rankin Road West Municipal Utility District, Harris County</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 36, Brazoria County</i>	<i>Advice</i>
TX	<i>Cypresswood Utility District, Harris County</i>	<i>Advice</i>
TX	<i>Harris-Brazoria Counties Municipal Utility District No. 509, Harris and Brazoria Counties</i>	<i>Advice</i>
TX	<i>Montgomery County Municipal Utility District No. 83, Montgomery County</i>	<i>Advice</i>
TX	<i>Montgomery County Municipal Utility District No. 154B, Montgomery County</i>	<i>Advice</i>
TX	<i>Bilma Public Utility District, Harris County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 381, Harris County</i>	<i>Advice</i>
TX	<i>Luce Bayou Public Utility District, Harris County</i>	<i>Advice</i>
TX	<i>Fort Bend County Municipal Utility District No. 24, Fort Bend County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 393, Harris County</i>	<i>Advice</i>
TX	<i>Fort Bend County Municipal Utility District No. 66, Fort Bend County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 371, Harris County</i>	<i>Advice</i>
TX	<i>Fort Bend County Municipal</i>	<i>Advice</i>

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TX	<i>Utility District No. 155, Fort Bend County Northwest Harris County Municipal Utility District No. 20, Harris County</i>	<i>Advice</i>
TX	<i>Willow Fork Drainage District, Fort Bend and Harris Counties</i>	<i>Advice</i>
TX	<i>Kings Manor Municipal Utility District, Montgomery and Harris Counties</i>	<i>Advice</i>
TX	<i>West Harris County Municipal Utility District No. 1, Harris County</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 61, Brazoria County</i>	<i>Advice</i>
TX	<i>Forest Hills Municipal Utility District, Harris County</i>	<i>Advice</i>
TX	<i>Montgomery County Water Control and Improvement District No. 4, Montgomery County</i>	<i>Advice</i>
TX	<i>Cinco Municipal Utility District No. 10, Fort Bend County</i>	<i>Advice</i>
TX	<i>Clay Road Municipal Utility District, Harris County</i>	<i>Advice</i>
TX	<i>Montgomery County Management District No. 1, Montgomery County</i>	<i>Advice</i>
TX	<i>Burney Road Municipal Utility District, Fort Bend County</i>	<i>Advice</i>
TX	<i>Cypress Hill Municipal Utility District No. 1, Harris County</i>	<i>Advice</i>
TX	<i>Montgomery County Municipal Utility District No.</i>	<i>Advice</i>

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TX	<i>130, Montgomery County Renn Road Municipal Utility District, Harris County</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 29, Brazoria County</i>	<i>Advice</i>
TX	<i>Fort Bend County Municipal Utility District No. 118, Fort Bend County</i>	<i>Advice</i>
TX	<i>Intercontinental Municipal Utility District, Harris County</i>	<i>Advice</i>
TX	<i>Fort Bend County Municipal Utility District No. 156, Fort Bend County</i>	<i>Advice</i>
TX	<i>Northeast Harris County Municipal Utility District No. 1, Harris County</i>	<i>Advice</i>
TX	<i>Chimney Hill Municipal Utility District, Harris County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 168, Harris County</i>	<i>Advice</i>
TX	<i>Harris County Utility District No. 16, Harris County</i>	<i>Advice</i>
TX	<i>Spencer Road Public Utility District, Harris County</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 24, Brazoria County</i>	<i>Advice</i>
TX	<i>Fort Bend County Municipal Utility District No. 23, Fort Bend County</i>	<i>Advice</i>
TX	<i>Harris County Water Control and Improvement District No. 136, Harris County</i>	<i>Advice</i>
TX	<i>Lake Municipal Utility District, Harris County</i>	<i>Advice</i>

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TX	<i>Northwest Harris County Municipal Utility District No. 16, Harris County</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 25, Brazoria County</i>	<i>Advice</i>
TX	<i>Cinco Municipal Utility District No. 8, Fort Bend County</i>	<i>Advice</i>
TX	<i>Fort Bend County Municipal Utility District No. 2, Fort Bend County</i>	<i>Advice</i>
TX	<i>Fort Bend County Municipal Utility District No. 142, Fort Bend County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 285, Harris County</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 34, Brazoria County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 53, Harris County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 284, Harris County</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 18, Brazoria and Harris Counties</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 304, Harris County</i>	<i>Advice</i>
TX	<i>Palmer Plantation Municipal Utility District No. 1, Fort Bend County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 504,</i>	<i>Advice</i>



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TX	<i>Harris County Harris County Water Control and Improvement District No. 84, Harris County</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 35, Brazoria County</i>	<i>Advice</i>
TX	<i>Grand Mission Municipal Utility District No. 1, Fort Bend County</i>	<i>Advice</i>
TX	<i>Fort Bend County Levee Improvement District No. 12, Fort Bend County</i>	<i>Advice</i>
TX	<i>Fort Bend County Municipal Utility District No. 141, Fort Bend County</i>	<i>Advice</i>
TX	<i>East Plantation Utility District, Montgomery County</i>	<i>Advice</i>
TX	<i>Cinco Municipal Utility District No. 12, Fort Bend County</i>	<i>Advice</i>
TX	<i>Fort Bend County Municipal Utility District No. 159, Fort Bend County</i>	<i>Advice</i>
TX	<i>West Keegans Bayou Improvement District, Fort Bend and Harris Counties</i>	<i>Advice</i>
TX	<i>Rolling Creek Utility District, Harris County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 439, Harris County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 278, Harris County</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 23, Brazoria County</i>	<i>Advice</i>

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TX	<i>Mayde Creek Municipal Utility District, Harris County</i>	<i>Advice</i>
TX	<i>Montgomery County Municipal Utility District No. 154, Montgomery County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 368, Harris County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 399, Harris County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 420, Harris County</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 3, Brazoria County</i>	<i>Advice</i>
TX	<i>Travis County Municipal Utility District No. 10, Travis County</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 2, Brazoria County</i>	<i>Advice</i>
TX	<i>Fort Bend County Municipal Utility District No. 152, Fort Bend County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 220, Harris County</i>	<i>Advice</i>
TX	<i>Harris County Water Control and Improvement District No. 119, Harris County</i>	<i>Advice</i>
TX	<i>Brazoria-Fort Bend Counties Municipal Utility District No. 1, Brazoria and Fort Bend Counties</i>	<i>Advice</i>
TX	<i>Grant Road Public Utility District, Harris County</i>	<i>Advice</i>

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TX	<i>Harris County Municipal Utility District No. 5, Harris County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 412, Harris County</i>	<i>Advice</i>
TX	<i>Northwest Harris County Municipal Utility District No. 19, Harris County</i>	<i>Advice</i>
TX	<i>Northwood Municipal Utility District No. 1, Harris County</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 47 (11/16/20, Brazoria County</i>	<i>Advice</i>
TX	<i>Montgomery County Municipal Utility District No. 84, Montgomery County</i>	<i>Advice</i>
TX	<i>West Fort Bend Management District, Fort Bend County</i>	<i>Advice</i>
TX	<i>West Harris County Municipal Utility District No. 7, Harris County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 411, Harris County</i>	<i>Advice</i>
TX	<i>Castlewood Municipal Utility District, Harris County</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 28, Brazoria and Harris Counties</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 38, Brazoria County</i>	<i>Advice</i>
TX	<i>Fort Bend County Municipal Utility District No. 30, Fort Bend and Harris Counties</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 561,</i>	<i>Advice</i>

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TX	<i>Harris County Intercontinental Crossing Municipal Utility District, Harris County</i>	<i>Advice</i>
TX	<i>Spring West Municipal Utility District, Harris County</i>	<i>Advice</i>
TX	<i>Fort Bend County Municipal Utility District No. 50, Fort Bend County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 213B, Harris County</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 400, Harris County</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 17, Brazoria County</i>	<i>Advice</i>
TX	<i>Fort Bend County Municipal Utility District No. 42, Fort Bend County</i>	<i>Advice</i>
TX	<i>Mills Road Municipal Utility District, Harris County</i>	<i>Advice</i>

**C. Ballot-Approved Offerings**

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>None</i>		

## FORM G-37



**Signature:** electronic

**Date:** *Jan 20, 2021*

**Name (must be officer of regulated entity):** *Kimberly McManus*

**Address:** *8584 Katy Freeway, Suite 250, Houston, TX 77024*

**Phone:** *973-618-9506*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)