

Name of Regulated Entity: Specialized Public Finance Inc.

Report Period: Fourth Quarter of 2020

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State Complete name, title

(including any

city/county/state or other political subdivision) of municipal entity official Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)

If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State Complete name (including

any city/county/state or other political subdivision) of

political party

None

Payments by each contributor category



III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State Official name of bond ballot

campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities Contributions, including the specific date the contributions were made, by each contributor category

None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None



IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

A. Municipal Securities Business

State Complete name of municipal Type of municipal securities business

entity and city/county (negotiated underwriting, private placement,

financial advisor, or remarketing agent)

None

TX

B. Municipal Advisory Business

| State | Complete name of municipal | Type of municipal advisory business (advice |
|-------|----------------------------|---|
| | | |

entity and city/county or solicitation) (and in the case of municipal

> advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment

advisory services))

| TX | Hutto, City of, Hutto, | Advice |
|-----|----------------------------|---------|
| 121 | 1111110, City Oj, 1111110, | 1101100 |

Williamson

TXMills County, Goldthwaite, Advice

Mills

TXBastrop, City of, Bastrop, Advice

Bastrop

TXWatch Hill MUD, Advice Georgetown, Williamson

TXBeta Academy, Houston, Advice

Harris

TXBloomington ISD, Advice

Bloomington, Victoria

TXGrandfalls-Royalty ISD, Advice

Grandfalls, Ward

TXBooker, City of, Booker, Advice

Ochiltree

TXHowe, City of, Howe, Grayson Advice TX

London ISD, Corpus Christi, Advice

Neches

TXPaloma Lake MUD #1, Round Advice Rock, Williamson

TXHill County, Hillsboro, Hill Advice

> Kilgore, City of, Kilgore, Advice

Gregg

TXLockney, City of, Lockney, Advice



| State | Complete name of municipal entity and city/county | Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) |
|-------|---|--|
| | Floyd | |
| TX | Rusk, City of, Rusk, Cherokee | Advice |
| TX | Craft-Turney Water Supply Corporation, Jacksonville, Cherokee | Advice |
| TX | Leander MUD #1, Leander, Williamson | Advice |
| TX | Greenville, City of, Greenville, Hunt | Advice |
| TX | Travis County MUD #22, Austin, Travis | Advice |
| TX | Colony MUD #1F, Bastrop, Bastrop | Advice |
| TX | Victoria County, Victoria, Victoria | Advice |
| TX | Walsh Ranch MUD, Georgetown, Williamson | Advice |
| TX | Highway 71 MUD, Burnet, Burnet | Advice |
| TX | Iraan, City of, Iraan, Pecos | Advice |
| TX | Cash SUD, Greenville, Hunt | Advice |
| TX | Palmera Ridge MUD, Leander, Williamson | Advice |
| TX | Bowie, City of, Bowie, Montague | Advice |
| TX | Travis County MUD #19, Austin, Travis | Advice |
| CO | Academy of Charter Schools, Westminster, Adams | Advice |
| TX | Alto, City of, Alto, Cherokee | Advice |
| TX | Ira ISD, Ira, Scurry | Advice |
| TX | Lakeside WCID #2D, Austin, Travis | Advice |
| TX | Follett ISD, Follett, Lipscomb | Advice |
| TX | Zapata County ISD, Zapata, Zapata | Advice |
| TX | Universal City Economic | Advice |



| State | Complete name of municipal entity and city/county | Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) |
|-------|--|--|
| | Development Corporation, Universal City, Bexar | |
| TX | Lakeway MUD, Lakeway, Travis | Advice |
| TX | Sabine Pass Port Authority, Sabine Pass, Jefferson | Advice |
| TX | Clyde, City of, Claude, Callahan | Advice |
| TX | Hays Caldwell PUA, San Marcos, Hays | Advice |
| TX | Leander MUD #2, Leander, Williamson | Advice |
| TX | Guadalupe Blanco River Authority, Seguine, Guadalupe | Advice |
| TX | Memphis ISD, Memphis, Hall | Advice |
| TX | Levelland ISD, Levelland, Hockley | Advice |
| TX | Luling, City of, Luling, Caldwell | Advice |
| TX | Williamson County MUD #26, Georgetown, Williamson | Advice |
| NV | Mater Academy, Las Vegas, Clark | Advice |
| TX | Dickens, City of, Dickens, Dickens | Advice |
| TX | Eastland, City of, Eastland, Eastland | Advice |
| TX | GTUA (Sherman), Sherman, Grayson | Advice |
| TX | Lorena ISD, Lorena, McLennan | Advice |
| TX | Yorktown ISD, Yorktown, DeWitt | Advice |
| TX | Pottsboro, City of, Pottsboro, Grayson | Advice |
| TX | Hale County, Plainview, Hale | Advice |



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|-------|--|--|
| TX | Colony MUD #1D, Bastrop, Bastrop | Advice |
| TX | Fisher County, Roby, Fisher | Advice |
| TX | Headwaters MUD, Dripping Springs, Hays | Advice |
| TX | Roberts County, Miami, Roberts | Advice |
| TX | San Saba County, San Saba, San Saba | Advice |
| TX | Stanton, City of, Stamford, Jones | Advice |
| TX | Stephens County, Breckenridge, Stephens | Advice |
| TX | Wilson ISD, Wilson, Lynn | Advice |
| TX | Wilson, City of, Wilson, Lynn | Advice |
| TX | Natalia ISD, Natalia, Medina | Advice |
| TX | Travis County MUD #20, Austin, Travis | Advice |
| TX | South Buda WCID #1, San Marcos, Hays | Advice |
| TX | Southwest Winners Foundation, Inc., San Antonio, Bexar | Advice |
| TX | Johnson County SUD, Cleburne, Johnson | Advice |
| TX | Lytle ISD, Lytle, Atascosa | Advice |
| TX | Orange Grove ISD, Orange Grove, Jim Wells | Advice |
| TX | Springhollow MUD, Dripping Springs, Hays | Advice |
| TX | Buda, City of, Buda, Hays | Advice |
| TX | Columbus IDC, Columbus, Colorado | Advice |
| NV | Doral Academy of Nevada, Henderson, Clark | Advice |
| TX | West Travis County MUD #8, Austin, Travis | Advice |



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|-------|---|--|
| TX | Altoga WSC, Princeton, Collin | Advice |
| TX | Cedar Park, City of, Cedar Park, Williamson | Advice |
| TX | Eleanor Kolitz Hebrew Language Academy, San Antonio, Bexar | Advice |
| TX | Ransom Canyon, Town of, Ransom Canyon, Lubbock | Advice |
| SC | Horse Creek Academy, Aiken, Aiken | Advice |
| TX | Brazos Regional PUA, Grandbury, Hood | Advice |
| TX | Crosbyton ISD, Crosbyton, Crosby | Advice |
| SC | Palmetto Achievement Center for Excellence, Camden, Kershaw | Advice |
| TX | Travis County MUD #21, Austin, Travis | Advice |
| TX | White Deer ISD, White Deer, Carson | Advice |
| TX | Bee County, Beeville, Bee | Advice |
| TX | Port Aransas ISD, Nueces, Port Aransas | Advice |
| TX | Tyler, City of, Tyler, Smith | Advice |
| TX | Onion Creek Metro Park District, Austin, Travis | Advice |
| TX | Sudan ISD, Sudan, Lamb | Advice |
| TX | Jim Hogg County ISD, Hebbronville, Jim Hogg | Advice |
| TX | Snyder, City of, Snyder, Scurry | Advice |
| TX | Groom ISD, Groom, Carson | Advice |
| TX | Matagorda Waste Disposal WSC, Bay City, Matagorda | Advice |
| TX | Stockdale ISD, Stockdale, | Advice |



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|-------|---|--|
| | Wilson | |
| TX | Alba, City of, Alba, Rains | Advice |
| TX | Belvedere MUD, Austin, Travis | Advice |
| TX | Copeville SUD, Nevada, Collin | Advice |
| TX | Lockhart Econonomic Development Corporation, Lockhart, Caldwell | Advice |
| TX | Bear Creek Special Utility District, Lavon, Collin | Advice |
| TX | Dripping Springs ISD, Dripping Springs, Hays | Advice |
| NV | Somerset Academy of Las Vegas, Las Vegas, Clark | Advice |
| TX | Thrall, City of, Thrall, Williamson | Advice |
| TX | Mustang SUD, Aubrey, Denton | Advice |
| TX | Robinson ISD, Robinson, McLennan | Advice |
| TX | Sonterra MUD, Round Rock, Williamson | Advice |
| TX | George West ISD, George West, Live Oak | Advice |
| TX | Howard County, Big Spring, Howard | Advice |
| TX | Priddy ISD, Priddy, Mills | Advice |
| SC | High Point Academy, Spartanburg, Spartanburg | Advice |
| TX | Cedar Park CDC, Cedar Park, Williamson | Advice |
| TX | Hackberry, City of, Frisco, Denton | Advice |
| TX | Victoria County Junior College District, Victoria, Victoria | Advice |



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|-------|---|--|
| TX | Burnet CISD, Burnet, Burnet | Advice |
| TX | Whitharral ISD, Whitharral, Hockley | Advice |
| TX | Yorktown, City of, Yorktown, DeWitt | Advice |
| TX | Flour Bluff ISD, Corpus Christi, Neches | Advice |
| TX | BTX Schools, Inc., Texas, San Antonio, Bexar | Advice |
| TX | Orange County WC&ID #2, Orange, Orange | Advice |
| TX | Anton, City of, Anton, Hockley | Advice |
| TX | Del Valle ISD, Del Valle, Travis | Advice |
| TX | Lubbock County, Lubbock, Lubbock | Advice |
| TX | Guthrie CSD, Guthrie, King | Advice |
| TX | Hawley ISD, Hawley, Jones | Advice |
| TX | Killeen, City of, Killeen, Bell | Advice |
| TX | Kermit, City of, Kermit, Winkler | Advice |
| TX | Pilot Knob MUD #4, Austin, Travis | Advice |
| TX | Stamford, City of, Stamford, Jones | Advice |
| TX | Temple College, Temple, Bell | Advice |
| TX | Texas Municipal Power Agency, Bryan, Brazos | Advice |
| TX | Kerrville PUB, Kerrville, Kerr | Advice |
| CO | Rocky Mountain School of Expeditionary Learning, Denver, Denver | Advice |
| OK | Texhoma ISD, Texhoma, Texas | Advice |
| TX | West Tawakoni, City of, West Tawakoni, Hunt | Advice |
| TX | Belton ISD, Belton, Bell | Advice |



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|----------|--|--|
| TX UT | Upton County, Rankin, Upton Vista at Entrada School of Performing Arts and Technology, Ivins, Washington | Advice Advice |
| TX | Post ISD, Post, Garza | Advice |
| TX | Academy ISD, Little River, Bell | Advice |
| TX | Floydada ISD, Floydada, Floyd | Advice |
| TX | Washington County, Brenham, Washington | Advice |
| TX | Winkler County Memorial Hospital, Kermit, Winkler | Advice |
| TX | Callahan County, Baird, Callahan | Advice |
| CO | Eagle Ridge Academy, Brighton, Adams | Advice |
| TX | Titus County FWSD #1, Mt. Pleasant, Titus | Advice |
| TX | Amarillo, City of, Amarillo, Potter | Advice |
| CO | Great Work Education Holdings, Inc., Edgewater, Jefferson | Advice |
| TX | Kimble County Hospital District, Juntion, Kimble | Advice |
| TX | Petersburg ISD, Petersburg, Hale | Advice |
| TX | Corpus Christi Regional Transport Authority, Corpus Christi, Nueces | Advice |
| TX | Williamson County MUD #25, Georgetown, Williamson | Advice |
| TX | Hart ISD, Hart, Castro | Advice |
| TX | Travis County WCID (Point Venture), Point Venture, | Advice |



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|-------|---|--|
| | Travis | |
| TX | Troy ISD, Troy, Bell | Advice |
| TX | Brooks Academy (of Science & Engineering), San Antonio, Bexar | Advice |
| TX | Luling ISD, Luling, Caldwell | Advice |
| TX | Highlands at Mayfield Ranch MUD, Georgetown, Williamson | Advice |
| TX | Shackelford County, Albany, Shackelford | Advice |
| TX | Deaf Smith County, Hereford, Deaf Smith | Advice |
| TX | Lakeside WCID #2B, Austin, Travis | Advice |
| TX | Reunion Ranch WCID, Kyle, Hays | Advice |
| TX | West ISD, West, McLennan | Advice |
| TX | Wolfforth, City of, Wolfforth, Lubbock | Advice |
| TX | Bell County WCID #3, Nolanville, Bell | Advice |
| TX | Caldwell County MUD #2, Lockhart, Caldwell | Advice |
| TX | Channing ISD, Channing, Hartley | Advice |
| NC | Discovery Charter School Group, Raleigh, Wake | Advice |
| TX | Martindale, City of, Martindale, Caldwell | Advice |
| TX | Colony MUD #1G, Bastrop, Bastrop | Advice |
| TX | Temple, City of, Temple, Bell | Advice |
| TX | Lakeside MUD #5, Austin, Travis | Advice |
| TX | Somerville, City of, Somerville, Burleson | Advice |



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|-------|---|--|
| TX | San Marcos CISD, San Marcos, Hays | Advice |
| TX | Texas Dormitory Finance Authority, Temple, Bell | Advice |
| TX | Bellville ISD, Bellville, Austin | Advice |
| TX | Brookeland FWSD, Jasper, Jasper | Advice |
| TX | Dalhart, City of, Dalhart, Dallam | Advice |
| TX | Friona, City of, Friona, Parmer | Advice |
| TX | Jefferson, City of, Jefferson, Marion | Advice |
| TX | Lampasas EDC, Lampasas, Lampasas | Advice |
| NV | Lewis Young Robertson & Burningham, Inc, Las Vegas, Clark | Advice |
| TX | Southeast Travis County MUD #3, Austin, Travis | Advice |
| TX | West Travis County MUD #6, Austin, Travis | Advice |
| TX | Williamson County MUD #10, Georgetown, Williamson | Advice |
| TX | Williamson County MUD #19B, Georgetown, Williamson | Advice |
| TX | Williamson County MUD #31, Georgetown, Williamson | Advice |
| TX | City Scape Schools dba East Grand Prep (formerly Reconciliation Academy of Dallas), Dallas, Dallas | Advice |
| TX | Jayton-Girard ISD, Jayton, Kent | Advice |
| TX | Spur ISD, Spur, Dickens | Advice |
| TX | Belton, City of, Belton, Bell | Advice |



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|-------|--|--|
| TX | Big Spring ISD, Big Spring, Howard | Advice |
| NC | Uwharrie Green School, Inc., Asheboro, Randolph | Advice |
| TX | Carson Co Economic Dev Corp aka Panhandle EDC, Panhandle, Carson | Advice |
| TX | Whitehouse, City of, Whitehouse, Smith | Advice |
| TX | Hays County MUD #5, San Marcos, Hays | Advice |
| TX | Takoka ISD, Tahoka, Lynn | Advice |
| TX | Borden County, Gail, Borden | Advice |
| TX | Greater Texoma Utility Authority, Denison, Grayson | Advice |
| TX | Lefors ISD, Lefors, Grayson | Advice |
| TX | Travis County MUD #17, Austin, Travis | Advice |
| TX | Kelly Lane WCID #2, Austin, Travis | Advice |
| TX | Lefors, City of, Lefors, Grayson | Advice |
| AZ | Leman Academy of Excellence, Tucson, Pima | Advice |
| TX | Natalia Municipal Development District, Natalia, Medina | Advice |
| TX | Pilot Knob MUD #2, Austin, Travis | Advice |
| TX | Plemons-Stinnett-Phillips CISD, Stinnett, Hutchinson | Advice |
| TX | Littlefield, City of, Littlefield, Lamb | Advice |
| TX | Valley Water Supply Corporation, Spur, Dickens | Advice |
| TX | Cumberland Academy, Tyler, Smith | Advice |



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|-------|--|--|
| TX | Crane County Water District, Crane, Crane | Advice |
| TX | McCamey ISD, McCamey, Upton | Advice |
| TX | Port of Beaumont, Beaumont, Jefferson | Advice |
| TX | Universal City, City of, Universal City, Bexar | Advice |
| TX | Wylie Northeast SUD, Wylie, Dallas | Advice |
| TX | Baylor Water Supply Corporation, Seymour, Baylor | Advice |
| TX | Crane County, Crane, Crane | Advice |
| TX | College Station ISD, College Station, Brazos | Advice |
| TX | Royse City, City of, Royse City, Rockwall | Advice |
| TX | Friona ISD, Friona, Parmer | Advice |
| TX | Lockhart ISD, Lockhart, Caldwell | Advice |
| SC | Lowcountry Leadership, Hollywood, Charleston | Advice |
| TX | Nevada SUD, Nevada, Collin | Advice |
| TX | Rosebud, City of, Rosebud, Falls | Advice |
| TX | Klondike ISD, Lamesa, Dawson | Advice |
| TX | Sunrise Beach Village, City of, Sunrise Beach, Llano | Advice |
| TX | Taylor, City of, Taylor, Williamson | Advice |
| TX | Darrouzette ISD, Darrouzett, Lipscomb | Advice |
| TX | North San Gabriel MUD #2, Georgetown, Williamson | Advice |
| TX | Perryton, City of, Perryton, Upton | Advice |



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|-------|--|--|
| TX | West Travis County PUA, Bee Cave, Austin | Advice |
| TX | West Wise SUD, Bridgeport, Wise | Advice |
| TX | Yoakum County, Plains, Yoakum | Advice |
| TX | Hartley ISD, Hartley, Hartley | Advice |
| TX | Jubilee Academic Center, San Antonio, Bexar | Advice |
| TX | Parkside on the River MUD #1, Georgetown, Williamson | Advice |
| TX | Blackwell CISD, Blackwell, Nolan | Advice |
| TX | Travis County MUD #18, Austin, Travis | Advice |
| TX | <i>Quitaque, City of, Quitaque, Briscoe</i> | Advice |
| CA | University Park and Watts, LLC/New Designs Charter School, Los Angeles, Los Angeles | Advice |
| TX | Northwest Williamson County MUD #2, Georgetown, Williamson | Advice |
| TX | Pilot Knob MUD #1, Austin, Travis | Advice |
| TX | Cibolo, City of, Cibolo, Guadalupe | Advice |
| TX | Green Valley SUD, Marion, Guadalupe | Advice |
| TX | Ochiltree County, Perryton, Ochiltree | Advice |
| TX | Wheeler, City of, Wheeler, Wheeler | Advice |
| TX | Avery Ranch Road District #1, Georgetown, Williamson | Advice |
| TX | Riverwalk Academy, San | Advice |



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|-------|---|--|
| | Antonio, Bexar | |
| TX | Williamson County MUD #19, Georgetown, Williamson | Advice |
| NV | Pinecrest Academy of Nevada, Las Vegas, Clark | Advice |
| TX | Booker ISD, Booker, Lipscomb | Advice |
| TX | Central Texas UDC, Austin, Travis | Advice |
| TX | Williamson County MUD #11, Georgetown, Williamson | Advice |
| CO | Frontier Academy, Greenley, Weld | Advice |
| TX | McMullen County ISD, Tilden, McMullen | Advice |
| TX | Palacios ISD, Palacios, Matagorda | Advice |
| TX | Scurry County, Snyder, Scurry | Advice |
| TX | Grandview-Hopkins ISD, Groom, Carson | Advice |
| TX | Travis County MUD #11, Austin, Travis | Advice |
| SC | Greenville Renewable Energy Education Charter School GREEN Midlands, LLC, Greenville, Greenville | Advice |
| TX | Beeville, City of, Beeville, Bee | Advice |
| TX | Claude ISD, Claude, Armstrong | Advice |
| TX | Hallsville, City of, Hallsville, Harris | Advice |
| TX | Rockett SUD, Red Oak, Ellis | Advice |
| TX | China Spring ISD, Waco, McLennan | Advice |
| TX | Quinlan, City of, Quinlan, Hunt | Advice |
| TX | Pilot Knob MUD #3, Austin, | Advice |



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|-------|---|--|
| | Travis | |
| TX | Robertson County, Franklin, Robertson | Advice |
| TX | Angelina & Neches River Authority, Lufkin, Angelina | Advice |
| TX | Lazbuddie ISD, White Deer, Parmer | Advice |
| TX | Monahans-Wickett-Pyote ISD, Monahans, Ward | Advice |
| TX | Quinlan, City of, Quinlan, Hunt | Advice |
| TX | Sterling County, Sterling City, Sterling | Advice |
| TX | Hearne, City of, Hearne, Robertson | Advice |
| TX | Palo Pinto County, Palo Pinto, Palo Pinto | Advice |
| TX | Tahoka, City of, Tahoka, Lynn | Advice |
| TX | Buckholts ISD, Buckholts, Milam | Advice |
| TX | O'Donnell ISD, O'Donnell, Lynn | Advice |
| TX | Ropesville, City of, Ropesville, Hockley | Advice |
| TX | SH130 Municipal Management District #1, Austin, Travis | Advice |
| TX | Bois D'Arc MUD, Honey Grove, Fannin | Advice |
| TX | Hutto Economic Development Corporation, Hutto, Williamson | Advice |
| TX | Meadow ISD, Meadow, Terry | Advice |
| TX | Hays County, San Marcos, Hays | Advice |
| TX | Talty Special Utility District, Forney, Kaufman | Advice |



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|-------|--|--|
| TX | Waskom, City of, Waskom, Harrison | Advice |
| TX | Hereford ISD, Hereford, Deaf Smith | Advice |
| TX | Lower Colorado River Authority, Austin, Travis | Advice |
| TX | Morton, City of, Morton, Cochran | Advice |
| TX | Parmer County, Farwell, Parmer | Advice |
| TX | Crockett Co, Ozona, Crockett | Advice |
| TX | West Travis County MUD #7, Austin, Travis | Advice |
| TX | Williamson County, Georgetown, Williamson | Advice |
| TX | Kellyville-Berea Water Supply District, Jefferson, Marion | Advice |
| TX | Rogers, City of, Rogers, Bell | Advice |
| TX | Seminole, City of, Seminole, Gaines | Advice |
| TX | Whiteface, City of, Whiteface, Cochran | Advice |
| TX | Cross Plains ISD, Cross Plains, Callahan | Advice |
| TX | Cross Plains ISD Public Facilities Corporation, Cross Plains, Callahan | Advice |
| SC | Allegro Charter School, Charleston, Charleston | Advice |
| TX | Runge ISD, Runge, Karnes | Advice |
| TX | Horseshoe Bay, City of, Horseshoe Bay, Llano | Advice |
| TX | Raymondville ISD, Raymondville, Willacy | Advice |
| TX | Brady, City of, Brady, McCulloch | Advice |



| State | Complete name of municipal entity and city/county | Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) |
|-------|---|--|
| TX | Natalia, City of, Natalia, Medina | Advice |
| TX | Blanco ISD, Blanco, Blanco | Advice |
| TX | Plainview, City of, Plainview, Hale | Advice |
| TX | Colony MUD #1E, Bastrop, Bastrop | Advice |
| TX | Seguin ISD, Seguine, Guadalupe | Advice |
| TX | Jarrell, City of, Jarrell, Williamson | Advice |
| TX | Lynn County, Tahoka, Lynn | Advice |
| TX | Anson ISD, Anson, Jones | Advice |
| TX | Midway ISD, Woodway, McLennan | Advice |
| TX | Childress, City of, Childress, Childress | Advice |
| TX | Paducah, City of, Paducah, Cottle | Advice |
| TX | Travis County MUD #12, Austin, Travis | Advice |
| TX | White Oak, City of, White Oak, Gregg | Advice |
| TX | Castro County, Dimmitt, Castro | Advice |
| TX | Brushy Creek Regional Utility Authority, Inc., Round Rock, Williamson | Advice |
| TX | Leander TODD MUD #1, Leander, Williamson | Advice |
| TX | Mexia ISD, Mexia, Limestone | Advice |
| TX | Bovina, City of, Bovina, Parmer | Advice |
| TX | Leander TODD MUD #2, Leander, Williamson | Advice |
| TX | Williamson County MUD #19A, Georgetown, | Advice |



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|-------|---|--|
| | Williamson | |
| TX | Pawnee ISD, Pawnee, Bee | Advice |
| TX | Burnet, City of, Burnet, Burnet | Advice |
| CO | Monarch Montessori of Denver, Denver, Denver | Advice |
| TX | West, City of, West, McLennan | Advice |
| TX | Bartlett, City of, Bartlett, Bell | Advice |
| TX | Lampasas, City of, Lampasas, Lampasas | Advice |
| TX | Bryan, City of, Bryan, Brazos | Advice |
| TX | Deaf Smith Co. Hospital District, Hereford, Deaf Smith | Advice |
| TX | Taylor ISD, Taylor, Williamson | Advice |
| TX | West Jefferson Co MWD, Beaumont, Jefferson | Advice |
| TX | Woodsboro ISD, Woodsboro, Refugio | Advice |
| TX | Beeville Water Supply District, Beeville, Bee | Advice |
| TX | Colony MUD #1A, Bastrop, Bastrop | Advice |
| TX | Fayetteville, City of, Fayette, Fayette | Advice |
| TX | Jonah Water SUD, Hutto, Williamson | Advice |
| TX | River Road ISD, Amarillo, Potter | Advice |
| TX | Bullard, City of, Bullard, Smith | Advice |
| TX | Cool Water MUD, Jarrell, Williamson | Advice |
| TX | Bell County WCID #1, Killeen, Bell | Advice |
| CO | Carbon Valley Academy, | Advice |



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|-------|---|--|
| | Frederick, Weld | |
| TX | Panhandle, City of, Panhandle, Carson | Advice |
| TX | San Marcos, City of, San Marcos, Hays | Advice |
| TX | Hereford, City of, Hereford, Deaf Smith | Advice |
| TX | Williamson County MUD #12, Georgetown, Williamson | Advice |
| TX | Claude, City of, Claude, Armstrong | Advice |
| TX | North Hays County MUD #1, San Marcos, Hays | Advice |
| TX | Von Ormy, City, Von Ormy, Bexar | Advice |
| TX | Greenville 4A EDC, Greenville, Hunt | Advice |
| TX | Lockhart, City of, Lockhart, Caldwell | Advice |
| TX | Weimar ISD, Weimar, Colorado | Advice |
| TX | Fredericksburg, City of, Fredericksburg, Gillespie | Advice |
| TX | Ganado ISD, Ganado, Jackson | Advice |
| TX | Greenville Electric Utility System, Greenville, Hunt | Advice |
| TX | Travis County MUD #13, Austin, Travis | Advice |
| TX | Williamson County MUD #13, Georgetown, Williamson | Advice |
| TX | Robinson, City of, Robinson, McLennan | Advice |
| TX | Tuloso-Midway ISD, Corpus Christi, Nueces | Advice |
| TX | Ralls, City of, Ralls, Crosby | Advice |
| TX | Travis County MUD #15, | Advice |
| | | |



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|-------|--|--|
| | Austin, Travis | |
| TX | Castleberry ISD, Fort Worth, Tarrant | Advice |
| TX | Columbus, City of, Columbus, Colorado | Advice |
| TX | Groom, City of, Groom, Carson | Advice |
| TX | GTUA (Lake Kiowa), Lake Kiowa, Cooke | Advice |
| TX | Parkside at Mayfield Ranch MUD, Georgetown, Williamson | Advice |
| TX | Turkey-Quitaque ISD, Turkey, Hall | Advice |
| TX | Abernathy, City of, Abernathy, Hale | Advice |
| TX | Mackenzie MWA, Silverton, Briscoe | Advice |
| TX | McCulloch County, Brady, McCulloch | Advice |
| TX | White Deer, City of, White Deer, Carson | Advice |
| TX | Calhoun County MUD #1, Port Lavaca, Calhoun | Advice |
| TX | Pilot Knob MUD #5, Austin, Travis | Advice |
| TX | North San Gabriel MUD #1, Georgetown, Williamson | Advice |
| TX | Palacios, City of, Palacios, Matagorda | Advice |
| TX | Scurry County Hospital District, Snyder, Scurry | Advice |
| TX | Connally ISD, Waco, McLennan | Advice |
| TX | Lubbock County Hospital District, Lubbock, Lubbock | Advice |
| TX | Gaines County, Seminole, | Advice |



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|-------|--|--|
| | Gaines | |
| TX | Williamson County MUD #15, Georgetown, Williamson | Advice |
| TX | Dimmitt ISD, Dickens, Dickens | Advice |
| TX | Monahans, City of, Monahans, Ward | Advice |
| TX | Washington Co. JCD, Brenham, Washington | Advice |
| TX | Lone Star Regional Water Authority, Jarrell, Williamson | Advice |
| TX | Springhollow MUD, Dripping Springs, Hays | Advice |
| TX | Temple ISD, Temple, Bell | Advice |
| TX | Bell County, Belton, Bell | Advice |
| TX | Housing Authority of the City of San Angelo, San Angelo, Tom Green | Advice |
| TX | Moulton ISD, Moulton, Lavaca | Advice |
| TX | Stanton ISD, Stanton, Martin | Advice |
| TX | Winkler County, Kermit, Winkler | Advice |
| TX | North San Saba WSC, San Saba, San Saba | Advice |
| TX | De Leon, City of, De Leon, Commanche | Advice |
| TX | Driscoll ISD, Driscoll, Nueces | Advice |
| TX | Caldwell County, Lockhart, Caldwell | Advice |
| TX | Bailey County, Muleshoe, Bailey | Advice |
| TX | Bryan Texas Utilities (BTU), Bryan, Brazos | Advice |
| TX | Coahoma ISD, Coahoma, Howard | Advice |
| TX | San Angelo, City of, San | Advice |



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|-------|--|--|
| | Angelo, Tom Green | |
| TX | Williamson County MUD #32, Georgetown, Williamson | Advice |
| TX | Lakeside WCID #2A, Austin, Travis | Advice |
| CO | Leman Academy of Excellence, Parker, Douglas | Advice |
| TX | Loraine, City of, Loraine, Mitchell | Advice |
| TX | Floydada, City of, Floydada, Floyd | Advice |
| TX | Spearman, City of, Spearman, Hansford | Advice |
| TX | West, City of, West, McLennan | Advice |
| TX | Corpus Christi ISD, Corpus Christi, Nueces | Advice |
| TX | Coryell County, Gatesville, Coryell | Advice |
| TX | Kempner, City of, Kempner, Lampasas | Advice |
| TX | Travis County WCID #10, Austin, Travis | Advice |
| TX | Kilgore Economic Development Corp., Kilgore, Gregg | Advice |
| TX | Pearson Place RID, Georgetown, Williamson | Advice |
| TX | Rockdale, City of, Rockdale, Milam | Advice |
| TX | Colony MUD #1C, Bastrop, Bastrop | Advice |
| TX | Denton Co FWSD 11A, Little Elm, Denton | Advice |
| TX | Stephens Regional SUD, Breckenridge, Stephens | Advice |
| TX | Wimberley ISD, Wimberley, | Advice |



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|-------|---|--|
| | Hays | |
| TX | Angelina & Neches River Authority - Industrial Development Corporation, Lufkin, Angelina | Advice |
| TX | Nursery ISD, Victoria, Victoria | Advice |
| TX | North Hays County MUD #2, San Marcos, Hays | Advice |
| TX | Paloma Lake MUD #2, Round Rock, Williamson | Advice |
| TX | Canadian, City of, Canadian, Hemphill | Advice |
| TX | Shady Grove SUD, Greenville, Hunt | Advice |
| TX | Round Rock, City of, Round Rock, Williamson | Advice |
| TX | Idalou, City of, Idalou, Lubbock | Advice |
| TX | Smiley Road WCID, Fort Worth, Denton | Advice |
| TX | Smith County, Tyler, Smith | Advice |
| TX | Southeast Travis County MUD #1, Austin, Travis | Advice |
| TX | D&M Water Supply Corporation, Douglass, Nacogdoches | Advice |
| TX | El Paso Education Initiative, Inc., El Paso, El Paso | Advice |
| TX | Grady ISD, Lenorah, Martin | Advice |
| TX | Llano, City of, Llano, Llano | Advice |
| TX | Sudan, City of, Sudan, Lamb | Advice |
| TX | Columbus ISD, Columbus, Colorado | Advice |
| TX | Nolan County, Sweetwater, Nolan | Advice |
| TX | Richland SUD, Richland | Advice |



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|-------|--|--|
| | Springs, San Saba | |
| TX | Adrian ISD, Adrian, Oldham | Advice |
| TX | Karnes City ISD, Karnes City, Karnes | Advice |
| TX | Legacy Prepartory Charter Academy, Mesquite, Dallas | Advice |
| TX | Liberty Hill, City of, Liberty Hill, Williamson | Advice |
| TX | Midland County Utility District, Midland, Midland | Advice |
| TX | Poth ISD, Poth, Wilson | Advice |
| TX | Buena Vista ISD, Imperial, Pecos | Advice |
| TX | Ingleside ISD, Ingleside, San Patricio | Advice |
| TX | Leander MUD #3, Leander, Williamson | Advice |
| TX | Sunray ISD, Sunray, Moore | Advice |
| TX | Lubbock-Cooper ISD, Lubbock, Lubbock | Advice |
| TX | Muleshoe Area Hospital District, Muleshoe, Bailey | Advice |
| TX | Post, City of, Post, Garza | Advice |
| TX | Richland Springs ISD, Richland Springs, San Saba | Advice |
| TX | Crockett Co CCSD, Ozona, Crockett | Advice |
| CO | Banning Lewis Ranch Academy, Denver, Denver | Advice |
| TX | Southeast Travis County MUD #2, Austin, Travis | Advice |
| TX | Brazos River Authority, Waco, McLennan | Advice |
| CO | Fort Collins Montessori, Fort Collins, Larimer | Advice |
| TX | Premont ISD, Premont, Jim Wells | Advice |



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|-------|--|--|
| TX | Compass Academy Charter School, Odessa, Ector | Advice |
| TX | Douglass ISD, Douglass, Nacogdoches | Advice |
| TX | Meyer Ranch MUD, New Brunfels, Comal | Advice |
| TX | West Bastrop Village MUD of Bastrop County, Bastrop, Bastrop | Advice |
| TX | Marilee SUD, Celina, Collin | Advice |
| TX | Wimberley, City of, Wimberley, Hays | Advice |
| TX | Bishop CISD, Bishop, Nueces | Advice |
| TX | Shamrock, City of, Shamrock, Wheeler | Advice |
| TX | Greenville IDC, Greenville, Hunt | Advice |
| TX | Bryan ISD, Bryan, Brazos | Advice |
| TX | Stratford, City of, Stratford, Sherman | Advice |
| TX | Amarillo Potter Events District, Amarillo, Potter | Advice |
| TX | Memphis, City of, Memphis, Hall | Advice |
| TX | Sherman, City of, Sherman, Grayson | Advice |
| TX | Travis County ESD #6, Austin, Travis | Advice |
| NC | Eno River Academy, Hillsorough, Orange | Advice |
| TX | Floresville, City of, Floresville, Wilson | Advice |
| TX | Higgins ISD, Higgins, Lipscomb | Advice |
| TX | Young County, Graham, Young | Advice |
| TX | La Grange, City of, La | Advice |



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|-------|--|--|
| | Grange, Fayette | |
| TX | Harker Heights, City of, Harker Heights, Bell | Advice |
| CO | Windsor Charter Academy, Windsor, Weld | Advice |
| TX | Manor ISD, Manor, Travis | Advice |
| TX | Taylor EDC, Taylor, Williamson | Advice |
| TX | Lakeway, City of, Lakeway, Travis | Advice |
| TX | Silverton, City of, Silverton, Briscoe | Advice |
| TX | Gruver ISD, Gruver, Hansford | Advice |
| TX | Tulia, City of, Tullia, Swisher | Advice |
| TX | Coleman County, Coleman, Coleman | Advice |
| TX | Georgetown, City of, Georgetown, Williamson | Advice |
| TX | Glen Rose, City of, Glen Rose, Somervell | Advice |
| TX | Loraine ISD, Loraine, Mitchell | Advice |
| TX | Portland, City of, Portland, San Patricio | Advice |
| TX | Bryan Rural Electric, Bryan, Brazos | Advice |
| TX | Upper Jasper County Water Authority, Jasper, Jasper | Advice |
| TX | Wellman, City of, Wellman, Terry | Advice |
| TX | Temple Heather & Bioscience EDD, Temple, Bell | Advice |
| TX | Southeast Travis County MUD #4, Austin, Travis | Advice |
| CO | Stargate Foundation, Thornton, Adams | Advice |



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|-------|---|--|
| TX | GTUA (Van Alstyne), Van Alstyne, Denison | Advice |
| TX | Slaton, City of, Slaton, Lubbock | Advice |
| TX | Beeville ISD, Beeville, Bee | Advice |
| TX | Muleshoe, City of, Muleshoe, Bailey | Advice |
| TX | Pflugerville ISD, Pflugerville, Travis | Advice |
| TX | Seagraves, City of, Seagraves, Gaines | Advice |
| TX | Hereford EDC, Hereford, Deaf Smith | Advice |
| TX | Lakeside WCID #1, Austin, Travis | Advice |
| TX | Loving County, Mentone, Loving | Advice |
| TX | San Leanna, Village of, San Leanna, Travis | Advice |
| TX | Amarillo EDC, Amarillo, Potter | Advice |
| TX | Balcones Heights, City of, Balcones Heights, Bexar | Advice |
| TX | Brownfield ISD, Brownfield, Terry | Advice |
| TX | Carson County, Panhandle, Carson | Advice |
| TX | Industrial ISD, Vanderbilt, Jackson | Advice |
| TX | Eden CISD, Eden, Concho | Advice |
| TX | Hurst Creek MUD, Austin, Travis | Advice |
| TX | East Central ISD, San Antonio, Bexar | Advice |
| TX | Fort Elliott CISD, Briscoe, Wheeler | Advice |
| TN | Knowledge Academies, Inc., | Advice |



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|-------------------------|--|--|
| State | Complete name of municipal entity and city/county | Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) |
| | Antioch, Davidson | |
| TX | Upper Brushy Creek Water Control & Improvement District, Round Rock, Williamson | Advice |
| TX | Florence ISD, Florence, Williamson | Advice |
| TX | Lakeside WCID #2B, Austin, Travis | Advice |
| TX | Tyler Junior College District, Tyler, Smith | Advice |
| TX | Archer County, Archer City, Archer | Advice |
| TX | Copperas Cove, City of, Copperas Cove, Coryell | Advice |
| TX | Cypress Ranch WCID #1, Austin, Travis | Advice |
| TX | Dawson County, Lamesa, Dawson | Advice |
| TX | West Tawakoni, City of, West Tawakoni, Hunt | Advice |
| TX | Booker, City of, Booker, Lipscomb | Advice |
| TX | Northeast Texas Municipal Water District, Hughes Springs, Cass | Advice |
| TX | Colony MUD #1B, Bastrop, Bastrop | Advice |
| TX | Kelly Lane WCID #1, Austin, Travis | Advice |
| TX | Lampasas ISD, Lampasas, Lampasas | Advice |
| TX | Brenham, City of, Brenham, Washington | Advice |
| TX | Midlothian Municipal Management District No. 3, Midlothian, Ellis | Advice |



B. Municipal Advisory Business

State Complete name of municipal

entity and city/county

Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment

advisory services))

TX Caddo Basin SUD,

Greenville, Hunt

Advice

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity

fame of Issue Description

Reportable Date of Selection

None



Signature: electronic Date: Jan 20, 2021

Name (must be officer of regulated entity): Garry Kimball

Address: 248 Addie Roy Road, Austin, TX 78746

Phone: 512-275-7300 x7301

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)