

Name of Regulated Entity: Harrell & Company Advisors, LLC

Report Period: Third Quarter of 2020

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State Complete name, title

(including any

city/county/state or other political subdivision) of municipal entity official Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)

If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date

of such automatic exemption.

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State Complete name (including

any city/county/state or other

political subdivision) of

political party

None

Payments by each contributor category



III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State Official name of bond ballot

campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities Contributions, including the specific date the contributions were made, by each contributor category

None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None



IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

A. Municipal Securities Business

State Complete name of municipal Type of municipal securities business

entity and city/county (negotiated underwriting, private placement,

financial advisor, or remarketing agent)

None

B. Municipal Advisory Business

State Complete name of municipal Type of municipal advisory business (advice entity and city/county or solicitation) (and in the case of municipal

advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment

advisory services))

Advice

Advice

CA City of Chino Hills, Chino Advice

Hills, San Bernardino

CA City of Chula Vista, Chula Advice

Vista, San Diego

CA Successor Agency to the Advice

Glendale Redevelopment Agency, Glendale, Los

Angeles

CA City of Paramount, Advice

Paramount, Los Angeles

CA Santa Cruz Regional 9-1-1, Advice

Santa Cruz, Santa Cruz

CA Successor Agency to the Carson Redevelopment

Agency, Carson, Los Angeles

CA City of Yorba Linda, Yorba Advice

Linda, Orange

CA City of Morro Bay, Morro Advice

Bay, San Luis Obispo

CA County of Santa Cruz, Santa Advice Cruz, Santa Cruz

CA Santa Cruz County Capital

Financing Authority, Santa

CA Successor Agency to the Advice

Cruz, Santa Cruz

Stanton Redevelopment



B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
	Agency, Stanton, Orange	
CA	Successor Agency to the La Quinta Redevelopment Agency, La Quinta, Riverside	Advice
CA	City of Palm Springs, Palm Springs, Riverside	Advice
CA	City of Placentia, Placentia, Orange	Advice
CA	City of Palm Springs Financing Authority, Palm Springs, Riverside	Advice
CA	City of Upland, Upland, San Bernardino	Advice
CA	City of Palmdale , Palmdale, Los Angeles	Advice
CA	City of Signal Hill, Signal Hill, Los Angeles	Advice

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity Issue Description

Reportable Date of Selection

None



Signature: electronic Date: Oct 28, 2020

Name (must be officer of regulated entity): Suzanne Harrell

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Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)