

# FORM G-37



**Name of Regulated Entity:** *Live Oak Public Finance, LLC*

**Report Period:** *Third Quarter of 2020*

## **I. CONTRIBUTIONS made to officials of a municipal entity (list by state)**

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)  If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
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*None*

## **II. PAYMENTS made to political parties of states or political subdivisions (list by state)**

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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*None*

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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*None*

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**
**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
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*None*

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
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TX	Navasota Independent School District, Navasota, Grimes	Advice
TX	Aransas Co. Independent School District, Rockport, Aransas	Advice
TX	Iola Independent School District, Iola, Grimes	Advice
TX	Crockett County Consolidated Common School District, Ozona, Crockett	Advice
TX	New Waverly Independent School District, New Waverly, Walker	Advice
TX	Bynum Independent School District, Bynum, Hill	Advice
TX	Huckabay Independent School District, Stephenville, Erath	Advice
TX	Iredell Independent School District, Iredell, Bosque	Advice
TX	North Lamar Independent School District, Paris, Lamar	Advice
TX	Bynum Independent School District, Bynum, Hill	Advice
TX	Irion County Independent School District, Mertzon, Irion	Advice

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
TX	<i>Brenham Independent School District, Brenham, Washington</i>	<i>Advice</i>
TX	<i>Centerville Independent School District, Centerville, Leon</i>	<i>Advice</i>
TX	<i>Roby Consolidated Independent School District, Roby, Fisher</i>	<i>Advice</i>
TX	<i>Simms Independent School District, Simms, Bowie</i>	<i>Advice</i>
TX	<i>Fayetteville Independent School District, Fayetteville, Fayette</i>	<i>Advice</i>
TX	<i>Clarksville Independent School District, Clarksville, Red River</i>	<i>Advice</i>
TX	<i>Lovelady Independent School District, Lovelady, Houston</i>	<i>Advice</i>
TX	<i>Mildred Independent School District, Mildred, Navarro</i>	<i>Advice</i>
TX	<i>Maypearl Independent School District, Maypearl, Ellis</i>	<i>Advice</i>
TX	<i>Anson Hospital District, Anson, Jones</i>	<i>Advice</i>
TX	<i>Laneville Independent School District, Laneville, Rusk</i>	<i>Advice</i>
TX	<i>Lexington Independent School District, Lexington, Lee</i>	<i>Advice</i>
TX	<i>Richards Independent School District, Richards, Grimes</i>	<i>Advice</i>
TX	<i>Thorndale Independent School District, Thorndale, Williamson</i>	<i>Advice</i>
TX	<i>Madisonville Consolidated Independent School District, Madisonville, Madison</i>	<i>Advice</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
TX	<i>Lometa Independent School District, Lometa, Lampasas</i>	<i>Advice</i>
TX	<i>Damon Independent School District, Damon, Brazoria</i>	<i>Advice</i>
TX	<i>Chireno Independent School District, Chireno, Nacogdoches</i>	<i>Advice</i>
TX	<i>Rocksprings Independent School District, Rocksprings, Edwards</i>	<i>Advice</i>
TX	<i>Bloomburg Independent School District, Bloomburg, Cass</i>	<i>Advice</i>
TX	<i>Snook Independent School District, Snook, Burleson</i>	<i>Advice</i>
TX	<i>Nixon-Smilely Consolidated Independent School District, Nixon, Gonzales</i>	<i>Advice</i>
TX	<i>Onalaska Independent School District, Onalaska, Polk</i>	<i>Advice</i>
TX	<i>Cumby Independent School District, Cumby, Hopkins</i>	<i>Advice</i>
TX	<i>Lindsay Independent School District, Lindsay, Cooke</i>	<i>Advice</i>
TX	<i>Crockett County Consolidated Common School District, Ozona, Crockett</i>	<i>Advice</i>
TX	<i>Maypearl Independent School District, Maypearl, Ellis</i>	<i>Advice</i>
TX	<i>Centerville Independent School District, Centerville, Leon</i>	<i>Advice</i>
TX	<i>Sterling City Independent School District, Sterling City, Sterling</i>	<i>Advice</i>
TX	<i>Edna Independent School District, Edna, Jackson</i>	<i>Advice</i>

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TX	<i>Oakwood Independent School District, Oakwood, Leon</i>	<i>Advice</i>
TX	<i>Schertz-Cibolo-Universal City Independent School District, Schertz, Guadalupe</i>	<i>Advice</i>
TX	<i>Rankin County Hospital District, Rankin, Rankin</i>	<i>Advice</i>
TX	<i>Roby Consolidated Independent School District, Roby, Fisher</i>	<i>Advice</i>
TX	<i>Dumas Independent School District, Dumas, Moore</i>	<i>Advice</i>
TX	<i>Hearne Independent School District, Hearne, Robertson</i>	<i>Advice</i>
TX	<i>Marshall Independent School District, Marshall, Harrison</i>	<i>Advice</i>
TX	<i>San Saba Independent School District, San Saba, San Saba</i>	<i>Advice</i>
TX	<i>Hawkins Independent School District, Hawkins, Wood</i>	<i>Advice</i>
TX	<i>Buffalo Independent School District, Buffalo, Leon</i>	<i>Advice</i>
TX	<i>Mart Independent School District, Mart, Limestone</i>	<i>Advice</i>
TX	<i>Tatum Independent School District, Tatum, Rusk</i>	<i>Advice</i>
TX	<i>Goliad Independent School District, Goliad, Goliad</i>	<i>Advice</i>
TX	<i>Abbott Independent School District, Abbott, Hill</i>	<i>Advice</i>
TX	<i>Lometa Independent School District, Lometa, Lampasas</i>	<i>Advice</i>
TX	<i>Hillsboro Independent School District, Hillsboro, Hill</i>	<i>Advice</i>
TX	<i>Bartlett Independent School District, Bartlett, Bell</i>	<i>Advice</i>
TX	<i>Bartlett Independent School</i>	<i>Advice</i>

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State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
TX	<i>District, Bartlett, Bell Dawson Independent School District, Dawson, Navarro</i>	<i>Advice</i>
TX	<i>Throckmorton Collegiate Independent School District, Throckmorton, Throckmorton</i>	<i>Advice</i>
TX	<i>Fayetteville Independent School District, Fayetteville, Fayette</i>	<i>Advice</i>
TX	<i>Broadus Independent School District, Broadus, Augustine</i>	<i>Advice</i>
TX	<i>Benjamin Independent School District, Benjamin, Knox</i>	<i>Advice</i>
TX	<i>Sulphur Springs Independent School District, Sulphur Springs, Hopkins</i>	<i>Advice</i>
TX	<i>Rivercrest Independent School District, Bogota, Red River</i>	<i>Advice</i>
TX	<i>Early Independent School District, Early, Brown</i>	<i>Advice</i>
TX	<i>Crockett Co. Water Control and Improvement Dist. No. 1, Ozona, Crockett</i>	<i>Advice</i>
TX	<i>Nixon-Smiley Consolidated Independent School District, Nixon, Gonzales</i>	<i>Advice</i>
TX	<i>Warren Independent School District, Warren, Tyler</i>	<i>Advice</i>
TX	<i>Hawkins Independent School District, Hawkins, Wood</i>	<i>Advice</i>
TX	<i>Sulphur Springs Independent School District, Sulphur Springs, Hopkins</i>	<i>Advice</i>
TX	<i>Huckabay Independent School District, Stephenville, Erath</i>	<i>Advice</i>
TX	<i>Coupland Independent School District, Coupland,</i>	<i>Advice</i>

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TX	<i>Williamson Anson Hospital District, Anson, Jones</i>	<i>Advice</i>
TX	<i>Detroit Independent School District, Detroit, Red River</i>	<i>Advice</i>
TX	<i>Dumas Independent School District, Dumas, Moore</i>	<i>Advice</i>
TX	<i>Irion County Independent School District, Mertzon, Irion</i>	<i>Advice</i>
TX	<i>San Saba Independent School District, San Saba, San Saba</i>	<i>Advice</i>
TX	<i>Crockett Co. Water Control and Improvement Dist. No. 1, Ozona, Crockett</i>	<i>Advice</i>
TX	<i>Thorndale Independent School District, Thorndale, Williamson</i>	<i>Advice</i>
TX	<i>Water Valley Independent School District, Water Valley, Tom Green</i>	<i>Advice</i>
TX	<i>Iredell Independent School District, Iredell, Bosque</i>	<i>Advice</i>
TX	<i>Damon Independent School District, Damon, Brazoria</i>	<i>Advice</i>
TX	<i>Miller Grove Independent School District, Cumby, Hopkins</i>	<i>Advice</i>
TX	<i>Cayuga Independent School District, Cayuga, Anderson</i>	<i>Advice</i>
TX	<i>Louise Independent School District, Louise, Wharton</i>	<i>Advice</i>
TX	<i>Weatherford Independent School District, Weatherford, Parker</i>	<i>Advice</i>
TX	<i>Chillicothe Independent School District, Chillicothe,</i>	<i>Advice</i>



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TX	<i>Hardeman Vernon Independent School District, Vernon, Wilbarger</i>	<i>Advice</i>
TX	<i>New Waverly Independent School District, New Waverly, Walker</i>	<i>Advice</i>
TX	<i>Olney Hamilton Hosp. District, Olney, Young</i>	<i>Advice</i>
TX	<i>Mildred Independent School District, Mildred, Navarro</i>	<i>Advice</i>
TX	<i>Dublin Independent School District, Dublin, Willbarger</i>	<i>Advice</i>
TX	<i>Wink Loving Independent School District, Wink, Winkler</i>	<i>Advice</i>
TX	<i>Kerens Independent School District, Kerens, Navarro</i>	<i>Advice</i>
TX	<i>Lindsay Independent School District, Lindsay, Cooke</i>	<i>Advice</i>
TX	<i>Chillicothe Independent School District, Chillicothe, Hardeman</i>	<i>Advice</i>
TX	<i>Roxton Independent School District, Roxton, Lamar</i>	<i>Advice</i>
TX	<i>Caddo Mills Independent School District, Caddo Mills, Hunt</i>	<i>Advice</i>
TX	<i>North Lamar Independent School District, Paris, Lamar</i>	<i>Advice</i>
TX	<i>Westphalia Independent School District, Westphalia, Falls</i>	<i>Advice</i>
TX	<i>Water Valley Independent School District, Water Valley, Tom Green</i>	<i>Advice</i>
TX	<i>Brady Independent School District, Brady, McCullough</i>	<i>Advice</i>
TX	<i>Montgomery Independent</i>	<i>Advice</i>

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	<i>School District, Montgomery, Montgomery</i>	
TX	<i>Maud Independent School District, Maud, Bowie</i>	<i>Advice</i>
TX	<i>Hallsburg Independent School District, Hallsburg, McLennan</i>	<i>Advice</i>
TX	<i>Kerens Independent School District, Kerens, Navarro</i>	<i>Advice</i>
TX	<i>Warren Independent School District, Warren, Tyler</i>	<i>Advice</i>
TX	<i>DeKalb Independent School District, DeKalb, Bowie</i>	<i>Advice</i>
TX	<i>DeKalb Independent School District, DeKalb, Bowie</i>	<i>Advice</i>
TX	<i>Madisonville Consolidated Independent School District, Madisonville, Madison</i>	<i>Advice</i>
TX	<i>Shallowater Independent School District, Shallowater, Lubbock</i>	<i>Advice</i>
TX	<i>City of Columbus, Columbus, Colorado</i>	<i>Advice</i>
TX	<i>Haskell Consolidated Independent School District, Haskell, Haskell</i>	<i>Advice</i>
TX	<i>Dublin Independent School District, Dublin, Willbarger</i>	<i>Advice</i>
TX	<i>Crockett Independent School District, Crockett, Houston</i>	<i>Advice</i>
TX	<i>Rains Independent School District, Rains, Rains</i>	<i>Advice</i>
TX	<i>Aransas Co. Independent School District, Rockport, Aransas</i>	<i>Advice</i>
TX	<i>Bloomburg Independent School District, Bloomburg,</i>	<i>Advice</i>

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	<i>Cass</i>	
TX	<i>Fairfield Independent School District, Fairfield, Freestone</i>	<i>Advice</i>
TX	<i>Big Sandy Independent School District, Big Sandy, Polk</i>	<i>Advice</i>
TX	<i>Forney Independent School District, Forney, Kaufman</i>	<i>Advice</i>
TX	<i>Sabine Pass Independent School District, Beaumont, Jefferson</i>	<i>Advice</i>
TX	<i>Blum Independent School District, Blum, Hill</i>	<i>Advice</i>
TX	<i>Cumby Independent School District, Cumby, Hopkins</i>	<i>Advice</i>
TX	<i>Leon Independent School District, Jewett, Leon</i>	<i>Advice</i>
TX	<i>Mason Independent School District, Mason, Mason</i>	<i>Advice</i>
TX	<i>Sabine Pass Independent School District, Beaumont, Jefferson</i>	<i>Advice</i>
TX	<i>Jonesboro Independent School District, Jonesboro, Coryell</i>	<i>Advice</i>
TX	<i>Tidehaven Independent School District, El Maton, Matagorda</i>	<i>Advice</i>
TX	<i>Forney Independent School District, Forney, Kaufman</i>	<i>Advice</i>
TX	<i>Leon Independent School District, Jewett, Leon</i>	<i>Advice</i>
TX	<i>Sterling City Independent School District, Sterling City, Sterling</i>	<i>Advice</i>
TX	<i>Wink Loving Independent School District, Wink, Winkler</i>	<i>Advice</i>
TX	<i>Louise Independent School</i>	<i>Advice</i>

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TX	<i>District, Louise, Wharton Maud Independent School</i>	<i>Advice</i>
TX	<i>District, Maud, Bowie Tatum Independent School</i>	<i>Advice</i>
TX	<i>District, Tatum, Rusk Somerville Independent School District, Somerville, Burleson</i>	<i>Advice</i>
TX	<i>Haskell Consolidated Independent School District, Haskell, Haskell</i>	<i>Advice</i>
TX	<i>Benjamin Independent School District, Benjamin, Knox</i>	<i>Advice</i>
TX	<i>Flatonia Independent School District, Flatonia, Fayette</i>	<i>Advice</i>
TX	<i>Fairfield Independent School District, Fairfield, Freestone</i>	<i>Advice</i>
TX	<i>Corrigan-Camden Independent School District, Corrigan, Polk</i>	<i>Advice</i>
TX	<i>Vernon Independent School District, Vernon, Wilbarger</i>	<i>Advice</i>
TX	<i>Dalhart Independent School District, Dalhart, Dallam</i>	<i>Advice</i>
TX	<i>Mart Independent School District, Mart, Limestone</i>	<i>Advice</i>
TX	<i>Corrigan-Camden Independent School District, Corrigan, Polk</i>	<i>Advice</i>
TX	<i>Hillsboro Independent School District, Hillsboro, Hill</i>	<i>Advice</i>
TX	<i>Roxton Independent School District, Roxton, Lamar</i>	<i>Advice</i>
TX	<i>Pleasant Grove Independent School District, Pleasant Grove, Bowie</i>	<i>Advice</i>
TX	<i>Blum Independent School</i>	<i>Advice</i>

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TX	District, Blum, Hill Oakwood Independent School	Advice
TX	District, Oakwood, Leon Brady Independent School	Advice
TX	District, Brady, McCullough Miller Grove Independent School District, Cumby, Hopkins	Advice
TX	Dalhart Independent School District, Dalhart, Dallam	Advice
TX	Hubbard Independent School District, Hubbard, Hill	Advice
TX	Simms Independent School District, Simms, Bowie	Advice
TX	Rivercrest Independent School District, Bogota, Red River	Advice
TX	Laneville Independent School District, Laneville, Rusk	Advice
TX	Hughes Springs Independent School District, Hughes Springs, Cass	Advice
TX	Riesel Independent School District, Riesel, McLennan	Advice
TX	Flatonia Independent School District, Flatonia, Fayette	Advice
TX	Hallsburg Independent School District, Hallsburg, McLennan	Advice
TX	Throckmorton Collegiate Independent School District, Throckmorton, Throckmorton	Advice
TX	Abbott Independent School District, Abbott, Hill	Advice
TX	Lyford Consolidated Independent School District, Wilacy, Lyford	Advice
TX	Navasota Independent School	Advice

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TX	<i>District, Navasota, Grimes Westphalia Independent School District, Westphalia, Falls</i>	<i>Advice</i>
TX	<i>Lovelady Independent School District, Lovelady, Houston</i>	<i>Advice</i>
TX	<i>Jonesboro Independent School District, Jonesboro, Coryell</i>	<i>Advice</i>
TX	<i>Lyford Consolidated Independent School District, Wilacy, Lyford</i>	<i>Advice</i>
TX	<i>Jarrell Independent School District, Jarrell, Williamson</i>	<i>Advice</i>
TX	<i>Onalaska Independent School District, Onalaska, Polk</i>	<i>Advice</i>
TX	<i>Cayuga Independent School District, Cayuga, Anderson</i>	<i>Advice</i>
TX	<i>Coupland Independent School District, Coupland, Williamson</i>	<i>Advice</i>
TX	<i>City of Caddo Mills, Caddo Mills, Hunt</i>	<i>Advice</i>
TX	<i>Caddo Mills Independent School District, Caddo Mills, Hunt</i>	<i>Advice</i>
TX	<i>Lexington Independent School District, Lexington, Lee</i>	<i>Advice</i>
TX	<i>Prairiland Independent School District, Prairiland, Lamar</i>	<i>Advice</i>
TX	<i>Dawson Independent School District, Dawson, Navarro</i>	<i>Advice</i>
TX	<i>Rankin County Hospital District, Rankin, Rankin</i>	<i>Advice</i>
TX	<i>Chilton Independent School District, Chilton, Falls</i>	<i>Advice</i>

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<i>TX</i>	<i>Snook Independent School District, Snook, Burleson</i>	<i>Advice</i>

### C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>None</i>		

## FORM G-37



**Signature:** electronic

**Date:** *Oct 28, 2020*

**Name (must be officer of regulated entity):** *CHRISTIAN MERRITT*

**Address:** *1515 S Capital of Texas Hwy, Austin, TX 78746*

**Phone:** *512-726-5547*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)