

Name of Regulated Entity: *WM Financial Strategies*

Report Period: *First Quarter of 2023*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

| State | Complete name, title (including any city/county/state or other political subdivision) of municipal entity official | Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer) |
|-------|--|--|
| | | If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption. |

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

| State | Complete name (including any city/county/state or other political subdivision) of political party | Payments by each contributor category |
|-------|---|---------------------------------------|
|-------|---|---------------------------------------|

None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

| State | Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities | Contributions, including the specific date the contributions were made, by each contributor category |
|-------|--|--|
|-------|--|--|

None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)
A. Municipal Securities Business

| State | Complete name of municipal entity and city/county | Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent) |
|-------------|---|---|
| <i>None</i> | | |

B. Municipal Advisory Business

| State | Complete name of municipal entity and city/county | Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) |
|-----------|--|--|
| <i>MO</i> | <i>City of Dardenne Prairie, Dardenne Prairie, St. Charles</i> | <i>Advice</i> |
| <i>MO</i> | <i>City of Farmington, Farmington, St. Francois</i> | <i>Advice</i> |
| <i>MO</i> | <i>City of Ferguson, Ferguson, St. Louis</i> | <i>Advice</i> |
| <i>MO</i> | <i>City of Kirkwood, Kirkwood, St. Louis</i> | <i>Advice</i> |
| <i>MO</i> | <i>City of Lake Ozark, Lake Ozark, Miller</i> | <i>Advice</i> |
| <i>MO</i> | <i>City of Maryland Heights, Maryland Heights, St. Louis</i> | <i>Advice</i> |
| <i>MO</i> | <i>City of Palmyra, Palmyra, Marion</i> | <i>Advice</i> |
| <i>MO</i> | <i>City of St. Ann, St. Ann, St. Louis</i> | <i>Advice</i> |
| <i>MO</i> | <i>City of St. Charles, St. Charles, St. Charles</i> | <i>Advice</i> |
| <i>MO</i> | <i>City of St. Peters, St. Peters, St. Charles</i> | <i>Advice</i> |
| <i>MO</i> | <i>City of Warrenton, Warrenton, Warren</i> | <i>Advice</i> |
| <i>MO</i> | <i>City of Webster Groves, Webster Grove, St. Louis</i> | <i>Advice</i> |
| <i>MO</i> | <i>City of Wentzville, Wentzville, St. Charles</i> | <i>Advice</i> |

B. Municipal Advisory Business

| State | Complete name of municipal entity and city/county | Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) |
|-------|---|--|
| MO | City of West Plains, West Plains, Howell | Advice |
| MO | Jefferson County, Hillsboro, Jefferson | Advice |
| MO | St. Charles County, St. Charles, St, Charles | Advice |
| IL | Fon Du Lac Park District, East Peoria, Tazwell | Advice |
| MO | O'Fallon Fire Protection District, O'Fallon, St. Charles | Advice |
| MO | Lincoln Couty Fire Protection District, Troy, Lincoln | Advice |
| MO | Pacific Fire Protection District, Pacific, Franklin | Advice |
| MO | Rock Community Fire Protection District, Jefferson County | Advice |

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

| Full Name of Municipal Entity | Issue Description | Reportable Date of Selection |
|-------------------------------|-------------------|------------------------------|
| None | | |

FORM G-37



Signature: electronic

Date: *Apr 30, 2023*

Name (must be officer of regulated entity): *Joy Howard*

Address: *11710 Administration Drive, St. Louis, MO 63146*

Phone: *314-423-2122*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)