

Name of Regulated Entity: *L.J. Hart & Company*

Report Period: *Fourth Quarter of 2022*

**I. CONTRIBUTIONS made to officials of a municipal entity (list by state)**

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)  If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
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*None*

**II. PAYMENTS made to political parties of states or political subdivisions (list by state)**

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
<i>MO</i>	<i>Friends for Francis</i>	<i>\$1,000.00 payment by Dealer, Municipal finance professional, Municipal advisor, Municipal advisor professional</i>
<i>MO</i>	<i>Eric Burlison for Congress</i>	<i>\$1,000.00 payment by Dealer, Municipal finance professional, Municipal advisor, Municipal advisor professional</i>
<i>MO</i>	<i>Friends of Rusty Black</i>	<i>\$1,000.00 payment by Dealer, Municipal finance professional, Municipal advisor, Municipal advisor professional</i>
<i>MO</i>	<i>Friends of Sandy Crawford</i>	<i>\$1,000.00 payment by Dealer, Municipal finance professional, Municipal advisor, Municipal advisor professional</i>
<i>MO</i>	<i>Karla Eslinger for Senate</i>	<i>\$1,000.00 payment by Dealer, Municipal</i>

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State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
MO	<i>Henderson for Missouri</i>	<i>finance professional, Municipal advisor, Municipal advisor professional \$1,000.00 payment by Dealer, Municipal finance professional, Municipal advisor, Municipal advisor professional</i>
MO	<i>Odonnell for Missouri</i>	<i>\$1,000.00 payment by Dealer, Municipal finance professional, Municipal advisor, Municipal advisor professional</i>
MO	<i>Advancing American Freedom PAC</i>	<i>\$1,000.00 payment by Dealer, Municipal finance professional, Municipal advisor, Municipal advisor professional</i>
WI	<i>Ron Johnson for Senate Campaign</i>	<i>\$1,000.00 payment by Dealer, Municipal finance professional, Municipal advisor, Municipal advisor professional</i>

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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*None*

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**
**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
MO	Union R-XI School District, Union, Franklin	Negotiated underwriting
MO	Southeast Randolph Fire Protection District, Renick, Randolph	Negotiated underwriting
MO	Hermitage R-IV School District, Hermitage, Hickory	Negotiated underwriting
MO	Northeast Vernon County R-I School District, Walker, Vernon	Negotiated underwriting
MO	Scott City R-I School District, Scott City, Scott	Negotiated underwriting
MO	Lone Jack C-6 School District, Lone Jack, Jackson	Negotiated underwriting
MO	Windsor C-1 School District, Imperial, Jefferson	Negotiated underwriting
MO	Slater School District, Slater, Saline	Negotiated underwriting
MO	Seymour R-II School District, Seymour, Webster	Negotiated underwriting
MO	Oak Ridge R-VI School District, Oak Ridge, Cape Girardeau	Negotiated underwriting
MO	Grain Valley R-V School District, Grain Valley, Jackson	Negotiated underwriting
MO	Kennett 39 School District, Kennett, Dunklin	Negotiated underwriting

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
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*None*

**C. Ballot-Approved Offerings**

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
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*None*

## FORM G-37



**Signature:** electronic

**Date:** *Jan 25, 2023*

**Name (must be officer of regulated entity):** *Larry Hart*

**Address:** *16401 Swingley Ridge Rd., Chesterfield, MO 63017*

**Phone:** *636-537-9939*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)