

**Name of Regulated Entity:** *NHA Advisors, LLC*

**Report Period:** *Fourth Quarter of 2022*

**I. CONTRIBUTIONS made to officials of a municipal entity (list by state)**

| State | Complete name, title (including any city/county/state or other political subdivision) of municipal entity official | Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)<br><br>If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption. |
|-------|--|--|
|-------|--|--|

*None*

**II. PAYMENTS made to political parties of states or political subdivisions (list by state)**

| State | Complete name (including any city/county/state or other political subdivision) of political party | Payments by each contributor category |
|-------|---|---------------------------------------|
|-------|---|---------------------------------------|

*None*

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

| State | Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities | Contributions, including the specific date the contributions were made, by each contributor category |
|-------|--|--|
|-------|--|--|

*None*

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**

**A. Municipal Securities Business**

| State       | Complete name of municipal entity and city/county | Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent) |
|-------------|---|---|
| <i>None</i> |   |   |

**B. Municipal Advisory Business**

| State | Complete name of municipal entity and city/county                    | Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) |
|-------|--|--|
| CA    | <i>Clearlake, City of, Clearlake, Lake</i>                           | <i>Advice</i>  |
| CA    | <i>Kensington Fire Protection District, El Cerrito, Contra Costa</i> | <i>Advice</i>  |
| CA    | <i>Martinez, City of, Martinez, Contra Costa</i>                     | <i>Advice</i>  |
| CA    | <i>Napa, City of, Napa, Napa</i>                                     | <i>Advice</i>  |
| CA    | <i>National City, City of, National City, San Diego</i>              | <i>Advice</i>  |
| CA    | <i>North of the River Sanitary District, Bakersfield, Kern</i>       | <i>Advice</i>  |
| CA    | <i>Paso Robles, City of, El Paso de Robles, San Luis Obispo</i>      | <i>Advice</i>  |
| CA    | <i>Solano Irrigation District, Vacaville, Solano</i>                 | <i>Advice</i>  |
| CA    | <i>Suisun/Solano Water Authority, Suisun, Solano</i>                 | <i>Advice</i>  |
| CA    | <i>Auburn, City of, Auburn, Placer</i>                               | <i>Advice</i>  |
| CA    | <i>Fort Bragg, City of, Fort Bragg, Mendocino</i>                    | <i>Advice</i>  |
| CA    | <i>Newark, City of, Newark, Alameda</i>                              | <i>Advice</i>  |
| CA    | <i>Novato, City of, Novato, Marin</i>                                | <i>Advice</i>  |

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|-------|---|--|
| CA    | <i>Exeter, City of, Exeter, Tulare</i>                              | <i>Advice</i>  |
| CA    | <i>Gonzales, City of, Gonzales, Monterey</i>                        | <i>Advice</i>  |
| CA    | <i>Pajaro Valley Water Management Agency, Watsonville, Monterey</i> | <i>Advice</i>  |
| CA    | <i>Salinas, City of, Salinas, Monterey</i>                          | <i>Advice</i>  |
| CA    | <i>Chico, City of, Chico, Butte</i>                                 | <i>Advice</i>  |
| CA    | <i>Riverside, City of, Riverside, Riverside</i>                     | <i>Advice</i>  |
| NV    | <i>Tahoe Douglas Visitors Authority, Douglas County, Douglas</i>    | <i>Advice</i>  |
| CA    | <i>Hanford, City of, Hanford, Kings</i>                             | <i>Advice</i>  |
| CA    | <i>Hidden Hills, City of, Hidden Hills, Los Angeles</i>             | <i>Advice</i>  |
| CA    | <i>Oxnard, City of, Oxnard, Ventura</i>                             | <i>Advice</i>  |
| CA    | <i>Sausalito, City of, Sausalito, Marin</i>                         | <i>Advice</i>  |
| CA    | <i>Sonoma, City of, Sonoma, Sonoma</i>                              | <i>Advice</i>  |
| CA    | <i>West County Wastewater District, Richmond, Contra Costa</i>      | <i>Advice</i>  |
| CA    | <i>Calistoga, City of, Calistoga, Napa</i>                          | <i>Advice</i>  |
| CA    | <i>Rancho Cordova, City of, Rancho Cordova, Sacramento</i>          | <i>Advice</i>  |
| CA    | <i>Sebastopol, City of, Sebastopol, Sonoma</i>                      | <i>Advice</i>  |
| CA    | <i>Willits, City of, Willits, Sonoma</i>                            | <i>Advice</i>  |
| CA    | <i>Lakeport, City of, Lakeport, Lake</i>                            | <i>Advice</i>  |

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|-------|--|--|
| CA    | <i>North County Fire Protection District, Fallbrook, Los Angeles</i>           | <i>Advice</i>  |
| CA    | <i>Grass Valley, City of, Grass Valley, Placer</i>                             | <i>Advice</i>  |
| CA    | <i>Pittsburg, City of, Pittsburg, Contra Costa</i>                             | <i>Advice</i>  |
| CA    | <i>Stanislaus Consolidated Fire Protection District, Riverbank, Stanislaus</i> | <i>Advice</i>  |
| CA    | <i>Wheatland, City of, Wheatland, Yuba</i>                                     | <i>Advice</i>  |
| CA    | <i>Banning, City of, Banning, Los Angeles</i>                                  | <i>Advice</i>  |
| CA    | <i>Farmersville, City of, Farmersville, Tulare</i>                             | <i>Advice</i>  |
| CA    | <i>Lancaster, City of, Lancaster, Los Angeles</i>                              | <i>Advice</i>  |
| CA    | <i>Palmdale Water District, Palmdale, Los Angeles</i>                          | <i>Advice</i>  |
| CA    | <i>San Francisco, City of, San Francisco, San Francisco</i>                    | <i>Advice</i>  |
| CA    | <i>Santa Clara Valley Open Space Authority, San Jose, Santa Clara</i>          | <i>Advice</i>  |
| CA    | <i>Berkeley, City of, Berkeley, Alameda</i>                                    | <i>Advice</i>  |
| CA    | <i>Huntington Park, City of, Huntington Park, Los Angeles</i>                  | <i>Advice</i>  |
| CA    | <i>Selma, City of, Selma, Fresno</i>   | <i>Advice</i>  |
| CA    | <i>American Canyon, City of, American Canyon, Napa</i>                         | <i>Advice</i>  |
| CA    | <i>Corcoran, City of, Corcoran, Kings</i>                                      | <i>Advice</i>  |
| CA    | <i>El Monte, City of, El Monte, Los Angeles</i>                                | <i>Advice</i>  |
| CA    | <i>Santa Cruz, City of, Santa</i>  | <i>Advice</i>  |

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|-------|--|--|
| CA    | <i>Cruz, Santa Cruz<br/>Seaside, City of, Seaside,<br/>Monterey</i>          | <i>Advice</i>  |
| CA    | <i>Torrance, City of, Torrance,<br/>Los Angeles</i>                          | <i>Advice</i>  |
| CA    | <i>Ukiah, City of, Ukiah,<br/>Mendocino</i>                                  | <i>Advice</i>  |
| CA    | <i>Walnut Creek, City of, Walnut<br/>Creek, Contra Costa</i>                 | <i>Advice</i>  |
| CA    | <i>Central Basin Municipal<br/>Water District, Commerce,<br/>Los Angeles</i> | <i>Advice</i>  |
| CA    | <i>Cotati, City of, Cotati,<br/>Sonoma</i>                                   | <i>Advice</i>  |
| CA    | <i>El Cerrito, City of, El Cerrito,<br/>Contra Costa</i>                     | <i>Advice</i>  |
| CA    | <i>Gilroy, City of, Gilroy, Santa<br/>Clara</i>                              | <i>Advice</i>  |
| CA    | <i>Paradise, City of, Paradise,<br/>Butte</i>                                | <i>Advice</i>  |
| CA    | <i>Richmond, City of, Richmond,<br/>Contra Costa</i>                         | <i>Advice</i>  |
| CA    | <i>Mendota, City of, Mendota,<br/>Fresno</i>                                 | <i>Advice</i>  |
| RI    | <i>ProvPort, Providence,<br/>Providence</i>                                  | <i>Advice</i>  |
| CA    | <i>Scotts Valley, City of, Scotts<br/>Valley, Santa Cruz</i>                 | <i>Advice</i>  |
| CA    | <i>Campbell, City of, Cambell,<br/>Santa Clara</i>                           | <i>Advice</i>  |
| CA    | <i>Galt, City of, Galt, Stanislaus</i>                                       | <i>Advice</i>  |
| CA    | <i>Yuba County Water Agency,<br/>Marysville, Yuba</i>                        | <i>Advice</i>  |

**C. Ballot-Approved Offerings**

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

| Full Name of<br>Municipal<br>Entity | Issue Description | Reportable Date of Selection |
|-------------------------------------|-------------------|------------------------------|
| <i>None</i>                         |                   |                              |

## FORM G-37



**Signature:** electronic

**Date:** *Jan 24, 2023*

**Name (must be officer of regulated entity):** *Kimberly Mcmanus*

**Address:** *4040 Civic Center Drive, San Rafael, CA 94903*

**Phone:** *973-618-9508*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)