

Name of Regulated Entity: *RSA Advisors, LLC*

Report Period: *Fourth Quarter of 2022*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)
		If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>None</i>		

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
<i>KY</i>	<i>Bath Co. SDFC, KY, Bath Co</i>	<i>Advice</i>
<i>KY</i>	<i>Green Co. SDFC, KY, Greenup Co</i>	<i>Advice</i>
<i>KY</i>	<i>Lawrence Co. SDFC, KY, Lawrence Co</i>	<i>Advice</i>
<i>KY</i>	<i>Corbin Ind. SDFC, KY, Corbin</i>	<i>Advice</i>
<i>KY</i>	<i>Harrison Co. SDFC, KY, Harrison Co</i>	<i>Advice</i>
<i>KY</i>	<i>Johnson Co. SDFC, KY, Johnson Co</i>	<i>Advice</i>
<i>KY</i>	<i>Kenton Co. SDFC, KY, Kenton Co</i>	<i>Advice</i>
<i>KY</i>	<i>Powell Co. SDFC, KY, Powell Co</i>	<i>Advice</i>
<i>KY</i>	<i>Taylor Co. SDFC, KY, Taylor Co</i>	<i>Advice</i>
<i>KY</i>	<i>Breathitt Co. SDFC, KY, Breathitt Co</i>	<i>Advice</i>
<i>KY</i>	<i>Harlan Ind. SDFC, KY, Harlan</i>	<i>Advice</i>
<i>KY</i>	<i>Newport Ind. SDFC, KY, Campbell Co</i>	<i>Advice</i>
<i>KY</i>	<i>Russell Co. SDFC, KY, Russell Co</i>	<i>Advice</i>

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
KY	<i>Campbell Co. SDFC, KY, Campbell Co</i>	<i>Advice</i>
KY	<i>Madison Co. SDFC, KY, Madison Co</i>	<i>Advice</i>
KY	<i>Nelson Co. SDFC, KY, Nelson Co</i>	<i>Advice</i>
KY	<i>Russell Ind. SDFC, KY, Russell, Greenup Co</i>	<i>Advice</i>
KY	<i>Adair Co. SDFC, KY, Adair Co</i>	<i>Advice</i>
KY	<i>Eminence Ind. SDFC, KY, Eminence</i>	<i>Advice</i>
KY	<i>Jackson Ind. SDFC, KY, Jackson</i>	<i>Advice</i>
KY	<i>Simpson Co. SDFC, KY, Simpson Co</i>	<i>Advice</i>
KY	<i>Webster Co. SDFC, KY, Webster Co</i>	<i>Advice</i>
KY	<i>Knox Co. SDFC, KY, Knox Co</i>	<i>Advice</i>
KY	<i>Oldham Co. SDFC, KY, Oldham Co</i>	<i>Advice</i>
KY	<i>Casey Co. SDFC, KY, Casey Co</i>	<i>Advice</i>
KY	<i>Walton-Verona Ind. SDFC, KY, Walton</i>	<i>Advice</i>
KY	<i>Magoffin Co. SDFC, KY, Magoffin Co</i>	<i>Advice</i>
KY	<i>Jefferson Co. SDFC, KY, Jefferson Co</i>	<i>Advice</i>
KY	<i>Madison County, KY, Madison Co</i>	<i>Advice</i>
KY	<i>Mercer Co. SDFC, KY, Mercer Co</i>	<i>Advice</i>
KY	<i>Northern KY Water District</i>	<i>Advice</i>
KY	<i>Rowan Co. SDFC, KY, Rowan Co</i>	<i>Advice</i>
KY	<i>Whitley Co. SDFC, KY,</i>	<i>Advice</i>

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
<i>KY</i>	<i>Whitley Co Williamstown Ind. SDFC, KY, Grant Co</i>	<i>Advice</i>
<i>KY</i>	<i>Middlesboro Ind. SDFC, KY, Middlesboro</i>	<i>Advice</i>
<i>KY</i>	<i>Campbellsville Ind. SDFC, KY, Taylor Co</i>	<i>Advice</i>
<i>KY</i>	<i>Clay Co. SDFC, KY, Clay Co</i>	<i>Advice</i>
<i>KY</i>	<i>Jessamine Co. SDFC, KY, Jessamine Co</i>	<i>Advice</i>
<i>KY</i>	<i>Somerset Ind. SDFC, KY, Somerset, Pulaski Co</i>	<i>Advice</i>
<i>KY</i>	<i>Trimble Co. SDFC, KY, Trimble Co</i>	<i>Advice</i>
<i>KY</i>	<i>Bardstown Ind. SDFC, KY, Bardstown</i>	<i>Advice</i>
<i>KY</i>	<i>Pulaski Co. SDFC, KY, Pulaski Co</i>	<i>Advice</i>

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>None</i>		

FORM G-37



Signature: electronic

Date: *Jan 24, 2023*

Name (must be officer of regulated entity): *Andrew Warning*

Address: *147 East Third Street, Lexington, KY 40508*

Phone: *513-832-5463*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)