

Name of Regulated Entity: *Fiscal Advisors & Marketing, Inc.*

Report Period: *Fourth Quarter of 2022*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

| State | Complete name, title (including any city/county/state or other political subdivision) of municipal entity official | Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer) |
|-------|--|--|
| | | If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption. |

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

| State | Complete name (including any city/county/state or other political subdivision) of political party | Payments by each contributor category |
|-------|---|---------------------------------------|
|-------|---|---------------------------------------|

None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

| State | Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities | Contributions, including the specific date the contributions were made, by each contributor category |
|-------|--|--|
|-------|--|--|

None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

A. Municipal Securities Business

| State | Complete name of municipal entity and city/county | Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent) |
|-------------|---|---|
| <i>None</i> | | |

B. Municipal Advisory Business

| State | Complete name of municipal entity and city/county | Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) |
|-----------|---|--|
| <i>NY</i> | <i>Laurens Central School District, County of Otsego</i> | <i>Advice</i> |
| <i>NY</i> | <i>Marcy, Town of, County of Oneida</i> | <i>Advice</i> |
| <i>NY</i> | <i>Onteora Central School District, County of Ulster</i> | <i>Advice</i> |
| <i>NY</i> | <i>Oyster Bay, Town of, County of Nassau</i> | <i>Advice</i> |
| <i>NY</i> | <i>Saugerties, Town of, County of Ulster</i> | <i>Advice</i> |
| <i>NY</i> | <i>Franklin Central School District, Counties of Delaware, Otsego</i> | <i>Advice</i> |
| <i>NY</i> | <i>Malone Central School District, County of Franklin</i> | <i>Advice</i> |
| <i>NY</i> | <i>Piermont, Village of, County of Rockland</i> | <i>Advice</i> |
| <i>NY</i> | <i>Oneonta City School District, County of Otsego</i> | <i>Advice</i> |
| <i>NY</i> | <i>Potsdam Central School District, County of St. Lawrence</i> | <i>Advice</i> |
| <i>NY</i> | <i>Ballston Spa Central School District, County of Saratoga</i> | <i>Advice</i> |
| <i>NY</i> | <i>Boonville, Village of, County of Oneida</i> | <i>Advice</i> |

B. Municipal Advisory Business

| State | Complete name of municipal entity and city/county | Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) |
|-------|---|--|
| NY | <i>Carmel Central School District, Counties of Putnam, Dutchess</i> | <i>Advice</i> |
| NY | <i>Johnsburg Central School District, County of Warren</i> | <i>Advice</i> |
| NY | <i>Dutchess, County of</i> | <i>Advice</i> |
| NY | <i>Van Buren, Town of, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Lyncourt Union Free School District, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Midway Fire District, County of Albany</i> | <i>Advice</i> |
| NY | <i>Phoenix Central School District, County of Oswego</i> | <i>Advice</i> |
| NY | <i>Caton Fire District No. 1, County of Steuben</i> | <i>Advice</i> |
| NY | <i>Cortland, County of</i> | <i>Advice</i> |
| NY | <i>Mahopac Central School District, County of Putnam</i> | <i>Advice</i> |
| NY | <i>Parish, Town of, County of Oswego</i> | <i>Advice</i> |
| NY | <i>Vienna, Town of, County of Oneida</i> | <i>Advice</i> |
| NY | <i>Schenectady, City of, County of Schenectady</i> | <i>Advice</i> |
| NY | <i>Skaneateles, Town of, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Union, Town of, County of Broome</i> | <i>Advice</i> |
| NY | <i>Altmar-Parish-Williamstown CSD, County of Oswego</i> | <i>Advice</i> |
| NY | <i>Board of Cooperative Educational Services for the Sole Supervisory District of Rockland County, County of Rockland</i> | <i>Advice</i> |
| NY | <i>Hamilton Central School</i> | <i>Advice</i> |

B. Municipal Advisory Business

| State | Complete name of municipal entity and city/county | Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) |
|-------|---|--|
| NY | District, County of Madison New Hartford, Town of, County of Oneida | Advice |
| NY | Phillipstown North Highlands Fire District, County of Putnam | Advice |
| NY | Board of Cooperative Educational Services for the Sole Supervisory District of Clinton-Essex-Warren-Washin Counties, Counties of Clinton-Essex-Warren-Washin | Advice |
| NY | Forestport, Town of, County of Oneida | Advice |
| NY | Corinth, Village of, County of Saratoga | Advice |
| NY | Webster Central School District, Counties of Monroe, Wayne | Advice |
| NY | Katonah Fire District in the Town of Bedford, County of Westchester | Advice |
| NY | Lakeland Central School District, Counties of Westchester and Putnam | Advice |
| NY | Schaghticoke, Town of, County of Rensselaer | Advice |
| NY | Tully Joint Fire District, County of Onondaga | Advice |
| NY | East Islip Union Free School District, County of Suffolk | Advice |
| NY | Cortland City School District, County of Cortland | Advice |
| NY | Schroeppel, Town of, County of Oswego | Advice |
| NY | Ithaca City School District, County of Tompkins | Advice |

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|-------|--|--|
| NY | <i>Croton-on-Hudson, Village of, County of Westchester</i> | <i>Advice</i> |
| NY | <i>Jamesville-DeWitt Central School District, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Brushton-Moira Central School District, County of Franklin</i> | <i>Advice</i> |
| NY | <i>Pamelia, Town of, County of Jefferson</i> | <i>Advice</i> |
| NY | <i>Virgil, Town of, County of Cortland</i> | <i>Advice</i> |
| NY | <i>Warrensburg Fire District, County of Warren</i> | <i>Advice</i> |
| NY | <i>Portville, Village of, County of Cattaraugus</i> | <i>Advice</i> |
| NY | <i>Putnam Valley Central School District, County of Putnam</i> | <i>Advice</i> |
| NY | <i>Greene Central School District, Counties of Broome, Chenango, Cortland</i> | <i>Advice</i> |
| NY | <i>Hammond Central School District, Counties of St. Lawrence, Jefferson</i> | <i>Advice</i> |
| NY | <i>Horseheads Central School District, County of Chemung</i> | <i>Advice</i> |
| NY | <i>North Syracuse, Village of, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Schoharie Central School District, County of Schoharie</i> | <i>Advice</i> |
| NY | <i>Ravena-Coeymans-Selkirk Central School District, Counties of Albany, Greene</i> | <i>Advice</i> |
| NY | <i>Vernon, Town of, County of Oneida</i> | <i>Advice</i> |
| NY | <i>Coeymans, Town of, County of Albany</i> | <i>Advice</i> |

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|-------|---|--|
| NY | <i>LeRoy, Village of, County of Genesee</i> | <i>Advice</i> |
| NY | <i>Potsdam, Village of, County of St. Lawrence</i> | <i>Advice</i> |
| NY | <i>Board of Cooperative Educational Services for the Sole Supervisory District of Onondaga, Cortland and Madison Counties, Counties of Onondaga, Cortland and Madison</i> | <i>Advice</i> |
| NY | <i>East Syracuse Minoa Central School District, Counties of Onondaga, Madison</i> | <i>Advice</i> |
| NY | <i>Hannibal, Town of, County of Oswego</i> | <i>Advice</i> |
| NY | <i>Hounsfield, Town of, County of Jefferson</i> | <i>Advice</i> |
| NY | <i>Sennett, Town of, County of Cayuga</i> | <i>Advice</i> |
| NY | <i>Albany City School District, County of Albany</i> | <i>Advice</i> |
| NY | <i>Bradford Central School District, Counties of Stueben, Schuyler</i> | <i>Advice</i> |
| NY | <i>Jefferson, County of</i> | <i>Advice</i> |
| NY | <i>Keene, Town of, County of Essex</i> | <i>Advice</i> |
| NY | <i>LeRoy, Town of, County of Genesee</i> | <i>Advice</i> |
| NY | <i>Solvay Union Free School District, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Copiague Fire District, County of Suffolk</i> | <i>Advice</i> |
| NY | <i>Crandell Public Library, County of Warren</i> | <i>Advice</i> |
| NY | <i>Lansingburgh Central School</i> | <i>Advice</i> |

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|-------|---|--|
| NY | <i>District, County of Rensselaer Menands, Village of, County of Albany</i> | Advice |
| NY | <i>North East, Town of, County of Dutchess</i> | Advice |
| NY | <i>Newburgh Enlarged City School District, County of Orange</i> | Advice |
| NY | <i>Norwich, City of, County of Chenango</i> | Advice |
| NY | <i>Beekmantown, Town of, County of Clinton</i> | Advice |
| NY | <i>Scriba, Town of, County of Oswego</i> | Advice |
| NY | <i>Beekmantown Central School District, County of Clinton</i> | Advice |
| NY | <i>Halfmoon-Waterford Fire District, County of Saratoga</i> | Advice |
| NY | <i>LaFargeville Central School District, County of Jefferson</i> | Advice |
| NY | <i>Cazenovia Central School District, Counties of Madison, Onondaga</i> | Advice |
| NY | <i>Coxsackie, Town of, County of Greene</i> | Advice |
| NY | <i>Downsville Central School District, County of Delaware</i> | Advice |
| NY | <i>Cattaraugus, County of</i> | Advice |
| NY | <i>Colton-Pierrepont Central School District, County of St. Lawrence</i> | Advice |
| NY | <i>Windsor, Town of, County of Broome</i> | Advice |
| NY | <i>Angelica, Village of, County of Allegany</i> | Advice |
| NY | <i>Cherry Valley-Springfield Central School District,</i> | Advice |

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|-------|--|--|
| NY | <i>County of Otsego Canaseraga Central School District, County of Allegany</i> | Advice |
| NY | <i>Sherman, Village of, County of Chautauqua</i> | Advice |
| NY | <i>Volney, Town of, County of Oswego</i> | Advice |
| NY | <i>Raquette Lake Union Free School District, County of Hamilton</i> | Advice |
| NY | <i>Roxbury Central School District, Counties of Delaware, Schoharie</i> | Advice |
| NY | <i>Pleasantdale Fire District, County of Rensselaer</i> | Advice |
| NY | <i>Fayetteville-Manlius Central School District, Counties of Onondaga, Madison</i> | Advice |
| NY | <i>Palatine Bridge, Village of, County of Montgomery</i> | Advice |
| NY | <i>Bedford Central School District, County of Westchester</i> | Advice |
| NY | <i>Champlain Fire District, County of Clinton</i> | Advice |
| NY | <i>Harrietstown, Town of, County of Franklin</i> | Advice |
| NY | <i>Oxford Academy & Central School District, County of Chenango</i> | Advice |
| NY | <i>Carthage Central School District, Counties of Jefferson, Lewis</i> | Advice |
| NY | <i>Lenox, Town of, County of Madison</i> | Advice |
| NY | <i>Malone, Village of, County of Franklin</i> | Advice |

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|-------|--|--|
| NY | <i>New Hartford, Village of, County of Oneida</i> | <i>Advice</i> |
| NY | <i>Berkshire Fire District, County of Fulton</i> | <i>Advice</i> |
| NY | <i>Burnt Hills-Ballston Lake Central School District, Counties of Saratoga, Schenectady</i> | <i>Advice</i> |
| NY | <i>Hastings, Town of, County of Oswego</i> | <i>Advice</i> |
| NY | <i>Rensselaer, County of</i> | <i>Advice</i> |
| NY | <i>Board of Cooperative Educational Services for the Sole Supervisory District of Oswego County ("CiTi BOCES"), County of Oswego</i> | <i>Advice</i> |
| NY | <i>Lowville Academy & Central School District, County of Lewis</i> | <i>Advice</i> |
| NY | <i>Rome City School District, County of Oneida</i> | <i>Advice</i> |
| NY | <i>Unadilla Valley Central School District, Counties of Chenango, Madison, Otsego</i> | <i>Advice</i> |
| NY | <i>Rensselaer City School District, County of Rensselaer</i> | <i>Advice</i> |
| NY | <i>Tully, Village of, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Middleburgh Central School District, Counties of Schoharie, Albany</i> | <i>Advice</i> |
| NY | <i>Deposit Central School District, Counties of Broome, Delaware</i> | <i>Advice</i> |
| NY | <i>Endicott, Village of, County of Broome</i> | <i>Advice</i> |
| NY | <i>Northeastern Clinton Central School District, County of</i> | <i>Advice</i> |

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|-------|--|--|
| NY | <i>Clinton Otsego, County of</i> | <i>Advice</i> |
| NY | <i>Pavilion Central School District, Counties of Genessee, Livingston, Wyoming</i> | <i>Advice</i> |
| NY | <i>Camillus, Town of, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Chemung, County of</i> | <i>Advice</i> |
| NY | <i>Development Authority of the North Country, County of Jefferson</i> | <i>Advice</i> |
| NY | <i>Herkimer, Town of, County of Herkimer</i> | <i>Advice</i> |
| NY | <i>Rotterdam-Mohonasen Central School District, Counties of Schenectady, Albany</i> | <i>Advice</i> |
| NY | <i>Belfast Central School District, County of Allegany</i> | <i>Advice</i> |
| NY | <i>Coxsackie-Athens Central School District, County of Greene</i> | <i>Advice</i> |
| NY | <i>Irondequoit, Town of, County of Monroe</i> | <i>Advice</i> |
| NY | <i>Skaneateles, Village of, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Board of Cooperative Educational Services for the Sole Supervisory District of Jefferson, Lewis, Hamilton, Herkimer and Oneida Counties, Counties of Jefferson, Lewis, Hamilton, Herkimer a</i> | <i>Advice</i> |
| NY | <i>Granville Central School District, County of Washington</i> | <i>Advice</i> |

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|-------|--|--|
| NY | <i>Sherrill City School District, County of Oneida</i> | <i>Advice</i> |
| NY | <i>Webutuck (Northeast) Central School District, Counties of Dutchess, Columbia</i> | <i>Advice</i> |
| NY | <i>Amsterdam, Town of, County of Montgomery</i> | <i>Advice</i> |
| NY | <i>West Genesee Central School District, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Brutus, Town of, County of Cayuga</i> | <i>Advice</i> |
| NY | <i>Cherry Creek, Town of, County of Chautauqua</i> | <i>Advice</i> |
| NY | <i>Jordan, Village of, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Marcellus, Village of, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>General Brown Central School District, County of Jefferson</i> | <i>Advice</i> |
| NY | <i>Sandy Creek, Town of, County of Oswego</i> | <i>Advice</i> |
| NY | <i>Board of Cooperative Educational Services for the Sole Supervisory District of Questar III, Rensselaer, Columbia and Greene Counties (Questar</i> | <i>Advice</i> |
| NY | <i>LeRoy Central School District, Counties of Genesee, Livingston</i> | <i>Advice</i> |
| NY | <i>Chestertown Fire District, County of Warren</i> | <i>Advice</i> |
| NY | <i>Waverly Central School District, Counties of Tioga, Chemung</i> | <i>Advice</i> |
| NY | <i>Williamson Central School District, County of Onondaga</i> | <i>Advice</i> |

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|-------|---|--|
| NY | <i>LaGrange, Town of, County of Dutchess</i> | <i>Advice</i> |
| NY | <i>Minisink Valley Central School District, Counties of Orange, Sullivan</i> | <i>Advice</i> |
| NY | <i>Steuben, County of</i> | <i>Advice</i> |
| NY | <i>Cicero, Town of, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Indian River Central School District at Philadelphia, Counties of Jefferson, St. Lawrence</i> | <i>Advice</i> |
| NY | <i>Schenectady Metroplex Development Authority, County of Schenectady</i> | <i>Advice</i> |
| NY | <i>Broome County Tobacco Asset Securitization Corporation, County of Broome</i> | <i>Advice</i> |
| NY | <i>Elmont Fire District, County of Nassau</i> | <i>Advice</i> |
| NY | <i>Cheektowaga Central School District, County of Erie</i> | <i>Advice</i> |
| NY | <i>Manlius, Village of, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Massena, Town of, County of St. Lawrence</i> | <i>Advice</i> |
| NY | <i>Board of Cooperative Educational Services for the Sole Supervisory District of Broome, Delaware and Tioga Counties, Counties of Broome, Delaware and Tioga</i> | <i>Advice</i> |
| NY | <i>Goshen Public Library, County of Orange</i> | <i>Advice</i> |
| NY | <i>Ithaca, Town of, County of Tompkins</i> | <i>Advice</i> |
| NY | <i>Keene Central School</i> | <i>Advice</i> |

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|-------|---|--|
| NY | <i>District, County of Essex Lake George Central School District, Counties of Warren, Washington</i> | Advice |
| NY | <i>Schalmont Central School District, County of Schenectady</i> | Advice |
| NY | <i>Board of Cooperative Educational Services for the Sole Supervisory District of Cayuga and Onondaga Counties, Counties of Cayuga and Onondaga</i> | Advice |
| NY | <i>Fulton, City of, County of Oswego</i> | Advice |
| NY | <i>Horseheads, Village of, County of Chemung</i> | Advice |
| NY | <i>Saratoga Springs, City of, County of Saratoga</i> | Advice |
| NY | <i>Ticonderoga Central School District, Counties of Essex, Warren</i> | Advice |
| NY | <i>Montgomery, County</i> | Advice |
| NY | <i>Wyoming Cental School District, County of Onondaga</i> | Advice |
| NY | <i>Dutchess County Resource Recovery Agency, County of Dutchess</i> | Advice |
| NY | <i>Hoosick Falls Central School District, County of Rensselaer</i> | Advice |
| NY | <i>Cortlandt, Town of, County of Westchester</i> | Advice |
| NY | <i>Greene, County of</i> | Advice |
| NY | <i>McGraw Central School District, County of Cortland</i> | Advice |
| NY | <i>Vestal, Town of, County of Broome</i> | Advice |

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|-------|---|--|
| NY | <i>Bellmont, Town of, County of Franklin</i> | <i>Advice</i> |
| NY | <i>Hudson, City of, County of Columbia</i> | <i>Advice</i> |
| NY | <i>Jefferson Central School District, County of Schoharie</i> | <i>Advice</i> |
| NY | <i>Romulus Central School District, County of Seneca</i> | <i>Advice</i> |
| NY | <i>Somers Fire District, County of Westchester</i> | <i>Advice</i> |
| NY | <i>Greenport, Town of, County of Columbia</i> | <i>Advice</i> |
| NY | <i>Verdoy Fire District, County of Albany</i> | <i>Advice</i> |
| NY | <i>Addison Central School District, County of Steuben</i> | <i>Advice</i> |
| NY | <i>Essex, County of</i> | <i>Advice</i> |
| NY | <i>Owego Apalachin Central School District, County of Tioga</i> | <i>Advice</i> |
| NY | <i>Sandy Creek Central School District, County of Oswego</i> | <i>Advice</i> |
| NY | <i>Victor Central School District, Counties of Ontario, Monore, Wayne</i> | <i>Advice</i> |
| NY | <i>Brunswick, Town of, County of Rensselaer</i> | <i>Advice</i> |
| NY | <i>Hermon-DeKalb Central School District, County of St. Lawrence</i> | <i>Advice</i> |
| NY | <i>Lake Placid Central School District, County of Essex</i> | <i>Advice</i> |
| NY | <i>New Haven, Town of, County of Oswego</i> | <i>Advice</i> |
| NY | <i>Norwood-Norfolk Central School District, County of St. Lawrence</i> | <i>Advice</i> |

B. Municipal Advisory Business

| State | Complete name of municipal entity and city/county | Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) |
|-------|---|--|
| NY | <i>Sullivan, County of</i> | <i>Advice</i> |
| NY | <i>Union Springs, Village of, County of Cayuga</i> | <i>Advice</i> |
| NY | <i>Hudson River Black River Regulating District, County of Albany</i> | <i>Advice</i> |
| NY | <i>Indian Lake, Town of, County of Hamilton</i> | <i>Advice</i> |
| NY | <i>Onondaga, County of</i> | <i>Advice</i> |
| NY | <i>Schenectady City School District, County of Schenectady</i> | <i>Advice</i> |
| NY | <i>Phelps-Clifton Springs Central School District, Counties of Ontario, Seneca, Wayne</i> | <i>Advice</i> |
| NY | <i>Tioga, County of</i> | <i>Advice</i> |
| NY | <i>Portville Central School District, Counties of Cattaraugus, Allegany</i> | <i>Advice</i> |
| NY | <i>West Sand Lake Fire District, County of Rensselaer</i> | <i>Advice</i> |
| NY | <i>Beaver River Central School District, County of Lewis</i> | <i>Advice</i> |
| NY | <i>Milton, Town of, County of Saratoga</i> | <i>Advice</i> |
| NY | <i>North Elba, Town of, County of Essex</i> | <i>Advice</i> |
| NY | <i>Cortland, City of, County of Cortland</i> | <i>Advice</i> |
| NY | <i>Rotterdam, Town of, County of Schenectady</i> | <i>Advice</i> |
| NY | <i>Stanford Heights Fire District, County of Albany</i> | <i>Advice</i> |
| NY | <i>Binghamton City School District, County of Broome</i> | <i>Advice</i> |
| NY | <i>Canastota, Village of, County</i> | <i>Advice</i> |

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|-------|--|--|
| NY | <i>of Madison East Rochester Union Free School District, County of Monroe</i> | Advice |
| NY | <i>Ausable Chesterfield Keeseville Joint Fire District, Counties of Clinton and Essex</i> | Advice |
| NY | <i>Baldwinsville Central School District, County of Onondaga</i> | Advice |
| NY | <i>Clyde-Savannah Central School District, County of Wayne</i> | Advice |
| NY | <i>Cohoes, City of, County of Albany</i> | Advice |
| NY | <i>Spencer-Van Etten Central School District, Counties of Tioga, Chemung, Tompkins, Schuyler</i> | Advice |
| NY | <i>Vestal Central School District, Counties of Broome, Tioga</i> | Advice |
| NY | <i>West Monroe, Town of, County of Oswego</i> | Advice |
| NY | <i>East Rockaway, Village of, County of Nassau</i> | Advice |
| NY | <i>Onondaga County Tobacco Asset Securitization Corporation, County of Onondaga</i> | Advice |
| NY | <i>Fonda-Fultonville Central School District, Counties of Montgomery, Fulton, Schoharie</i> | Advice |
| NY | <i>South Dayton, Village of, County of Cattaraugus</i> | Advice |
| NY | <i>Cornwall Central School District, County of Orange</i> | Advice |
| NY | <i>Oneonta, Town of, County of</i> | Advice |

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|-------|--|--|
| NY | <i>Otsego Belgium-Cold Springs Fire District (in the Town of Lysander), County of Onondaga</i> | Advice |
| NY | <i>Rome, City of, County of Oneida</i> | Advice |
| NY | <i>Solvay, Village of, County of Onondaga</i> | Advice |
| NY | <i>Stockbridge Valley Central School District, Counties of Madison, Oneida</i> | Advice |
| NY | <i>Onondaga Central School District, County of Onondaga</i> | Advice |
| NY | <i>Waterloo Central School District, County of Seneca</i> | Advice |
| NY | <i>Cincinnatus Central School District, Counties of Cortland, Chenango, Broome</i> | Advice |
| NY | <i>Onondaga County Resource Recovery Agency (OCRRA), County of Onondaga</i> | Advice |
| NY | <i>Fallsburg, Town of, County of Sullivan</i> | Advice |
| NY | <i>Otselic Valley CSD (Georgetown-South Otselic CSD), Counties of Madison, Chenango</i> | Advice |
| NY | <i>Susquehanna Valley Central School District, County of Broome</i> | Advice |
| NY | <i>LaFayette, Town of, County of Onondaga</i> | Advice |
| NY | <i>Massena Central School District, County of St. Lawrence</i> | Advice |
| NY | <i>Odessa-Montour Central School District, Counties of</i> | Advice |

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|-------|---|--|
| NY | <i>Schuyler, Chemung, Tompkins Berne-Knox-Westerlo Central School District, Counties of Albany, Schoharie</i> | <i>Advice</i> |
| NY | <i>Oneonta, City of, County of Otsego</i> | <i>Advice</i> |
| NY | <i>Orleans, County of</i> | <i>Advice</i> |
| NY | <i>Saugerties, Village of, County of Ulster</i> | <i>Advice</i> |
| NY | <i>Seneca, County of</i> | <i>Advice</i> |
| NY | <i>Stillwater, Town of, County of Saratoga</i> | <i>Advice</i> |
| NY | <i>West Islip Fire District, County of Suffolk</i> | <i>Advice</i> |
| NY | <i>Hadley-Luzerne Central School District, Counties of Saratoga, Warren</i> | <i>Advice</i> |
| NY | <i>St. Lawrence, County of</i> | <i>Advice</i> |
| NY | <i>Nichols Joint Fire District, County of Tioga</i> | <i>Advice</i> |
| NY | <i>Floyd Fire District (in the Town of Floyd), County of Oneida</i> | <i>Advice</i> |
| NY | <i>Phoenix, Village of, County of Oswego</i> | <i>Advice</i> |
| NY | <i>Salina Consolidated Fire District No.2, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Scotia, Village of, County of Schenectady</i> | <i>Advice</i> |
| NY | <i>Dobbs Ferry Union Free School District, County of Westchester</i> | <i>Advice</i> |
| NY | <i>Herkimer, Village of, County of Herkimer</i> | <i>Advice</i> |
| NY | <i>Kendall, Town of, County of Orleans</i> | <i>Advice</i> |

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|-------|--|--|
| NY | <i>Lyons Central School District, Counties of Wayne, Seneca, Ontario</i> | <i>Advice</i> |
| NY | <i>Washington, County of</i> | <i>Advice</i> |
| NY | <i>Livingston Manor Central School District, Counties of Delaware, Sullivan, Ulster</i> | <i>Advice</i> |
| NY | <i>Livingston County Water and Sewer Authority, County of Livingston</i> | <i>Advice</i> |
| NY | <i>Capital District Regional Planning Commission, Counties of Albany, Rensselaer, Saratoga, Schenect</i> | <i>Advice</i> |
| NY | <i>Pleasantville Union Free School District, County of Westchester</i> | <i>Advice</i> |
| NY | <i>Cuba-Rushford Central School District, County of Allegany</i> | <i>Advice</i> |
| NY | <i>Massena, Village of, County of St. Lawrence</i> | <i>Advice</i> |
| NY | <i>Pleasantville, Village of, County of Westchester</i> | <i>Advice</i> |
| NY | <i>Rotterdam Fire District #7, County of Schenectady</i> | <i>Advice</i> |
| NY | <i>Walton Central School District, County of Delaware</i> | <i>Advice</i> |
| NY | <i>Wayne Central School District, Counties of Wayne, Monroe</i> | <i>Advice</i> |
| NY | <i>Auburn, City of, County of Cayuga</i> | <i>Advice</i> |
| NY | <i>Dryden, Town of, County of Tompkins</i> | <i>Advice</i> |
| NY | <i>Fishkill, Village of, County of Dutchess</i> | <i>Advice</i> |

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|-------|---|--|
| NY | <i>New Paltz Central School District, County of Ulster</i> | <i>Advice</i> |
| NY | <i>Skaneateles Central School District, Counties of Onondaga, Cayuga</i> | <i>Advice</i> |
| NY | <i>Tully, Town of, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Clarkstown Central School District, County of Rockland</i> | <i>Advice</i> |
| NY | <i>Franklinville Central School District, County of Cattaraugus</i> | <i>Advice</i> |
| NY | <i>Salem Central School District, County of Washington</i> | <i>Advice</i> |
| NY | <i>Boght Fire District, County of Albany</i> | <i>Advice</i> |
| NY | <i>Sherburne-Earlville Central School District, Counties of Chenango, Madison</i> | <i>Advice</i> |
| NY | <i>Windsor Central School District, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Berlin Central School District, County of Rensselaer</i> | <i>Advice</i> |
| NY | <i>Charlton Fire District, County of Saratoga</i> | <i>Advice</i> |
| NY | <i>DeWitt Town Local Development Corporation, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Tioga Central School District, County of Tioga</i> | <i>Advice</i> |
| NY | <i>Alexandria Central School District, County of Jefferson</i> | <i>Advice</i> |
| NY | <i>Candor Central School District, Counties of Tioga, Tompkins</i> | <i>Advice</i> |
| NY | <i>Clifton Park Water Authority, County of Saratoga</i> | <i>Advice</i> |

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|-------|---|--|
| NY | Frankfort, Town of, County of Herkimer | Advice |
| NY | Rombout Fire District, County of Dutchess | Advice |
| NY | West Carthage, Village of, County of Jefferson | Advice |
| NY | Albany, City of, County of Albany | Advice |
| NY | Granby, Town of, County of Oswego | Advice |
| NY | Lyme Central School District, County of Jefferson | Advice |
| NY | Medina Central School District, Counties of Orleans, Niagara, Genesee | Advice |
| NY | Scotia-Glenville Central School District, Counties of Schenectady, Montgomery, Saratoga | Advice |
| NY | Pearl River Union Free School District, County of Rockland | Advice |
| NY | Thompson, Town of, County of Sullivan | Advice |
| NY | Westhill Central School District, County of Cattaraugus | Advice |
| NY | Endwell Fire District, County of Broome | Advice |
| NY | Schuylerville Central School District, County of Saratoga | Advice |
| NY | Utica City School District, County of Oneida | Advice |
| NY | Webb, Town of, County of Herkimer | Advice |
| NY | Broome, County of | Advice |
| NY | Liberty Central School | Advice |

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|-------|--|--|
| NY | <i>District, County of Sullivan Briarcliff Manor Union Free School District, County of Westchester</i> | <i>Advice</i> |
| NY | <i>Guelderland Fire District (in the Town of Guelderland), County of Albany</i> | <i>Advice</i> |
| NY | <i>Moravia Central School District, Counties of Cayuga, Onondaga, Tompkins</i> | <i>Advice</i> |
| NY | <i>New Castle Fire District #1 (Chappaqua), County of Westchester</i> | <i>Advice</i> |
| NY | <i>Cooperstown Central School District, County of Otsego</i> | <i>Advice</i> |
| NY | <i>Guelderland Central School District, County of Albany</i> | <i>Advice</i> |
| NY | <i>Kinderhook, Village of, County of Columbia</i> | <i>Advice</i> |
| NY | <i>Little Falls, City of, County of Herkimer</i> | <i>Advice</i> |
| NY | <i>East Bloomfield Central School District, County of Ontario</i> | <i>Advice</i> |
| NY | <i>Stuyvesant Fire District, County of Greene</i> | <i>Advice</i> |
| NY | <i>Tompkins, County of</i> | <i>Advice</i> |
| NY | <i>Lansing Central School District, County of Tompkins</i> | <i>Advice</i> |
| NY | <i>Liverpool Central School District, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Saratoga, Town of, County of Saratoga</i> | <i>Advice</i> |
| NY | <i>St. Regis Falls Central School District, County of Franklin</i> | <i>Advice</i> |
| NY | <i>Sylvan Beach, Village of, County of Oneida</i> | <i>Advice</i> |

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|-------|--|--|
| NY | <i>Vestal Fire District, County of Broome</i> | <i>Advice</i> |
| NY | <i>Brasher Falls Central School District, County of St. Lawrence</i> | <i>Advice</i> |
| NY | <i>Clifton-Fine Central School District, County of St. Lawrence</i> | <i>Advice</i> |
| NY | <i>Peru, Town of, County of Clinton</i> | <i>Advice</i> |
| NY | <i>Wawarsing, Town of, County of Ulster</i> | <i>Advice</i> |
| NY | <i>Westmere Fire District, County of Albany</i> | <i>Advice</i> |
| NY | <i>Crown Point Central School District, County of Essex</i> | <i>Advice</i> |
| NY | <i>Hamilton, Village of, County of Madison</i> | <i>Advice</i> |
| NY | <i>Poughkeepsie, City of, County of Dutchess</i> | <i>Advice</i> |
| NY | <i>Richford Fire District, County of Tioga</i> | <i>Advice</i> |
| NY | <i>Albany Municipal Water Finance Authority, County of Albany</i> | <i>Advice</i> |
| NY | <i>Mt. Morris, Village of, County of Livingston</i> | <i>Advice</i> |
| NY | <i>Bronxville, Village of, County of Westchester</i> | <i>Advice</i> |
| NY | <i>Hempstead Union Free School District, County of Nassau</i> | <i>Advice</i> |
| NY | <i>Morrisonville Fire District, County of Clinton</i> | <i>Advice</i> |
| NY | <i>Wayne, County of</i> | <i>Advice</i> |
| NY | <i>Monticello Central School District, County of Sullivan</i> | <i>Advice</i> |

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|-------|--|--|
| NY | <i>Canton Human Services (St. Lawrence Co), County of St. Lawrence</i> | <i>Advice</i> |
| NY | <i>Saddle Rock, Village of, County of Nassau</i> | <i>Advice</i> |
| NY | <i>Saranac Lake, Village of, County of Franklin</i> | <i>Advice</i> |
| NY | <i>Elbridge, Village of, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Malta, Town of, County of Saratoga</i> | <i>Advice</i> |
| NY | <i>New Castle Fire District, County of Westchester</i> | <i>Advice</i> |
| NY | <i>Ossining Union Free School District, County of Westchester</i> | <i>Advice</i> |
| NY | <i>Pulaski Academy & Central School District, County of Oswego</i> | <i>Advice</i> |
| NY | <i>Greenport Fire District, County of Columbia</i> | <i>Advice</i> |
| NY | <i>Hannibal Central School District, Counties of Oswego, Cayuga</i> | <i>Advice</i> |
| NY | <i>Springwater, Town of, County of Livingston</i> | <i>Advice</i> |
| NY | <i>Voorheesville Central School District, County of Albany</i> | <i>Advice</i> |
| NY | <i>Beukendaal Fire District (#5 in the Town of Glenville), County of Schenectady</i> | <i>Advice</i> |
| NY | <i>Orwell, Town of, County of Oswego</i> | <i>Advice</i> |
| NY | <i>Schodack Central School District, County of Rensselaer</i> | <i>Advice</i> |
| NY | <i>Constantia, Town of, County of Oswego</i> | <i>Advice</i> |

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|-------|---|--|
| NY | <i>Elmira, City of, County of Chemung</i> | <i>Advice</i> |
| NY | <i>Marcellus Central School District, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Tuxedo Union Free School District, County of Orange</i> | <i>Advice</i> |
| NY | <i>Wappingers Falls, Village of, County of Dutchess</i> | <i>Advice</i> |
| NY | <i>Fayetteville, Village of, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Rye Neck Union Free School District, County of Westchester</i> | <i>Advice</i> |
| NY | <i>Warwick Valley Central School District, County of Orange</i> | <i>Advice</i> |
| NY | <i>South Country Central School District, County of Suffolk</i> | <i>Advice</i> |
| NY | <i>Eastchester Union Free School District, County of Westchester</i> | <i>Advice</i> |
| NY | <i>Allegany, County of</i> | <i>Advice</i> |
| NY | <i>Haverstraw-Stony Point Central School District, County of Rockland, New York</i> | <i>Advice</i> |
| NY | <i>Port Byron Central School District, Counties of Cayuga, Wayne</i> | <i>Advice</i> |
| NY | <i>Chautauqua, County of</i> | <i>Advice</i> |
| NY | <i>Little Falls City School District, County of Herkimer</i> | <i>Advice</i> |
| NY | <i>Belleville Henderson Central School District, County of Jefferson</i> | <i>Advice</i> |
| NY | <i>Cattaraugus-Little Valley Central School District,</i> | <i>Advice</i> |

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|-------|---|--|
| NY | <i>County of Cattaraugus Mexico Central School District, County of Oswego</i> | Advice |
| NY | <i>Waterville Central School District, County of Oneida</i> | Advice |
| NY | <i>South Bristol, Town of, County of Ontario</i> | Advice |
| NY | <i>Mohawk, Village of, County of Herkimer</i> | Advice |
| NY | <i>Sherrill, City of, County of Oneida</i> | Advice |
| NY | <i>Binghamton, City of, County of Broome</i> | Advice |
| NY | <i>Poland Central School District, County of Herkimer</i> | Advice |
| NY | <i>Bedford, Town of, County of Westchester</i> | Advice |
| NY | <i>Beekman, Town of, County of Dutchess</i> | Advice |
| NY | <i>Oneida Castle, Village of, County of Oneida</i> | Advice |
| NY | <i>Red Creek Central School District, Counties of Cayuga, Wayne</i> | Advice |
| NY | <i>Pittsford Central School District, Counties of Monroe, Ontario</i> | Advice |
| NY | <i>Port Ewen Fire District, County of Ulster</i> | Advice |
| NY | <i>Weedsport Central School District, County of Cayuga</i> | Advice |
| NY | <i>Marathon Central School District, Counties of Cortland, Broome, Tioga</i> | Advice |
| NY | <i>Hartsdale Fire District, County of Westchester</i> | Advice |
| NY | <i>Red Hook, Village of, County</i> | Advice |

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|-------|--|--|
| NY | <i>of Dutchess Bainbridge Guilford Central School District, County of Chenango</i> | Advice |
| NY | <i>Homer Central School District, Counties of Cayuga, Cortland, Onondaga, Tompkins</i> | Advice |
| NY | <i>Lysander, Town of, County of Onondaga</i> | Advice |
| NY | <i>Nunda, Village of, County of Livingston</i> | Advice |
| NY | <i>Southport, Town of, County of Chemung</i> | Advice |
| NY | <i>Watertown City School District, County of Jefferson</i> | Advice |
| NY | <i>Yates, County of</i> | Advice |
| NY | <i>Niskayuna, Town of, County of Schenectady</i> | Advice |
| NY | <i>Utica, City of, County of Oneida</i> | Advice |
| NY | <i>Morristown Central School District, County of St. Lawrence</i> | Advice |
| NY | <i>Beacon, City of, County of Dutchess</i> | Advice |
| NY | <i>Canton Central School District, County of St. Lawrence</i> | Advice |
| NY | <i>Scio Central School District, County of Allegany</i> | Advice |
| NY | <i>Hermon, Town of, County of St. Lawrence</i> | Advice |
| NY | <i>Oswego, County of</i> | Advice |
| NY | <i>Caroga, Town of, County of Fulton</i> | Advice |
| NY | <i>Johnstown City School</i> | Advice |

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|-------|---|--|
| | <i>District, Counties of Fulton and Montgomery</i> | |
| NY | <i>Penn Yan Central School District, County of Yates</i> | <i>Advice</i> |
| NY | <i>Schoharie, County of</i> | <i>Advice</i> |
| NY | <i>Lake Placid, Village of, County of Essex</i> | <i>Advice</i> |
| NY | <i>Chester Union Free School District, County of Orange</i> | <i>Advice</i> |
| NY | <i>Glenville, Town of, County of Schenectady</i> | <i>Advice</i> |
| NY | <i>Sullivan, Town of, County of Madison</i> | <i>Advice</i> |
| NY | <i>Chenango Valley Central School District, County of Broome</i> | <i>Advice</i> |
| NY | <i>Dundee, Village of, County of Yates</i> | <i>Advice</i> |
| NY | <i>Nelson, Town of, County of Madison</i> | <i>Advice</i> |
| NY | <i>Oriskany Central School District, County of Oneida</i> | <i>Advice</i> |
| NY | <i>Thousand Islands Central School District, County of Jefferson</i> | <i>Advice</i> |
| NY | <i>Chenango, County of</i> | <i>Advice</i> |
| NY | <i>Sackets Harbor Central School District, County of Jefferson</i> | <i>Advice</i> |
| NY | <i>Rensselaer, City of, County of Rensselaer</i> | <i>Advice</i> |
| NY | <i>Sidney Central School District, Counties of Delaware, Chenango, Otsego</i> | <i>Advice</i> |
| NY | <i>Big Flats, Town of, County of Chemung</i> | <i>Advice</i> |
| NY | <i>Central Square Central</i> | <i>Advice</i> |

B. Municipal Advisory Business

| State | Complete name of municipal entity and city/county | Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) |
|-------|--|--|
| NY | <i>School District, County of Onondaga</i> | |
| NY | <i>Onondaga County Water Authority (OCWA), County of Onondaga</i> | <i>Advice</i> |
| NY | <i>AuSable Valley Central School District, Counties of Clinton, Essex, Franklin</i> | <i>Advice</i> |
| NY | <i>Delaware Academy CSD at Delhi, County of Delaware</i> | <i>Advice</i> |
| NY | <i>Lisbon Central School District, County of St. Lawrence</i> | <i>Advice</i> |
| NY | <i>Moriah Central School District, County of Essex</i> | <i>Advice</i> |
| NY | <i>Union-Endicott Central School District, Counties of Broome, Tioga</i> | <i>Advice</i> |
| NY | <i>North Colonie Central School District, County of Albany</i> | <i>Advice</i> |
| NY | <i>North Greenbush Fire District #1, County of Rensselaer</i> | <i>Advice</i> |
| NY | <i>Board of Cooperative Educational Services for the Sole Supervisory District of Washington, Saratoga, Warren, Hamilton, and Essex Counties, Counties of Washington, Saratoga, Warren, Hamilton</i> | <i>Advice</i> |
| NY | <i>Fishers Island Union Free School District, County of Suffolk</i> | <i>Advice</i> |
| NY | <i>Fulton City School District, County of Oswego</i> | <i>Advice</i> |
| NY | <i>Halfmoon, Town of, County of Saratoga</i> | <i>Advice</i> |
| NY | <i>Ogdensburg City School</i> | <i>Advice</i> |

B. Municipal Advisory Business

| State | Complete name of municipal entity and city/county | Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) |
|-----------|--|--|
| | <i>District, County of St. Lawrence</i> | |
| <i>NY</i> | <i>Collins, Town of, County of Erie</i> | <i>Advice</i> |
| <i>NY</i> | <i>Ithaca, City of, County of Tompkins</i> | <i>Advice</i> |
| <i>NY</i> | <i>Schuyler, Town of, County of Herkimer</i> | <i>Advice</i> |
| <i>NY</i> | <i>Sullivan West Central School District, Counties of Sullivan, Delaware</i> | <i>Advice</i> |
| <i>NY</i> | <i>Tully Central School District, Counties of Onondaga, Cortland</i> | <i>Advice</i> |
| <i>NY</i> | <i>Willsboro Central School District, County of Onondaga</i> | <i>Advice</i> |
| <i>NY</i> | <i>Westport Fire District, County of Essex</i> | <i>Advice</i> |
| <i>NY</i> | <i>Amsterdam City School District, County of Montgomery</i> | <i>Advice</i> |
| <i>NY</i> | <i>Bethlehem, Town of, County of Albany</i> | <i>Advice</i> |
| <i>NY</i> | <i>Kenmore-Town of Tonawanda Union Free School District, County of Erie</i> | <i>Advice</i> |
| <i>NY</i> | <i>Clifton Park, Town of, County of Saratoga</i> | <i>Advice</i> |
| <i>NY</i> | <i>Fabius-Pompey Central School District, County of Onondaga</i> | <i>Advice</i> |
| <i>NY</i> | <i>Southern Cayuga Central School District, Counties of Cayuga, Tompkins</i> | <i>Advice</i> |
| <i>NY</i> | <i>Voorheesville, Village of, County of Albany</i> | <i>Advice</i> |

B. Municipal Advisory Business

| State | Complete name of municipal entity and city/county | Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) |
|-------|--|--|
| NY | <i>Corinth Central School District, County of Saratoga</i> | <i>Advice</i> |
| NY | <i>East Meadow Fire District (in the Town of Hempstead), County of Nassau</i> | <i>Advice</i> |
| NY | <i>Edwards-Knox Central School District, County of St. Lawrence</i> | <i>Advice</i> |
| NY | <i>Rye City School District, County of Westchester</i> | <i>Advice</i> |
| NY | <i>Afton Central School District, Counties of Chenango, Broome</i> | <i>Advice</i> |
| NY | <i>Andover, Village of, County of Allegany</i> | <i>Advice</i> |
| NY | <i>Cairo Fire District, County of Greene</i> | <i>Advice</i> |
| NY | <i>Johnson City Central School District, County of Broome</i> | <i>Advice</i> |
| NY | <i>Moreau, Town of, County of Saratoga</i> | <i>Advice</i> |
| NY | <i>South Kortright Central School District, County of Delaware</i> | <i>Advice</i> |
| NY | <i>Coeymans Hollow Fire District, County of Albany</i> | <i>Advice</i> |
| NY | <i>South Jefferson Central School District, Counties of Jefferson, Lewis, Oswegp</i> | <i>Advice</i> |
| NY | <i>Verona, Town of, County of Oneida</i> | <i>Advice</i> |
| NY | <i>Guilford, Town of, County of Chenango</i> | <i>Advice</i> |
| NY | <i>Pawling, Town of, County of Dutchess</i> | <i>Advice</i> |
| NY | <i>Copenhagen Central School District, Counties of Lewis,</i> | <i>Advice</i> |

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|-------|---|--|
| NY | <i>Jefferson Marcellus, Town of, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Minoa, Village of, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>New York Mills, Village of, County of Oneida</i> | <i>Advice</i> |
| NY | <i>Airmont, Village of, County of Rockland</i> | <i>Advice</i> |
| NY | <i>Cortlandville, Town of, County of Cortland</i> | <i>Advice</i> |
| NY | <i>Northern Adirondack Central School District, County of Clinton</i> | <i>Advice</i> |
| NY | <i>Oswego City School District, Counties of Oswego, Cayuga</i> | <i>Advice</i> |
| NY | <i>DeWitt, Town of, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Wyoming, County of</i> | <i>Advice</i> |
| NY | <i>Jonesville Fire District, County of Saratoga</i> | <i>Advice</i> |
| NY | <i>Watson, Town of, County of Lewis</i> | <i>Advice</i> |
| NY | <i>Clay, Town of, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Sand Lake, Town of, County of Rensselaer</i> | <i>Advice</i> |
| NY | <i>Hornell, City of, County of Steuben</i> | <i>Advice</i> |
| NY | <i>Madison Central School District, County of Madison</i> | <i>Advice</i> |
| NY | <i>Waterford, Village of, County of Saratoga</i> | <i>Advice</i> |
| NY | <i>Johnson City, Village of, County of Herkimer</i> | <i>Advice</i> |
| NY | <i>Salina, Town of, County of Onondaga</i> | <i>Advice</i> |

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|-------|--|--|
| NY | <i>Canajoharie Central School District, County of Montgomery</i> | <i>Advice</i> |
| NY | <i>DeWitt Fire District, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Clinton, Village of, County of Oneida</i> | <i>Advice</i> |
| NY | <i>Cobleskill-Richmondville Central School District, Counties of Schoharie, Otsego, Montgomery</i> | <i>Advice</i> |
| NY | <i>Jamestown City School District, County of Chautauqua</i> | <i>Advice</i> |
| NY | <i>South Orangetown Central School District, County of Rockland</i> | <i>Advice</i> |
| NY | <i>Chittenango Central School District, Counties of Madison, Onondaga</i> | <i>Advice</i> |
| NY | <i>Elbridge, Town of, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Sackets Harbor, Village of, County of Jefferson</i> | <i>Advice</i> |
| NY | <i>Schodack, Town of, County of Rensselaer</i> | <i>Advice</i> |
| NY | <i>South Lewis Central School District, County of Lewis</i> | <i>Advice</i> |
| NY | <i>Homer, Village of, County of Cortland</i> | <i>Advice</i> |
| NY | <i>Board of Cooperative Educational Services for the First Supervisory District of Eastern Suffolk County, County of Suffolk</i> | <i>Advice</i> |
| NY | <i>Morrisville-Eaton Central School District, County of Madison</i> | <i>Advice</i> |

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| State | Complete name of municipal entity and city/county | Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) |
|-------|---|--|
| NY | <i>Bolton Point Water Authority (Southern Cayuga Lake Intermunicipal Water Commission for the Towns of Lansing, Ithaca, and Dryden, Villages of Lansing and Cayuga Heights), County of Tompkins</i> | <i>Advice</i> |
| NY | <i>Camden, Village of, County of Oneida</i> | <i>Advice</i> |
| NY | <i>Champlain, Village of, County of Clinton</i> | <i>Advice</i> |
| NY | <i>Guilford, Town of, County of Chenango</i> | <i>Advice</i> |
| NY | <i>Harrison Central School District, County of Westchester</i> | <i>Advice</i> |
| NY | <i>Oneida City School District, County of Madison</i> | <i>Advice</i> |
| NY | <i>Oneida, City of, County of Madison</i> | <i>Advice</i> |
| NY | <i>Saratoga, County of</i> | <i>Advice</i> |
| NY | <i>Maine, Town of, County of Broome</i> | <i>Advice</i> |
| NY | <i>Oswego, City of, County of Oswego</i> | <i>Advice</i> |
| NY | <i>Ilion, Village of, County of Herkimer</i> | <i>Advice</i> |
| NY | <i>Manchester-Shortsville Central School District, County of Ontario</i> | <i>Advice</i> |
| NY | <i>Schroon, Town of, County of Essex</i> | <i>Advice</i> |
| NY | <i>Madrid-Waddington Central School District, County of St. Lawrence</i> | <i>Advice</i> |
| NY | <i>Ulster, County of</i> | <i>Advice</i> |

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| State | Complete name of municipal entity and city/county | Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) |
|-------|---|--|
| NY | <i>Bainbridge, Village of, County of Chenango</i> | <i>Advice</i> |
| NY | <i>Liverpool, Village of, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Selkirk Fire District, County of Albany</i> | <i>Advice</i> |
| NY | <i>Board of Cooperative Educational Services for the Sole Supervisory District of Oneida, Herkimer and Madison Counties, Counties of Oneida, Herkimer and Madison</i> | <i>Advice</i> |
| NY | <i>North Hempstead, Town of, County of Nassau</i> | <i>Advice</i> |
| NY | <i>Woodhull, Town of, County of Steuben</i> | <i>Advice</i> |
| NY | <i>Owego, Town of, County of Tioga</i> | <i>Advice</i> |
| NY | <i>Manlius, Town of, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Adirondack Central School District, County of Oneida</i> | <i>Advice</i> |
| NY | <i>Briarcliff Manor, Village of, County of Westchester</i> | <i>Advice</i> |
| NY | <i>North Greenbush Common Central School District, County of Rensselaer</i> | <i>Advice</i> |
| NY | <i>Averill Park Central School District, County of Rensselaer</i> | <i>Advice</i> |
| NY | <i>Cayuga, County of</i> | <i>Advice</i> |
| NY | <i>Dundee Central School District, Counties of Yates, Schuyler</i> | <i>Advice</i> |
| NY | <i>Kirkland, Town of, County of Oneida</i> | <i>Advice</i> |
| NY | <i>LaFayette Central School</i> | <i>Advice</i> |

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|-------|---|--|
| NY | <i>District, County of Onondaga Ballston, Town of, County of Saratoga</i> | <i>Advice</i> |
| NY | <i>Fairport Central School District, County of Monroe</i> | <i>Advice</i> |
| NY | <i>Kings Point, Village of, County of Nassau</i> | <i>Advice</i> |
| NY | <i>North Syracuse Central School District, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Mayfield, Village of, County of Fulton</i> | <i>Advice</i> |
| NY | <i>North Greenbush, Town of, County of Rensselaer</i> | <i>Advice</i> |
| NY | <i>Plattsburgh City School District, County of Clinton</i> | <i>Advice</i> |
| NY | <i>Board of Cooperative Educational Services for the Sole Supervisory District of Albany, Schoharie, Schenectady and Saratoga Counties (Capital Region), Counties of Albany, Schoharie, Schenectady and Sar</i> | <i>Advice</i> |
| NY | <i>Cuba, Village of, County of Allegany</i> | <i>Advice</i> |
| NY | <i>Genesee, County of</i> | <i>Advice</i> |
| NY | <i>Germantown Central School District, County of Columbia</i> | <i>Advice</i> |
| NY | <i>North Rose-Wolcott Central School District, County of Wayne</i> | <i>Advice</i> |
| NY | <i>Norwich City School District, County of Chenango</i> | <i>Advice</i> |
| NY | <i>Hastings, Town of, County of Oswego</i> | <i>Advice</i> |

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|-------|---|--|
| NY | <i>Bethel, Town of, County of Sullivan</i> | <i>Advice</i> |
| NY | <i>Livingston, County of</i> | <i>Advice</i> |
| NY | <i>Mohawk Fire District, County of Montgomery</i> | <i>Advice</i> |
| NY | <i>Duanesburg Central School District, County of Schenectady</i> | <i>Advice</i> |
| NY | <i>Greenville Fire District #1, County of Westchester</i> | <i>Advice</i> |
| NY | <i>Santa Clara, Town of, County of Franklin</i> | <i>Advice</i> |
| NY | <i>Whitehall, Village of, County of Washington</i> | <i>Advice</i> |
| NY | <i>Castleton-on-Hudson, Village of, County of Rensselaer</i> | <i>Advice</i> |
| NY | <i>Delaware, County of</i> | <i>Advice</i> |
| NY | <i>Ontario, County of</i> | <i>Advice</i> |
| NY | <i>Town of Webb Union Free School District, County of Herkimer</i> | <i>Advice</i> |
| NY | <i>Goshen Central School District, County of Orange</i> | <i>Advice</i> |
| NY | <i>Panama Central School District, County of Chautauqua</i> | <i>Advice</i> |
| NY | <i>Argyle Central School District, County of Washington</i> | <i>Advice</i> |
| NY | <i>Northside Fire District, County of Saratoga</i> | <i>Advice</i> |
| NY | <i>Roscoe Central School District, Counties of Sullivan, Delaware</i> | <i>Advice</i> |
| NY | <i>Canastota Central School District, County of Madison</i> | <i>Advice</i> |

B. Municipal Advisory Business

| State | Complete name of municipal entity and city/county | Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) |
|-------|--|--|
| NY | Harrisville Central School District, Counties of Lewis, St. Lawrence | Advice |
| NY | Burnt Hills Fire District, County of Saratoga | Advice |
| NY | Oneida, County of | Advice |
| NY | Salmon River Central School District, Counties of Franklin, St. Lawrence | Advice |
| NY | Deerfield, Town of, County of Oneida | Advice |
| NY | Geneseo Central School District, County of Livingston | Advice |
| NY | Troy, City of, County of Rensselaer | Advice |
| NY | Bronxville Union Free School District, County of Westchester | Advice |
| NY | Cazenovia, Town of, Counties of Onondaga, Madison | Advice |
| NY | North Castle Fire District #2 (in the Town of North Castle), County of Westchester | Advice |
| NY | Ulster No. 5 Fire District, County of Ulster | Advice |
| NY | Friendship, Town of, County of Allegany | Advice |
| NY | Frankfort, Village of, County of Oneida | Advice |
| NY | Mamaroneck, Village of, County of Westchester | Advice |
| NY | Whitney Point Central School District, County of Onondaga | Advice |
| NY | Geneva City School District, County of Ontario | Advice |
| NY | Hancock Central School District, County of Delaware | Advice |

B. Municipal Advisory Business

| State | Complete name of municipal entity and city/county | Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) |
|-------|---|--|
| NY | <i>Madison, County</i> | <i>Advice</i> |
| NY | <i>St. Armand, Town of, County of Essex</i> | <i>Advice</i> |
| NY | <i>North Salem, Town of, County of Westchester</i> | <i>Advice</i> |
| NY | <i>Ulster County Tobacco Asset Securitization Corporation, County of Ulster</i> | <i>Advice</i> |
| NY | <i>Copiague Union Free School District, County of Suffolk</i> | <i>Advice</i> |
| NY | <i>Edmeston Central School District, County of Otsego</i> | <i>Advice</i> |
| NY | <i>Lewis, County of</i> | <i>Advice</i> |
| NY | <i>Walton, Village of, County of Delaware</i> | <i>Advice</i> |
| NY | <i>Herkimer, County of</i> | <i>Advice</i> |
| NY | <i>Pompey, Town of, County of Onondaga</i> | <i>Advice</i> |
| NY | <i>Albany Parking Authority, County of Albany</i> | <i>Advice</i> |
| NY | <i>Board of Cooperative Educational Services for the Sole Supervisory District of Delaware, Chenango, Madison and Otsego Counties, Counties of Delaware, Chenango, Madison and Otsego</i> | <i>Advice</i> |
| NY | <i>Germantown, Town of, County of Columbia</i> | <i>Advice</i> |
| NY | <i>Harpurville Central School District, Counties of Broome, Chenango</i> | <i>Advice</i> |
| NY | <i>Troy Enlarged City School District, County of Rensselaer</i> | <i>Advice</i> |
| NY | <i>Newark Valley Central School District, Counties of Tioga,</i> | <i>Advice</i> |

B. Municipal Advisory Business

| State | Complete name of municipal entity and city/county | Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) |
|-------|---|--|
| NY | <i>Tompkins, Cortland, Broome Gilbertsville-Mount Upton Central School District, Counties of Otsego, Chenango</i> | Advice |
| NY | <i>Great Neck, Village of, County of Nassau</i> | Advice |
| NY | <i>Maine-Endwell Central School District, Counties of Broome, Tioga</i> | Advice |
| NY | <i>Ogdensburg, City of, County of St. Lawrence</i> | Advice |
| NY | <i>Board of Cooperative Educational Services for the Sole Supervisory District of St. Lawrence and Lewis Counties, Counties of St. Lawrence and Lewis</i> | Advice |
| NY | <i>Minerva Central School District, County of Essex</i> | Advice |
| NY | <i>Chenango Forks Central School District, Counties of Broome, Chenango</i> | Advice |
| NY | <i>Hyde Park, Town of, County of Dutchess</i> | Advice |
| NY | <i>Lake George, Village of, County of Warren</i> | Advice |
| NY | <i>Adams, Village of, County of Jefferson</i> | Advice |
| NY | <i>Aurora, Village of, County of Cayuga</i> | Advice |
| NY | <i>Glens Falls, City of, County of Warren</i> | Advice |
| NY | <i>Saranac Central School District, County of Clinton</i> | Advice |
| NY | <i>Sidney, Village of, County of Delaware</i> | Advice |
| NY | <i>Cassadaga Valley Central</i> | Advice |

B. Municipal Advisory Business

| State | Complete name of municipal entity and city/county | Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) |
|-------|---|--|
| NY | <i>School District, County of Chautauqua Rensselaer County Tobacco Asset Securitization Corporation, County of Rensselaer</i> | <i>Advice</i> |
| NY | <i>South Colonie Central School District, Counties of Albany, Schenectady</i> | <i>Advice</i> |
| NY | <i>Glenville Fire District #2, County of Schenectady</i> | <i>Advice</i> |
| NY | <i>Gloversville, City of, County of Fulton</i> | <i>Advice</i> |
| NY | <i>Taconic Hills Central School District, Counties of Columbia, Dutchess</i> | <i>Advice</i> |
| NY | <i>Tupper Lake, Village of, County of Franklin</i> | <i>Advice</i> |
| NY | <i>Niles, Town of, County of Cayuga</i> | <i>Advice</i> |
| NY | <i>Putnam, County of</i> | <i>Advice</i> |
| NY | <i>AuSable, Town of, County of Clinton</i> | <i>Advice</i> |
| NY | <i>DeRuyter Central School District, County of Madison</i> | <i>Advice</i> |
| NY | <i>Frankfort-Schuyler Central School District, County of Herkimer</i> | <i>Advice</i> |
| NY | <i>Seneca Falls Central School District, County of Seneca</i> | <i>Advice</i> |

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

| Full Name of Municipal Entity | Issue Description | Reportable Date of Selection |
|-------------------------------------|-------------------|------------------------------|
| <i>None</i> | | |

FORM G-37



Signature: electronic

Date: *Jan 24, 2023*

Name (must be officer of regulated entity): *Elyse Andrews*

Address: *250 South Clinton Street, Syracuse, NY 13202*

Phone: *315-751-0052 x349*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)