

**Name of Regulated Entity:** *Montague DeRose and Associates, LLC*

**Report Period:** *Fourth Quarter of 2022*

**I. CONTRIBUTIONS made to officials of a municipal entity (list by state)**

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)  If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
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*None*

**II. PAYMENTS made to political parties of states or political subdivisions (list by state)**

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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*None*

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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*None*

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**

**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>None</i>		

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
CA	<i>MSR EA, Modesto, Stanislaus</i>	<i>Advice</i>
CA	<i>East Bay Municipal Utility District, Oakland, Alameda</i>	<i>Advice</i>
AZ	<i>City of Phoenix, Arizona, Phoenix, Maricopa</i>	<i>Advice</i>
CA	<i>Port of Los Angeles, San Pedro, Los Angeles</i>	<i>Advice</i>
CA	<i>State of California – Department of Water Resources, Sacramento, Sacramento</i>	<i>Advice</i>
CA	<i>Baldwin Park Unified School District, Baldwin Park, Los Angeles</i>	<i>Advice</i>
CA	<i>Los Angeles County – Treasurer and Tax Collector, Los Angeles, Los Angeles</i>	<i>Advice</i>
CA	<i>Port of Oakland, Oakland, Oakland</i>	<i>Advice</i>
CA	<i>MSR PPA, Modesto, Stanislaus</i>	<i>Advice</i>
CA	<i>San Francisco Public Utilities Commission, San Francisco, San Francisco</i>	<i>Advice</i>
CA	<i>State of California – California State Teachers'</i>	<i>Advice</i>

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CA	<i>Retirement System, Sacramento, Sacramento City of Sacramento, Sacramento, Sacramento</i>	<i>Advice</i>
CA	<i>San Diego County Water Authority, San Diego, San Diego</i>	<i>Advice</i>
CA	<i>State of California – California School Finance Authority, Sacramento, Sacramento</i>	<i>Advice</i>
CA	<i>State of California – State Treasurer’s Office, Sacramento, Sacramento</i>	<i>Advice</i>
WA	<i>City of Tacoma Power, Tacoma, Pierce</i>	<i>Advice</i>
CA	<i>Los Angeles County Metropolitan Transportation Authority, Los Angeles, Los Angeles</i>	<i>Advice</i>
CA	<i>Sacramento Area Flood Control Agency, Sacramento, Sacramento</i>	<i>Advice</i>
NV	<i>Las Vegas Convention and Visitors Authority, Las Vegas, Clark</i>	<i>Advice</i>
CA	<i>Marin Clean Energy, San Rafael, Marin</i>	<i>Advice</i>
CA	<i>Sites Project Authority, Maxwell, Colusa</i>	<i>Advice</i>
WA	<i>City of Tacoma Water, Tacoma, Pierce</i>	<i>Advice</i>
WA	<i>State of Washington – Office of the State Treasurer, Olympia, Thurston</i>	<i>Advice</i>
CA	<i>Cold Spring School District, Montecito, Santa Barbara</i>	<i>Advice</i>
CA	<i>Los Angeles World Airports,</i>	<i>Advice</i>

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CA	<i>Los Angeles, Los Angeles San Bernardino County Transportation Authority, San Bernardino, San Bernardino</i>	<i>Advice</i>
CA	<i>Placer County Water Agency, Auburn, Placer</i>	<i>Advice</i>
CA	<i>State of California – Department of Water Resources (CERS), Sacramento, Sacramento</i>	<i>Advice</i>
CA	<i>Alhambra Unified School District, Alhambra, Los Angeles</i>	<i>Advice</i>
CA	<i>City of Los Angeles, Los Angeles, Los Angeles</i>	<i>Advice</i>
CA	<i>Hacienda-La Puente Unified School District, City of Industry, Los Angeles</i>	<i>Advice</i>
CA	<i>Manhattan Beach Unified School District, Manhattan Beach, Los Angeles</i>	<i>Advice</i>
CA	<i>Sacramento Regional Sanitation District, Sacramento, Sacramento</i>	<i>Advice</i>
CA	<i>San Francisco Public Utilities Commission – Power Enterprise, San Francisco, San Francisco</i>	<i>Advice</i>
CA	<i>Sanitation Districts of Los Angeles County, Whittier, Los Angeles</i>	<i>Advice</i>
CA	<i>Los Angeles County – Internal Services Department, Los Angeles, Los Angeles</i>	<i>Advice</i>
CA	<i>Monterey Regional Waste Management District, Marina, Monterey</i>	<i>Advice</i>
CA	<i>Calleguas Municipal Water</i>	<i>Advice</i>

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CA	<i>District, Thousand Oaks, Ventura City and County of San Francisco, San Francisco, San Francisco</i>	<i>Advice</i>
CA	<i>Lennox School District, Lennox, Los Angeles</i>	<i>Advice</i>
CA	<i>California Maritime Infrastructure Authority/Bank, Eureka, Humboldt</i>	<i>Advice</i>
CA	<i>City of San Diego, San Diego, San Diego</i>	<i>Advice</i>
CA	<i>Fresno County Transportation Authority, Fresno, Fresno</i>	<i>Advice</i>
CA	<i>Los Angeles County Office of Education, Los Angeles, Los Angeles</i>	<i>Advice</i>
CA	<i>Port of Hueneme, Port Hueneme, Ventura</i>	<i>Advice</i>
CA	<i>San Francisco Public Utilities Commission – Wastewater, San Francisco, San Francisco</i>	<i>Advice</i>
CA	<i>San Francisco Public Utilities Commission – Water, San Francisco, San Francisco</i>	<i>Advice</i>
GU	<i>Guam Economic Development Authority</i>	<i>Advice</i>

**C. Ballot-Approved Offerings**

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>None</i>		

## FORM G-37



**Signature:** electronic

**Date:** *Jan 24, 2023*

**Name (must be officer of regulated entity):** *Kimberly Mcmanus*

**Address:** *30700 Russell Ranch Road, Westlake Village, CA 91362*

**Phone:** *973-618-9508*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)