

Name of Regulated Entity: *Raftelis Financial Consultants, Inc.*

Report Period: *First Quarter of 2022*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)
<i>None</i>		

If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
<i>NC</i>	<i>Democratic</i>	<i>\$2,000.00 payment by Non-MAP executive officer</i>
<i>NC</i>	<i>Democratic</i>	<i>\$276.01 payment by Non-MAP executive officer</i>

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)
A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>None</i>		

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
WA	<i>Tacoma - Public Works, City of</i>	<i>Advice</i>
SC	<i>Chester County Wastewater Recovery</i>	<i>Advice</i>
FL	<i>St. Johns County</i>	<i>Advice</i>
TN	<i>Metro Government of Nashville and Davidson Co.</i>	<i>Advice</i>
CA	<i>Padre Dam Municipal Water District</i>	<i>Advice</i>
CO	<i>Baca Grande Water & Sanitation District</i>	<i>Advice</i>
CO	<i>Platteville, Town of</i>	<i>Advice</i>
NY	<i>Buffalo Sewer Authority</i>	<i>Advice</i>
TN	<i>Metro Government of Nashville and Davidson Co.</i>	<i>Advice</i>
CA	<i>Tracy, City of</i>	<i>Advice</i>
CA	<i>Pico Rivera, City of</i>	<i>Advice</i>
CA	<i>Montecito Water District</i>	<i>Advice</i>
MT	<i>Billing Heights, County Water District of</i>	<i>Advice</i>
KS	<i>Lawrence, City of(KS)</i>	<i>Advice</i>
IL	<i>Aurora, City of</i>	<i>Advice</i>
LA	<i>Sewerage & Water Board of New Orleans</i>	<i>Advice</i>
CO	<i>Grand Junction, City of</i>	<i>Advice</i>

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
AZ	KeyBanc Capital Markets	Advice
CO	Westminster (CO), City of	Advice
FL	Tavares, City of	Advice
CA	Vallejo, City of	Advice
TX	Round Rock, City of	Advice
TX	Addison, Town of	Advice
VA	Richmond Department of Finance - AP	Advice
FL	South Island Public Service District	Advice
FL	Polk Regional Water Cooperative	Advice
NC	Raleigh, City of	Advice
TX	Arlington Water Utilities, City of	Advice
KS	Lawrence, City of (KS)	Advice
OH	Northwestern Water & Sewer District	Advice
MI	Bloomfield Township	Advice
NV	Henderson, City of (NV)	Advice
TN	Hallsdale-Powell Utility District	Advice
CA	St. Helena, City of	Advice
FL	Orlando, City of	Advice
FL	St. Johns County	Advice
IL	Burr Ridge, Village of	Advice
CA	Madera County Water & Natural Resources	Advice
CO	Greeley, City of	Advice
OH	Delaware County	Advice
MI	Genesee County Division of Water & Waste Services	Advice
FL	City of Stuart	Advice
NC	Durham, City of	Advice
VA	Newport News, City of	Advice
SC	York County	Advice

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FL	Haines City, City of	Advice
TN	Hendersonville Utility District	Advice
MO	Jefferson City	Advice
CO	Paulding County	Advice
VA	Suffolk, City of	Advice
FL	Wakulla County Board of Commissioners	Advice
CO	Greeley, City of	Advice
KS	Lawrence, City of(KS)	Advice
MO	St. Louis MSD	Advice
TX	Midland, City of	Advice
MO	North Kansas City, City of	Advice
IA	Des Moines Water Works	Advice
MO	Smithville, City of (MO)	Advice
KS	Perry City of	Advice
TX	El Paso Water Utilities Pub Svc Board	Advice
CA	Redlands, City of	Advice
VA	Washington County Service Authority	Advice
HI	Kauai County Department of Water	Advice
MD	Baltimore, City of	Advice
VT	Burlington (VT), City of	Advice
CO	Trinidad, City of	Advice
NV	Clark County Water Reclamation District	Advice
GA	Villa Rica, City of	Advice
TN	Sevierville, City of	Advice
WA	Seattle Public Utilities	Advice
AR	Bentonville, City of	Advice
FL	Belleair, Town of	Advice
TN	Hallsdale-Powell Utility District	Advice
CA	Camarillo, City of	Advice
CA	Hollister, City of	Advice

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CA	<i>San Clemente, City of</i>	<i>Advice</i>
CA	<i>San Geronio Pass Water Agency</i>	<i>Advice</i>
SC	<i>Ridgeland, Town of</i>	<i>Advice</i>
AL	<i>Birmingham Water Works Board</i>	<i>Advice</i>
FL	<i>Tampa, City of</i>	<i>Advice</i>
NC	<i>Concord, City of</i>	<i>Advice</i>
CA	<i>Dixon, City of</i>	<i>Advice</i>
CA	<i>Coronado, City of</i>	<i>Advice</i>
CA	<i>San Bernardino, City of Water Department</i>	<i>Advice</i>
CA	<i>San Diego, City of Public Utilities Dept.</i>	<i>Advice</i>
NC	<i>Durham, City of</i>	<i>Advice</i>
CA	<i>Las Virgenes Municipal Water District</i>	<i>Advice</i>
FL	<i>Ocala, City of</i>	<i>Advice</i>
GA	<i>Columbus Water Works</i>	<i>Advice</i>
OH	<i>Dayton, City of (OH)</i>	<i>Advice</i>

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>None</i>		

FORM G-37



Signature: electronic

Date: *May 12, 2022*

Name (must be officer of regulated entity): *Bart Kreps*

Address: *227 West Trade Street, Charlotte, NC 28202*

Phone: *704-936-4438*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)