

# FORM G-37



**Name of Regulated Entity:** *PFM Swap Advisors LLC*

**Report Period:** *First Quarter of 2022*

## I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)  If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
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*None*

## II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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*None*

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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*None*

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**
**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
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*None*

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
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<i>TN</i>	<i>Blount County, TN</i>	<i>Advice</i>
<i>PA</i>	<i>Conestoga Valley School District</i>	<i>Advice</i>
<i>MI</i>	<i>Hope Network</i>	<i>Advice</i>
<i>PA</i>	<i>Pine-Richland SD</i>	<i>Advice</i>
<i>CA</i>	<i>Santa Clara, City of (SVP)</i>	<i>Advice</i>
<i>VA</i>	<i>Chesapeake Bay Bridge-Tunnel</i>	<i>Advice</i>
<i>NM</i>	<i>New Mexico DOT</i>	<i>Advice</i>
<i>VA</i>	<i>Norfolk, City of</i>	<i>Advice</i>
<i>FL</i>	<i>Palm Beach County School District</i>	<i>Advice</i>
<i>TX</i>	<i>Austin, City of</i>	<i>Advice</i>
<i>CA</i>	<i>Contra Costa Transportation Authority</i>	<i>Advice</i>
<i>NY</i>	<i>DASNY</i>	<i>Advice</i>
<i>PA</i>	<i>Unity Township Municipal Authority - UTMA</i>	<i>Advice</i>
<i>OR</i>	<i>Bonneville Power Administration</i>	<i>Advice</i>
<i>CA</i>	<i>Eastern Municipal Water District</i>	<i>Advice</i>
<i>CA</i>	<i>Irvine Ranch Water District</i>	<i>Advice</i>
<i>PA</i>	<i>Penn Manor School District</i>	<i>Advice</i>
<i>OH</i>	<i>Cleveland Museum of Art</i>	<i>Advice</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
GA	Georgia State University Foundation	Advice
PA	Hempfield School District	Advice
WA	PeaceHealth (Washington HCFA)	Advice
PA	Pittsburg, University of	Advice
CA	San Antonio Water System (SAWS)	Advice
FL	West Palm Beach, City of	Advice
FL	Winter Park Health Foundation, Inc.	Advice
GA	Georgia College	Advice
CA	Huntington Park, City of	Advice
WA	Washington State Convention Center (WSCC)	Advice
PA	Wistar Institute	Advice
GA	Georgia Gwinnett College Foundation	Advice
FL	Orlando Utilities Commission	Advice
CA	Southern California Public Power Authority (SCPPA)	Advice
FL	Tampa Port Authority	Advice
AL	USA Research and Technology Corporation (USA RTC)	Advice
IA	Iowa Finance Authority	Advice
FL	Jacksonville Port Authority	Advice
VT	Vermont State Colleges System	Advice
FL	JEA	Advice
CA	Northern California Power Agency (NCPA)	Advice
AL	University of South Alabama	Advice
NC	Durham County	Advice
WA	Energy Northwest	Advice
NV	Clark County, NV (McCarran Int'l Airport)	Advice

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<i>TX</i>	<i>CPS Energy</i>	<i>Advice</i>
<i>PA</i>	<i>Derry Township</i>	<i>Advice</i>
<i>PA</i>	<i>Derry Township ICDA</i>	<i>Advice</i>
<i>PA</i>	<i>SEPTA</i>	<i>Advice</i>
<i>PA</i>	<i>Owen J. Roberts School District</i>	<i>Advice</i>
<i>PA</i>	<i>Pittsburg, City of</i>	<i>Advice</i>
<i>NY</i>	<i>Southern Minnesota Municipal Power Agency</i>	<i>Advice</i>
<i>OH</i>	<i>Ohio, State of</i>	<i>Advice</i>
<i>CO</i>	<i>Platte River Power Authority</i>	<i>Advice</i>
<i>GA</i>	<i>VSU Auxiliary Services Real Estate Foundation, Inc.</i>	<i>Advice</i>
<i>CA</i>	<i>Modesto, City of</i>	<i>Advice</i>
<i>MD</i>	<i>Montgomery County Revenue Authority</i>	<i>Advice</i>
<i>CA</i>	<i>Northern California Energy Authority</i>	<i>Advice</i>
<i>FL</i>	<i>Polk County Public Schools</i>	<i>Advice</i>
<i>NV</i>	<i>Truckee Meadows Water Authority</i>	<i>Advice</i>
<i>MA</i>	<i>University of Massachusetts Building Authority (UMBA)</i>	<i>Advice</i>
<i>NH</i>	<i>University System of New Hampshire</i>	<i>Advice</i>
<i>FL</i>	<i>Broward County School District</i>	<i>Advice</i>
<i>CA</i>	<i>Sacramento Municipal Utilities District (SMUD)</i>	<i>Advice</i>
<i>WI</i>	<i>WPPI Energy</i>	<i>Advice</i>
<i>FL</i>	<i>City of Jacksonville (FL)</i>	<i>Advice</i>
<i>GA</i>	<i>SSU Foundation Real Estate Ventures, LLC</i>	<i>Advice</i>
<i>FL</i>	<i>USF Financing Corporation</i>	<i>Advice</i>
<i>FL</i>	<i>Gainesville Regional Utilities</i>	<i>Advice</i>
<i>ID</i>	<i>Idaho, University of</i>	<i>Advice</i>
<i>MA</i>	<i>Worcester Polytechnic</i>	<i>Advice</i>

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	<i>Institute</i>	
GA	<i>Board of Regents of the University System of Georgia</i>	<i>Advice</i>
NJ	<i>Delaware River Port Authority</i>	<i>Advice</i>
MN	<i>Minnesota Municipal Gas Agency (MMGA)</i>	<i>Advice</i>
PA	<i>Avon Grove School District</i>	<i>Advice</i>
CA	<i>Bay Area Toll Authority</i>	<i>Advice</i>
TX	<i>Metropolitan Transit Authority of Harris County</i>	<i>Advice</i>
GA	<i>Municipal Electric Authority of Georgia (MEAG)</i>	<i>Advice</i>
FL	<i>Pasco County School</i>	<i>Advice</i>
WA	<i>Port of Tacoma</i>	<i>Advice</i>
CA	<i>Sacramento Transportation Authority</i>	<i>Advice</i>
NC	<i>North Carolina Turnpike Authority</i>	<i>Advice</i>
TN	<i>Shelby County</i>	<i>Advice</i>
FL	<i>Hospice of Marion County, Inc.</i>	<i>Advice</i>
PA	<i>Lansing Board of Water &amp; Light</i>	<i>Advice</i>
MA	<i>Massachusetts Port Authority</i>	<i>Advice</i>
CA	<i>North Count Transit District</i>	<i>Advice</i>
VA	<i>Prince William County, VA</i>	<i>Advice</i>
PA	<i>Upper Merion Area SD</i>	<i>Advice</i>
IN	<i>Indiana Finance Authority</i>	<i>Advice</i>
CA	<i>City of Riverside (CA)</i>	<i>Advice</i>
MA	<i>Massachusetts Bay Transportation Authority</i>	<i>Advice</i>
CA	<i>Orange County Public Schools (Orange County School Board)</i>	<i>Advice</i>
SC	<i>Santee Cooper</i>	<i>Advice</i>
VA	<i>Virginia, University of</i>	<i>Advice</i>

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<i>OH</i>	<i>Kent State University</i>	<i>Advice</i>
<i>MA</i>	<i>Massachusetts Water Resources Authority (MWRA)</i>	<i>Advice</i>
<i>VA</i>	<i>Virginia Port Authority</i>	<i>Advice</i>
<i>PA</i>	<i>Colonial School District</i>	<i>Advice</i>
<i>MA</i>	<i>Massachusetts Educational Financing Authority (MEFA)</i>	<i>Advice</i>
<i>MN</i>	<i>Western Minnesota Municipal Power Agency</i>	<i>Advice</i>
<i>NY</i>	<i>Ford Foundation</i>	<i>Advice</i>
<i>CA</i>	<i>West Basin Municipal Water District</i>	<i>Advice</i>

**C. Ballot-Approved Offerings**

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>None</i>		

# FORM G-37



**Signature:** electronic

**Date:** *Apr 30, 2022*

**Name (must be officer of regulated entity):** *Gloria Wells*

**Address:** *1735 Market Street, Philadelphia, PA 19103*

**Phone:** *717-305-8904*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)