

Name of Regulated Entity: Academy Securities, Inc.

Report Period: Third Quarter of 2020

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State Complete name, title

(including any

city/county/state or other political subdivision) of municipal entity official Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)

If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date

of such automatic exemption.

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State Complete name (including

any city/county/state or other

political subdivision) of

political party

None

Payments by each contributor category



III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State Official name of bond ballot

campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities Contributions, including the specific date the contributions were made, by each contributor category

None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None



${\bf IV.\ MUNICIPAL\ ENTITIES\ with\ which\ the\ regulated\ entity\ has\ engaged\ in\ municipal\ securities\ business\ or\ municipal\ advisory\ business\ (list\ by\ state)}$

A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
PA	The School District of Philadelphia, Tax and Revenue Anticipation Notes Series A of 202	Negotiated underwriting
CA	City of Los Angeles, 2020 Tax and Revenue Anticipation notes	Negotiated underwriting
CA	The Regents of the University of California, General Revenue Bonds 2020 Series BG (Taxable)	Negotiated underwriting
CA	The Regents of the University of California, General Revenue Bonds 2020 Series BF (Taxable)	Negotiated underwriting
CA	The Regents of the University of California, General Revenue Bonds 2020 Series BE	Negotiated underwriting
NY	Dormitory Authority of the State of New York, State University of New York Dormitory Facilities	Negotiated underwriting
NY	Port Authority of New York & New Jersey, Consolidated Bonds, Two Hundred Twenty-First Serie	Negotiated underwriting
NY	New York State Urban Development Corporation, State Personal Income Tax Revenue Bonds Series 202	Negotiated underwriting
NY	New York State Urban Development Corporation, State Personal Income Tax Revenue Bonds (General P	Negotiated underwriting
NY	New York State Housing Finance Agency, Affordable Housing Revenue Bonds, 2020	Negotiated underwriting



A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
NY	Series F New York State Housing Finance Agency, Affordable Housing Revenue Bonds, 2020	Negotiated underwriting
TX	Series E (C Cities of Dallas and Fort Worth, Texas, Dallas Fort Worth International Airport Joint Reve	Negotiated underwriting
NY	New York City Housing Development Corporation, Multi-Family Housing Revenue Bonds, 2020 Series F-	Negotiated underwriting
CA	Department of Airports of the City of Los Angeles, California, Los Angeles International Airport	Negotiated underwriting
NY	New York City Transitional Finance Authority, Future Tax Secured Subordinate Bonds Fiscal 20201	Negotiated underwriting
MI	State of Michigan, State Trunk Line Fund Bonds, Series 2020B (Rebuild	Negotiated underwriting
NY	The City of New York, General Obligation Bonds, Fiscal 2021 Series A and	Negotiated underwriting
CA	Trustees of California State University, Systemwide Revenue Bonds Series 2020D (Taxable) Se	Negotiated underwriting
CA	Trustees of California State University, Systemwide Revenue Bonds Series 2020C	Negotiated underwriting
NY	Westchester County Local Development Corporation, Revenue Bonds, Series 2020 (Taxable) (Westchester	Negotiated underwriting
NY	New York Transportation Development Corporation, Special Facilities Revenue Bonds, Series 2020 (Del	Negotiated underwriting



A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
CA	State of California, General Obligation Bonds	Negotiated underwriting
NY	State of New York Mortgage Agency, Homeowner Mortgage Revenue Bonds (Social Bonds) Se	Negotiated underwriting
NY	State of New York Mortgage Agency, Homeowner Mortgage Revenue Bonds (Social Bonds) Se	Negotiated underwriting
MA	Massachusetts Housing Finance Agency, Single Family Housing Revenue Bonds, Series 217 (A	Negotiated underwriting
MA	Massachusetts Housing Finance Agency, 2020 Series C-1 (Non-AMT) (Sustainability Bonds) 2	Negotiated underwriting
NY	New York City Transitional Finance Authority, Future Tax Secured Subordinate Bonds Fiscal 20201	Negotiated underwriting
NY	New York City Transitional Finance Authority, Future Tax Secured Subordinate Bonds Fiscal 2021 S	Negotiated underwriting
TX	Harris County Flood Control District, Improvement Refunding Bonds Series 2020A	Negotiated underwriting
IL	Chicago Park District, General Obligation Unlimited Tax Bonds, Series 202	Negotiated underwriting
PA	Pennsylvania Turnpike Commission, Turnpike Revenue Bonds, Series B of 2020	Negotiated underwriting
NY	New York State Housing Finance Agency, Affordable Housing Revenue Bonds, 2020 Series H Re	Negotiated underwriting
NY	New York City Municipal Water Finance Authority,	Negotiated underwriting



A. Municipal Securities Business

State Complete name of municipal

entity and city/county

Water and Sewer System Second General Resolution R Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)

B. Municipal Advisory Business

State Complete name of municipal

entity and city/county

Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))

None

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity Issue Description

Reportable Date of Selection

None



Signature: electronic Date: May 5, 2021

Name (must be officer of regulated entity): Michael Boyd

Address: 140 East 45th Street, New York, NY 10017

Phone: 646-736-3995

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)