

Name of Regulated Entity: *Therber, Brock & Associates, LLC*

Report Period: *Fourth Quarter of 2020*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)
		If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>None</i>		

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
<i>IN</i>	<i>Bloomfield School District, Bloomfield, Greene</i>	<i>Advice</i>
<i>IN</i>	<i>Clarksville Community School Corporation, Clarksville, Clarke</i>	<i>Advice</i>
<i>IN</i>	<i>Flat Rock-Hawcreek School Corporation, Hope, Bartholomew</i>	<i>Advice</i>
<i>IN</i>	<i>Frankton-Lapel Community Schools, Anderson, Madison</i>	<i>Advice</i>
<i>IN</i>	<i>Greencastle Community School Corporation, Greencastle, Putnam</i>	<i>Advice</i>
<i>IN</i>	<i>Jay School Corporation, Portland, Jay & Blackford</i>	<i>Advice</i>
<i>IN</i>	<i>Lafayette School Corporation, Lafayette, Tippecanoe</i>	<i>Advice</i>
<i>IN</i>	<i>Linton-Stockton School Corporation, Linton, Greene</i>	<i>Advice</i>
<i>IN</i>	<i>Loogootee Public Library, Loogootee, Martin</i>	<i>Advice</i>
<i>IN</i>	<i>Nineveh-Hensley-Jackson United School District, Trafalgar, Johnson & Morgan</i>	<i>Advice</i>
<i>IN</i>	<i>Rossville Consolidated School District, Rossville, Clinton</i>	<i>Advice</i>

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
IN	<i>Scott County School District 1, Austin, Scott</i>	<i>Advice</i>
IN	<i>Scott County School District 2, Scottsburg, Scott</i>	<i>Advice</i>
IN	<i>Seymour Community Schools, Seymour, Jackson</i>	<i>Advice</i>
IN	<i>Shelby Eastern Schools, Shelbyville, Shelby</i>	<i>Advice</i>
IN	<i>Tippecanoe School Corporation, Lafayette, Tippecanoe</i>	<i>Advice</i>
IN	<i>Western School Corporation, Russiaville, Howard</i>	<i>Advice</i>
IN	<i>Ben Davis Conservancy District, Indianapolis, Marion</i>	<i>Advice</i>
IN	<i>Brown County Regional Sewer District, Nashville, Brown</i>	<i>Advice</i>
IN	<i>City of Jonesboro, Jonesboro, Grant</i>	<i>Advice</i>
IN	<i>Daviess County Rural Water, Washington, Daviess</i>	<i>Advice</i>
IN	<i>East Fork Regional Sewer District, Shoals, Martin</i>	<i>Advice</i>
IN	<i>Green Acres HOA, Kokomo, Howard</i>	<i>Advice</i>
IN	<i>Romney Regional Sewer District, Romney, Tippecanoe</i>	<i>Advice</i>
IN	<i>Steuben Lakes Regional Waste District, Angola, Steuben</i>	<i>Advice</i>
IN	<i>Thralls Station Regional Sewer District, St. Mary of the Woods, Vermillion</i>	<i>Advice</i>
IN	<i>Town of Advance, Advance, Boone</i>	<i>Advice</i>
IN	<i>Town of Andrews, Andrews, Huntington</i>	<i>Advice</i>

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IN	Town of Arcadia, Arcadia, Hamilton	Advice
IN	Town of Atlanta, Atlanta, Hamilton	Advice
IN	Town of Brooklyn, Brooklyn, Morgan	Advice
IN	Town of Cayuga, Cayuga, Vermillion	Advice
IN	Town of Crothersville, Crothersville, Jackson	Advice
IN	Town of Dana, Dana, Vermillion	Advice
IN	Town of Darlington, Darlington, Montgomery	Advice
IN	Town of Dayton, Dayton, Tippecanoe	Advice
IN	Town of Elizabethtown, Elizabethtown, Bartholomew	Advice
IN	Town of Fountain City, Fountain City, Wayne	Advice
IN	Town of Greentown, Greentown, Howard	Advice
IN	Town of Ingalls, Ingalls, Madison	Advice
IN	Town of Kingman, Kingman, Fountain	Advice
IN	Town of Kirclin, Kirclin, Clinton	Advice
IN	Town of LaCrosse, LaCrosse, LaPorte	Advice
IN	Town of Leavenworth, Leavenworth, Crawford	Advice
IN	Town of Lewisville, Lewisville, Henry	Advice
IN	Town of Linden, Linden, Montgomery	Advice
IN	Town of Linden, Linden,	Advice

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	<i>Parke Town of Lyons, Lyons, Greene</i>	<i>Advice</i>
<i>IN</i>	<i>Town of Monrovia, Monrovia, Morgan</i>	<i>Advice</i>
<i>IN</i>	<i>Town of Morgantown, Morgantown, Morgan</i>	<i>Advice</i>
<i>IN</i>	<i>Town of New Whiteland, New Whiteland, Johnson</i>	<i>Advice</i>
<i>IN</i>	<i>Town of Princes Lakes, Princes Lakes, Johnson</i>	<i>Advice</i>
<i>IN</i>	<i>Town of Rockville, Rockville, Parke</i>	<i>Advice</i>
<i>IN</i>	<i>Town of Russellville, Russellville, Putnam</i>	<i>Advice</i>
<i>IN</i>	<i>Town of Russiaville, Russiaville, Howard</i>	<i>Advice</i>
<i>IN</i>	<i>Town of Sandborn, Sandborn, Knox</i>	<i>Advice</i>
<i>IN</i>	<i>Town of Sellersburg, Sellersburg, Clarke</i>	<i>Advice</i>
<i>IN</i>	<i>Town of Shirley, Shirley, Hancock & Henry</i>	<i>Advice</i>
<i>IN</i>	<i>Town of Shoals, Shoals, Martin</i>	<i>Advice</i>
<i>IN</i>	<i>Town of Sweetser, Sweetser, Grant</i>	<i>Advice</i>
<i>IN</i>	<i>Town of Thorntown, Thorntown, Howard</i>	<i>Advice</i>
<i>IN</i>	<i>Town of Universal, Universal, Vermillion</i>	<i>Advice</i>
<i>IN</i>	<i>Town of West College Corner, West College Corner, Union</i>	<i>Advice</i>
<i>IN</i>	<i>Town of Williamsport, Williamsport, Fountain</i>	<i>Advice</i>
<i>IN</i>	<i>Town of Windfall, Windfall, Tipton</i>	<i>Advice</i>
<i>IN</i>	<i>Van Bibber Lake Conservancy</i>	<i>Advice</i>

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<i>IN</i>	<i>District, Greencastle, Putnam West Lakes Regional Sewer District, West Lakes, Noble</i>	<i>Advice</i>

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>None</i>		

FORM G-37



Signature: electronic

Date: *Feb 15, 2021*

Name (must be officer of regulated entity): *Lonnie Therber*

Address: *11550 N. Meridian St., Carmel, IN 46032*

Phone: *317-637-9572*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)