

Name of Regulated Entity: *Lamont Financial Services Corporation*

Report Period: *Fourth Quarter of 2020*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)
		If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>None</i>		

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
<i>NY</i>	<i>New York City Municipal Water Finance Authority (NYW) (010), New York City, New York</i>	<i>Advice</i>
<i>NY</i>	<i>New York Dormitory Authority of the State of New York (DASNY) (005), Albany, Albany</i>	<i>Advice</i>
<i>NY</i>	<i>New York Nassau County Interim Financial Authority (NIFA) (015), Mineola, Nassau</i>	<i>Advice</i>
<i>NY</i>	<i>New York State Comptroller's Office (032), Albany, Albany</i>	<i>Advice</i>
<i>NY</i>	<i>New York Westchester Medical Center (040), New York City, New York</i>	<i>Advice</i>
<i>PA</i>	<i>Pennsylvania Infrastructure Investment Authority (PENNVEST) (475), Harrisburg, Dauphin</i>	<i>Advice</i>
<i>PA</i>	<i>Pennsylvania PENNVEST CP (477), Harrisburg, Dauphin</i>	<i>Advice</i>
<i>NY</i>	<i>Syncora Guarantee - Puerto Rico (424), New York City, New York</i>	<i>Advice</i>

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
WI	Wisconsin State of Wisconsin (225), Madison, Dane	Advice
CA	California Department of Finance (611), Sacramento, Sacramento	Advice
CA	California Energy Commission (CA CEC) (625), Sacramento, Sacramento	Advice
CA	California Golden State Tobacco Securitization Corporation (612), Sacramento, Sacramento	Advice
CA	California Infrastructure and Economic Development Bank (651), Sacramento, Sacramento	Advice
CT	Connecticut Capital Regional Development Authority (CRDA) (130), Hartford, Hartford	Advice
CT	Connecticut Development Authority (CDA) (140), Hartford, Hartford	Advice
CT	Connecticut Green Bank (125), Hartford, Hartford	Advice
CT	Connecticut Housing Finance Authority (CHFA) (150), Hartford, Hartford	Advice
CT	Connecticut The Metropolitan District of Hartford, Connecticut (MDC) (155), Hartford, Hartford	Advice
LA	Louisiana SHIF (705), Baton Rouge, East Baton Rouge Parish	Advice
LA	Louisiana State Highway Improvement Fund (LA SHIF) (706), Baton Rouge, East	Advice

B. Municipal Advisory Business

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LA	Baton Rouge Parish Louisiana State of Louisiana (700), Baton Rouge, East Baton Rouge Parish	Advice
ME	Maine State Housing Authority (MSHA) (570), Augusta, Kennebec	Advice
NJ	New Jersey Educational Facilities Authority (EFA) (453), Princeton, Mercer	Advice
NJ	New Jersey Infrastructure Bank (456), Lawrenceville, Mercer	Advice
NY	Charter Impact Fund Equitable School Revolving Fund, New York, New York	Advice
LA	State of Louisiana State Bond Commission, Baton Rouge, East Baton Rouge Parish	Solicitation; State Bond Commission; Municipal advisory business

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
None		

FORM G-37



Signature: electronic

Date: *Feb 1, 2021*

Name (must be officer of regulated entity): *HEATHER LAMB*

Address: *30 Two Bridges Road, Fairfield, NJ 07004*

Phone: *973-785-8900*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)