

**FORM G-37**



**Name of Regulated Entity:** *Stephen L. Smith Corp.*

**Report Period:** *Fourth Quarter of 2020*

**I. CONTRIBUTIONS made to officials of a municipal entity (list by state)**

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)  If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
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*None*

**II. PAYMENTS made to political parties of states or political subdivisions (list by state)**

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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*None*

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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*None*

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**
**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
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*None*

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
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<i>OK</i>	<i>Achille Public Schools, Bryan</i>	<i>Advice</i>
<i>OK</i>	<i>Adair Public Schools, Mayes</i>	<i>Advice</i>
<i>OK</i>	<i>Aline-Cleo Public Schools, Major</i>	<i>Advice</i>
<i>OK</i>	<i>Anderson Public Schools, Creek</i>	<i>Advice</i>
<i>OK</i>	<i>Asher Public Schools, Pottawatomie</i>	<i>Advice</i>
<i>OK</i>	<i>Atoka Public Schools, Atoka</i>	<i>Advice</i>
<i>OK</i>	<i>Avant Public Schools, Osage</i>	<i>Advice</i>
<i>OK</i>	<i>Balko Public Schools, Beaver</i>	<i>Advice</i>
<i>OK</i>	<i>Battiest Public Schools, McCurtain</i>	<i>Advice</i>
<i>OK</i>	<i>Beaver Public Schools, Beaver</i>	<i>Advice</i>
<i>OK</i>	<i>Beggs Public Schools, Okmulgee</i>	<i>Advice</i>
<i>OK</i>	<i>Bennington Public Schools, Bryan</i>	<i>Advice</i>
<i>OK</i>	<i>Bixby Public Schools, Tulsa</i>	<i>Advice</i>
<i>OK</i>	<i>Bluejacket Public Schools, Craig</i>	<i>Advice</i>
<i>OK</i>	<i>Boise City Public Schools, Cimarron</i>	<i>Advice</i>
<i>OK</i>	<i>Bokoshe Public Schools,</i>	<i>Advice</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
OK	<i>LeFlore Broken Arrow Public Schools, Tulsa</i>	<i>Advice</i>
OK	<i>Brushy Public Schools, Sequoyah</i>	<i>Advice</i>
OK	<i>Canadian Valley Technology Center, Canadian</i>	<i>Advice</i>
OK	<i>Caney Public Schools, Atoka</i>	<i>Advice</i>
OK	<i>Carnegie Public Schools, Caddo</i>	<i>Advice</i>
OK	<i>Cashion Public Schools, Kingfisher</i>	<i>Advice</i>
OK	<i>Cement Public Schools, Caddo</i>	<i>Advice</i>
OK	<i>Chelsea Public Schools, Rogers</i>	<i>Advice</i>
OK	<i>Cherokee Public Schools, Alfalfa</i>	<i>Advice</i>
OK	<i>Chisholm Public Schools, Garfield</i>	<i>Advice</i>
OK	<i>Cimarron County Commissioners, Cimarron</i>	<i>Advice</i>
OK	<i>Cimarron Public Schools, Major</i>	<i>Advice</i>
OK	<i>Claremore Public Schools, Rogers</i>	<i>Advice</i>
OK	<i>Coalgate Public Schools, Coal</i>	<i>Advice</i>
OK	<i>Colcord Public Schools, Delaware</i>	<i>Advice</i>
OK	<i>Coleman Public Schools, Johnston</i>	<i>Advice</i>
OK	<i>Collinsville Public Schools, Tulsa</i>	<i>Advice</i>
OK	<i>Commerce Public Schools, Ottawa</i>	<i>Advice</i>
OK	<i>Copan Public Schools,</i>	<i>Advice</i>

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OK	<i>Washington Cottonwood Public Schools, Coal</i>	<i>Advice</i>
OK	<i>Coweta Public Schools, Wagoner</i>	<i>Advice</i>
OK	<i>Crescent Public Schools, Kingfisher</i>	<i>Advice</i>
OK	<i>Cyril Public Schools, Caddo</i>	<i>Advice</i>
OK	<i>Dale Public Schools, Pottawatomie</i>	<i>Advice</i>
OK	<i>Davis Public Schools, Murray</i>	<i>Advice</i>
OK	<i>Deer Creek Public Schools, Oklahoma</i>	<i>Advice</i>
OK	<i>Depew Public Schools, Creek</i>	<i>Advice</i>
OK	<i>Dibble Public Schools, McClain</i>	<i>Advice</i>
OK	<i>Drummond Public Schools, Garfield</i>	<i>Advice</i>
OK	<i>Drumright Public Schools, Creek</i>	<i>Advice</i>
OK	<i>Eastern Oklahoma County Technology Center, Oklahoma</i>	<i>Advice</i>
OK	<i>El Reno Public Schools, Canadian</i>	<i>Advice</i>
OK	<i>Elk City Public Schools, Beckham</i>	<i>Advice</i>
OK	<i>Fairland Public Schools, Ottawa</i>	<i>Advice</i>
OK	<i>Fairview Public Schools, Major</i>	<i>Advice</i>
OK	<i>Felt Public Schools, Cimarron</i>	<i>Advice</i>
OK	<i>Forgan Public Schools, Beaver</i>	<i>Advice</i>
OK	<i>Foyil Public Schools, Rogers</i>	<i>Advice</i>
OK	<i>Francis Tuttle Technology Center, Oklahoma</i>	<i>Advice</i>
OK	<i>Freedom Public Schools,</i>	<i>Advice</i>

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OK	<i>Woods Gans Public Schools, Sequoyah</i>	<i>Advice</i>
OK	<i>Garber Public Schools, Garfield</i>	<i>Advice</i>
OK	<i>Geronimo Public Schools, Comanche</i>	<i>Advice</i>
OK	<i>Goodwell Public Schools, Texas</i>	<i>Advice</i>
OK	<i>Gordon Cooper Technology Center, Pottawatomie</i>	<i>Advice</i>
OK	<i>Gore Public Schools, Sequoyah</i>	<i>Advice</i>
OK	<i>Gracemont Public Schools, Caddo</i>	<i>Advice</i>
OK	<i>Grove Public Schools, Pottawatomie</i>	<i>Advice</i>
OK	<i>Hammon Public Schools, Ellis</i>	<i>Advice</i>
OK	<i>Hartshorne Public Schools, Pittsburg</i>	<i>Advice</i>
OK	<i>Haskell Public Schools, Muskogee</i>	<i>Advice</i>
OK	<i>Hennessey Public Schools, Kingfisher</i>	<i>Advice</i>
OK	<i>Henryetta Public Schools, Okmulgee</i>	<i>Advice</i>
OK	<i>Hilldale Public Schools, Muskogee</i>	<i>Advice</i>
OK	<i>High Plains Technology Center, Woodward</i>	<i>Advice</i>
OK	<i>Hominy Public Schools, Osage</i>	<i>Advice</i>
OK	<i>Hooker Public Schools, Texas</i>	<i>Advice</i>
OK	<i>Indiahoma Public Schools, Comanche</i>	<i>Advice</i>
OK	<i>Indianola Public Schools, Pittsburg</i>	<i>Advice</i>

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OK	Jay Public Schools, Delaware	Advice
OK	Kiefer Public Schools, Creek	Advice
OK	Kildare Public Schools, Kay	Advice
OK	Kingston Public Schools, Marshall	Advice
OK	Kinta Public Schools, Haskell	Advice
OK	Krebs Public Schools, Pittsburg	Advice
OK	Kremlin-Hillsdale Public Schools, Garfield	Advice
OK	Locust Grove Public Schools, Mayes	Advice
OK	Lone Grove Public Schools, Carter	Advice
OK	Macomb Public Schools, Pottawatomie	Advice
OK	Mannford Public Schools, Creek	Advice
OK	Maple Public Schools, Canadian	Advice
OK	Mayes County Commissioners, Mayes	Advice
OK	McCurtain Public Schools, Haskell	Advice
OK	McLoud Public Schools, Pottawatomie	Advice
OK	Medford Public Schools, Grant	Advice
OK	Meridian Technology Center, Payne	Advice
OK	Midway Public Schools, McIntosh	Advice
OK	Millwood Public Schools, Oklahoma	Advice
OK	Mooreland Public Schools, Woodward	Advice
OK	Morris Public Schools,	Advice

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OK	<i>Okmulgee Morrison Public Schools, Noble</i>	<i>Advice</i>
OK	<i>Mounds Public Schools, Creek</i>	<i>Advice</i>
OK	<i>Mountain View-Gotebo Public Schools, Kiowa</i>	<i>Advice</i>
OK	<i>Mulhall-Orlando Public Schools, Logan</i>	<i>Advice</i>
OK	<i>Newkirk Public Schools, Kay</i>	<i>Advice</i>
OK	<i>Ninnekah Public Schools, Grady</i>	<i>Advice</i>
OK	<i>North Rock Creek Public Schools, Pottawatomie</i>	<i>Advice</i>
OK	<i>Okay Public Schools, Wagoner</i>	<i>Advice</i>
OK	<i>Okeene Public Schools, Blaine</i>	<i>Advice</i>
OK	<i>Oklahoma Union Public Schools, Nowata</i>	<i>Advice</i>
OK	<i>Oktaha Public Schools, Muskogee</i>	<i>Advice</i>
OK	<i>Osage Public Schools, Mayes</i>	<i>Advice</i>
OK	<i>Pawnee Public Schools, Pawnee</i>	<i>Advice</i>
OK	<i>Perkins Tryon Public Schools, Payne</i>	<i>Advice</i>
OK	<i>Perry Public Schools, Noble</i>	<i>Advice</i>
OK	<i>Piedmont Public Schools, Canadian</i>	<i>Advice</i>
OK	<i>Pioneer Technology Center, Kay</i>	<i>Advice</i>
OK	<i>Pioneer-Pleasant Vale Public Schools, Garfield</i>	<i>Advice</i>
OK	<i>Pleasant Grove Public Schools, Pottawatomie</i>	<i>Advice</i>
OK	<i>Porter Consolidated Public</i>	<i>Advice</i>



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OK	<i>Schools, Wagoner Prague Public Schools, Lincoln</i>	Advice
OK	<i>Pryor Public Schools, Mayes</i>	Advice
OK	<i>Putnam City Public Schools, Oklahoma</i>	Advice
OK	<i>Quapaw Public Schools, Ottawa</i>	Advice
OK	<i>Quinton Public Schools, Pittsburg</i>	Advice
OK	<i>Ripley Public Schools, Payne</i>	Advice
OK	<i>Roff Public Schools, Pontotoc</i>	Advice
OK	<i>Rural Water District No. 7, Rogers</i>	Advice
OK	<i>Salina Public Schools, Mayes</i>	Advice
OK	<i>Seminole Public Schools, Seminole</i>	Advice
OK	<i>Sequoyah Public Schools, Rogers</i>	Advice
OK	<i>Shawnee Public Schools, Pottawatomie</i>	Advice
OK	<i>Shidler Public Schools, Osage</i>	Advice
OK	<i>Skiatook Public Schools, Tulsa</i>	Advice
OK	<i>Snyder Public Schools, Kiowa</i>	Advice
OK	<i>Sperry Public Schools, Tulsa</i>	Advice
OK	<i>Stringtown Public Schools, Atoka</i>	Advice
OK	<i>Stuart Public Schools, Hughes</i>	Advice
OK	<i>Tannehill Public Schools, Pittsburg</i>	Advice
OK	<i>Texhoma Public Schools, Texas</i>	Advice
OK	<i>Timberlake Public Schools, Alfalfa</i>	Advice
OK	<i>Turpin Public Schools, Beaver</i>	Advice
OK	<i>Tushka Public Schools, Atoka</i>	Advice

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OK	Waukomis Public Schools, Garfield	Advice
OK	Webbers Falls Public Schools, Muskogee	Advice
OK	Welch Public Schools, Craig	Advice
OK	White Rock Public Schools, Lincoln	Advice
OK	Wister Public Schools, LeFlore	Advice
OK	Wright City Public Schools, McCurtain	Advice
OK	Woodward Public Schools, Woodward	Advice
OK	Yale Public Schools, Payne	Advice

**C. Ballot-Approved Offerings**

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
Copan Public Schools Washington County, Oklahoma	\$750,000 General Obligation Combined Purpose Bonds	11/01/2020
North Rock Creek Public Schools Pottawatomie County, Oklahoma	\$1,675,000 Building Bonds	10/01/2020
Shidler Public Schools Osage	\$1,220,000 Building Bonds	10/01/2020

**C. Ballot-Approved Offerings**

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
County, Oklahoma Caney Public Schools Atoka	\$245,000 Building Bonds	10/01/2020
County, Oklahoma Drummond Public Schools Garfield	\$210,000 Building Bonds	10/01/2020
County, Oklahoma Kremlin-Hillsdale Public Schools Garfield	\$680,000 Building Bonds	10/01/2020
County, Oklahoma Prague Public Schools Lincoln	\$780,000 Building Bonds	10/01/2020
County, Oklahoma McLoud Public Schools Pottawatomie	\$760,000 Building Bonds	11/01/2020
County, Oklahoma Aline-Cleo Public Schools Major County	\$400,000 Building Bonds	11/01/2020
County, Oklahoma Pioneer-Pleasant Vale Garfield	\$550,000 Building Bonds	11/01/2020
County, Oklahoma Cashion Public Schools Kingfisher	\$985,000 Building Bonds	11/01/2020

**C. Ballot-Approved Offerings**

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>Gore Public Schools Sequoyah County, Oklahoma</i>	<i>\$510,000 Building Bonds</i>	<i>11/01/2020</i>
<i>Anderson Public Schools Osage County, Oklahoma</i>	<i>\$600,000 Building Bonds</i>	<i>11/01/2020</i>
<i>Hartshorne Public Schools Pittsburg County, Oklahoma</i>	<i>\$365,000 Building Bonds</i>	<i>11/01/2020</i>
<i>Fairland Public Schools Ottawa County, Oklahoma</i>	<i>\$550,000 Taxable General Obligation Combined Purpose Bonds</i>	<i>12/01/2020</i>

## FORM G-37



**Signature:** electronic

**Date:** *Jan 31, 2021*

**Name (must be officer of regulated entity):** *Jordan Smith*

**Address:** *531 East A Street, Jenks, OK 74037*

**Phone:** *918-296-9980*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)