

Name of Regulated Entity: *TKG & Associates LLC*

Report Period: *Third Quarter of 2020*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)
		If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>None</i>		

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
CA	<i>City of Garden Grove, City of Garden Grove</i>	<i>Solicitation; City of Garden Grove; Municipal advisory business</i>
CA	<i>City of Galt, City of Galt</i>	<i>Solicitation; City of Galt; Municipal advisory business</i>
CA	<i>City of Fullerton, City of Fullerton</i>	<i>Solicitation; City of Fullerton; Municipal advisory business</i>
CA	<i>City of Foster City, City of Foster City</i>	<i>Solicitation; City of Foster City; Municipal advisory business</i>
CA	<i>City of Emeryville, City of Emeryville</i>	<i>Solicitation; City of Emeryville; Municipal advisory business</i>
CA	<i>City of Escondido, City of Escondido</i>	<i>Solicitation; City of Escondido; Municipal advisory business</i>
CA	<i>City of Dixon, City of Dixon</i>	<i>Solicitation; City of Dixon; Municipal advisory business</i>
CA	<i>City of Davis, City of Davis</i>	<i>Solicitation; City of Davis; Municipal advisory business</i>
CA	<i>City of Fremont, City of Fremont</i>	<i>Solicitation; City of Fremont; Municipal advisory business</i>
CA	<i>City of Fountain Valley, City of Fountain Valley</i>	<i>Solicitation; City of Fountain Valley; Municipal advisory business</i>
CA	<i>City of Folsom, City of Folsom</i>	<i>Solicitation; City of Folsom; Municipal advisory business</i>
CA	<i>City of Eureka, City of Eureka</i>	<i>Solicitation; City of Eureka; Municipal advisory business</i>
CA	<i>City of Encinitas, City of Encinitas</i>	<i>Solicitation; City of Encinitas; Municipal advisory business</i>

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
CA	<i>City of Elk Grove, City of Elk Grove</i>	<i>Solicitation; City of Elk Grove; Municipal advisory business</i>
CA	<i>City of El Monte, City of El Monte</i>	<i>Solicitation; City of El Monte; Municipal advisory business</i>
CA	<i>City of El Centro, City of El Centro</i>	<i>Solicitation; City of El Centro; Municipal advisory business</i>
CA	<i>City of Commerce, City of Commerce</i>	<i>Solicitation; City of Commerce; Municipal advisory business</i>
CA	<i>City of Dublin, City of Dublin</i>	<i>Solicitation; City of Dublin; Municipal advisory business</i>
CA	<i>City of Downey, City of Downey</i>	<i>Solicitation; City of Downey; Municipal advisory business</i>
CA	<i>City of Duarte, City of Duarte</i>	<i>Solicitation; City of Duarte; Municipal advisory business</i>
CA	<i>City of Benecia, City of Benecia</i>	<i>Solicitation; City of Benecia; Municipal advisory business</i>
PA	<i>Pennsylvania Turnpike Commission, PENNSYLVANIA</i>	<i>Solicitation; Pennsylvania Turnpike Commission; Municipal advisory business</i>
TX	<i>Harris County Flood Control, HARRIS COUNTY, HARRIS COUNTY</i>	<i>Solicitation; Harris County Flood Control; Municipal advisory business</i>
TX	<i>Eaton Vance, VARIOUS, EATON VANCE</i>	<i>Solicitation; Eaton Vance; Municipal advisory business</i>
TX	<i>City of Lancaster, Lancaster</i>	<i>Solicitation; City of Lancaster; Municipal advisory business</i>
TX	<i>Dallas County, DALLAS, DALLAS COUNTY</i>	<i>Solicitation; Dallas County; Municipal advisory business</i>
	<i>Virgin Islands Housing Authority, VIRGIN ISLANDS</i>	<i>Solicitation; Virgin Islands Housing Authority; Municipal advisory business</i>
TX	<i>City of Dallas, DALLAS</i>	<i>Advice</i>
TX	<i>Fort Bend County, FT BEND COUNTY</i>	<i>Advice</i>
VA	<i>Virginia College Building Authority, RICHMOND</i>	<i>Advice</i>
TX	<i>OST Alameda Corridors Redevelopment Auth,</i>	<i>Advice</i>

B. Municipal Advisory Business

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CT	HOUSTON/HARRIS City of New Haven, NEW HAVEN	Advice
NY	New York State Environmental Facilities Corporation, NEW YORK	Advice
TX	City of Houston, HOUSTON	Advice
TX	Metropolitan Transit Authority of Harris County(METRO), VARIOUS	Advice
CT	State of Connecticut, CONNECTICUT	Advice
MO	City of St Louis, ST LOUIS	Advice
TX	Hiram Clarke/ Ft Bend Redevelopment Authority, HOUSTON/HARRIS	Advice
IL	Chicago Public Schools, CHICAGO	Advice
TX	Houston Community College, VARIOUS	Advice
TX	City of Houston, HOUSTON	Advice
TX	Midtown Redevelopment Authority, HOUSTON/HARRIS	Advice
TX	Dallas County, DALLAS, DALLAS COUNTY	Advice
GA	MARTA, ATLANTA	Advice
TX	Uptown Development Authority, HOUSTON/HARRIS	Advice
TX	City of Houston, HOUSTON	Advice
IL	Illinois State Toll Highway Authority, DOWNERS GROVE	Advice

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>None</i>		

FORM G-37



Signature: electronic

Date: *Nov 3, 2020*

Name (must be officer of regulated entity): *CHARLOTTE KNIGHT-MARSHALL*

Address: *8303 Southwest Freeway, Houston, TX 77074*

Phone: *713-778-1707*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)