

Name of Regulated Entity: *Mesirow Financial Inc*

Report Period: *Third Quarter of 2020*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer) If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
<i>None</i>		

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
<i>None</i>		

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)
A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
IL	CCSD #30-C, Illinois (Troy), Troy, Will	Private placement
TX	Texas Transportation Commission, Travis	Negotiated underwriting
IL	Illinois Finance Authority (CUSD #220 Project), Lake Cook Kane McHenry	Negotiated underwriting
TX	Cypress-Fairbanks Independent School District, Harris	Negotiated underwriting
TX	City of San Antonio, Texas, San Antonio, Bexar	Negotiated underwriting
TX	City of San Antonio, Texas, San Antonio, Bexar	Negotiated underwriting
NY	New York State Urban Development Corporation, New York	Negotiated underwriting
NY	New York State Urban Development Corporation, New York	Negotiated underwriting
IN	Town of Schererville, Indiana, Lake	Negotiated underwriting
TX	Board of Regents of Texas Tech University System, Lubbock	Negotiated underwriting
TX	Board of Regents of Texas A&M University System, Brazos	Negotiated underwriting
CT	Town of Hamden, Connecticut, New Haven	Negotiated underwriting
CA	State of California Department of Water Resources, Sacramento	Negotiated underwriting
CA	State of California Department of Water Resources, Sacramento	Negotiated underwriting
IL	SD #127-1/2, Illinois (Chicago Ridge), Chicago	Negotiated underwriting

A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
IL	Ridge, Cook CCSD #21, Illinois (Wheeling) , Wheeling, Cook	Negotiated underwriting
IL	ECHO Joint Agreement for Special Education, Illinois , Cook	Negotiated underwriting
IL	Illinois Finance Authority, Cook	Negotiated underwriting
AZ	City of Tucson, Arizona, Tucson, Pima	Negotiated underwriting
CT	City of New Britain, Connecticut, New Britain, Hartford	Negotiated underwriting
IL	Village of Bolingbrook, Illinois , Bolingbrook, Will DuPage	Negotiated underwriting
IL	CHSD #303, Illinois (Pekin), Pekin, Tazewell	Negotiated underwriting
IL	SD #87, Illinois (Berkeley) , Berkeley, Cook	Negotiated underwriting
TX	Dallas Area Rapid Transit, Dallas	Negotiated underwriting
IL	Chicago Transit Authority, Chicago, Cook	Negotiated underwriting
CA	State of California, Sacramento	Negotiated underwriting
IL	CUSD #88, Illinois (Plano), Plano, Kendall	Negotiated underwriting
IL	CUSD #88, Illinois (Plano), Plano, Kendall	Negotiated underwriting
IL	CUSD #5, Illinois (Manteno), Manteno, Kankakee Will	Negotiated underwriting
IL	The County of Peoria, Illinois, Peoria	Negotiated underwriting
IN	City of Fishers, Indiana, Fisher, Hamilton	Negotiated underwriting
IL	City of Peoria, Peoria	Remarketing agent
IL	Illinois Housing Development Authority, Knox	Remarketing agent
IL	Illinois Housing Development Authority, Livingston	Remarketing agent
IL	Illinois Housing Development	Remarketing agent

A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
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Authority, Jefferson

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
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None

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
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None

FORM G-37



Signature: electronic

Date: *Oct 16, 2020*

Name (must be officer of regulated entity): *Jonathan Stanislaw*

Address: *353 N. Clark Street, Chicago, IL 60654*

Phone: *312-595-7291*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)