

Name of Regulated Entity: *Cumberland Securities Company, Inc.*

Report Period: *Third Quarter of 2020*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)
		If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)
A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
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None

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
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<i>TN</i>	<i>Lewisburg, Lewisburg, Marshall</i>	<i>Advice</i>
<i>TN</i>	<i>Clay Gas Utility District, Celina, Clay</i>	<i>Advice</i>
<i>TN</i>	<i>Fentress County, Fentress</i>	<i>Advice</i>
<i>TN</i>	<i>Morgan County, Morgan</i>	<i>Advice</i>
<i>TN</i>	<i>Anderson County Water Authority, Anderson & Campbell</i>	<i>Advice</i>
<i>TN</i>	<i>Covenant Health, Knoxville, Knox</i>	<i>Advice</i>
<i>TN</i>	<i>Dayton, Dayton, Rhea</i>	<i>Advice</i>
<i>TN</i>	<i>Gatlinburg, Gatlinburg, Sevier</i>	<i>Advice</i>
<i>TN</i>	<i>Rockwood, Rockwood, Roane</i>	<i>Advice</i>
<i>TN</i>	<i>Spring City, Spring City, Rhea</i>	<i>Advice</i>
<i>TN</i>	<i>Covington, Covington, Tipton</i>	<i>Advice</i>
<i>TN</i>	<i>Hamblen County, Hamblen</i>	<i>Advice</i>
<i>TN</i>	<i>Scott County, Scott</i>	<i>Advice</i>
<i>TN</i>	<i>Selmer, Selmer, McNairy</i>	<i>Advice</i>
<i>TN</i>	<i>Sevier County Utility District, Sevierville, Sevier</i>	<i>Advice</i>
<i>TN</i>	<i>Union City, Union City, Obion</i>	<i>Advice</i>
<i>TN</i>	<i>Bradley County, Bradley</i>	<i>Advice</i>

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
TN	Gibson County, Gibson	Advice
TN	Cocke County, Cocke	Advice
TN	Alcoa, Alcoa, Blount	Advice
TN	Lafollette Board of Public Utilities, Lafollette, Campbell	Advice
TN	Celina, Celina, Clay	Advice
TN	Unicoi (Town of), Unicoi, Unicoi	Advice
TN	Bolivar Energy Authority, Hardeman	Advice
TN	Lake County, Lake	Advice
TN	Obion County, Obion County	Advice
TN	Pigeon Forge, Pigeon Forge, Sevier	Advice
TN	Anderson County, Anderson	Advice
TN	Dunlap, Dunlap, Sequatchie	Advice
TN	Hendersonville Utility District, Sumner	Advice
TN	Rocky Top, Rocky Top, Anderson & Campbell	Advice
TN	Montgomery County, Montgomery	Advice
TN	Cumberland County, Cumberland	Advice
TN	Johnson County, Johnson	Advice
TN	LaFollette, LaFollette, Campbell	Advice
TN	Roane County, Roane	Advice
TN	Lenoir City, Lenoir City, Loudon	Advice
TN	McNairy County, McNairy	Advice
TN	Morristown, Morristown, Hamblen	Advice
TN	Newport, Newport, Cocke	Advice
TN	Savannah, Savannah, Hardin	Advice
TN	Bon Aqua-Lyles Utility District, Lyles, Hickman	Advice

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TN	Cumberland Utility District, Roane & Morgan	Advice
TN	Grainger County, Grainger	Advice
TN	Harriman, Harriman, Roane	Advice
TN	Hiwassee Utility Commission, Charleston, Bradley & McMinn	Advice
TN	Milan Special School District, Milan, Gibson	Advice
TN	Hardin County Emergency Communications District, Savannah, Hardin County	Advice
TN	Chester County, Chester	Advice
TN	Dandridge, Dandridge, Jefferson	Advice
TN	Dyer County, Dyer	Advice
TN	Hardeman County, Hardeman	Advice
TN	Monterey, Monterey, Putnam	Advice
TN	Citizen's Gas Utility of Scott and Morgan Counties, Scott & Morgan	Advice
TN	Fairview, Fairview, Williamson	Advice
TN	Jefferson City, Jefferson City, Jefferson	Advice
TN	West Knox Utility District, Knox	Advice
TN	First Utility District of Hawkins County, Church Hill, Hawkins	Advice
TN	Bristol, Bristol, Sullivan	Advice
TN	Murfreesboro, Murfreesboro, Rutherford	Advice
TN	Hawkins County, Hawkins	Advice
TN	Public Building Authority of Sevier County, Sevier	Advice
TN	Byrdstown, Byrdstown,	Advice

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	<i>Pickett</i>	
TN	<i>Campbell County, Campbell</i>	<i>Advice</i>
TN	<i>Cookeville, Cookeville, Putnam</i>	<i>Advice</i>
TN	<i>Warren County, Warren</i>	<i>Advice</i>
TN	<i>Bledsoe County, Bledsoe</i>	<i>Advice</i>
TN	<i>Hancock County, Hancock</i>	<i>Advice</i>
TN	<i>Lawrenceburg, Lawrenceburg, Lawrence</i>	<i>Advice</i>
TN	<i>Fayetteville, Fayetteville, Lincoln</i>	<i>Advice</i>
TN	<i>Huntingdon Special School District, Huntingdon, Carroll</i>	<i>Advice</i>
TN	<i>Smith County, Smith</i>	<i>Advice</i>
TN	<i>Union County, Union</i>	<i>Advice</i>
TN	<i>Knoxville Utilities Board, Knoxville, Knox</i>	<i>Advice</i>
TN	<i>Oak Ridge, Oak Ridge, Anderson & Roane</i>	<i>Advice</i>
TN	<i>Trenton Special School District, Trenton, Gibson</i>	<i>Advice</i>
TN	<i>Smithville, Smithville, DeKalb</i>	<i>Advice</i>
TN	<i>Blaine, Blaine, Grainger</i>	<i>Advice</i>
TN	<i>Fayette County, Fayette</i>	<i>Advice</i>
TN	<i>Maryville, Maryville, Blount</i>	<i>Advice</i>
TN	<i>Meigs County, Meigs</i>	<i>Advice</i>
TN	<i>Knoxville, Knoxville, Knox</i>	<i>Advice</i>
TN	<i>Metropolitan Knoxville Airport Authority, Alcoa, Blount</i>	<i>Advice</i>
TN	<i>Mountain City, Mountain City, Johnson</i>	<i>Advice</i>
TN	<i>Etowah, Etowah, McMinn</i>	<i>Advice</i>
TN	<i>Health, Ed and Housing Facilities Board of Sevier County, Sevier</i>	<i>Advice</i>
TN	<i>Manchester, Manchester,</i>	<i>Advice</i>

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TN	<i>Coffee Bedford County, Bedford</i>	<i>Advice</i>
TN	<i>Bledsoe County Utility District, Bledsoe</i>	<i>Advice</i>
TN	<i>Henderson County, Henderson</i>	<i>Advice</i>
TN	<i>Monroe County, Monroe</i>	<i>Advice</i>
TN	<i>Richard City-Deptford Special School District, Richard City, Marion</i>	<i>Advice</i>
TN	<i>Athens, Athens, McMinn</i>	<i>Advice</i>
TN	<i>Hardin County, Hardin</i>	<i>Advice</i>
TN	<i>Haywood County, Haywood</i>	<i>Advice</i>
TN	<i>Jefferson County, Jefferson</i>	<i>Advice</i>
TN	<i>Bradford Special School District, Bradford, Gibson</i>	<i>Advice</i>
TN	<i>Knox County, Knox</i>	<i>Advice</i>
TN	<i>Dickson County, Dickson County</i>	<i>Advice</i>
TN	<i>Crockett County, Crockett</i>	<i>Advice</i>
TN	<i>Loudon County, Loudon</i>	<i>Advice</i>
TN	<i>Middle Tennessee Natural Gas Utility District, Smithville, DeKalb</i>	<i>Advice</i>
TN	<i>Middleton, Middleton, Hardeman</i>	<i>Advice</i>
TN	<i>Sevierville, Sevierville, Sevier</i>	<i>Advice</i>
TN	<i>Nolensville-College Grove Utility District, Williamson</i>	<i>Advice</i>
TN	<i>Rogersville, Rogersville, Hawkins</i>	<i>Advice</i>
TN	<i>Tullahoma Utilities Authority, Tullahoma, Coffee</i>	<i>Advice</i>
TN	<i>Dyersburg, Dyersburg, Dyer</i>	<i>Advice</i>
TN	<i>Industrial Development Board of the City of Bristol, Bristol, Sullivan</i>	<i>Advice</i>

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<i>TN</i>	<i>McMinn County, McMinn</i>	<i>Advice</i>
<i>TN</i>	<i>Roane Central Utility District, Roane</i>	<i>Advice</i>
<i>TN</i>	<i>Sevier County, Sevier</i>	<i>Advice</i>
<i>TN</i>	<i>Spring Hill, Spring Hill, Williamson & Maury</i>	<i>Advice</i>

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Issue Description	Reportable Date of Selection
<i>None</i>		

FORM G-37



Signature: electronic

Date: *Oct 15, 2020*

Name (must be officer of regulated entity): *CHRIS BESSLER*

Address: *350 Lighthouse Pointe Drive, Lenior City, TN 37772*

Phone: *865-988-2663*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)