

Name of Regulated Entity: *KNN Public Finance, LLC*

Report Period: *Third Quarter of 2019*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

| State | Complete name, title (including any city/county/state or other political subdivision) of municipal entity official | Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer) |
|-------|--|--|
| | | If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption. |

None

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

| State | Complete name (including any city/county/state or other political subdivision) of political party | Payments by each contributor category |
|-------|---|---------------------------------------|
| | | |

None

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

| State | Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities | Contributions, including the specific date the contributions were made, by each contributor category |
|-------|--|--|
|-------|--|--|

None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

A. Municipal Securities Business

| State | Complete name of municipal entity and city/county | Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent) |
|-------------|---|---|
| <i>None</i> | | |

B. Municipal Advisory Business

| State | Complete name of municipal entity and city/county | Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) |
|-------|---|--|
| CA | <i>Alameda, County of, Alameda, Oakland</i> | <i>Advice</i> |
| CA | <i>Alameda-Contra Costa Transit District, Alameda, Contra Costa, Oakland</i> | <i>Advice</i> |
| CA | <i>Allan Hancock Community College District, San Luis Obispo, Santa Barbara, Ventura, Santa Maria</i> | <i>Advice</i> |
| CA | <i>Bay Area Water Supply & Conservation Agency, San Mateo, San Mateo</i> | <i>Advice</i> |
| CA | <i>Bell, City of, Los Angeles, Bell</i> | <i>Advice</i> |
| CA | <i>Berkeley Unified School District, Alameda, Berkeley</i> | <i>Advice</i> |
| CA | <i>Biola University, Orange/Los Angeles, La Mirada</i> | <i>Advice</i> |
| CA | <i>Brentwood Union School District, Contra Costa, Brentwood</i> | <i>Advice</i> |
| CA | <i>Butte, County of, Butte, Oroville</i> | <i>Advice</i> |
| CA | <i>California Education Facilities Authority, All, Sacramento</i> | <i>Advice</i> |
| CA | <i>California Ethanol + Power,</i> | <i>Advice</i> |

B. Municipal Advisory Business

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|-------|---|--|
| CA | <i>Imperial, Brawley California Health Facilities Financing Authority, Sacramento, Sacramento</i> | <i>Advice</i> |
| CA | <i>California State Treasurer's Office / State Board of Public Works, All, Sacramento</i> | <i>Advice</i> |
| CA | <i>California State University, Los Angeles, Long Beach</i> | <i>Advice</i> |
| CA | <i>Castro Valley Unified School District, Alameda, Castro Valley</i> | <i>Advice</i> |
| CA | <i>Ceres Unified School District, Stanislaus, Ceres</i> | <i>Advice</i> |
| CA | <i>Cerritos Community College District, Los Angeles, Norwalk</i> | <i>Advice</i> |
| CA | <i>Chabot Las Positas Community College District, Alameda, Dublin</i> | <i>Advice</i> |
| CA | <i>Compton Community College District, Los Angeles, Compton</i> | <i>Advice</i> |
| CA | <i>Contra Costa Community College District, Contra Costa, Martinez</i> | <i>Advice</i> |
| CA | <i>Contra Costa Transportation Authority, Contra Costa, Walnut Creek</i> | <i>Advice</i> |
| CA | <i>Copper Mountain Community College District, San Bernardino, Joshua Tree</i> | <i>Advice</i> |
| CA | <i>Davis, City of, Yolo, Davis</i> | <i>Advice</i> |
| CA | <i>Dublin Unified School District, Alameda, Dublin</i> | <i>Advice</i> |
| CA | <i>El Camino College District, Los Angeles, Torrance</i> | <i>Advice</i> |

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|-------|---|--|
| CA | <i>El Monte City School District, Los Angeles, El Monte</i> | <i>Advice</i> |
| CA | <i>Fall River Joint Unified School District, Shasta, Burney</i> | <i>Advice</i> |
| CA | <i>Folsom Cordova Unified School District, Sacramento, Rancho Cordova</i> | <i>Advice</i> |
| CA | <i>Foothill-DeAnza Community College District, Santa Clara, Los Altos Hills</i> | <i>Advice</i> |
| CA | <i>Fresno, City of, Fresno, Fresno</i> | <i>Advice</i> |
| CA | <i>Fresno, County of, Fresno, Fresno</i> | <i>Advice</i> |
| CA | <i>Grossmont Union High School District, San Diego, El Cajon</i> | <i>Advice</i> |
| CA | <i>Grossmont-Cuyamaca Community College District, San Diego, El Cajon</i> | <i>Advice</i> |
| CA | <i>Hughson Unified School District, Stanislaus, Hughson</i> | <i>Advice</i> |
| CA | <i>Huntington Beach, City of, Orange, Huntington Beach</i> | <i>Advice</i> |
| CA | <i>Kerman Unified School District, Fresno, Kerman</i> | <i>Advice</i> |
| CA | <i>Kern County Water Agency, Kern, Bakersfield</i> | <i>Advice</i> |
| CA | <i>Kern, County of, Kern, Bakersfield</i> | <i>Advice</i> |
| CA | <i>Kings, County of, Kings, Hanford</i> | <i>Advice</i> |
| CA | <i>Laguna County Sanitation District, Santa Barbara, Santa Maria</i> | <i>Advice</i> |
| CA | <i>Lake Tahoe Unified School</i> | <i>Advice</i> |

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|-------|--|--|
| CA | <i>District, El Dorado, South Lake Tahoe Las Lomas Elementary School District, San Mateo, Menlo Park</i> | <i>Advice</i> |
| CA | <i>Lathrop, City of, San Joaquin, Lathrop</i> | <i>Advice</i> |
| CA | <i>Livermore, City of, Alameda, Livermore</i> | <i>Advice</i> |
| CA | <i>Lompoc, City of, Santa Barbara, Lompoc</i> | <i>Advice</i> |
| CA | <i>Long Beach, City of, Los Angeles, Long Beach</i> | <i>Advice</i> |
| CA | <i>Los Angeles Community College District, Los Angeles, Los Angeles</i> | <i>Advice</i> |
| CA | <i>Los Angeles County Metropolitan Transportation Authority, Los Angeles, Los Angeles</i> | <i>Advice</i> |
| CA | <i>Los Angeles Unified School District, Los Angeles, Los Angeles</i> | <i>Advice</i> |
| CA | <i>Los Angeles, City of, Los Angeles, Los Angeles</i> | <i>Advice</i> |
| CA | <i>Los Angeles, County of, Los Angeles, Los Angeles</i> | <i>Advice</i> |
| CA | <i>Los Angeles, Port of, Los Angeles, San Pedro</i> | <i>Advice</i> |
| CA | <i>Madera, County of, Madera, Madera</i> | <i>Advice</i> |
| CA | <i>Manhattan Beach, City of, Los Angeles, Manhattan Beach</i> | <i>Advice</i> |
| CA | <i>Marysville Joint Unified School District, Yuba, Marysville</i> | <i>Advice</i> |
| CA | <i>Mendocino, County of,</i> | <i>Advice</i> |

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|-------|--|--|
| CA | <i>Mendocino, Ukiah Merced City School District, Merced, Merced</i> | <i>Advice</i> |
| CA | <i>Merced County Association of Governments, Merced, Merced</i> | <i>Advice</i> |
| CA | <i>Merced County Regional Waste Management Authority, Merced, Merced</i> | <i>Advice</i> |
| CA | <i>Merced, County of, Merced, Merced</i> | <i>Advice</i> |
| CA | <i>Millbrae School District, San Mateo, Millbrae</i> | <i>Advice</i> |
| CA | <i>Milpitas Unified School District, Santa Clara, Milpitas</i> | <i>Advice</i> |
| CA | <i>Mira Costa Community College District, San Diego, Oceanside</i> | <i>Advice</i> |
| CA | <i>Mission Viejo, Community Development Agency of the City of, Orange, Mission Viejo</i> | <i>Advice</i> |
| CA | <i>Mono, County of, Mono, Bridgeport</i> | <i>Advice</i> |
| CA | <i>Montecito Union School District, Santa Barbara, Santa Barbara</i> | <i>Advice</i> |
| CA | <i>Monterey County Water Resources Agency, Monterey, Salinas</i> | <i>Advice</i> |
| CA | <i>Monterey, County of, Monterey, Salinas</i> | <i>Advice</i> |
| CA | <i>Mountain Empire Unified School District, San Diego, Pine Valley</i> | <i>Advice</i> |
| CA | <i>Mountain View School District, Los Angeles, El Monte</i> | <i>Advice</i> |

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|-------|--|--|
| CA | <i>Napa Sanitation District, Napa, Napa</i> | <i>Advice</i> |
| CA | <i>Napa Valley Unified School District, Napa, Napa</i> | <i>Advice</i> |
| CA | <i>Napa, County of, Napa, Napa</i> | <i>Advice</i> |
| CA | <i>Nevada, County of, Nevada, Nevada</i> | <i>Advice</i> |
| CA | <i>Newark Unified School District, Alameda, Newark</i> | <i>Advice</i> |
| CA | <i>Newport Beach, City of, Orange, Newport Beach</i> | <i>Advice</i> |
| CA | <i>North Orange Community College District, Los Angeles, Orange, Anaheim</i> | <i>Advice</i> |
| CA | <i>Oakley Union Elementary School District, Contra Costa, Oakley</i> | <i>Advice</i> |
| CA | <i>Orange, County of, Orange, Santa Ana</i> | <i>Advice</i> |
| CA | <i>Orinda Union School District, Contra Costa, Orinda</i> | <i>Advice</i> |
| CA | <i>Piedmont Unified School District, Alameda, Piedmont</i> | <i>Advice</i> |
| CA | <i>Plumas, County of, Plumas, Quincy</i> | <i>Advice</i> |
| CA | <i>Poway, City of, San Diego, Poway</i> | <i>Advice</i> |
| CA | <i>Redondo Beach, City of, Los Angeles, Redondo Beach</i> | <i>Advice</i> |
| CA | <i>Redwood City Elementary School District, San Mateo, Redwood</i> | <i>Advice</i> |
| CA | <i>Redwoods Community College District, Humboldt, Eureka</i> | <i>Advice</i> |
| CA | <i>Rialto Unified School District, San Bernardino, Rialto</i> | <i>Advice</i> |

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|-------|---|--|
| CA | <i>Rosedale-Rio Bravo Water Storage District, Kern, Bakersfield</i> | <i>Advice</i> |
| CA | <i>Ross Valley School District, Marin, San Anselmo</i> | <i>Advice</i> |
| CA | <i>San Benito Council of Governments, San Benito, Hollister</i> | <i>Advice</i> |
| CA | <i>San Benito, County of, San Benito, Hollister</i> | <i>Advice</i> |
| CA | <i>San Bernardino, County of, San Bernardino, San Bernardino</i> | <i>Advice</i> |
| CA | <i>San Diego Community College District, San Diego, San Diego</i> | <i>Advice</i> |
| CA | <i>San Diego Unified School District, San Diego, San Diego</i> | <i>Advice</i> |
| CA | <i>San Diego, City of, San Diego, San Diego</i> | <i>Advice</i> |
| CA | <i>San Francisco Community College District, San Francisco, San Francisco</i> | <i>Advice</i> |
| CA | <i>San Francisco County Transportation Authority, San Francisco, San Francisco</i> | <i>Advice</i> |
| CA | <i>San Francisco, City and County, San Francisco, San Francisco</i> | <i>Advice</i> |
| CA | <i>San Joaquin, County of, San Joaquin, Stockton</i> | <i>Advice</i> |
| CA | <i>San Jose Unified School District, Santa Clara, San Jose</i> | <i>Advice</i> |
| CA | <i>San Luis Obispo Regional Transit Authority, San Luis Obispo, San Luis Obispo</i> | <i>Advice</i> |

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|-------|--|--|
| CA | <i>San Luis Obispo, County of, San Luis Obispo, San Luis Obispo</i> | <i>Advice</i> |
| CA | <i>Santa Barbara Community College District, Santa Barbara, Santa Barbara</i> | <i>Advice</i> |
| CA | <i>Santa Barbara County Association of Governments, Santa Barbara, Santa Barbara</i> | <i>Advice</i> |
| CA | <i>Santa Barbara Unified School District, Santa Barbara, Santa Barbara</i> | <i>Advice</i> |
| CA | <i>Santa Barbara, County of, Santa Barbara, Santa Barbara</i> | <i>Advice</i> |
| CA | <i>Santa Clara, County of, Santa Clara, San Jose</i> | <i>Advice</i> |
| CA | <i>Santa Cruz County Regional Transportation Commission, Santa Cruz, Santa Cruz</i> | <i>Advice</i> |
| CA | <i>Santa Monica Community College District, Los Angeles, Santa Monica</i> | <i>Advice</i> |
| CA | <i>Santee, City of, San Diego, Santee</i> | <i>Advice</i> |
| CA | <i>Solano, County of, Solano, Fairfield</i> | <i>Advice</i> |
| CA | <i>Solvang School District, Santa Barbara, Solvang</i> | <i>Advice</i> |
| CA | <i>Sonoma County Transportation Authority, Sonoma, Santa Rosa</i> | <i>Advice</i> |
| CA | <i>Sonoma County Water Agency, Sonoma, Santa Rosa</i> | <i>Advice</i> |
| CA | <i>Sonoma, County of, Sonoma, Santa Rosa</i> | <i>Advice</i> |
| CA | <i>South Bayside Waste</i> | <i>Advice</i> |

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|-------|--|--|
| CA | <i>Management Authority, San Mateo, San Carlos Southwestern Community College District, San Diego, Chula Vista</i> | <i>Advice</i> |
| CA | <i>Stanislaus Council of Governments, Stanislaus, Modesto</i> | <i>Advice</i> |
| CA | <i>Stanislaus, County of, Stanislaus, Modesto</i> | <i>Advice</i> |
| CA | <i>State Center Community College District, Fresno, Oakhurst</i> | <i>Advice</i> |
| CA | <i>Stockton, City of, San Joaquin, Stockton</i> | <i>Advice</i> |
| CA | <i>Transportation Agency of Monterey County, Monterey, Salinas</i> | <i>Advice</i> |
| CA | <i>Trinity, County of, Trinity, Weaverville</i> | <i>Advice</i> |
| CA | <i>Tulare County Association of Governments, Tulare, Visalia</i> | <i>Advice</i> |
| CA | <i>Tulare, County of, Tulare, Visalia</i> | <i>Advice</i> |
| CA | <i>United Water Conservation District, Ventura, Santa Paula</i> | <i>Advice</i> |
| CA | <i>Washington Unified School District, Yolo, West Sacramento</i> | <i>Advice</i> |
| CA | <i>Washington Union School District, Monterey, Salinas</i> | <i>Advice</i> |
| CA | <i>West Contra Costa Unified School District, Contra Costa, Richmond</i> | <i>Advice</i> |
| CA | <i>West Valley Mission Community College District, Santa Clara, Santa Cruz, Saratoga</i> | <i>Advice</i> |

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|-------|--|--|
| CA | Woodland Joint Unified School District, Yolo, Woodland | Advice |
| CA | Yolo, County of, Yolo, Woodland | Advice |
| CA | Vacaville, City of, Solano, Vacaville | Advice |

C. Ballot-Approved Offerings

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

| Full Name of Municipal Entity | Issue Description | Reportable Date of Selection |
|-------------------------------|-------------------|------------------------------|
| None | | |

FORM G-37



Signature: electronic

Date: *Oct 31, 2019*

Name (must be officer of regulated entity): *Kimberly McManus*

Address: *1300 Clay St, Oakland, CA 94612*

Phone: *973-618-9507*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)