

## Name of Regulated Entity: Kaufman, Hall & Associates, LLC

Report Period: Third Quarter of 2019

## I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State

Complete name, title (including any city/county/state or other political subdivision) of municipal entity official Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)

If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

None

## **II. PAYMENTS made to political parties of states or political subdivisions (list by state)**

State	Complete name (including	Payments by each contributor category
	any city/county/state or other	
	political subdivision) of	
	political party	

None



## **III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

#### A. Contributions

State

Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities

Contributions, including the specific date the contributions were made, by each contributor category

None

## **B.** Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None



# IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)

#### **A. Municipal Securities Business**

State Complete name of municipal Type of municipal securities business entity and city/county (negotiated underwriting, private placement, financial advisor, or remarketing agent) None **B. Municipal Advisory Business** State Complete name of municipal Type of municipal advisory business (advice entity and city/county or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services)) KY University Of Kentucky, Advice Lexington, Fayette County Yavapai Regional Medical AZAdvice Center, Phoenix, Maricopa County Forrest County General MS Advice Hospital d/b/a Forrest General Hospital, Hattiesburg, Forrest County OHMetroHealth System, Advice Cleveland, Cuyahoga County University of Colorado COAdvice Hospitals, Aurora, Arapahoe and Adams counties WI University of Wisconsin, Advice *Hospitals and Clinics* Authority; University of Wisconsin Health, Madison, Dane County NC Atrium Health, formerly Advice Carolinas HealthCare System, Charlotte, Mecklenburg County FLHalifax Health Medical Advice Center, Daytona Beach, Volusia County



## **B.** Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
SC	Medical University Hospital Authority (MUHA); Medical University of South Carolina (MUSC), Charleston, Charleston County	Advice
ΤΧ	Midland County Hospital District No. 2 d/b/a Midland Memorial Hospital, Midland, Midland County	Advice
PA	The Pennsylvania State University and Penn State Health, State College, Centre County	Advice
CA	Kern County Hospital Authority, Kern Medical Center, Bakersfield, Kern County	Advice

## **C. Ballot-Approved Offerings**

Full name of the municipal entity and issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

 
 Full Name of Municipal
 Issue Description
 Reportable Date of Selection

 Entity
 Entity
 Entity

None



Signature: electronicDate: Oct 31, 2019Name (must be officer of regulated entity): Eric JordahlAddress: 5202 Old Orchard Road, Skokie, IL 60077Phone: 847-441-8780

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)