

Name of Regulated Entity: Janney Montgomery Scott LLC

Report Period: Fourth Quarter of 2018

#### I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State Complete name, title

(including any

city/county/state or other political subdivision) of municipal entity official Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)

If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.

None

#### II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State Complete name (including

any city/county/state or other political subdivision) of

political party

None

Payments by each contributor category



## III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

#### A. Contributions

State Official name of bond ballot

campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities Contributions, including the specific date the contributions were made, by each contributor category

None

#### **B.** Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None



# ${\bf IV.\ MUNICIPAL\ ENTITIES\ with\ which\ the\ regulated\ entity\ has\ engaged\ in\ municipal\ securities\ business\ or\ municipal\ advisory\ business\ (list\ by\ state)}$

## A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
CT	Connecticut Housing Finance Authority, Revenue Bonds, 2018 Subseries D-1 and D-2 (Term Rate)	Negotiated underwriting
CT	Connecticut Housing Finance Authority, Revenue Bonds, 2018 Subseries E-1	Negotiated underwriting
CT	State of Connecticut, Revenue Bonds, Special Tax Obligation, 2018 Series B & C	Negotiated underwriting
DE	The City of Wilmington, General Obligation Bonds, Series 2018, Wilmington, New Castle	Negotiated underwriting
IL	Chicago Park District, General Obligation Bonds, Series 2018 A,B,C (Taxable),D & E, Chicago, Cook	Negotiated underwriting
NJ	New Jersey Economic Development Authority, Revenue Bonds, State House Project, 2017 Series A (Federally Taxable) & B	Negotiated underwriting
NJ	Delaware River Port Authority, Revenue Bonds, Series of 2018 A, B & C (Federally Taxable) States New Jersey and Pennsylvania	Negotiated underwriting
NY	Dormitory Authority of the State of New York, Revenue Bonds, Series 2018B (Federally Taxable)	Negotiated underwriting
NY	New York State Housing Finance Agency, Affordable Housing Revenue Bonds, 2018 Series I (Green Bonds) & J	Negotiated underwriting

PA

PA



### A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
NY	State of New York Mortgage Agency, Homeowner Mortgage Revenue Bonds, Series 213 (Non-AMT) & 214 (AMT)	Negotiated underwriting
NY	The City of New York, General Obligation Bonds, Fiscal 2008 Series J Subseries J-3 & J-11, New York, New York	Negotiated underwriting
NY	The City of New York, General Obligation Bonds, Fiscal 2019 Series D Subseries D-1 (Tax-Exempt), New York, New York	Negotiated underwriting
NY	New York City Housing Development Corporation, Multi-Family Housing Revenue Bonds, 2018 Series K, L-1 & L-2, New York, New York	Negotiated underwriting
NY	New York City Transitional Finance Authority, Building Aid Revenue Bonds, Fiscal 2019 Series S-3 Subseries S-3A, New York, New York	Negotiated underwriting
PA	Bethlehem-Center School District, General Obligation Bonds, Series of 2018, Bethlehem-Center, Washington	Negotiated underwriting
PA	Chambersburg Area School District, Gneral Obligation Bonds, Series of 2018, Chambersburg, Franklin	Negotiated underwriting
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Negotiated underwriting

Negotiated underwriting

Chartiers-Houston School

Juniata County School

District, General Obligation Bonds, Series of 2018, Charters-Houston, Washington

District, General Obligation Bonds, Series of 2018, Juniata



#### A. Municipal Securities Business

State Complete name of municipal Type of municipal securities business

entity and city/county (negotiated underwriting, private placement, financial advisor, or remarketing agent)

PA Laurel Highlands School Negotiated underwriting

District, General Obligation Notes, Series of 2018, Laurel

Highlands, Fayette

PA New Brighton Area School Negotiated underwriting

District, General Obligation Bonds, Series of 2018, New

Brighton, Beaver

PA Philadelphia Redevelopment Negotiated underwriting

Authority, City Service Agreement Revenue Bonds, Series 2018 (Federally Taxable), Philadelphia,

Philadelphia

PA West Shore School District, Negotiated underwriting

General Obligation Bonds, Series of 2018, Cumberland

and York

**B.** Municipal Advisory Business

State Complete name of municipal Type of municipal advisory business (advice

entity and city/county or se

or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment

advisory services))

OH Ohio University Advice

PA Vanport Township Municipal Advice

Authority -Sewer, County of

Beaver

NJ Stockton University, County of Advice

Atlantic

MD John Hopkins University, Advice

County of Baltimore



### C. Ballot-Approved Offerings

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity Full Issue Description

Reportable Date of Selection

None



Signature: electronic Date: Aug 5, 2019

Name (must be officer of regulated entity): Christina Nagy

Address: 1717 Arch Street, Philadelphia, PA 19103

**Phone:** 215-665-6573

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)