

**FORM G-37**



**Name of Regulated Entity:** *Robert W Baird & Co., Inc.*

**Report Period:** *Fourth Quarter of 2018*

**I. CONTRIBUTIONS made to officials of a municipal entity (list by state)**

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)  If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
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*None*

**II. PAYMENTS made to political parties of states or political subdivisions (list by state)**

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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*None*

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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*None*

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**
**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
IL	Algonquin Lake in the Hills Fire Protection District	Private placement
AZ	Arizona Industrial Development Authority	Negotiated underwriting
OH	Athens City School District	Negotiated underwriting
OH	Boardman Local School District	Private placement
CA	California School Finance Authority	Negotiated underwriting
WI	Chippewa County	Negotiated underwriting
MI	City of Allegan Building Authority	Private placement
WI	City of Appleton	Negotiated underwriting
IA	City of Clear Lake IA	Negotiated underwriting
MN	City of Crosslake, MN	Negotiated underwriting
MI	City of East Lansing	Private placement
OH	City of Geneva	Private placement
MN	City of Glencoe	Private placement
MI	City of Grand Rapids	Negotiated underwriting
WI	City of Kaukauna	Negotiated underwriting
WI	City of Manitowoc	Negotiated underwriting
MI	City of Montague, Michigan	Private placement
MI	City of Northville	Private placement
WI	City of Onalaska	Private placement
WI	City of Racine	Negotiated underwriting
IL	City of Rochelle	Negotiated underwriting
WI	City of Sparta	Negotiated underwriting
MI	City of Traverse City	Private placement
MI	City of Wayland	Private placement
TX	Clifton Higher Education Finance Corporation	Negotiated underwriting
MI	County of Ingham, Michigan	Private placement
MI	County of Iron	Negotiated underwriting
MI	County of St. Joseph, Michigan	Private placement
IL	County of Winnebago	Negotiated underwriting

**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
WI	<i>Fox Valley Technical College District</i>	<i>Negotiated underwriting</i>
VA	<i>Franklin County, Virginia</i>	<i>Negotiated underwriting</i>
OH	<i>Galion City School District</i>	<i>Private placement</i>
WI	<i>Gateway Technical College District</i>	<i>Negotiated underwriting</i>
WI	<i>Greendale School District</i>	<i>Private placement</i>
MN	<i>ISD 173 Mountain Lake MN</i>	<i>Negotiated underwriting</i>
MN	<i>ISD 2860, Blue Earth MN</i>	<i>Negotiated underwriting</i>
MN	<i>ISD 695, Chisholm Public Schools</i>	<i>Negotiated underwriting</i>
MN	<i>ISD 700, Hermantown</i>	<i>Negotiated underwriting</i>
MN	<i>Long Prairie, MN</i>	<i>Negotiated underwriting</i>
MN	<i>Minnetonka Independent School District 276</i>	<i>Negotiated underwriting</i>
IA	<i>Osage, IA</i>	<i>Private placement</i>
WI	<i>PMA Levy and Aid Anticipation Notes Program</i>	<i>Negotiated underwriting</i>
IL	<i>School District Number 155 (Calumet City)</i>	<i>Negotiated underwriting</i>
IL	<i>School District Number 92.5 (Westchester)</i>	<i>Negotiated underwriting</i>
WI	<i>School District of Beloit Turner</i>	<i>Private placement</i>
WI	<i>School District of Poynette</i>	<i>Private placement</i>
WI	<i>School District of Wisconsin Dells</i>	<i>Private placement</i>
MN	<i>Sebeka, MN</i>	<i>Negotiated underwriting</i>
WI	<i>Shawano County</i>	<i>Negotiated underwriting</i>
AZ	<i>The Industrial Development Authority of the County of Maricopa</i>	<i>Negotiated underwriting</i>
NC	<i>Town of Fuquay-Varina (NC)</i>	<i>Negotiated underwriting</i>
WI	<i>Town of Liberty Grove</i>	<i>Negotiated underwriting</i>
WI	<i>Village of Ashwaubenon</i>	<i>Negotiated underwriting</i>
IL	<i>Village of Bedford Park</i>	<i>Negotiated underwriting</i>
IL	<i>Village of Bradley</i>	<i>Negotiated underwriting</i>
WI	<i>Village of Kimberly</i>	<i>Negotiated underwriting</i>
WI	<i>Village of Kimberly Community Development Authority</i>	<i>Negotiated underwriting</i>

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<i>IL</i>	<i>Village of Lombard</i>	<i>Negotiated underwriting</i>
<i>MI</i>	<i>Village of Sand Lake, Michigan</i>	<i>Private placement</i>
<i>WI</i>	<i>Washington County</i>	<i>Negotiated underwriting</i>
<i>NC</i>	<i>Watauga County, NC</i>	<i>Negotiated underwriting</i>
<i>SC</i>	<i>West Columbia, South Carolina</i>	<i>Negotiated underwriting</i>
<i>TX</i>	<i>Hutto ISD</i>	<i>Negotiated underwriting</i>
<i>NC</i>	<i>Granville County of</i>	<i>Negotiated underwriting</i>
<i>NC</i>	<i>Kannapolis City of</i>	<i>Negotiated underwriting</i>
<i>SD</i>	<i>Rapid City City of</i>	<i>Negotiated underwriting</i>
<i>SC</i>	<i>South Carolina Jobs-Econ Dvlpmnt Auth</i>	<i>Negotiated underwriting</i>
<i>CO</i>	<i>Jefferson Cnty SD No R-1</i>	<i>Negotiated underwriting</i>
<i>NV</i>	<i>Las Vegas Convtn and Visitors Auth</i>	<i>Negotiated underwriting</i>
<i>WI</i>	<i>Mount Pleasant Vlg of</i>	<i>Negotiated underwriting</i>
<i>AZ</i>	<i>Maricopa Cnty Spcl Health Care Dist</i>	<i>Negotiated underwriting</i>
<i>NM</i>	<i>Albuquerque Bernalillo Cnty Wtr Util Auth</i>	<i>Negotiated underwriting</i>
<i>CT</i>	<i>State of CT</i>	<i>Negotiated underwriting</i>
<i>TX</i>	<i>Cypress-Fairbanks ISD</i>	<i>Negotiated underwriting</i>
<i>TX</i>	<i>Arlington Higher Education Finance Corp</i>	<i>Negotiated underwriting</i>
<i>TX</i>	<i>Northside ISD</i>	<i>Negotiated underwriting</i>
<i>VA</i>	<i>Virginia Res Auth</i>	<i>Negotiated underwriting</i>
<i>MO</i>	<i>St. Louis City of</i>	<i>Negotiated underwriting</i>
<i>IL</i>	<i>Aurora City of</i>	<i>Negotiated underwriting</i>
<i>AZ</i>	<i>AZ IDA</i>	<i>Negotiated underwriting</i>
<i>IL</i>	<i>Chicago</i>	<i>Negotiated underwriting</i>
<i>NY</i>	<i>NY State Dormitory Auth</i>	<i>Negotiated underwriting</i>

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
WI	<i>Moraine Park Technical College District</i>	<i>Advice</i>
WI	<i>Southwest Wisconsin Technical College District</i>	<i>Advice</i>
WI	<i>Winnebago County</i>	<i>Advice</i>
WI	<i>Milwaukee Area Technical College District</i>	<i>Advice</i>
MI	<i>Michigan Finance Authority</i>	<i>Advice</i>
TX	<i>Montgomery County Municipal Utility District No. 113</i>	<i>Advice</i>
WI	<i>City of Sturgeon Bay</i>	<i>Advice</i>
WI	<i>Milwaukee Area Technical College District</i>	<i>Advice</i>
TX	<i>Sunfield Municipal Utility District No. 3</i>	<i>Advice</i>
TX	<i>Tattor Road Municipal District</i>	<i>Advice</i>
TX	<i>Highway 380 Municipal Management District</i>	<i>Advice</i>
WI	<i>Madison Metropolitan School District</i>	<i>Advice</i>
TX	<i>Kaufman County Municipal Utility District No. 14</i>	<i>Advice</i>
TX	<i>Montgomery County Municipal Utility District No. 105</i>	<i>Advice</i>
TX	<i>Montgomery County Municipal Utility District No. 137</i>	<i>Advice</i>
TX	<i>Rockwall County Consolidated Municipal Utility District No. 9</i>	<i>Advice</i>
TX	<i>Kaufman County Municipal Utility District No. 4</i>	<i>Advice</i>
TX	<i>Kaufman County Municipal Utility District No. 4</i>	<i>Advice</i>

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TX	<i>Generation Park Management District</i>	<i>Advice</i>
TX	<i>Sunfield Municipal Utility District No. 1</i>	<i>Advice</i>
TX	<i>Sunfield Municipal Utility District No. 1</i>	<i>Advice</i>
TX	<i>Harris County Municipal Utility District No. 165</i>	<i>Advice</i>
TX	<i>Montgomery County Municipal Utility District No. 42</i>	<i>Advice</i>
TX	<i>Sienna Plantation Municipal Utility District No. 4</i>	<i>Advice</i>
WI	<i>Milwaukee Area Technical College District</i>	<i>Advice</i>
TX	<i>Woodlands Metro Center</i>	<i>Advice</i>
TX	<i>Fort Bend County Municipal Utility District No. 185</i>	<i>Advice</i>
TX	<i>Kaufman County Municipal Utility District No. 6</i>	<i>Advice</i>
TX	<i>Kaufman County Municipal Utility District No. 6</i>	<i>Advice</i>
TX	<i>Brazoria County Municipal Utility District No. 22</i>	<i>Advice</i>
TX	<i>Kaufman County Municipal Utility District No. 7</i>	<i>Advice</i>
TX	<i>Kaufman County Municipal Utility District No. 7</i>	<i>Advice</i>
TX	<i>Rockwall County Consolidated Municipal Utility District No. 1</i>	<i>Advice</i>
TX	<i>Kaufman County Fresh Water Supply District No. 6</i>	<i>Advice</i>
TX	<i>Kaufman County Municipal Utility District No. 2</i>	<i>Advice</i>
TX	<i>East Montgomery County Municipal Utility District No.</i>	<i>Advice</i>

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TX	Fort Bend County Municipal Utility District No. 5	Advice
TX	West Harris County Regional Water Authority	Advice
TX	West Harris County Regional Water Authority	Advice
TX	Montgomery County Municipal Utility District No. 148	Advice
UT	Conroe Municipal Management District No. 1	Advice
IL	Village of Glen Ellyn	Advice
TX	Brazoria County Municipal Utility District No. 55	Advice
TX	Clear Lake City Water Authority	Advice
TX	Highway 380 Municipal Management District	Advice
TX	Fort Bend County Municipal Management District No. 1	Advice
TX	Harris County Municipal Utility District No. 319	Advice
WI	Village of Brown Deer	Advice
TX	West Harris County Municipal Utility District No. 2	Advice
TX	Sienna Plantation Levee Improvement District	Advice
TX	Denton County Fresh Water Supply District No. 11-B	Advice
TX	Harris County Municipal Utility District No. 432	Advice
TX	Williamson County Municipal Utility District No. 28	Advice
TX	Montgomery County Municipal Utility District No.	Advice



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TX	Mount Houston Road Municipal Utility District	Advice
TX	Cornerstones Municipal Utility District	Advice
TX	Viridian Municipal Management District	Advice
TX	Rockwall County Consolidated Municipal Utility District No. 9	Advice
TX	Brazoria County Municipal Utility District No. 22	Advice
TX	Fort Bend County Municipal Utility District No. 215	Advice
TX	Rockwall County Municipal Utility District No. 6	Advice
TX	Rockwall County Municipal Utility District No. 6	Advice
TX	Sienna Plantation Municipal Utility District No. 10	Advice
TX	Sienna Plantation Municipal Utility District No. 12	Advice
TX	Sienna Plantation Municipal Utility District No. 12	Advice
TX	Oak Point Water Control & Improvement District No. 4	Advice
TX	Harris County Municipal Utility District No. 165	Advice
MI	City of Highland Park	Advice
TX	Brazoria County Municipal Utility District No. 39	Advice
TX	Sienna Plantation Municipal Utility District No. 5	Advice
TX	Fort Bend County Municipal Utility District No. 134E	Advice
TX	Fort Bend County Municipal Utility District No. 134D	Advice

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TX	Highway 380 Municipal Management District	Advice
TX	Fort Bend County Municipal Utility District No. 190	Advice

**C. Ballot-Approved Offerings**

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Full Issue Description	Reportable Date of Selection
None		

## FORM G-37



**Signature:** electronic

**Date:** *May 20, 2019*

**Name (must be officer of regulated entity):** *Heather Melzer*

**Address:** *PO Box 672, Milwaukee, WI 53201*

**Phone:** *414-298-4973*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)