

# FORM G-37



**Name of Regulated Entity:** *Brandis Tallman LLC*

**Report Period:** *Fourth Quarter of 2018*

## **I. CONTRIBUTIONS made to officials of a municipal entity (list by state)**

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)  If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
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*None*

## **II. PAYMENTS made to political parties of states or political subdivisions (list by state)**

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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*None*

### III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

#### A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
CA	<i>Measure G, Holtville, Imperial, CA, Holtville Unified School District</i>	<i>\$2,300.00 contribution by Dealer on 10/15/2018</i>
CA	<i>For A Better Fair Oaks, Yes on J, Fair Oaks, Sacramento, CA, Fair Oaks Rec &amp; Park District, Fair Oaks Rec &amp; Park District</i>	<i>\$5,000.00 contribution by Dealer on 09/28/2018</i>

#### B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**
**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
CA	Trinity County, Trinity	Private placement
CA	Sonora Union High School District, Sonora, Tuolumne	Private placement
CA	Richgrove Community Services District, Richgrove, Tulare	Private placement
CA	City of Porterville, Porterville, Tulare	Private placement
CA	California Affordable Housing Agency, Los Banos, Merced	Negotiated underwriting
CA	Twain Harte Community Services District, Twain Harte, Tuolumne	Private placement
CA	Perris Joint Powers Authority, Perris, Riverside	Negotiated underwriting
CA	San Dieguito River Valley Regional Open Space Park Joint Powers Authority, San Dieguito, San Diego	Private placement
CA	Mono County, Mono	Negotiated underwriting
CA	Housing Authority of the County of Merced, Merced	Private placement
CA	Malaga County Water District, Fresno, Malaga	Private placement

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
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None

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### C. Ballot-Approved Offerings

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Full Issue Description	Reportable Date of Selection
<i>None</i>		

## FORM G-37



**Signature:** electronic

**Date:** *Jan 15, 2019*

**Name (must be officer of regulated entity):** *LINDA STEWART*

**Address:** *22 Battery Street, San Francisco, CA 94111*

**Phone:** *415-912-5900*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)