

# FORM G-37



**Name of Regulated Entity:** *Alamo Capital*

**Report Period:** *First Quarter of 2018*

## **I. CONTRIBUTIONS made to officials of a municipal entity (list by state)**

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)  If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
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*None*

## **II. PAYMENTS made to political parties of states or political subdivisions (list by state)**

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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*None*

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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*None*

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**
**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>See Attachment</i>		

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
<i>None</i>		

**C. Ballot-Approved Offerings**

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Full Issue Description	Reportable Date of Selection
<i>None</i>		

## FORM G-37



**Signature:** electronic

**Date:** *Apr 24, 2018*

**Name (must be officer of regulated entity):** *Allison Kent-Aster*

**Address:** *201 N. Civic Drive, Walnut Creek, CA 94596*

**Phone:** *925-472-5700*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)

Sale Date	Par (\$Mil)	Issuer	State	Description	Sale Type	Firm Role	City
3/16/2018	500.000	New York City Transitional Finance	NY	Building Aid Revenue Bonds Fiscal 2018 Series S-3 Tax Exempt Bonds	Neg	SG	New York City
3/16/2018	502.455	New York City Transitional Finance	NY	Building Aid Revenue Bonds Fiscal 2018 Series S-4, Subseries S-4A Tax Exempt Bonds	Neg	SG	New York City
3/7/2018	1,517.675	State of California	CA	Various Purpose General Obligation Bonds	Neg	CM	Sacramento
3/7/2018	663.630	State of California	CA	Various Purpose General General Obligation Refunding Bonds	Neg	CM	Sacramento
3/5/2018	596.220	New York State	NY	General Revenue Refunding Bonds Series L	Neg	SG	Albany
2/23/2018	700.000	The City of New York	NY	General Obligation Bonds Fiscal 2018 Series E Tax-Exempt Bonds, Subseries E-1	Neg	SG	New York City
2/23/2018	42.655	The City of New York	NY	General Obligation Bonds Tax-Exempt Fiscal 2004 Subseries A-6	Neg	SG	New York City
2/23/2018	87.005	The City of New York	NY	General Obligation Bonds Tax-Exempt Fiscal 2008 Subseries J-4	Neg	SG	New York City
2/23/2018	64.595	The City of New York California	NY	General Obligation Bonds Tax-Exempt Fiscal 2011 Subseries F-3	Neg	SG	New York City
2/23/2018	449.225	Infrastructure and	CA	Clean Water State Revolving Fund Revenue Bonds Series 2018 (Green Bonds)	Neg	CM	Sacramento