

FORM G-37



Name of Regulated Entity: *Piper Jaffray & Co.*

Report Period: *Third Quarter of 2017*

I. CONTRIBUTIONS made to officials of a municipal entity (list by state)

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer) If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
<i>None</i>		

II. PAYMENTS made to political parties of states or political subdivisions (list by state)

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
<i>None</i>		

III. CONTRIBUTIONS made to bond ballot campaigns (list by state)

A. Contributions

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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None

B. Reimbursement for Contributions

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

None

IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)
A. Municipal Securities Business

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
<i>See Attachment</i>		

B. Municipal Advisory Business

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
<i>None</i>		

C. Ballot-Approved Offerings

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Full Issue Description	Reportable Date of Selection
<i>None</i>		

FORM G-37



Signature: electronic

Date: *Feb 14, 2018*

Name (must be officer of regulated entity): *Wendy Smith*

Address: *800 Nicollet Mall, Suite 1000, Minneapolis, MN 55402*

Phone: *612-303-6609*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)

Piper Jaffray Issuers List
7/1/2017 - 9/30/2017

Saledate	Shortdescription	Issuetype	County	Statecode
8/2/2017	AL Brewton GO Gas Rev Warrants 17B	Negotiated	Escambia	AL - Alabama
8/2/2017	AL Brewton GO Warrants 17A	Negotiated	Escambia	AL - Alabama
7/13/2017	AL Jefferson County Ltd Obligation Ref Warrants 17	Negotiated	Jefferson	AL - Alabama
9/13/2017	AL Sylacauga GO Warrants 17-A	Negotiated	Talladega	AL - Alabama
9/13/2017	AL Sylacauga GO Warrants 17-B	Negotiated	Talladega	AL - Alabama
7/26/2017	AZ Arizona School District TAN 17	Financial Advisor	Maricopa County	AZ - Arizona
2/19/2014^	AZ Central Arizona Water Conservation District 14 Fin Adv Agmt	Financial Advisor	State Authority	AZ - Arizona
6/18/2014^	AZ Chandler 14 Fin Adv Agmt	Financial Advisor	Maricopa	AZ - Arizona
4/5/2011^	AZ City of Apache Junction	Financial Advisor	Maricopa	AZ - Arizona
1/1/2009^	AZ City of Apache Junction 2010 Consulting	Financial Advisor	Maricopa	AZ - Arizona
9/4/2013^	AZ City of Scottsdale 13 Fin Adv Agmt	Financial Advisor	Maricopa	AZ - Arizona
3/14/2016^	AZ City of Tucson 16 (151307) Fin Adv Agmt	Financial Advisor	Pima	AZ - Arizona
6/12/2013^	AZ Crane - SAVE 2013 Fin Adv Agmt	Financial Advisor	State Authority	AZ - Arizona
3/1/2017^	AZ Florence 17 Fin Adv Agmt	Financial Advisor	Pinal	AZ - Arizona
4/5/2011^	AZ Maricopa 11 Fin Adv Agmt	Financial Advisor	Pinal	AZ - Arizona
12/1/2015^	AZ Maricopa County Agmt and Renewals 09 Fin Adv Agmt	Financial Advisor	Maricopa	AZ - Arizona
9/7/2017	AZ Phoenix IDA Educ Facil Rev Legacy Traditional-East Mesa Nevada Cadence 17A	Negotiated	Maricopa	AZ - Arizona
2/1/2016^	AZ Phoenix, Downtown Phoenix Hotel Corp 16 Consulting-Fin Adv Agmt	Financial Advisor	Maricopa	AZ - Arizona
1/6/2016^	AZ Rio Nuevo Multipurpose Facil Dist Excise Tax Rev Ref 16 Fin Adv Agmt	Financial Advisor	Pima	AZ - Arizona
8/8/2017	AZ Sierra Vista Pledged Rev Ref Obligations 17	Private Placement	Cochise County, AZ	AZ - Arizona
9/11/2014^	AZ State of Arizona 2014 Fin Adv Agmt	Financial Advisor	State Authority	AZ - Arizona
3/16/2017^	AZ Superstition Mountains CFD No. 1 17 Fin Adv Agmt	Financial Advisor	Pinal	AZ - Arizona
2/24/2017^	CA Air Force Village West (Altavita) 17 Fin Adv Agmt	Financial Advisor	Riverside	CA - California
6/22/2016^	CA Anaheim Elementary SD 16 Fin Adv Agmt	Financial Advisor	Orange	CA - California
6/30/2014^	CA Apple Valley USD 14 Fin Adv Agmt	Financial Advisor	San Bernardino	CA - California
4/28/2016^	CA Barstow USD GO 16 Fin Adv Agmt	Financial Advisor	San Bernardino	CA - California
9/27/2017	CA Barstow USD GO 2016 Election Series A	Financial Advisor	San Bernardino	CA - California
8/8/2017	CA Beaumont CFD No. 93-1 Imp Area No. 14 Special Tax Ref 17A	Private Placement	Riverside	CA - California
8/8/2017	CA Beaumont CFD No. 93-1 Imp Area No. 14A Special Tax Ref 17A	Private Placement	Riverside	CA - California
7/27/2017	CA Beaumont CFD No. 93-1 Imp Area No. 14B Special Tax Ref 17A	Private Placement	Riverside	CA - California
7/27/2017	CA Beaumont CFD No. 93-1 Imp Area No. 19C Special Tax Ref 17A	Private Placement	Riverside	CA - California
7/27/2017	CA Beaumont CFD No. 93-1 Imp Area No. 6A1 Special Tax Ref 17A	Private Placement	Riverside	CA - California
8/8/2017	CA Beaumont CFD No. 93-1 Imp Area No. 8 Special Tax Ref 17A	Private Placement	Riverside	CA - California
7/27/2017	CA Beaumont CFD No. 93-1 Imp Area No. 8A Special Tax Ref 17A	Private Placement	Riverside	CA - California
8/8/2017	CA Beaumont CFD No. 93-1 Imp Area No. 8B Special Tax Ref 17A	Private Placement	Riverside	CA - California
7/27/2017	CA Beaumont CFD No. 93-1 Imp Area No. 8C Special Tax Ref 17A	Private Placement	Riverside	CA - California
7/27/2017	CA Beaumont CFD No. 93-1 Imp Area No. 8D Special Tax Ref 17A	Private Placement	Riverside	CA - California
9/28/2017	CA California Muni Fin Auth Ins Ref Rev OPTIONS Family 17A	Negotiated	San Luis Obispo	CA - California
9/28/2017	CA California Muni Fin Auth Ins Ref Rev OPTIONS Family Tax 17B	Negotiated	San Luis Obispo	CA - California
7/12/2017	CA California Sch Fin Auth Sch Facil Rev Escuela Popular 17	Negotiated	Santa Clara	CA - California
1/15/2014^	CA Carlsbad USD 14 Fin Adv Agmt	Financial Advisor	San Diego	CA - California
2/11/2014^	CA Carpinteria USD 14 Fin Adv Agmt	Financial Advisor	Santa Barbara	CA - California
8/31/2017	CA Centralia ESD GO 2016 Election A	Financial Advisor	Orange	CA - California
3/4/2016^	CA Centralia SD 16 Fin Adv Agmt	Financial Advisor	Orange	CA - California
9/26/2017	CA Chabot-Las Positas CCD GO 2016 Election 17A	Negotiated	Alameda	CA - California
5/20/2014^	CA Conejo Valley USD 14 Fin Adv Agmt	Financial Advisor	Fresno	CA - California
12/17/2013^	CA Corona-Norco Unified Sch Dist 14 Fin Adv Agmt	Financial Advisor	Los Angeles	CA - California
8/3/2017	CA Corona-Norco USD CFD No. 15-1 Special Tax 17	Financial Advisor	Riverside	CA - California
12/16/2013^	CA Covina-Valley Unified Sch Dist 14 Fin Adv Agmt	Financial Advisor	Los Angeles	CA - California
12/10/2013^	CA Cupertino Union Sch Dist 14 Fin Adv Agmt	Financial Advisor	Santa Clara	CA - California
8/10/2017	CA Dixon USD Ref COP 17	Negotiated	Solano	CA - California
1/17/2017^	CA Downey USD 17 Fin Adv Agmt	Financial Advisor	Los Angeles	CA - California
2/27/2014^	CA Duarte Unified School District 14 Fin Adv Agmt	Financial Advisor	Los Angeles	CA - California
2/14/2017^	CA El Segundo USD 17 Fin Adv Agmt	Financial Advisor	Los Angeles	CA - California
4/28/2014^	CA Escondido Union School District 14 Fin Adv Agmt	Financial Advisor	San Diego	CA - California
8/10/2017	CA Folsom Ranch Fin Auth Spec Tax Rev 17	Negotiated	Sacramento	CA - California
8/15/2017	CA Fontana CFD No. 80 Bella Strada Special Tax 17	Negotiated	San Bernardino	CA - California
3/4/2014^	CA Fullerton School District 14 Fin Adv Agmt	Financial Advisor	Orange	CA - California
12/16/2014^	CA Glendora USD 14 Fin Adv Agmt	Financial Advisor	Los Angeles	CA - California
9/12/2017	CA Golden Valley USD GO Ref 17	Negotiated	Madera	CA - California
9/24/2014^	CA Hawthorne School District 14 Fin Adv Agmt	Financial Advisor	Los Angeles	CA - California
10/25/2017^	CA Huntington Beach Union High Sch Dist 17 Fin Adv Agmt	Financial Advisor	Orange	CA - California
7/25/2016^	CA Lake Elsinore Unified School District 16 Fin Adv Agmt	Financial Advisor	Riverside	CA - California
12/10/2013^	CA Las Virgenes Unified Sch Dist 14 Fin Adv Agmt	Financial Advisor	Los Angeles	CA - California
7/26/2017	CA Liberty UHSD GO 2016 Election 17A	Negotiated	Contra Costa	CA - California
5/18/2016^	CA Los Alamitos Unified School District 16 Fin Adv Agmt	Financial Advisor	Orange	CA - California
9/28/2017	CA Manteca USD GO 2014 Election 17B	Negotiated	San Joaquin	CA - California
8/22/2017	CA MiraCosta CCD GO 2016 Election 17A	Negotiated	San Diego	CA - California
3/16/2016^	CA Monterey Peninsula USD 16 Fin Adv Agmt	Financial Advisor	Monterey	CA - California
7/24/2017*	CA Moorpark USD GO Bond Election Upgrade Classrooms 17 Fin Adv Agmt	Financial Advisor	Ventura	CA - California
5/7/2014^	CA Mount Pleasant Elementary SD 14 Fin Adv Agmt	Financial Advisor	Cabarrus	CA - California
8/5/2014^	CA Murrieta Valley USD 14 Fin Adv Agmt	Financial Advisor	Riverside	CA - California
11/17/2015^	CA Murrieta Valley USD 15 Fin Adv Agmt	Financial Advisor	Riverside	CA - California
12/9/2013^	CA Norwalk-La Mirada Unified Sch Dist 14 Fin Adv Agmt	Financial Advisor	Los Angeles	CA - California
8/3/2017	CA Norwalk-La Mirada USD GO 2014 Election 17B	Financial Advisor	Los Angeles	CA - California
8/3/2017	CA Norwalk-LaMirada USD GO Ref 17	Financial Advisor	Los Angeles	CA - California
5/19/2015^	CA Oak Park USD 15 Fin Adv Agmt	Financial Advisor	Los Angeles	CA - California
2/2/2015^	CA Orcutt Unified School District 15 Fin Adv Agmt	Financial Advisor	Santa Barbara	CA - California
9/7/2017	CA Palmdale Lease Financing Placement 17	Private Placement	Los Angeles	CA - California
7/24/2014^	CA Palos Verdes Peninsula USD 14 Fin Adv Agmt	Financial Advisor	Los Angeles	CA - California
9/20/2017	CA Redondo Beach USD GO Ref 17	Negotiated	Los Angeles	CA - California
7/13/2017	CA Roseville Finance Auth Special Tax Rev Ref 17B	Negotiated	Placer	CA - California
4/7/2015^	CA Ross Valley SD 15 Fin Adv Agmt	Financial Advisor	Marin	CA - California
12/18/2013^	CA Saddleback Valley USD 14 Fin Adv Agmt	Financial Advisor	Orange	CA - California
7/22/2014^	CA San Gabriel USD 14 Fin Adv Agmt	Financial Advisor	Los Angeles	CA - California
8/1/2017	CA Santa Margarita Water Dist CFD 99-1 Talega Special Tax Ref 17	Negotiated	Orange	CA - California
10/13/2015^	CA South Pasadena USD 15 Fin Adv Agmt	Financial Advisor	Los Angeles	CA - California
8/29/2017	CA State of California Various Purpose GO 17	Negotiated	Various	CA - California
8/29/2017	CA State of California Various Purpose GO Ref 17	Negotiated	Various	CA - California
8/17/2017	CA Successor Agency Highland RDA Subord Tax Alloc Ref 17 Taxable	Negotiated	San Bernardino	CA - California
9/7/2017	CA Truckee Public Fin Auth Lease Rev Ref 17	Negotiated	Nevada	CA - California
12/9/2013^	CA Union Elementary Sch Dist 14 Fin Adv Agmt	Financial Advisor	Santa Clara	CA - California
9/19/2017	CA University of California Regents Limited Rev 17M	Negotiated	multiple	CA - California
9/19/2017	CA University of California Regents Limited Rev 17N Taxable	Negotiated	Multiple	CA - California
8/7/2014^	CA Valley Center-Pauma USD 14 Fin Adv Agmt	Financial Advisor	San Diego	CA - California
11/6/2013^	CA Victor Elementary Sch Dist 14 Fin Adv Agmt	Financial Advisor	San Joaquin	CA - California
5/1/2014^	CA Walnut Valley USD 14 Fin Adv Agmt	Financial Advisor	Los Angeles	CA - California
8/23/2017	CA West Contra Costa USD GO Ref 17 A-1	Negotiated	Contra Costa	CA - California
8/23/2017	CA West Contra Costa USD GO Ref 17 A-2	Negotiated	Contra Costa	CA - California
5/19/2016^	CA West Covina Unified School District 16 Fin Adv Agmt	Financial Advisor	Los Angeles	CA - California
8/16/2017	CA West Sacramento CFD No. 27 Special Tax 17	Negotiated	Yolo	CA - California
9/12/2017	CA West Valley-Mission CCD GO 2012 Election 17C	Negotiated	Santa Clara	CA - California

* New Financial Advisory Agreements
^ Ongoing Finance Advisory Agreements

Piper Jaffray Issuers List
7/1/2017 - 9/30/2017

Saledate	Shortdescription	Issuetype	County	Statecode
2/25/2015^	CO Adams County 2015 Financial Advisory Agreement	Financial Advisor	Adams	CO - Colorado
7/1/2006^	CO Aurora 07 Fin Adv Agmt 4th Ext	Financial Advisor	Adams	CO - Colorado
7/1/2012^	CO Aurora 12 Fin Adv Agmt Addl Exts	Financial Advisor	Adames	CO - Colorado
8/1/2017	CO Aurora COP Fire Stations 17B	Financial Advisor	Adams and Arapahoe	CO - Colorado
1/6/2017^	CO Black Creek Capital Sale of Sch Dist Facility 17 Fin Adv Agmt	Financial Advisor	Denver	CO - Colorado
9/27/2017	CO City and County of Broomfield Sales and Use Tax Rev 17	Negotiated	Broomfield	CO - Colorado
8/1/2017	CO Cotton Ranch Metro Dist Eagle County GO Ref 17	Private Placement	Eagle	CO - Colorado
8/2/2017	CO Dominion Water and Sanitation Dist Subordinate Water Note 17B	Financial Advisor	Douglas	CO - Colorado
1/1/2016^	CO Dominion Water-Sanitation Dist 16 Fin Adv Agmt	Financial Advisor	Douglas	CO - Colorado
12/31/2015^	CO E 470 Public Highway Authority 15 Fin Adv Agmt	Financial Advisor	State Authority	CO - Colorado
3/16/2017^	CO Eagle Crossing Loveland Metro Dist No. 1-4 17 Fin Adv Agmt	Financial Advisor	Weld	CO - Colorado
3/16/2017^	CO Eagle Crossing Windsor Metro Dist No. 1-4 17 Fin Adv Agmt	Financial Advisor	Weld	CO - Colorado
8/22/2017*	CO Heritage Ridge I, LLC Metro Dist 17 Fin Adv Agmt	Financial Advisor	Larimer	CO - Colorado
1/19/2017^	CO Huerfano County Hospital Dist Ref and Imp 17 Fin Adv Agmt	Financial Advisor	Huerfano	CO - Colorado
6/28/2017^	CO Meridian Metro Dist 17 Fin Adv Agmt	Financial Advisor	Douglas	CO - Colorado
7/27/2017*	CO Meridian Metro Dist Swap Advisory for Hedging 17 Fin Adv Agmt	Financial Advisor	Arapahoe	CO - Colorado
10/25/2017^	CO Parkside Metropolitan Dist 17 Fin Adv Agmt	Financial Advisor	Larimer	CO - Colorado
9/21/2016^	CO Pitkin County COP 16 Fin Adv Agmt	Financial Advisor	Pitkin	CO - Colorado
7/7/2016^	CO Pitkin County GO Ref 16 Fin Adv Agmt	Financial Advisor	Pitkin	CO - Colorado
2/8/2017^	CO Prosper Metropolitan Dist 17 Fin Adv Agmt	Financial Advisor	Arapahoe	CO - Colorado
3/16/2017^	CO RainDance Metro Dist No. 1-4 17 Fin Adv Agmt	Financial Advisor	Weld	CO - Colorado
3/27/2017^	CO Rampart Range Metro Dist No. 1 Swap Advisory 17 Fin Adv Agmt	Financial Advisor	Douglas	CO - Colorado
9/15/2016^	CO Rampart Range Metro Dist Rev 17 Fin Adv Agmt	Financial Advisor	Arapahoe	CO - Colorado
1/11/2017^	CO Sterling Ranch Community Auth Board 17 Fin Adv Agmt	Financial Advisor	Douglas	CO - Colorado
3/28/2017^	CO VREI, Inc., 2017 Financial Advisory Agreement	Financial Advisor	Denver	CO - Colorado
7/17/2017*	CO Water's Edge Metro Dist No. 1-5 Fort Collins 17 Fin Adv Agmt	Financial Advisor	Jefferson	CO - Colorado
7/25/2017	CT Bloomfield GO Ref 17	Negotiated	Hartford	CT - Connecticut
8/2/2017	CT New Haven GO 17A	Negotiated	New Haven	CT - Connecticut
8/2/2017	CT New Haven GO Ref 17B	Negotiated	Hartford	CT - Connecticut
8/23/2017	CT Norwalk GO Ref 17B	Negotiated	Fairfield	CT - Connecticut
8/10/2017	CT South Windsor GO Ref 17B	Negotiated	Hartford	CT - Connecticut
6/8/2016^	D Idaho Falls SD No. 91 16 Fin Adv Agmt	Financial Advisor	Bonneville	ID - Idaho
9/11/2013^	DC Federal Highway Admin Innovative Prog Delivery Office 13 Fin Adv Agmt	Financial Advisor	State Authority	DC - District of Columbia
2/24/2014^	DC Federal Railroad Admin Office of Acquisition Serv 14 Fin Adv Agmt	Financial Advisor	State Authority	DC - District of Columbia
8/1/2017	DC Washington Metro Area Transit Auth Gross Rev Transit 17B	Negotiated	State Authority	DC - District of Columbia
1/27/2016^	GA Brookhaven TAN 16 Fin Adv Agmt	Financial Advisor	DeKalb	GA - Georgia
9/16/2016^	GA City of Brookhaven Dev Auth 2016 Fin Adv Agmt	Financial Advisor	DeKalb	GA - Georgia
12/18/2015^	GA City of Brookhaven Dev Auth GO 16 Fin Adv Agmt	Financial Advisor	DeKalb	GA - Georgia
9/23/2014^	GA City of College Park 14 Fin Adv Agmt	Financial Advisor	Fulton	GA - Georgia
7/6/2017*	GA City of College Park 17 Fin Adv Agmt	Financial Advisor	Fulton	GA - Georgia
5/7/2015^	GA City of Jonesboro 15 Fin Adv Agmt	Financial Advisor	Clayton	GA - Georgia
9/5/2017*	GA City of Norcross General FA Work for City 17 Fin Adv Agmt	Financial Advisor	Gwinnett	GA - Georgia
2/16/2016^	GA Clayton County 16 Fin Adv Agmt	Financial Advisor	Clayton	GA - Georgia
9/13/2017*	GA Clayton County Urban RDA 17 Fin Adv Agmt	Financial Advisor	Clayton	GA - Georgia
8/23/2016^	GA College Park Business and IDA 16 Fin Adv Agmt	Financial Advisor	Fulton	GA - Georgia
7/12/2017*	GA Development Auth of Clayton County 17 Fin Adv Agmt	Financial Advisor	Clayton	GA - Georgia
4/18/2016^	GA Forest Park 16 Fin Adv Agmt	Financial Advisor	Clayton	GA - Georgia
7/6/2017*	GA Forest Park Development Authority 17 Fin Adv Agmt	Financial Advisor	Clayton	GA - Georgia
5/18/2017^	GA Forest Park Urban Redevelopment Agency 17 Fin Adv Agmt	Financial Advisor	Clayton	GA - Georgia
2/27/2015^	GA Hapeville 15 Fin Adv Agmt	Financial Advisor	Fulton	GA - Georgia
6/28/2016^	GA Hapeville Dev Auth 16 Fin Adv Agmt	Financial Advisor	Fulton	GA - Georgia
4/22/2015^	GA Lovejoy Rev City of Lovejoy Project 15 Fin Adv Agmt	Financial Advisor	Clayton	GA - Georgia
4/22/2015^	GA Lovejoy Urban RDA Rev 15 Fin Adv Agmt	Financial Advisor	Clayton	GA - Georgia
12/5/2016^	GA Norcross Downtown Dev Auth PILOT Structuring 16 Fin Adv Agmt	Financial Advisor	Gwinnett	GA - Georgia
4/13/2017^	GA Norcross Downtown Dev Auth PILOT Structuring 17 Fin Adv Agmt	Financial Advisor	Gwinnett	GA - Georgia
7/31/2017	GA Stonecrest TAN 17	Financial Advisor	DeKalb	GA - Georgia
6/15/2017^	GA Stonecrest TAN 17 Fin Adv Agmt	Financial Advisor	DeKalb	GA - Georgia
6/29/2015^	GA Urban RDA of Jonesboro Rev 15 Fin Adv Agmt	Financial Advisor	Clayton	GA - Georgia
8/16/2017	HI Honolulu City-County GO 17A	Negotiated	Honolulu	HI - Hawaii
8/16/2017	HI Honolulu City-County GO 17B	Negotiated	Honolulu	HI - Hawaii
8/16/2017	HI Honolulu City-County GO Ref (Crossover) 17E	Negotiated	Honolulu	HI - Hawaii
8/16/2017	HI Honolulu City-County GO Ref (Crossover) 17F	Negotiated	Honolulu	HI - Hawaii
8/16/2017	HI Honolulu City-County GO Ref 17C	Negotiated	Honolulu	HI - Hawaii
8/16/2017	HI Honolulu City-County GO Ref 17D	Negotiated	Honolulu	HI - Hawaii
8/16/2017	HI Honolulu City-County GO Taxable 17G	Negotiated	Honolulu	HI - Hawaii
7/6/2017	IA Alta CSD Sch Infra Sales Serv Use Tax Rev 17	Private Placement	buena vista	IA - Iowa
7/18/2016^	IA Bondurant-Farrar CSZD GO School Bonds 16 Fin Adv Agmt	Financial Advisor	Polk	IA - Iowa
6/7/2017^	IA Boone County Run Numbers for Lease Purchase Agmt 17 Fin Adv Agmt	Financial Advisor	Boone	IA - Iowa
5/21/2014^	IA Center Point-Urbana CSD GO Sch 14 Fin Adv Agmt	Financial Advisor	Linn	IA - Iowa
7/19/2017	IA Center Point-Urbana CSD GO Sch 17	Financial Advisor	linn	IA - Iowa
6/20/2017^	IA Centerville CSD School Facility Upgrades 2017-18 Fin Adv Agmt	Financial Advisor	Appanoose	IA - Iowa
8/14/2017*	IA Central CSD GO 17 Fin Adv Agmt	Financial Advisor	Clayton	IA - Iowa
4/27/2004^	IA City of Coralville IA Various Projects 2004 Fin Adv Agmt	Financial Advisor	Johnson	IA - Iowa
2/21/2011^	IA City of DeWitt GO Ref 11 Fin Adv Agmt	Financial Advisor	Clinton	IA - Iowa
10/23/2017^	IA City of Mount Pleasant 2018 Street Program 17 Fin Adv Agmt	Financial Advisor	Henry	IA - Iowa
6/30/2016^	IA City of New Sharon 16 Fin Adv Agmt	Financial Advisor	Mahaska	IA - Iowa
9/13/2017*	IA Clear Creek Amana CSD GO 17 Fin Adv Agmt	Financial Advisor	Johnson	IA - Iowa
3/21/2016^	IA College Community Sch Dist GO Sch-GO Ref 16 Fin Adv Agmt	Financial Advisor	Linn	IA - Iowa
10/9/2017^	IA College CSD SAVE Revenue 17 Fin Adv Agmt	Financial Advisor	Linn	IA - Iowa
4/27/2011^	IA Coralville GO Rev and Spec Assess 11 Fin Adv Agmt	Financial Advisor	Johnson	IA - Iowa
7/8/2016^	IA Coralville Iowa Arena Dev and Financing16 Fin Adv Agmt	Financial Advisor	Johnson	IA - Iowa
1/24/2012^	IA Coralville IRL Multi-State Financing 12 Fin Adv Agmt	Financial Advisor	Johnson	IA - Iowa
1/24/2012^	IA Coralville Parking Rev 12 Fin Adv Agmt	Financial Advisor	Johnson	IA - Iowa
8/1/2013^	IA Coralville Tax GO 13B	Financial Advisor	Johnson	IA - Iowa
1/10/2012^	IA Coralville Various 12 Fin Adv Agmt	Financial Advisor	Johnson	IA - Iowa
2/16/2015^	IA Dallas Center-Grimes CSD 15 Fin Adv Agmt	Financial Advisor	Dallas	IA - Iowa
2/16/2017^	IA Dallas County 17 Fin Adv Agmt	Financial Advisor	Dallas	IA - Iowa
7/20/2017	IA Dubuque County GO Bond Anticipation Project Notes 17	Private Placement	dubuque	IA - Iowa
4/13/2009^	IA Dubuque CSD Sch Infra-Sale-Serv-Use Tax Rev 09 Fin Agmt	Financial Advisor	Dubuque	IA - Iowa
9/12/2017	IA Eagle Grove CSD Sch Infra Sales Serv Use Tax Rev 17	Private Placement	wright	IA - Iowa
1/9/2017^	IA East Buchanan CSD GO 17 Fin Adv Agmt	Financial Advisor	Buchanan	IA - Iowa
7/27/2017	IA Estherville-Lincoln Central CSD GO Sch Ref 17	Private Placement	emmet	IA - Iowa
7/6/2017	IA Fairfield CSD Sch Infra Sales Serv Use Tax Rev 17	Private Placement	Jefferson	IA - Iowa
9/26/2017	IA Fairfield Sewer Rev Anticipation Project Notes 17	Private Placement	jefferson	IA - Iowa
8/23/2017	IA Fremont-Mills CSD Sch Infra Sales Serv Use Tax Rev 17	Private Placement	fremont	IA - Iowa
9/13/2005^	IA Great Lakes Sanitary District IA GO 2005 Fin Adv Agmt	Financial Advisor	Dickinson	IA - Iowa
1/14/2015^	IA Grinnell-Newburg CSD GO School 15 Fin Adv Agmt	Financial Advisor	Poweshiek	IA - Iowa
7/21/2017*	IA Griswold CSD GO 17 Fin Adv Agmt	Financial Advisor	Cass	IA - Iowa
9/19/2017	IA Griswold CSD GO Sch 17	Financial Advisor	cass	IA - Iowa
8/1/2017	IA Hamburg CSD GO Sch Capital Loan Notes 17	Private Placement	fremont	IA - Iowa
3/2/2017^	IA Hardin County Current Ref of Issues 05-11 17 Fin Adv Agmt\	Financial Advisor	Hardin	IA - Iowa
1/4/2017^	IA Indianola CSD GO Ref 17 Fin Adv Agmt	Financial Advisor	Warren	IA - Iowa

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Piper Jaffray Issuers List
7/1/2017 - 9/30/2017

Saledate	Shortdescription	Issuetype	County	Statecode
8/2/2017	IA Iowa City CSD Sch Infra Sales Serv Use Tax Rev 17B	Private Placement	johnson	IA - Iowa
2/22/2011^	IA Iowa Great Lakes Sanitary Dist GO Cap 11 Fin Adv Agmt	Financial Advisor	State Authority	IA - Iowa
6/19/2007^	IA Iowa Great Lakes Sanitary District GO 07 Fin Adv Agmt	Financial Advisor	State Authority	IA - Iowa
11/5/2012^	IA Iowa Western Community College Various 12 Fin Adv Agmt	Financial Advisor	Pottawattamie	IA - Iowa
11/5/2012^	IA Johnston CSD SILO and GO 13 Fin Adv Agmt	Financial Advisor	Polk	IA - Iowa
3/23/2006^	IA Kirkwood Com Col Merged Area 10 IA New Jobs 06 Fin Adv Agmt	Financial Advisor	Linn	IA - Iowa
3/10/2011^	IA Kirkwood Com College GO Rf Plant Fund Cap 11 Fin Adv Agmt	Financial Advisor	Linn	IA - Iowa
7/12/2017	IA Kirkwood Community College Merged Area X GO Sch Ref 17	Negotiated	Linn	IA - Iowa
7/18/2017	IA Kirkwood Community College Merged Area X Ref Lease Purchase COP 17A	Negotiated	Linn	IA - Iowa
8/2/2017	IA Kirkwood Community College Merged Area X Ref Lease Purchase COP 17B	Negotiated	linn	IA - Iowa
8/23/2016^	IA Lacina Meadows Public Wtr Supply Cash Flow 16 Fin Adv Agmt	Financial Advisor	Johnson	IA - Iowa
8/17/2017	IA Lake Delhi Combined Rec Facil and Wtr Quality Dist GO Ref 17	Private Placement	delaware	IA - Iowa
11/16/2015^	IA Lake View SRF Debt Issuance 15 Fin Adv Agmt	Financial Advisor	Sac	IA - Iowa
7/25/2016^	IA LeGrand Sewer Utility Calculation 16 Fin Adv Agmt	Financial Advisor	Marshall	IA - Iowa
4/20/2017^	IA Merrill Pioneer Community Hospital Bridge Loan Rock Rapids 17 Fin Adv Agmt	Financial Advisor	Lyon	IA - Iowa
3/23/2017^	IA New Hampton CSD GO Sch Bonds 17 and 18 Fin Adv Agmt	Financial Advisor	Chickasaw	IA - Iowa
5/20/2013^	IA Northeast Iowa Community College 13 Fin Adv Agmt	Financial Advisor	Winneshiek	IA - Iowa
9/19/2017*	IA Northwood-Kensett CSD GO Sch 18	Financial Advisor	Worth	IA - Iowa
3/7/2017^	IA Norwalk CSD GO School 17 Fin Adv Agmt	Financial Advisor	Warren	IA - Iowa
7/14/2017*	IA Ogden CSD GO 17-19 Fin Adv Agmt	Financial Advisor	Boone	IA - Iowa
11/14/2016^	IA Pleasantville CSD GO Sch 16 Fin Adv Agmt	Financial Advisor	Marion	IA - Iowa
8/1/2017	IA Pocahontas Area CSD Sch Infra Sales Serv Use Tax Rev 17	Private Placement	pocahontas	IA - Iowa
5/15/2012^	IA Polk County GO Bonds 12 Fin Adv Agmt	Financial Advisor	Polk	IA - Iowa
11/5/2013^	IA Polk County Hotel Evaluation 13 Fin Adv Agmt	Financial Advisor	Polk	IA - Iowa
9/13/2017*	IA Red Oak CSD GO Sch 17 Fin Adv Agmt	Financial Advisor	Montgomery	IA - Iowa
10/4/2017^	IA Riverdale Calculate Cash Flows 17 Fin Adv Agmt	Financial Advisor	Scott	IA - Iowa
10/3/2013^	IA Riverside CSD Various GO Projects 13-14 Fin Adv Agmt	Financial Advisor	Pottawattamie	IA - Iowa
6/19/2015^	IA Rock Rapids USD-Merrill Pioneer Direct Loan-Mortgage 15 Fin Adv Agmt	Financial Advisor	Lyon	IA - Iowa
2/9/2017^	IA Roland Story CSD 17 Fin Adv Agmt	Financial Advisor	Story	IA - Iowa
2/28/2017^	IA Sergeant Bluff 17 Fin Adv Agmt	Financial Advisor	Woodbury	IA - Iowa
1/12/2017^	IA Seymour CSD GO School 17 Fin Adv Agmt	Financial Advisor	Wayne	IA - Iowa
10/28/2015^	IA Sioux Center Regional Airport Auth Bank Loan 15 Fin Adv Agmt	Financial Advisor	Sioux	IA - Iowa
2/3/2015^	IA Sioux City 15 Fin Adv Agmt	Financial Advisor	Woodbury	IA - Iowa
3/8/2017^	IA Solon CSD Advice and Calculation 17 Fin Adv Agmt	Financial Advisor	Johnson	IA - Iowa
1/11/2016^	IA South Hamilton CSD GO Sch-GO Sch CLN 16 Fin Adv Agmt	Financial Advisor	Hamilton	IA - Iowa
11/9/2016^	IA Spirit Lake Calculation of Water Rates 16 Fin Adv Agmt	Financial Advisor	Dickinson	IA - Iowa
3/28/2017^	IA Spirit Lake Current Refunding 17 Fin Adv Agmt	Financial Advisor	Dickinson	IA - Iowa
9/19/2017	IA Stanton CSD Sch Infra Sales Serv Use Tax Rev 17	Private Placement	montgomery	IA - Iowa
9/7/2017	IA Tama GO Corporate Purpose and Ref 17	Private Placement	Tama	IA - Iowa
3/15/2017^	IA Tipton CSD GO Sch 17 Fin Adv Agmt	Financial Advisor	Cedar	IA - Iowa
8/30/2017	IA Tipton CSD GO School 17	Financial Advisor	cedar	IA - Iowa
8/31/2004^	IA Urbandale IA Various Issues 2004 Fin Adv Agmt	Financial Advisor	Dallas	IA - Iowa
6/12/2017^	IA Wayland State Revolving Loan Sewer 17 Fin Adv Agmt	Financial Advisor	Henry	IA - Iowa
3/2/2017^	ID Ada County Advising on LID No. 1101 17 Fin Adv Agmt	Financial Advisor	Ada	ID - Idaho
9/3/2015^	ID American Falls Joint SD No. 381 15 Fin Adv Agmt	Financial Advisor	Power and Cassia	ID - Idaho
2/24/2015^	ID Blaine County GO Ref 15 Fin Adv Agmt	Financial Advisor	Blaine	ID - Idaho
3/5/2015^	ID Boise State University 15 Fin Adv Agmt	Financial Advisor	Ada	ID - Idaho
1/11/2016^	ID Caldwell SD No. 132 16 Fin Adv Agmt	Financial Advisor	Canyon	ID - Idaho
8/29/2017	ID Canyon County SD No. 131 Nampa GO Ref 17	Negotiated	Canyon	ID - Idaho
6/10/2015^	ID Canyon County SD No. 135 Notus GO 15 Fin Adv Agmt	Financial Advisor	Canyon	ID - Idaho
10/15/2014^	ID Capital City Development Corp 14 Fin Adv Agmt	Financial Advisor	Ada	ID - Idaho
3/29/2016^	ID City of Boise 16 Fin Adv Agmt	Financial Advisor	Ada	ID - Idaho
3/17/2014^	ID City of Hailey-Idaho Bonk Bank Rev 14C Fin Adv Agmt	Financial Advisor	Blaine	ID - Idaho
10/15/2015^	ID Dormitory Housing Com of North Idaho College 15 Fin Adv Agmt	Financial Advisor	Kootenai	ID - Idaho
12/14/2016^	ID Gooding Joint SD No. 231 Bonds Fin Adv Agmt	Financial Advisor	Gooding	ID - Idaho
3/12/2014^	ID Hayden 14 Fin Adv Agmt	Financial Advisor	Kootenai	ID - Idaho
9/28/2017	ID Idaho HFA Grant and Rev Antic Ref Highway Trust GARVEE 17A	Negotiated	Ada	ID - Idaho
11/10/2014^	ID Idaho State Building Auth 14 Fin Adv Agmt	Financial Advisor	State Authority	ID - Idaho
1/21/2015^	ID Kimberly SD No. 414 15 Fin Adv Agmt	Financial Advisor	Twin Falls	ID - Idaho
7/13/2015^	ID Kootenai Cty SD No. 271 Coeur d'Alene 15 Fin Adv Agmt	Financial Advisor	Kootenai	ID - Idaho
1/23/2017^	ID Lakeland Joint SD No. 272 GO Authorized by Election 17 Fin Adv Agmt	Financial Advisor	Kootenai and Bonner	ID - Idaho
8/31/2017	ID Marsing Joint SD No. 363 GO 17	Negotiated	Owyhee & Canyon	ID - Idaho
5/28/2015^	ID Meridian Free Library Dist GO 2015 Fin Adv Agmt	Financial Advisor	Ada	ID - Idaho
11/1/2016^	ID Moscow GO Approved at Election Prior to 12-31-18 16 Fin Adv Agmt	Financial Advisor	Latah	ID - Idaho
9/18/2017*	ID Moscow Sewer Revenue Bonds 17 Fin Adv Agmt	Financial Advisor	Latah	ID - Idaho
11/3/2016^	ID Nampa 16 Fin Adv Agmt	Financial Advisor	Canyon	ID - Idaho
4/9/2014^	ID North Idaho College 2014 Fin Adv Agmt	Financial Advisor	Kootenai	ID - Idaho
10/17/2014^	ID Parma SD No. 137 14 Fin Adv Agmt	Financial Advisor	Canyon	ID - Idaho
5/19/2015^	ID Post Falls GO 15 Fin Adv Agmt	Financial Advisor	Kootenai	ID - Idaho
9/16/2016^	ID Sandpoint Ref 07 Sewer Rev Bonds 16 Fin Adv Agmt	Financial Advisor	Bonner	ID - Idaho
4/23/2014^	ID School District No. 401 Teton County GO 14 Fin Adv Agmt	Financial Advisor	Teton	ID - Idaho
3/19/2015^	ID Shelley Joint SD No. 60 15 Fin Adv Agmt	Financial Advisor	Bingham	ID - Idaho
1/17/2017^	ID State of Idaho TAN 17-18 Fin Adv Agmt	Financial Advisor	Ada	ID - Idaho
7/28/2017*	IL Chicago O'Hare Express Rail Project 17 Fin Adv Agmt	Financial Advisor	Cook	IL - Illinois
7/18/2017	IL Chicago Transit Auth Capital Grant Receipts Rev Ref 17 FTA 5307	Negotiated	State Authority	IL - Illinois
7/18/2017	IL Chicago Transit Auth Capital Grant Receipts Rev Ref 17 FTA 5337	Negotiated	State Authority	IL - Illinois
8/17/2017	IL Cook County Sales Tax Rev 17	Negotiated	Cook	IL - Illinois
7/6/2017	IL Lockport Township Park Dist Ltd Tax Park 17	Private Placement	Will	IL - Illinois
8/30/2017	IL New Lenox Park Dist GO Ltd Tax 17	Private Placement	Will	IL - Illinois
7/13/2015^	KS Abilene GO 15A and GO Ref 15B Fin Adv Agmt	Financial Advisor	Saline	KS - Kansas
9/11/2017*	KS Abilene Public Bldg Commission Ref Rev 17 Fin Adv Agmt	Financial Advisor	Saline	KS - Kansas
7/26/2017*	KS Andover GO Series A 2017 Fin Adv Agmt	Financial Advisor	Butler	KS - Kansas
7/25/2017	KS Andover Public Bldg Commission Tax Rev 17	Financial Advisor	Butler	KS - Kansas
6/20/2016^	KS Augusta GO Bonds 16-A Fin Adv Agmt	Financial Advisor	Butler	KS - Kansas
6/1/2017^	KS City of Derby GO 17B Fin Adv Agmt	Financial Advisor	Sedgwick	KS - Kansas
10/9/2017^	KS City of Emporia GO 17 Fin Adv Agmt	Financial Advisor	Lyon	KS - Kansas
8/10/2017*	KS City of Mulvane GO Temp Notes 17C Fin Adv Agmt	Financial Advisor	Sedgwick and Sumner	KS - Kansas
7/25/2017*	KS Ellinwood GO 17 Fin Adv Agmt	Financial Advisor	Barton	KS - Kansas
8/18/2015^	KS Ellsworth GO 15A-B Fin Adv Agmt	Financial Advisor	Ellsworth	KS - Kansas
1/30/2017^	KS Garnett Defeasance 09-A GO 17 Fin Adv Agmt	Financial Advisor	Anderson	KS - Kansas
4/21/2016^	KS Goodland GO 16 Fin Adv Agmt	Financial Advisor	Sherman	KS - Kansas
8/10/2017*	KS Hutchinson GO Ref and Imp 17A Fin Adv Agmt	Financial Advisor	Reno	KS - Kansas
6/19/2015^	KS Johnson County Community College 15 Fin Adv Agmt	Financial Advisor	Jackson	KS - Kansas
9/21/2017	KS Johnson County Community College COP 17	Financial Advisor	Johnson	KS - Kansas
2/24/2009^	KS Johnson County Water Dist No. 1 09 Fin Adv Agmt	Financial Advisor	Johnson	KS - Kansas
1/8/2009^	KS Johnson Cty Water Dist. No. 1 WaterOne	Financial Advisor	State Authority	KS - Kansas
9/7/2017	KS Kansas Power Pool Electric Utility Ref Rev 17	Private Placement	Sedgwick	KS - Kansas
9/27/2017*	KS Kechi GO Ref 17-GO Temp Notes-18 GO-18 Fin Adv Agmt	Financial Advisor	Sedgwick	KS - Kansas
10/18/2000^	KS Leavenworth KS GO 2000 Fin Adv Agmt	Financial Advisor	Leavenworth	KS - Kansas
11/14/2016^	KS Lyon County USD No. 252 16 Fin Adv Agmt	Financial Advisor	Lyon	KS - Kansas
7/17/2017	KS Lyon County USD No. 252 GO 17	Financial Advisor	Lyon	KS - Kansas
6/5/2017^	KS Lyons GO-GO Temp Notes-COP-Rev 17 Fin Adv Agmt	Financial Advisor	Rice	KS - Kansas

* New Financial Advisory Agreements
^ Ongoing Finance Advisory Agreements

Piper Jaffray Issuers List
7/1/2017 - 9/30/2017

Saledate	Shortdescription	Issuetype	County	Statecode
10/12/2016^	KS Marshall County USD No. 380 17, 18 or 19 Voter Bonds 16 Fin Adv Agmt	Financial Advisor	Marshall	KS - Kansas
7/26/2016^	KS Montgomery County USD No. 447 16 Fin Adv Agmt	Financial Advisor	Montgomery	KS - Kansas
9/28/2017	KS Reno County GO 17A	Private Placement	Reno	KS - Kansas
7/14/2016^	KS Reno County USD No. 310 16 Fin Adv Agmt	Financial Advisor	Reno	KS - Kansas
8/14/2017	KS Reno County USD No. 313 Lease Purchase Agmt 17	Financial Advisor	Reno	KS - Kansas
5/24/2017^	KS Reno County USD No.313 Buhler Lease Purchase Agmt 17 Fin Adv Agmt	Financial Advisor	Reno	KS - Kansas
9/15/2017*	KS Riley County GO Ref 17 Fin Adv Agmt	Financial Advisor	Riley	KS - Kansas
8/21/2002^	KS Riley County KS GO 2002 Fin Adv Agmt	Financial Advisor	Riley	KS - Kansas
7/27/2017	KS Saline County USD No. 305 GO Ref 17	Negotiated	Saline	KS - Kansas
8/31/2017*	KS Shawnee County USD No. 501 GO Ref 17 Fin Adv Agmt	Financial Advisor	Shawnee	KS - Kansas
7/13/2017	KS South Hutchinson GO Temp Notes 17	Negotiated	Reno	KS - Kansas
9/28/2017	KS South Hutchinson Taxable GO 2017	Private Placement	Reno	KS - Kansas
7/12/2017*	KS United SD No. 363 Finney County Holcomb GO 17 Fin Adv Agmt	Financial Advisor	Finney	KS - Kansas
6/28/2017^	KS USD No. 393 Solomon GO 17 Fin Adv Agmt	Financial Advisor	Dickinson	KS - Kansas
8/31/2017*	KS Valley Center GO Temp 17-1, GO 18-1, GO Temp 18-1 17 Fin Adv Agmt	Financial Advisor	Sedgwick	KS - Kansas
8/10/2017*	KS Wabaunsee County GO 17 Fin Adv Agmt	Financial Advisor	Wabaunsee	KS - Kansas
1/9/2000^	KS Wabaunsee County GO 17 Fin Adv Agmt	Financial Advisor	Wabaunsee	KS - Kansas
9/27/2017	KS Waconda USD No. 272 Mitchell County Lease Purchase 17	Private Placement	Mitchell	KS - Kansas
1/30/2017^	KS Waconda USD No. 272 Voter Approved Projects 17 Fin Adv Agmt	Financial Advisor	Osborne	KS - Kansas
9/28/2017	KS Washington County Public Bldg Com Ref Rev Hospital 17	Negotiated	Washington	KS - Kansas
7/12/2017	MA Massachusetts Port Auth Rev 17A AMT	Negotiated	Suffolk	MA - Massachusetts
7/12/2017	MI American Montessori Academy Pub Sch Academy Rev 17	Negotiated	Wayne	MI - Michigan
7/26/2017	MN Charter School Lease Rev Spero Academy 17A	Negotiated	Hennepin	MN - Minnesota
7/26/2017	MN Charter School Lease Rev Spero Academy 17B	Negotiated	Hennepin	MN - Minnesota
9/12/2017	MN Minnesota HFA Homeownership Finance 17G Non-AMT	Negotiated	Ramsey	MN - Minnesota
9/12/2017	MN Minnesota HFA Homeownership Finance 17H Taxable	Negotiated	Ramsey	MN - Minnesota
8/22/2017	MN MNTAAB COP Aid Anticipation 17	Negotiated	Ramsey	MN - Minnesota
8/16/2017	MN Rochester Health Care and Housing Rev Ref Samaritan Bethany 17A	Negotiated	Olmsted	MN - Minnesota
8/16/2017	MN Rochester Taxable Health Care and Housing Rev Ref Samaritan Bethany 17B	Negotiated	Olmsted	MN - Minnesota
8/17/2017	MN St Paul Park Sr Hsg Rev PHS Norris Square 17B	Private Placement	Washington	MN - Minnesota
8/17/2017	MN St Paul Park Sr Hsg Rev Rfdg PHS Norris Square 17A	Private Placement	Washington	MN - Minnesota
7/26/2017	MN St. Paul HRA Charter Sch Lease Rev Great River School 17A	Negotiated	Ramsey	MN - Minnesota
7/26/2017	MN St. Paul HRA Charter Sch Lease Rev Great River School 17B	Negotiated	Ramsey	MN - Minnesota
9/1/2017	MN St. Paul HRA Healthcare Rev Ref Gillette Children's Spec 17	Private Placement	Ramsey	MN - Minnesota
8/31/2017	MN St. Paul HRA Parking Rev Rfdg 17A	Negotiated	Ramsey	MN - Minnesota
8/31/2017	MN St. Paul HRA Parking Rev Rfdg 17B	Negotiated	Ramsey	MN - Minnesota
7/18/2017	MN St. Paul Park Sr Hsg Rev PHS-Norris Square 17	Negotiated	Washington	MN - Minnesota
8/22/2017	MN St. Paul Park Sr Hsg Rev Ref Pres Bloomington 17	Negotiated	Hennepin	MN - Minnesota
2/2/2017^	MO Belton Ref COP-GO Ref 17 Fin Adv Agmt	Financial Advisor	Cass	MO - Missouri
4/26/2016^	MO Belton Tax Incr Ref Rev Belton Gateway 16 Fin Adv Agmt	Financial Advisor	Cass	MO - Missouri
8/22/2017	MO Branson IDA Tax Increment Rev Ref Branson Shoppes 17A	Negotiated	Taney	MO - Missouri
6/15/2017^	MO Calvey Creek Sewer District Fin Adv Agmt	Financial Advisor	Franklin	MO - Missouri
9/5/2017*	MO Centralia Wtr-Swr Rev-Lease Purchase 17 Fin Adv Agmt	Financial Advisor	Boone	MO - Missouri
7/5/2016^	MO Christian County 16 Fin Adv Agmt	Financial Advisor	Christian	MO - Missouri
5/4/2015^	MO City of Cape Girardeau 15 Fin Adv Agmt	Financial Advisor	Cape Girardeau	MO - Missouri
5/3/2017^	MO City of Perryville 17 Fin Adv Agmt	Financial Advisor	Perry	MO - Missouri
7/5/2017*	MO City of St. Joseph GO and Sewer Rev 17 Fin Adv Agmt	Financial Advisor	Buchanan	MO - Missouri
10/17/2017^	MO City of Washington 12B Ref-New Money COP 17 Fin Adv Agmt	Financial Advisor	Franklin	MO - Missouri
8/8/2017*	MO City of Willard 17 Fin Adv Agmt	Financial Advisor	Greene	MO - Missouri
12/2/2016^	MO Columbia SD MO GO 16 Fin Adv Agmt	Financial Advisor	Boone	MO - Missouri
4/26/2016^	MO Duckett Creek Sanitary Dist COP Treatment Plant 16 Fin Adv Agmt	Financial Advisor	St. Charles	MO-Missouri
9/6/2017	MO Dunklin R-V SD Jefferson County COP 17	Private Placement	Jefferson	MO - Missouri
2/17/2015^	MO Dunklin R-V SD Jefferson County GO Ref 15 Fin Adv Agmt	Financial Advisor	Jefferson	MO - Missouri
2/6/2015^	MO El Dorado Springs R-II SD 15 Fin Adv Agmt	Financial Advisor	Cedar	MO - Missouri
8/2/2017	MO Franklin County Public Water Supply Dist No. 3 COP 17	Negotiated	Franklin	MO - Missouri
10/28/2014^	MO Grandview GO 14 Fin Adv Agmt	Financial Advisor	Jackson	MO - Missouri
5/2/2016^	MO Hollister TIF Project Bonds 16 Fin Adv Agmt	Financial Advisor	Taney	MO - Missouri
9/28/2017*	MO Jefferson City Sewerage System Ref Rev 18 Fin Adv Agmt	Financial Advisor	Cole	MO - Missouri
4/18/2016^	MO Kearney Fire and Rescue Protect Dist 2010 GO Rfdg Fin Adv Agmt	Financial Advisor	Clay	MO - Missouri
10/6/2014^	MO Kearney GO Ref and Imp 14 Fin Adv Agmt	Financial Advisor	Clay	MO - Missouri
8/30/2016^	MO Kearney Lease Purchase 16 Fin Adv Agmt	Financial Advisor	Clay	MO - Missouri
1/15/2015^	MO Kearney R-1 SD GO Ref 15 Fin Adv Agmt	Financial Advisor	Clay	MO - Missouri
2/7/2017^	MO Kearney Sewer System Improvements 17 Fin Adv Agmt	Financial Advisor	Clay	MO
9/15/2014^	MO Kirksville 14 Fin Adv Agmt	Financial Advisor	Adair	MO - Missouri
11/22/2016^	MO Kirkwood IDA Retirement Com Rev Aberdeen Heights 17 Fin Adv Agmt	Financial Advisor	St. Louis	MO - Missouri
7/18/2017	MO Lake Winnebago GO 17	Private Placement	Cass	MO - Missouri
9/5/2017	MO Liberty Hospital Rfdg Imp Rev 17	Private Placement	Clay	MO - Missouri
2/7/2017^	MO Liberty Ref of 2007 TIF Rev-2010 Special Oblig 17 Fin Adv Agmt	Financial Advisor	Clay	MO - Missouri
3/16/2016^	MO Little Blue Valley Sewer Dist 16 Fin Adv Agmt	Financial Advisor	Jackson	MO - Missouri
8/31/2017*	MO Maryville 17 Fin Adv Agmt	Financial Advisor	Nodaway	MO - Missouri
10/14/2014^	MO Meramec Valley R-III SD 14 Fin Adv Agmt	Financial Advisor	Franklin	MO - Missouri
5/16/2017^	MO Mexico 17 Fin Adv Agmt	Financial Advisor	Audrain	MO - Missouri
12/5/2016^	MO Moberly Combined Water Sewer Rev 16 Fin Adv Agmt	Financial Advisor	Christian	MO - Missouri
10/17/2016^	MO Monett Annual Appro Swimming Pool Facil Imp 16 Fin Adv Agmt	Financial Advisor	Barry	MO - Missouri
4/12/2016^	MO North Kansas City Sch Dist 16 Fin Adv Agmt	Financial Advisor	Clay	MO - Missouri
12/9/2016^	MO O'Fallon GO-Special Oblig 17 Fin Adv Agmt	Financial Advisor	St. Charles	MO - Missouri
5/26/2015^	MO Park Hill School District of Platte Cty 15 Fin Adv Agmt	Financial Advisor	St. Francis	MO - Missouri
9/28/2017	MO Park Hill SD GO 17	Financial Advisor	Platte	MO - Missouri
9/27/2017	MO Parkway SD Cash Defeasance GO 17	Financial Advisor	St. Louis	MO - Missouri
1/8/2015^	MO Parkway SD GO Ref 15 Fin Adv Agmt	Financial Advisor	Gwinnett	MO - Missouri
9/27/2017	MO Parkway SD GO Ref 17	Financial Advisor	St. Louis	MO - Missouri
8/17/2016^	MO Pulaski County Justice Center Financing 16 Fin Adv Agmt	Financial Advisor	Pulaski	MO - Missouri
7/16/2015^	MO Purdy R-II School District 15 Fin Adv Agmt	Financial Advisor	Barry	MO - Missouri
3/1/2017^	MO Raymore 17 Fin Adv Agmt	Financial Advisor	Cass	MO - Missouri
10/16/2014^	MO Rockwood R-VI SD 14 Fin Adv Agmt	Financial Advisor	St. Louis	MO - Missouri
7/3/2014^	MO Sikeston Board of Municipal Utilities 14 Fin Adv Agmt	Financial Advisor	Scott	MO - Missouri
7/6/2017*	MO Sikeston Board of Municipal Utilities 17 Fin Adv Agmt	Financial Advisor	Scott/New Madrid	MO - Missouri
4/10/2017^	MO Sikeston SD GO 17 Fin Adv Agmt	Financial Advisor	Scott	MO - Missouri
8/5/2014^	MO Smithville 14 Fin Adv Agmt	Financial Advisor	Clay	MO - Missouri
7/12/2017*	MO South Central Buchanan County Fire Protection District 17 Fin Adv Agmt	Financial Advisor	South Central Buchanan	MO - Missouri
7/12/2012^	MO St. Joseph 12 Fin Adv Agmt	Financial Advisor	Buchanan	MO - Missouri
8/23/2017	MO St. Joseph Sewerage System Rev 17	Financial Advisor	Buchanan	MO - Missouri
1/18/2013^	MO St. Louis County Library COP 13 Fin Adv Agmt	Financial Advisor	St. Louis	MO-Missouri
9/28/2017*	MO St. Paul Ref Series 2007 COP 17 Fin Adv Agmt	Financial Advisor	Saint Charles	MO - Missouri
7/13/2017*	MO Sugar Creek 17 Fin Adv Agmt	Financial Advisor	Jackson	MO - Missouri
8/8/2017*	MO Tri-County Water Auth 17 Fin Adv Agmt	Financial Advisor	Jackson	MO - Missouri
3/15/2016^	MO Warrensburg 16 Fin Adv Agmt	Financial Advisor	Johnson	MO - Missouri
8/14/2017	MO Warrensburg GO 2017	Financial Advisor	Johnson	MO - Missouri
11/3/2014^	MO West County EMS FPD GO Ref Imp 15 Fin Adv Agmt	Financial Advisor	St. Louis	MO - Missouri
9/26/2017*	MO Wright City Fire Protection Dist 17 Fin Adv Agmt	Financial Advisor	Warren	MO - Missouri
8/16/2017	MS State of Mississippi tax GO 17C Labor Term Rate	Negotiated	State Issuer	MS - Mississippi
9/7/2017	MT Montana Board of Housing Single Family Mortgage 17B-1 AMT	Negotiated	Lewis and Clark	MT - Montana

* New Financial Advisory Agreements
^ Ongoing Finance Advisory Agreements

Piper Jaffray Issuers List
7/1/2017 - 9/30/2017

Saledate	Shortdescription	Issuetype	County	Statecode
9/7/2017	MT Montana Board of Housing Single Family Mortgage 17B-2 Non-AMT	Negotiated	Lewis and Clark	MT - Montana
3/1/2013^	MT State of Montana 2013 Fin Adv Agmt	Financial Advisor	State Authority	MT - Montana
7/13/2015^	NE Lake Vista - Nebraska Multisport Complex 15 Fin Adv Agmt	Financial Advisor	Sarpy	NE - Nebraska
11/19/2015^	NE Metropolitan Community College Area 15 Fin Adv Agmt	Financial Advisor	Douglas	NE - Nebraska
9/7/2017	NJ New Jersey Econ Dev Auth Motor Vehicle Surcharge Sub Rev Rfdg 17A	Negotiated	State	NJ - New Jersey
9/7/2017	NJ New Jersey Econ Dev Auth Motor Vehicle Surcharge Sub Rev Rfdg 17A	Negotiated	State	NJ - New Jersey
9/6/2017	NJ New Jersey Econ Dev Auth Motor Vehicle Surcharge Sub Rev Rfdg 17B Taxable	Negotiated	State	NJ - New Jersey
8/10/2017	NM New Mexico Fin Auth Subord Lien Revolv Rev Ref 17D	Negotiated	Bernalillo	NM - New Mexico
7/26/2017	NY Dunkirk Public Imp Rfdg Serial 17	Negotiated	Chautauqua	NY - New York
1/17/2017^	NY Metropolitan Transportation Authority Spec Muni Agreement 17	Financial Advisor	New York	NY - New York
9/6/2017	OH Franklin County Hospital Rev Ref Ohio Living Huntington 17A	Financial Advisor	Franklin	OH - Ohio
9/6/2017	OH Franklin County Hospital Rev Ref Ohio Living PNC 17B	Financial Advisor	Franklin	OH - Ohio
10/8/2015^	OH Ohio Living (formerly known as Presbyterian Retirement Serv) Refinancing Debt 15 Fin Adv Agmt	Financial Advisor	Various	OH - Ohio
8/8/2017*	OH Ohio Living Swap Advisory PNC-Huntington Bank 17 Fin Adv Agmt	Financial Advisor	Franklin	OH - Ohio
1/12/2017^	OR Bend Park and Recreation Dist 17 Fin Adv Agmt	Financial Advisor	Deschutes	OR - Oregon
8/22/2017	OR Bend-La Pine Administrative SD No. 1 GO 17	Financial Advisor	Deschutes	OR - Oregon
7/5/2017*	OR Bend-La Pine Schools SD No. 1 17 Fin Adv Agmt	Financial Advisor	Deschutes	OR - Oregon
3/12/2015^	OR City of Albany 2015 Fin Adv Agmt	Financial Advisor	Linn	OR - Oregon
11/18/2014^	OR City of Gresham 14 Fin Adv Agmt	Financial Advisor	Multnomah	OR - Oregon
9/16/2015^	OR Clackamas County 15 Fin Adv Agmt	Financial Advisor	Clackamas	OR - Oregon
4/16/2016^	OR Clackamas Service District No. 1 16 Fin Adv Agmt	Financial Advisor	Clackamas	OR - Oregon
8/1/2017	OR Clatskanie SD No. 6J GO Rfdg 17	Private Placement	Columbia, Clatsop	OR - Oregon
10/12/2016^	OR Columbia County 16 Fin Adv Agmt	Financial Advisor	Columbia	OR - Oregon
2/11/2015^	OR Eugene Water and Electric Board Amendment to 13 SNW Fin Adv Agmt	Financial Advisor	Lane	OR - Oregon
9/12/2017	OR Eugene Water and Electric Board Electric Utility System Rev 17	Financial Advisor	Lane	OR - Oregon
8/24/2016^	OR Grants Pass Wastewater Utility Bonds 17 Fin Adv Agmt	Financial Advisor	Josephine	OR - Oregon
7/13/2017	OR Greater Albany SD No. 8J GO 17	Negotiated	Linn, Benton	OR - Oregon
12/23/2013^	OR Hermiston Water Sewer Rev 14 Fin Adv Agmt	Financial Advisor	Umatilla	OR - Oregon
1/7/2016^	OR Hillsboro 16 Fin Adv Agmt	Financial Advisor	Washington	OR - Oregon
10/8/2013^	OR Housing Auth of Washington County 13 Fin Adv Agmt	Financial Advisor	Washington	OR - Oregon
2/24/2016^	OR Independence Full Faith and Credit Olig Rev 16 Fin Adv Agmt	Financial Advisor	Polk	OR - Oregon
7/20/2017	OR Jefferson SD No. 14J GO 17A DIB	Negotiated	Marion, Linn	OR - Oregon
7/20/2017	OR Jefferson SD No. 14J GO 17B CIB	Negotiated	Marion, Linn	OR - Oregon
9/28/2017	OR Klamath Falls City GO Ref 17	Private Placement	Klamath	OR - Oregon
8/15/2017	OR Lake Oswego SD No. 7J GO 17	Negotiated	clackamas, Multnomah, Washington	OR - Oregon
6/1/2012^	OR Lane County 12 SNW Fin Adv Agmt	Financial Advisor	Lane	OR - Oregon
5/13/2013^	OR Lane Cty SD 4J Eugene GO 13A-B Fin Adv Agmt	Financial Advisor	Lane	OR - Oregon
11/1/2013^	OR Medford Limited Tax Revenue 13 Fin Adv Agmt	Financial Advisor	Jackson	OR - Oregon
12/5/2016^	OR Metro 17 Fin Adv Agmt	Financial Advisor	Multnomah	OR - Oregon
8/1/2017	OR Metro Dedicated Tax Rev Oregon Convention Ctr Hotel 17	Negotiated	Multnomah Cty & Various Counties	OR - Oregon
7/11/2017	OR Mill City Full Faith and Credit Ref Financing Agreement, Series 2017	Private Placement	Linn, Marion	OR - Oregon
2/16/2016^	OR Milwaukie Library Expansion and Misc. Projects 16 Fin Adv Agmt	Financial Advisor	Clackamas	OR - Oregon
1/25/2016^	OR Multnomah County 16 Fin Adv Agmt	Financial Advisor	Multnomah	OR - Oregon
8/3/2017	OR Multnomah County SD No. 1J GO Portland Public Sch GO 17A Taxable	Financial Advisor	Multnomah, Washington, Clackamas	OR - Oregon
8/3/2017	OR Multnomah County SD No. 1J GO Portland Public Sch GO 17B	Financial Advisor	Multnomah, Washington, Clackamas	OR - Oregon
11/9/2015^	OR Multnomah Cty Drainage Dist No. 1 15 Fin Adv Agmt	Financial Advisor	Multnomah	OR - Oregon
7/1/2017*	OR Multnomah Cty SD 1J Portland Public Sch 17 Fin Adv Agmt	Financial Advisor	Multnomah, Washington, Clackamas	OR - Oregon
7/26/2017	OR North Powder SD No. 8J GO 17	Negotiated	Union, Baker	OR - Oregon
9/14/2017	OR Oregon GO Veterans' Welfare 98A 17N	Negotiated	Marion	OR - Oregon
9/14/2017	OR Oregon GO Veterans' Welfare 99A 17P	Negotiated	Marion	OR - Oregon
9/14/2017	OR Oregon GO Veterans' Welfare 99B 17Q	Negotiated	Marion	OR - Oregon
8/23/2017	OR Pendleton GO 17	Negotiated	Umatilla	OR - Oregon
10/4/2017^	OR Phoenix 17 Financial Advisory Agreement	Financial Advisor	Jackson	OR - Oregon
8/10/2017	OR Pilot Rock SD No. 2R GO Rfdg 17	Private Placement	Umatilla	OR - Oregon
8/3/2017	OR Rogue River SD No. 35 GO 17	Private Placement	Jackson	OR - Oregon
1/3/2017^	OR Salem 17 Fin Adv Agmt	Financial Advisor	Marion	OR - Oregon
8/10/2017	OR Salem GO and Rfdg 17	Financial Advisor	Marion, Polk	OR - Oregon
8/22/2016^	OR Sandy Urban Renewal Agency 16 Fin Adv Agmt	Financial Advisor	Clackamas	OR - Oregon
8/15/2017	OR Tillamook SD No. 9 GO and Rfdg 17	Negotiated	Tillamook	OR - Oregon
7/19/2017	OR Vernonia SD No. 47J GO 17A DIB	Negotiated	Columbia, Washington	OR - Oregon
7/19/2017	OR Vernonia SD No. 47J GO 17B CIB	Negotiated	Columbia, Washington	OR - Oregon
11/18/2016^	OR Washington County 16 Fin Adv Agmt	Financial Advisor	Washington	OR - Oregon
11/1/2012^	OR Washington County Full Faith Credit Ref Oblig 12 SNW Fin Adv Agmt	Financial Advisor	Washington	OR - Oregon
7/18/2017	PA Erie County GO Ref 17	Negotiated	County of Erie	PA - Pennsylvania
9/15/2017	PA Montgomery County IDA Sr Lvg Whitemarsh CCRC 17A	Private Placement	Montgomery County	PA - Pennsylvania
6/23/2017^	PA Morningstar Sr Lvg Swap Advisory Citizens Bank 17 Fin Adv Agmt	Financial Advisor	Northampton	PA - Pennsylvania
7/17/2017	PA Northampton County GPA Sr Lvg Rev Morningstar Sr Lvg 17	Private Placement	Northampton	PA - Pennsylvania
9/26/2017	PA Pennsylvania Turnpike Commission Turnpike Rev 17A-1	Negotiated	Dauphin	PA - Pennsylvania
9/26/2017	PA Pennsylvania Turnpike Commission Turnpike Rev Ref 17A-2	Negotiated	Dauphin	PA - Pennsylvania
8/8/2017	PA Philadelphia Gas Works Rev 15-1998 Genl Ordinance 17	Negotiated	Philadelphia	PA - Pennsylvania
7/19/2017	PA Quakertown Genl Auth Hlth Rev LifeQuest 17C	Negotiated	Bucks	PA - Pennsylvania
7/19/2017	PA Quakertown Genl Auth Taxable Hlth Rev LifeQuest17D	Negotiated	Bucks	PA - Pennsylvania
7/19/2017	PA Quakertown Genl Auth USDA BAN LifeQuest 17A	Negotiated	Bucks	PA - Pennsylvania
7/19/2017	PA Quakertown Genl Auth USDA Taxable BAN LifeQuest 17B	Negotiated	Bucks	PA - Pennsylvania
7/27/2017	PA Sto-Rox SD GO 17	Negotiated	Allegheny	PA - Pennsylvania
8/29/2017	PA Wayne County Hospital Health Gtd Health Facil Rev Wayne Memorial 17A	Negotiated	Wayne	PA - Pennsylvania
7/19/2017	PA Wilkinsburg Borough SD GO 17	Negotiated	Allegheny	PA - Pennsylvania
6/9/2017^	Public Utility District No. 1 of Franklin County, Washington, 2017 Financial Advisory Agreement	Financial Advisor	Franklin	WA - Washington
7/18/2017	SC Cayce Tax Increment Rev 17	Negotiated	Lexington and Richland	SC - South Carolina
8/22/2017	SD South Dakota HEFA Rev Regional Health 17	Negotiated	Pennington	SD - South Dakota
8/22/2017	TX Argyle Special Assess Rev Highland of Argyle Public Imp Dist No. 1 17	Negotiated	Denton	TX - Texas
9/13/2017	TX Arlington Sr Lien Special Tax Rev Rfdg 17	Negotiated	Tarrant	TX - Texas
8/9/2017	TX Austin Achieve Public Schools Inc Educ Rev 17B	Negotiated	Travis	TX - Texas
8/29/2017	TX Austin Certs of Obligation 17	Negotiated	Travis, Williamson, Hays	TX - Texas
8/29/2017	TX Austin Public Improvement 17	Negotiated	Travis, Williamson, Hays	TX - Texas
8/29/2017	TX Austin Public Improvement Taxable 17	Negotiated	Travis, Williamson, Hays	TX - Texas
8/29/2017	TX Austin Public Property Finance Contractual Obligations 17	Negotiated	Travis, Williamson, Hays	TX - Texas
8/22/2017	TX Big Spring ISD Ultl Tax Rfdg 17	Negotiated	Howard	TX - Texas
8/24/2017	TX Boerne ISD Ultl Tax Sch Bldg 17	Negotiated	Kendall, Bexar, Comal	TX - Texas
8/22/2017	TX Conroe ISD Ultl Tax Rfdg 17	Negotiated	Montgomery	TX - Texas
7/11/2017	TX Dallas Waterworks and Sewer System Rev Ref 17	Negotiated	Dallas, Denton, Collin, Rockwall	TX - Texas
8/16/2017	TX Deer Park ISD Ltd Tax Rfdg 17	Negotiated	Harris	TX - Texas
7/18/2017	TX Flower Mound Certs of Obligation 17A	Negotiated	Denton, Tarrant	TX - Texas
7/18/2017	TX Flower Mound GO Ref 17	Negotiated	Denton, Tarrant	TX - Texas
8/21/2017	TX La Grange ISD Ultl Tax Sch Bldg 17	Negotiated	Fayette	TX - Texas
8/2/2017	TX McKinney ISD Ultl Tax Sch Bldg 17	Negotiated	Collin	TX - Texas
8/9/2017	TX Newark Higher Educ Fin Corp Rev Austin Achieve 17A	Negotiated	Travis	TX - Texas
9/28/2017	TX Richardson ISD Ultl Tax Ref 17	Negotiated	Dallas	TX - Texas
8/1/2017	TX San Antonio Comb Tax and Rev Certs Oblig 17	Negotiated	Bexar	TX - Texas
8/1/2017	TX San Antonio General Improvement 17	Negotiated	Bexar	TX - Texas

* New Financial Advisory Agreements
^ Ongoing Finance Advisory Agreements

Piper Jaffray Issuers List
7/1/2017 - 9/30/2017

Saledate	Shortdescription	Issuetype	County	Statecode
8/1/2017	TX San Antonio Tax Notes 17	Negotiated	Bexar	TX - Texas
9/14/2017	TX Texas Board of Regents Univ of Texas Rev Financing Sys 17B	Negotiated	Travis	TX - Texas
9/26/2017	TX Texas Water Dev Board Implement Fund Rev 17A	Negotiated	Travis	TX - Texas
9/26/2017	TX Texas Water Dev Board Implement Fund Rev Taxable 17B	Negotiated	Travis	TX - Texas
7/13/2017	TX Titus County Ultid Tax Rfdg 17	Negotiated	Titus	TX - Texas
5/26/2016^	UT Utah Assoc Muni Power Systems UAMPS 16 Fin Adv Agmt	Financial Advisor	State Authority	UT - Utah
10/17/2014^	VT Vermont HFA Multiple Purpose 2015A-E Fin Adv Agmt	Financial Advisor	State Authority	VT - Vermont
8/31/2013^	WA Bellevue Sch Dist No. 405 13 Fin Adv Agmt	Financial Advisor	King	WA - Washington
10/5/2011^	WA Bellingham 11 SNW Fin Adv Agmt	Financial Advisor	Norfolk	WA - Washington
8/16/2017	WA Bellingham SD No. 501 UTGO and Ref 17	Negotiated	Whatcom	WA - Washington
10/5/2011^	WA Bellingham Water and Sewer Rev 13 Fin Adv Agmt	Financial Advisor	Whatcom	WA - Washington
12/1/2014^	WA Benton PUD 14 Fin Adv Agmt	Financial Advisor	Benton	WA - Washington
7/26/2017	WA Central Kitsap SD No. 401 UTGO 17	Negotiated	Kitsap	WA - Washington
10/15/2014^	WA Central Valley SD No. 356 14 Fin Adv Agmt	Financial Advisor	Kitsap	WA - Washington
8/4/2017*	WA City of Bellevue 17 Fin Adv Agmt	Financial Advisor	King	WA - Washington
4/1/2009^	WA City of Kent 14 SNW Fin Adv Agmt	Financial Advisor	King	WA - Washington
1/1/2014^	WA City of Seattle Fin Adv Agmt	Financial Advisor	King	WA - Washington
10/5/2017^	WA Cowlitz Public Utilities Dist 17 Fin Adv Agmt	Financial Advisor	Cowlitz	WA - Washington
10/17/2016^	WA Edmonds Public Fac Dist Ref of 08 PFD Bonds 16 Fin Adv Agmt	Financial Advisor	Snohomish	WA - Washington
8/9/2017	WA Issaquah SD No. 411 UTGO 17	Negotiated	King	WA - Washington
7/26/2017	WA Jefferson County Public Hospital Dist No. 2 Hosp Rev Jefferson Healthcare 17B	Financial Advisor	Jefferson	WA - Washington
7/26/2017	WA Jefferson County Public Hospital Dist No. 2 LTGO Jefferson Healthcare 17A	Financial Advisor	Jefferson	WA - Washington
7/26/2017	WA Jefferson County Public Hospital Dist No. 2 USDA Rural Direct Loan LTGO Jefferson Healthcare 17C	Financial Advisor	Jefferson	WA - Washington
7/26/2017	WA Jefferson County Public Hospital Dist No. 2 USDA Rural Direct Loan Rev Jefferson Healthcare 17D	Financial Advisor	Jefferson	WA - Washington
7/26/2017	WA Jefferson County Public Hospital Dist No. 2 USDA Rural Direct Loan Rev Jefferson Healthcare 17E	Financial Advisor	Jefferson	WA - Washington
7/26/2017	WA Jefferson County Public Hospital Dist No. 2 USDA Rural Direct Loan Rev Jefferson Healthcare 17F	Financial Advisor	Jefferson	WA - Washington
11/10/2015^	WA Jefferson Cty Public Hospt Dist No. 2 Rev-LTGO 17 Fin Adv Agmt	Financial Advisor	Jefferson	WA - Washington
7/12/2013^	WA King County 13 SNW Fin Adv Agmt	Financial Advisor	King	WA - Washington
7/24/2017	WA King County LTGO Various 17B	Financial Advisor	King	WA - Washington
8/15/2017	WA Kittitas County Public Hosp Dist No. 1 Healthcare Rev 17A	Private Placement	Kittitas	WA - Washington
8/15/2017	WA Kittitas County Public Hosp Dist No. 1 Healthcare Rev 17B	Private Placement	Kittitas	WA - Washington
8/30/2017	WA Klickitat Public Hosp Dist No. 2 Hospital Rev Imp Ref Skyline Hospital 17	Negotiated	Klickitat	WA - Washington
7/13/2017	WA Lakewood Water Dist Water Rev 17	Negotiated	Pierce	WA - Washington
9/27/2017	WA Longview SD No. 122 UTGO Ref 17	Negotiated	Cowlitz	WA - Washington
7/10/2017	WA Lynden Water and Sewer Rev (ULID) Ref 17B	Private Placement	Whatcom	WA - Washington
7/10/2017	WA Lynden Water and Sewer Rev Ref 17A	Private Placement	Whatcom	WA - Washington
10/24/2016^	WA Mason County Public Utility Dist No. 1 16 Fin Adv Agmt	Financial Advisor	Mason	WA - Washington
1/19/2001^	WA Mercer Island LTGO 2013 Fin Adv Agmt	Financial Advisor	King	WA - Washington
7/19/2017	WA Mercer Island LTGO Ref 17	Financial Advisor	King	WA - Washington
8/16/2017	WA Okanogan Public Hospital Dist No. 4 UTGO Ref North Valley Hospital 17	Negotiated	Okanogan	WA - Washington
11/1/2016^	WA Pend Oreille County Public Utility District No. 1 16 Fin Adv Agmt	Financial Advisor	Pend Oreille	WA - Washington
3/23/2017^	WA Port of Seattle 17 Fin Adv Agmt	Financial Advisor	King	WA - Washington
7/25/2017	WA Port of Seattle Intermediate Lien Rev and Ref 17A	Financial Advisor	King	WA - Washington
7/25/2017	WA Port of Seattle Intermediate Lien Rev and Ref 17B	Financial Advisor	King	WA - Washington
7/25/2017	WA Port of Seattle Intermediate Lien Rev and Ref 17C	Financial Advisor	King	WA - Washington
7/25/2017	WA Port of Seattle Intermediate Lien Rev and Ref 17D	Financial Advisor	King	WA - Washington
3/15/2017^	WA Renton 17 Fin Adv Agmt	Financial Advisor	King	WA - Washington
9/6/2017	WA Renton Water and Sewer Rev Ref 17	Financial Advisor	King	WA - Washington
9/21/2017	WA Ridgefield LTGO 17	Negotiated	Clark	WA - Washington
9/13/2017	WA Seattle Municipal Light and Power Imp and Ref Rev 17C	Financial Advisor	King	WA - Washington
8/24/2017	WA Sequim SD No. 323 Ltd GO 17	Private Placement	Clallam, Jefferson	WA - Washington
1/23/2017^	WA Skagit County SD No. 100 Burlington-Edison 17 Fin Adv Agmt	Financial Advisor	Skagit	WA - Washington
11/1/2013^	WA SoundTransit Central Puget Sound Regl Transit 13 Fin Adv Agmt	Financial Advisor	State Authority	WA - Washington
9/4/2008^	WA Spokane SD No. 81 UTGO 2013 Fin Adv Agmt	Financial Advisor	Spokane	WA - Washington
1/27/2016^	WA State of Washington 16 Fin Adv Agmt	Financial Advisor	State Authority	WA - Washington
9/12/2017	WA State of Washington COP 17B	Financial Advisor	State Authority	WA - Washington
9/26/2017	WA State of Washington GO 18T	Financial Advisor	State Authority	WA - Washington
9/26/2017	WA State of Washington Motor Vehicle Fuel Tax GO 18B	Financial Advisor	State Authority	WA - Washington
9/26/2017	WA State of Washington Motor Vehicle Fuel Tax GO R-18B	Financial Advisor	State Authority	WA - Washington
9/26/2017	WA State of Washington Various Purpose GO 18A	Financial Advisor	State Authority	WA - Washington
9/26/2017	WA State of Washington Various Purpose GO Ref R-18A	Financial Advisor	State Authority	WA - Washington
9/13/2017	WA Sunnyside SD No. 201 UTGO Ref 17	Negotiated	Yakima	WA - Washington
4/24/2014^	WA Tacoma 14 Fin Adv Agmt	Financial Advisor	Pierce	WA - Washington
7/1/2014^	WA Univ of Washington 14 Fin Adv Agmt	Financial Advisor	King	WA - Washington
10/1/2017^	WA University of Washington 17 Fin Adv Agmt	Financial Advisor	King	WA - Washington
8/22/2017	WA Washington Health Care Facil Auth Hosp Rev Virginia Mason 17	Negotiated	King	WA - Washington
8/21/2017	WI Kenosha GO Prom Notes 17B	Negotiated	Kenosha	WI - Wisconsin
8/21/2017	WI Kenosha Taxable GO Prom Notes 17A	Negotiated	Kenosha	WI - Wisconsin
8/21/2017	WI Kenosha Taxable GO Ref 17	Negotiated	Kenosha	WI - Wisconsin
7/17/2017	WI Pleasant Prairie GO Ref 17	Negotiated	Kenosha	WI - Wisconsin
7/6/2017	WI Wisconsin HEFA Rev LindenGrove 17A	Private Placement	Waukesha	WI - Wisconsin
8/1/2017	WI Wisconsin HEFA Rev Ref Grant Regional Center 17A	Private Placement	Grant	WI - Wisconsin
8/1/2017	WI Wisconsin HEFA Rev Ref Grant Regional Center 17B	Private Placement	Grant	WI - Wisconsin
8/4/2017	WI Wisconsin HEFA Rev Ref LindenGrove 17B	Private Placement	Waukesha	WI - Wisconsin
8/4/2017	WI Wisconsin HEFA Rev Ref LindenGrove 17C	Private Placement	Waukesha	WI - Wisconsin
8/30/2017	WI Wisconsin HEFA Tax-Exempt Rev St. Joseph Residence 17	Private Placement	Waupaca	WI - Wisconsin
11/1/2016^	WV Board of Education Monroe County GO Public Sch 16 Fin Adv Agmt	Financial Advisor	Monroe	WV - West Virginia
9/7/2017	WV Glenville State College Board of Governors Imp Ref Rev 17	Negotiated	Gilmer	WV - West Virginia
9/13/2017*	WV Ohio County Board of Education GO Public Sch 17 Fin Adv Agmt	Financial Advisor	Ohio	WV - West Virginia
8/17/2017	WV West Virginia Econ Dev Auth Excess Lottery Rev 17A Tax-Exempt	Negotiated	Morgan County	WV - West Virginia
8/17/2017	WV West Virginia Econ Dev Auth Excess Lottery Rev 17B Taxable	Negotiated	Morgan County	WV - West Virginia
7/18/2017*	WV West Virginia Housing Dev Fund 17 Fin Adv Agmt	Financial Advisor	State Authority	WV - West Virginia
9/26/2017	WV West Virginia School Bldg Auth Capital Imp Ref Rev 17	Negotiated	Brook	WV - West Virginia
6/15/2016^	WV Wood County Board of Education 16 Fin Adv Agmt	Financial Advisor	Wood	WV - West Virginia
7/21/2017	WV Wood County Commission Tax Incr Rev Emerson 17 Tax	Private Placement	Albemarle	WV - West Virginia
9/29/2017	WY Campbell County Hospital Dist Rev Ref 17A	Private Placement	Campbell	WY - Wyoming
9/29/2017	WY Campbell County Hospital Dist Rev Ref 17B	Private Placement	Campbell	WY - Wyoming

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