

# FORM G-37



**Name of Regulated Entity:** *USCA Municipal Advisors LLC*

**Report Period:** *First Quarter of 2017*

## **I. CONTRIBUTIONS made to officials of a municipal entity (list by state)**

State	Complete name, title (including any city/county/state or other political subdivision) of municipal entity official	Contributions by each contributor category (i.e., for purposes of this form, dealer, dealer controlled PAC, municipal finance professional, municipal finance professional controlled PAC, non-MFP executive officer, municipal advisor, municipal advisor controlled PAC, municipal advisor professional, municipal advisor professional controlled PAC, and non-MAP executive officer). For each contribution, list contribution amount and contributor category (disclose all applicable categories for each contributor). (For example, \$500 contribution by non-MFP executive officer)  If any contribution is the subject of an automatic exemption pursuant to Rule G-37(j), list amount of contribution and date of such automatic exemption.
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*None*

## **II. PAYMENTS made to political parties of states or political subdivisions (list by state)**

State	Complete name (including any city/county/state or other political subdivision) of political party	Payments by each contributor category
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*None*

**III. CONTRIBUTIONS made to bond ballot campaigns (list by state)**

**A. Contributions**

State	Official name of bond ballot campaign and jurisdiction (including city/county/state or other political subdivision) for which municipal securities would be issued and the name of the entity issuing the municipal securities	Contributions, including the specific date the contributions were made, by each contributor category
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*None*

**B. Reimbursement for Contributions**

List below any payments or reimbursements, related to any disclosed bond ballot contribution, received by each dealer, municipal finance professional, non-MFP executive officer, municipal advisor, municipal advisor professional, or non-MAP executive officer from any third party, including the amount paid and the name of the third party making such payments or reimbursements.

*None*

**IV. MUNICIPAL ENTITIES with which the regulated entity has engaged in municipal securities business or municipal advisory business (list by state)**
**A. Municipal Securities Business**

State	Complete name of municipal entity and city/county	Type of municipal securities business (negotiated underwriting, private placement, financial advisor, or remarketing agent)
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*None*

**B. Municipal Advisory Business**

State	Complete name of municipal entity and city/county	Type of municipal advisory business (advice or solicitation) (and in the case of municipal advisory business engaged in by a municipal advisor third-party solicitor, the name of the third party on behalf of which business was solicited and the nature of the business solicited (municipal securities business, municipal advisory business or investment advisory services))
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*See*

*Attachment*

**C. Ballot-Approved Offerings**

Full name of the municipal entity and full issue description of any primary offering resulting from the bond ballot campaign to which each contributor category has made a contribution and the reportable date of selection on which the regulated entity was selected to engage in the municipal securities business or municipal advisory business.

Full Name of Municipal Entity	Full Issue Description	Reportable Date of Selection
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*None*

## FORM G-37



**Signature:** electronic

**Date:** *Feb 8, 2018*

**Name (must be officer of regulated entity):** *COURTNEY BOWLING*

**Address:** *4444 Westheimer, Houston, TX 77027*

**Phone:** *512-813-1122*

Submit to the Municipal Securities Rulemaking Board a completed form quarterly by due date (specified by the MSRB)

USCA Municipal Advisors, LLC  
Form G-37  
Report Period January 1, 2017 - March 31, 2017  
Municipal Advisory Business

<u>State</u>	<u>Issuer Name</u>	<u>Type of Business</u>
TX	Amigos Por Vida	Municipal Advisor
TX	Angleton ISD	Municipal Advisor
TX	Bay City ISD	Municipal Advisor
TX	Bellaire, City of	Municipal Advisor
TX	Bosque County	Municipal Advisor
TX	Caney Creek	Municipal Advisor
TX	Central Washington County WSC	Municipal Advisor
TX	Corrigan, City of	Municipal Advisor
TX	Gastonia-Scurry Special Utility District	Municipal Advisor
TX	Goldthwaite, City of	Municipal Advisor
TX	Grapeland, City of	Municipal Advisor
TX	Hermleigh ISD	Municipal Advisor
TX	Huffman ISD	Municipal Advisor
TX	LaVernia ISD	Municipal Advisor
TX	Moody ISD	Municipal Advisor
TX	Oakwood ISD	Municipal Advisor
TX	Queen City ISD	Municipal Advisor
TX	Royal ISD	Municipal Advisor
TX	Sabine Pass ISD	Municipal Advisor
TX	Sheldon ISD	Municipal Advisor
TX	TMPA	Municipal Advisor
TX	West Orange Cove CISD	Municipal Advisor